U.S. IMPORTERS' QUESTIONNAIRE

DIOCTYL TEREPHTHALATE (DOTP) FROM KOREA

This questionnaire must be received by the Commission by July 14, 2016

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping duty investigation concerning dioctyl terephthalate ("DOTP") from Korea (Inv. No. 731-TA-1330 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

| Address | | | | | | |
|---|--|---|--|---|--|--|
| City | | _ State | Zip Code _ | | | |
| Website | | | | | | |
| • | l dioctyl terephthalate (DOT v at any time since January 2 | , , | n next page) an | d/or di-n-butyl | terephthalate | |
| NO (Sign the | certification below and prom | ptly return only th | is page of the qu | estionnaire to the | e Commission) | |
| YES (Comple | te all parts of the questionnair | e, and return the ϵ | entire questionna | nire to the Commi | ission) | |
| _ | erein supplied in responso | | | - | | - |
| e and belief and unders this certification I also on provided in this ques ission on the same or si lersigned, acknowledge | erein supplied in response stand that the information o grant consent for the C stionnaire and throughout milar merchandise. | e to this questi submitted is su Commission, and this proceeding ted in response | bject to audit of the dits employee in any other in to this reques | and verification es and contrac mport-injury pr t for informati | n by the Comm t personnel, to coceedings con ton and throug | nissio o use ducte |
| e and belief and unders this certification I also on provided in this questission on the same or sidersigned, acknowledge ion or other proceeding (a) for developing or nand evaluations relating; or (ii) by U.S. govern | erein supplied in response stand that the information o grant consent for the (stionnaire and throughout milar merchandise. | e to this questic submitted is su Commission, and this proceeding ted in response used: (i) by the this or a related onnel, and operact personnel, s | bject to audit of its employeed in any other in to this reques Commission, it is proceeding, or ations of the | and verification es and contrac emport-injury pr t for informati ts employees a or (b) in interno Commission in | n by the Comm t personnel, to coceedings con on and throug nd Offices, and al investigation ncluding under | nissio o use ducte ghout d con ns, au |
| e and belief and unders this certification I also on provided in this questission on the same or sidersigned, acknowledge ion or other proceeding (a) for developing or nand evaluations relating; or (ii) by U.S. govern | erein supplied in response tand that the information or grant consent for the Cationnaire and throughout milar merchandise. That information submitting may be disclosed to and maintaining the records of the programs, personnent employees and continuity. | e to this questic submitted is su Commission, and this proceeding ted in response used: (i) by the this or a related onnel, and operact personnel, sements. | bject to audit of its employeed in any other in to this reques Commission, it is proceeding, or ations of the olely for cybers | and verification es and contrac emport-injury pr t for informati ts employees a or (b) in interno Commission in | n by the Comm t personnel, to coceedings con on and throug nd Offices, and al investigation ncluding under | nissio o use ducte ghout d con ns, au |
| e and belief and unders this certification I also on provided in this ques ission on the same or si lersigned, acknowledge ion or other proceeding (a) for developing or n and evaluations relatin 3; or (ii) by U.S. govern ersonnel will sign appro | erein supplied in response stand that the information or grant consent for the Continuation and throughout milar merchandise. That information submitted may be disclosed to and maintaining the records of the programs, personant employees and continuation agrees a | e to this questic submitted is su Commission, and this proceeding ted in response used: (i) by the this or a related onnel, and operact personnel, sements. | bject to audit of its employeed in any other in to this reques Commission, it is proceeding, or ations of the olely for cybers | and verification es and contrac emport-injury pr t for informati ts employees a or (b) in interna Commission in security purpos | n by the Comm t personnel, to coceedings con on and throug nd Offices, and al investigation ncluding under | nission o use ducte ghout d con ns, au |

PART I.—GENERAL INFORMATION

Background. This proceeding was instituted in response to a petition filed on June 30, 2016, by Eastman Chemical Company, Kingsport, Tennessee. Antidumping duties may be assessed on the subject imports as a result of this proceeding if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of dumping. Questionnaires and other information pertinent to this proceeding are available at http://www.usitc.gov/investigations/701731/2016/dioctyl terephthalate dotp-korea/preliminary.htm.

<u>Dioctyl terephthalate ("DOTP").</u> --The product covered by this investigation is dioctyl terephthalate ("DOTP"), regardless of form. DOTP that has been blended with other products is included within this scope when such blends include constituent parts that have not been chemically reacted with each other to produce a different product. For such blends, only the DOTP component of the mixture is covered by the scope of this investigation. DOTP that is otherwise subject to this investigation is not excluded when commingled with DOTP from sources not subject to this investigation. Commingled refers to the mixing of subject and non-subject DOTP. Only the subject component of such commingled products is covered by the scope of the investigation. DOTP has the general chemical formulation $C_6H_4(C_8H_{17}COO)_2$ and a chemical name of "bis (2-ethylhexyl) terephthalate" and has a Chemical Abstract Service ("CAS") registry number of 6422-86-2. Regardless of the label, all DOTP is covered by this investigation. Subject merchandise is currently classified under subheading 2917.39.20 of the Harmonized Tariff Schedule of the United States ("HTSUS"). Blends containing DOTP may also be classified under subheading 3812.20.10. While the CAS registry number and HTSUS classification are provided for convenience and customs purposes, the written description of the scope of this investigation is dispositive.

<u>Importer</u>.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing DOTP (as defined above) into the United States from a foreign manufacturer or through its selling agent.

<u>Reporting of information</u>.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.-- The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

<u>Release of information</u>.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative

protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

| Hours | Dollars |
|-------|---------|
| | |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. <u>Establishments covered</u>.--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

| "Establishment" Each facility of a firm involved in the importation of DOTP, including auxiliary |
|--|
| facilities operated in conjunction with (whether or not physically separate from) such facilities. |

I-3. Ownership.--Is your firm owned, in whole or in part, by any other firm?

| □ No □ ' | YesList the following | information |
|----------|-----------------------|-------------|
|----------|-----------------------|-------------|

| Firm name | Address | Extent of ownership (percent) |
|-----------|---------|-------------------------------|
| | | |
| | | |
| | | |

| n | nporters' Questionnaire - | DOTP | | | Page |
|---|---|-----------|------------------|---|---------------------------------|
| | | ed in imp | orting DOTP fro | nave any related firms, eitle m Korea into the United S nited States? | |
| | ☐ No ☐ Yes | List the | following inforr | nation. | |
| | Firm name | | Address | | Affiliation |
| | | | | | |
| | | | | | |
| | | | | | |
| | | List the | following inforr | nation. | |
| | Firm name | | Address | | Affiliation |
| | | | | | |
| | | | | | |
| | | | | | |
| | Importing operations More than one answer | | | re of your firm's importin | g operations on DOTP |
| | Important of record | | es title to the | Consignee of the | Customs broker or |
| | Importer of record | impor | ted product(s) | imported products(s) | freight forwarder |
| | | | | of DOTP but is not the cone number, and individual | |
| | Firm name | | Address | | Contact person and phone number |

I-8. <u>FTZ, TIB, or bonded warehouses</u>.--Please indicate whether your firm enters DOTP into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports DOTP under the TIB (temporary importation under bond) program.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

"Temporary Importation under Bond ("TIB") program" is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States(HTS).

| | No | Yes |
|----------------------------------|----|-----|
| Foreign trade zones | | |
| Bonded warehouses | | |
| Temporary importation under bond | | |

| I-9. | <u>Third-country trade activities</u> To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries? | | | |
|------|---|---------------------|--|--|
| | No | Yes-Please specify. | | |
| | | | | |

Fax

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, keysha.martinez@usitc.gov). Supply all data requested on a calendar-year basis.

| II-1. | | nation Please identify the responsible aff may contact that individual regardin | e individual and the manner by which ng the confidential information submitted |
|-------|-----------|---|--|
| | Name | | |
| | Title | | |
| | Email | | |
| | Telephone | | |

II-2. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the importation of DOTP since January 1, 2013.

| (ched | ck as many as appropriate) | (If checked, please describe; leave blank if not applicable) |
|-------|---|--|
| | Office/warehouse openings | |
| | Office/warehouse closings | |
| | Relocations | |
| | Expansions | |
| | Acquisitions | |
| | Consolidations | |
| | Prolonged shutdowns or importation curtailments | |
| | Revised labor agreements | |
| | Other (e.g., technology) | |

| _ | erchandis | • | • | d an order with a for cheduled to occur ur | • |
|---------------|-----------|------------------------|-----------------------|---|----------------|
| ☐ No | Yes | s–Fill out the table b | elow. | | |
| | | Qu | antity (in short tons | ons) | |
| Period/So | ource | Apr-Jun 2016 | Jul-Sept 2016 | Oct-Dec 2016 | Jan-Mar 2017 |
| Korea | | | | | |
| All other sou | irces | | | | |
| | | if producerIf you | r firm also produces | DOTP in the United | States, please |

Definitions

"Imports" –Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

"Import quantities" –Quantities reported should be net of returns.

"Import values" — Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

"U.S. commercial shipments"— Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" - Product consumed internally by your firm.

"Transfers to related firms" – Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" —A firm that your firm solely or jointly owns, manages, or otherwise controls. Such transactions are valued at fair market value.

"Export shipments"— Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" -- Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5. <u>IMPORTS FROM Korea</u>.—Report your firm's imports and your firm's shipments and inventories of DOTP imported from Korea by your firm during the specified periods.

Korea

| C | Quantity (in sho | ort tons), value (| (in \$1,000) | | |
|--|---|--|--|--|---|
| | | Calendar years | | January | -March |
| ltem | 2013 | 2014 | 2015 | 2015 | 2016 |
| Beginning-of-period inventories (quantity) (A) | | | | | |
| Imports: ^{1 2} Quantity (B) | | | | | |
| Value (C) | | | | | |
| U.S. shipments: ² Commercial shipments: Quantity (D) Value (E) | | | | | |
| Internal consumption/ company transfers: Quantity (F) | | | | | |
| Value³ (G) | | | | | |
| Export shipments: ⁴ Quantity (H) | | | | | |
| Value (I) | | | | | |
| End-of-period inventories (quantity) (J) | | | | | |
| Channels of distribution: Commercial U.S. shipments: To distributors (quantity) (K) To end users (quantity) (L) | | | | | |
| ¹ Please identify the foreign producers ² As indicated in page 2, DOTP sold as investigation. Therefore, any DOTP imporeported in this table, but reported on the chemicals that it is mixed with). Of your blend and/or mixture?percent; of sales to related firms (including interuses a different basis for valuing these says provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using that basis for each of the same provide value data using the same provide | part of a mixture or ted and sold as the basis of the confirm's U.S. shipm lescribe these ble mal consumption ales within your cach of the period | e and/or blend is in part of a mixture intained DOTP (e. nents in 2015 repends/mixtures:n) must be valued company, please se | and/or blend sho g., excluding the orted above, who l at fair market va specify that basis | ould be included in weight of the add at share were sold alue. In the event | itional as part of a that your firm |

II-5. <u>IMPORTS FROM Korea</u>.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, and H). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| | Calendar years January-March | | y-March | | |
|---|------------------------------|------|---------|------|------|
| Reconciliation | 2013 | 2014 | 2015 | 2015 | 2016 |
| A + B - D - F - H - J = should equal zero | | | | | |
| ("0") or provide an explanation. ¹ | 0 | 0 | 0 | 0 | 0 |

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| | Calendar years January-March | | | | |
|--|------------------------------|------|------|------|------|
| Reconciliation item | 2013 | 2014 | 2015 | 2015 | 2016 |
| K + L - D = zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

II-6. <u>IMPORTS FROM ALL OTHER SOURCES</u>.—Report your firm's imports and your firm's shipments and inventories of DOTP imported from all other sources (AOS) combined by your firm during the specified periods.

ALL OTHER SOURCES

| Quantity (in short tons), value (in \$1,000) | | | | | | |
|--|--|---------------------------------------|------------------|---|----------|--|
| | | Calendar years | 1 | January | -March | |
| Item | 2013 | 2014 | 2015 | 2015 | 2016 | |
| Beginning-of-period inventories (quantity) (A) | | | | | | |
| Imports: ¹² Quantity (B) | | | | | | |
| Value (C) | | | | | | |
| U.S. shipments: ² Commercial shipments: Quantity (D) | | | | | | |
| Value (E) | | | | | | |
| Internal consumption/ company transfers: Quantity (F) | | | | | | |
| Value³ (G) | | | | | | |
| Export shipments: ⁴ Quantity (H) | | | | | | |
| Value (I) | | | | | | |
| End-of-period inventories (quantity) (J) | | | | | | |
| Channels of distribution: Commercial U.S. shipments: To distributors (quantity) (K) | | | | | | |
| To end users (quantity) (L) | | | | | | |
| ¹ Please identify the foreign producers, ² As indicated in page 2, DOTP sold as producers, investigation. Therefore, any DOTP imported in this table, but reported on the chemicals that it is mixed with). Of your file blend and/or mixture? | part of a mixture ted and sold as e basis of the co Firm's U.S. shipm | part of a mixture ntained DOTP (e. | and/or blend sho | ould be included i weight of the add | litional | |

uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and

provide value data using that basis for each of the periods noted above: _____.

⁴ Identify your firm's principal export markets: _____.

II-6. IMPORTS FROM ALL OTHER SOURCES.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, and H). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| | Calendar years January-March | | | | |
|---|------------------------------|------|------|--------|------|
| Reconciliation | 2013 | 2014 | 2015 | 2015 | 2016 |
| A + B - D - F - H - J = should equal zero | | | | | |
| ("0") or provide an explanation. ¹ | 0 | 0 | 0 | 0 | 0 |
| 1 m at a construction of the attention | | | / | "(O)"\ | |

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| | Calendar years January-March | | | | |
|--|------------------------------|------|------|------|------|
| Reconciliation item | 2012 | 2013 | 2014 | 2015 | 2016 |
| K + L – D = zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

| II-7. | Other explanationsIf your firm would like to further explain a response to a question in Part II |
|-------|--|
| | that did not provide a narrative response box, please note the question number and the |
| | explanation in the space provided below. Please also use this space to highlight any issues your |
| | firm had in providing the data in this section, including but not limited to technical issues with |
| | the MS Word questionnaire. |

PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Jeffrey Clark (202-205-3318, jeffrey.clark@usitc.gov).

| III-1. | <u>Contact information</u> Please identify the responsible individual and the manner by which |
|--------|---|
| | Commission staff may contact that individual regarding the confidential information submitted |
| | in part III. |

| Name | |
|-----------|--|
| Title | |
| Email | |
| Telephone | |
| Fax | |

PRICE DATA

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2013 of the following products your firm imported from Korea:

Product 1.--Dioctyl terephthalate in 20 MT containers.

Product 2.--Dioctyl terephthalate in bulk.

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

During January 2013-March 2016, did your firm import from subject country Korea and/or nonsubject country China and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

| YesPlease complete the following pricing data tables as appropriate. |
|--|
| NoSkip to question III-3. |

III-2a. Price data (Korea).--Report below the quarterly price data¹ for pricing products² imported from Korea and sold by your firm. Report data in actual short tons and actual dollars.

Korea

| | (Quantity | in short tons, value in | dollars) | | |
|--------------------|-----------|-------------------------|-----------|-------|--|
| | Produ | ict 1 | Product 2 | | |
| Period of shipment | Quantity | Value | Quantity | Value | |
| 2013: | | | | | |
| January-March | | | | | |
| April-June | | | | | |
| July-September | | | | | |
| October-December | | | | | |
| 2014: | | | | | |
| January-March | | | | | |
| April-June | | | | | |
| July-September | | | | | |
| October-December | | | | | |
| 2015: | | | | | |
| January-March | | | | | |
| April-June | | | | | |
| July-September | | | | | |
| October-December | | | | | |
| 2016: | | | | | |
| January-March | | | | | |

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

Note.—If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

² Pricing product definitions are provided on the first page of Part IV.

III-2b. <u>Price data (China)</u>.--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm. Report data in *actual short tons* and *actual dollars*.

China

| (Quantity in short tons, value in dollars) | | | | |
|---|---------------------------|-------------------------|-------------------------------|----------------------|
| | Produ | ict 1 | Prod | uct 2 |
| Period of shipment | Quantity | Value | Quantity | Value |
| 2013: | | | | |
| January-March | | | | |
| April-June | | | | |
| July-September | | | | |
| October-December | | | | |
| 2014: | | | | |
| January-March | | | | |
| April-June | | | | |
| July-September | | | | |
| October-December | | | | |
| 2015: | | | | |
| January-March | | | | |
| April-June | | | | |
| July-September | | | | |
| October-December | | | | |
| 2016: | | | | |
| January-March | | | | |
| ¹ Net values (<i>i.e.</i> , gross s | ales values less all disc | ounts, allowances, reba | ates, prepaid freight, and tl | ne value of returned |

Note.—If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

| III-2c. | <u>Pricing data methodology</u> Please describe the method and the kinds of documents/records |
|---------|---|
| | that were used to compile your price data. |
| | |
| | |

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part IV.

| Transaction by transaction | | Set price lists | Other | | If other, describe |
|----------------------------|---------------------------|-----------------------|----------------|-------------------|---------------------------------------|
| | | | | | |
| Discount poli apply). | cy Please ii | ndicate and | describe | your firm's disco | ount policies (<i>check all that</i> |
| Quantity | Annual total volume | No discount | | | |
| discounts | discounts | policy | Other | | Describe |
| (a) hat a | re your firm's | s typical sale | es terms fo | or DOTP importo | ed from Korea? |
| | et 30 Net | | net 30 lays | Other | Other (specify) |
| | | | | | |
| | | your firm's | prices of | imported DOTP | from Korea usually quoted |
| (b) On w | one)? | | | • | |
| only o | elivered | F.o.b. | If f.o.b. | , specify point | |

III-6. <u>Contract versus spot.</u>--Approximately what share of your firm's sales of DOTP imported from Korea in 2015 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

| | Type of sale | | | | | |
|---------------------|---|--|---|---|------------------------------------|--------|
| | Long-term contracts (multiple deliveries for more than 12 months) | Annual contracts (multiple deliveries for 12 months) | Short-term contracts (multiple deliveries for less than 12 months) | Spot sales (for a single delivery) | Total (shoul sum t 100.0% | d o |
| Share of 2015 sales | % | % | % | % | 0.0 | % |

III-7. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for DOTP from Korea (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

| Typical sales contract provisions | Item | Short-term contracts (multiple deliveries for less than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for more than 12 months) |
|-----------------------------------|----------------|--|--|---|
| Average contract duration | No. of days | | 365 | |
| Price renegotiation | Yes | | | |
| (during contract period) | No | | | |
| | Quantity | | | |
| Fixed quantity and/or price | Price | | | |
| 3.13, 5.1 p.1.55 | Both | | | |
| Meet or release | Yes | | | |
| provision | No | | | |
| Not applicab | le | | | |

III-8. <u>Lead times.</u>—What is your firm's share of sales of DOTP imported from Korea from inventory vs. produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of DOTP?

| Source | Share of 2015 sales | Lead time (Average number of days) |
|---------------------------------------|---------------------|------------------------------------|
| From your firm's U.S. inventory | % | |
| From foreign manufacturers' inventory | % | |
| Produced to order | % | |
| Total (should sum to 100.0%) | 0.0 % | |

| | III-9. | Ship | ping | inform | ation.— |
|--|--------|------|------|--------|---------|
|--|--------|------|------|--------|---------|

| (a) | What is the approximate percentage of the total delivered cost of DOTP imported from Korea that is accounted for by U.S. inland transportation costs? percent. |
|-----|--|
| (b) | Who generally arranges the transportation to your firm's customers' locations? Your firm Purchaser (check one) |
| (c) | When your firm sells DOTP imported from Korea, from where is it shipped? Point of importation Storage facility (check one) |
| (d) | Indicate the approximate percentage of your firm's sales of DOTP imported from Korea |

that are delivered the following distances from your firm's U.S. point of shipment.

| Distance from your firm's U.S. point of shipment | Share |
|--|-------|
| Within 100 miles | % |
| 101 to 1,000 miles | % |
| Over 1,000 miles | % |
| Total (should sum to 100.0%) | 0.0 % |

| III-10. | Geographical shipmentsIn which U.S. geographic market area(s) has your firm sold DOTF |
|---------|---|
| | imported from Korea since January 1, 2013 (check all that apply)? |

| Geographic area | Korea | |
|--|-------|--|
| Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT. | | |
| MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. | | |
| Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV. | | |
| Central Southwest.—AR, LA, OK, and TX. | | |
| MountainsAZ, CO, ID, MT, NV, NM, UT, and WY. | | |
| Pacific Coast.–CA, OR, and WA. | | |
| Other .—All other markets in the United States not previously listed, including AK, HI, PR, and VI. | | |

III-11. <u>End uses.--</u>List the end uses of the DOTP that your firm imports from Korea. For each end-use product, what percentage of the <u>total cost</u> is accounted for by DOTP vs. other inputs?

| | Share of total cost | Total | |
|-----------------|---------------------|--------------|-------------------------------|
| End use product | DOTP | Other inputs | (should sum to 100.0% across) |
| | % | % | 0.0 % |
| | % | % | 0.0 % |
| | % | % | 0.0 % |

| III-12. | SubstitutesCan | other products be substituted | for DOTP? |
|---------|----------------|-------------------------------|--|
| | ☐ No | YesPlease fill out th | e table. |
| | | | Have changes in the price of this substitu |

| | | End use in which this | | Have changes in the price of this substitute affected the price for DOTP? | | |
|----|------------|-----------------------|----|---|-------------|--|
| | Substitute | substitute is used | No | Yes | Explanation | |
| 1. | | | | | | |
| 2. | | | | | | |
| 3. | | | | | | |

| Market | Overa | _ | Overall decrease | Fluctuate with no clear trend | Explanation and factors |
|--------------------|---|---|---|--|--|
| Within the U.S | | | | | |
| Outside the U.S | | | | | |
| | | lave there bee since January 1 | | ficant changes in t | he product range, product mix |
| No | Yes | If yes, please | describe. | | |
| | | | | | |
| | s of compo | | t to husine | ss cycles (other th | an general economy-wide |
| (a) Is | the DOTP | market subject and/or other c | conditions o | ss cycles (other the formula of competition discrete describe. | an general economy-wide tinctive to DOTP? |
| (a) Is | the DOTP anditions) a | market subject and/or other c | Please | of competition dis | |
| (a) Is | the DOTP onditions) a that apply | market subject and/or other conferments | Please Skip to | describe. | |
| (a) Is | the DOTP onditions) at that apply No Yes-Busin seasonal Yes-Othe | market subject and/or other conferments | Please Skip to | describe. | |
| (a) Is co | the DOTP onditions) at that apply No Yes-Busin seasonal Yes-Othe condition yes, have to | market subject and/or other con- y. ness cycles (e.go business) r distinctive as of competition | Please Skip to g. ion | describe. | tinctive to DOTP? |
| (a) Is co | the DOTP onditions) at that apply No Yes-Busin seasonal Yes-Othe condition yes, have to | market subject and/or other control of the control | Please Skip to g. ion y changes in 2013? | describe. | |

| III-16. | <u>Supply constraints.</u> Has your firm refused, declined, or been unable to supply DOTP since |
|---------|---|
| | January 1, 2013 (examples include placing customers on allocation or "controlled order entry," |
| | declining to accept new customers or renew existing customers, delivering less than the |
| | quantity promised, been unable to meet timely shipment commitments, etc.)? |
| | quantity promised, been unable to meet timely shipment commitments, etc.)? |

| No | Yes | If yes, please describe. |
|----|-----|--------------------------|
| | | |

III-17. Raw materials.--How have DOTP raw material prices changed since January 1, 2013?

| Overall increase | No change | Overall decrease | Fluctuate with no clear trend | Explain, noting how raw material price changes have affected your firm's selling prices for DOTP. |
|------------------|--------------|------------------|-------------------------------------|---|
| | | | | |

III-18. <u>Interchangeability</u>.--Is DOTP produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

0 = *no familiarity* with products from a specified country-pair

| Korea | China | Other countries |
|-------|-------|-----------------|
| | | |
| | | |
| | | |
| , | Korea | Korea China |

For any country-pair producing DOTP that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

III-19. <u>Factors other than price.</u>--Are differences other than price (*e.g.*, quality, availability, transportation network, product range, technical support, *etc.*) between DOTP produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = no familiarity with products from a specified country-pair

| Country-pair | Korea | China | Other countries |
|---------------|-------|-------|-----------------|
| United States | | | |
| Korea | | | |
| China | | | |

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of DOTP, identify the country-pair and report the advantages or disadvantages imparted by such factors:

III-20. <u>Customer identification.</u>--List the names and contact information for your firm's 10 largest U.S. customers for DOTP since January 1, 2013. Indicate the share of the quantity of your firm's total shipments of DOTP that each of these customers accounted for in 2015.

| | Customer name | Contact person | Email | Telephone | City | State | Share of 2015 sales (%) |
|----|---------------|----------------|-------|-----------|------|-------|-------------------------------------|
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |

| III-21. | Other explanationsIf your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire. |
|---------|---|
| | |

PART IV.--ALTERNATIVE PRODUCT INFORMATION

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205 2136, keysha.martinez@usitc.gov).

- IV-1. Comparability of DOTP vs. Di-n-butyl terephthalate ("DBT").--For each of the following indicate whether DOTP (subject to these investigations) and DBT (a related product) are: fully comparable or the same, i.e., have no differentiation between them; mostly comparable or similar; somewhat comparable or similar; never or not-at-all comparable or similar; or no familiarity with products.
 - (a) <u>Characteristics and Uses</u>.-- The differences and similarities in the physical characteristics and end uses between DOTP and DBT.

| | Mostly | Somewhat | Not at all | | | | | |
|---|--|--------------------------|----------------------------|----------------------|--|--|--|--|
| Fully comparable | comparable | comparable | comparable | NA/no familiarity | | | | |
| | | | | | | | | |
| • | Please provide a narrative discussion for the comparability ratings you provided in terms of their characteristics and uses: | | | | | | | |
| (b) <u>Interchangeability</u> The ability to substitute DOTP and DBT in the same application. | | | | | | | | |
| | | | | | | | | |
| Fully | Mostly | Somewhat | Not at all | NA/no | | | | |
| Fully interchangeable | Mostly interchangeable | Somewhat interchangeable | Not at all interchangeable | NA/no familiarity | | | | |
| • | • | | | = | | | | |

| IV-1. | Comparability | of DOTP vs DBT | Continued |
|-------|---------------|----------------|-----------|
|-------|---------------|----------------|-----------|

| (c) | Manufacturing facilities, production processes, and production employees Whether |
|-----|--|
| | DOTP and DBT are manufactured in the same facilities, from the same inputs, on the |
| | same machinery and equipment, and using the same employees. |

| | | Somewhat the | Not at all the | | | | | | |
|---|-----------------|--------------|----------------|-------------------|--|--|--|--|--|
| Fully the same | Mostly the same | same | same | NA/no familiarity | | | | | |
| | | | | | | | | | |
| Please provide a narrative discussion for the comparability ratings you provided in terms of their manufacturing processes: | | | | | | | | | |
| (d) <u>Channels of distribution</u> Channels of distribution/market situation through which DOTP and DBT are sold (i.e., sold direct to end users, through distributors, etc.). | | | | | | | | | |
| | Mostly | Somewhat | Not at all | | | | | | |
| Fully comparable | comparable | comparable | comparable | NA/no familiarity | | | | | |
| | | | | | | | | | |
| Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>channels of distribution</u> : | | | | | | | | | |

IV-2.

IV-1. Comparability of DOTP vs. DBT.--Continued

(e) <u>Customer and producer perceptions</u>.--Perceptions as to the differences and/or similarities in DOTP and DBT in the market (*e.g.*, sales/marketing practices).

| | Mostly | Somewhat | Not at all | | | | | | |
|---|------------|------------|------------|-------------------|--|--|--|--|--|
| Fully comparable | comparable | comparable | comparable | NA/no familiarity | | | | | |
| | | | | | | | | | |
| Please provide a narrative discussion for the comparability ratings you provided in terms of their customer and producer perceptions: | | | | | | | | | |
| (f) <u>Price</u> Whether prices are comparable or differ between DOTP and DBT. | | | | | | | | | |
| | Mostly | Somewhat | Not at all | | | | | | |
| Fully comparable | comparable | comparable | comparable | NA/no familiarity | | | | | |
| | | | | | | | | | |
| Please provide a narrative discussion for the comparability ratings you provided in terms of their prices: | | | | | | | | | |
| Imports of DBT. – Has your firm imported DBT from any country at any time since January 1, 2013? No Yes–Fill out the table below. | | | | | | | | | |
| Quantity (in short tons), value (in \$1,000) | | | | | | | | | |

| Quantity (in short tons), value (in \$1,000) | | | | | | | | |
|--|----------------|------|------|---------------|------|--|--|--|
| | Calendar years | | | January-March | | | | |
| Item | 2013 | 2014 | 2015 | 2015 | 2016 | | | |
| Imports from Korea: Quantity | | | | | | | | |
| Value | | | | | | | | |
| Imports from all other sources: Quantity | | | | | | | | |
| Value | | | | | | | | |

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

http://www.usitc.gov/investigations/701731/2016/dioctyl_terephthalate_dotp_korea/p_reliminary.htm.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box.</u>—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: DOTP

• E-mail.—E-mail the MS Word questionnaire to keysha.martinez@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm did not import this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.