

U.S. IMPORTERS' QUESTIONNAIRE

CERTAIN ALUMINUM EXTRUSIONS FROM CHINA

This questionnaire must be received by the Commission by November 29, 2016
See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its reviews of the antidumping and countervailing duty orders concerning aluminum extrusions from China (Inv. Nos. 701-TA-475 and 731-TA-1177 (Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____		
Address _____		
City _____	State _____	Zip Code _____
Website _____		
Has your firm imported aluminum extrusions (as defined on the next page) <i>from any country</i> at any time since January 1, 2011?		
<input type="checkbox"/> NO	(Sign the certification below and promptly return only this page of the questionnaire to the Commission)	
<input type="checkbox"/> YES	(Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)	
Return questionnaire via the Commission Drop Box by clicking on the following link: https://dropbox.usitc.gov/oinv/ . (PIN: ALUM)		

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury investigations or reviews conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this review or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official	Title of Authorized Official	Date
Signature	Phone: _____	Email address
	Fax: _____	

PART I.—GENERAL INFORMATION

Background.--On April 4, 2011, the Department of Commerce ("Commerce") issued antidumping and countervailing duty orders on imports of aluminum extrusions from China. On April 1, 2016, the Commission instituted reviews pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make affirmative determinations, the orders will remain in place. If either the Commission or Commerce makes negative determinations, Commerce will revoke the orders. Questionnaires and other information pertinent to these proceedings are available at http://wwwadmin.usitc.gov/investigations/701731/2016/aluminum_extrusions_china/full_review.htm

Aluminum extrusions covered by these reviews are defined as follows:

Shapes and forms, produced by an extrusion process, made from aluminum alloys having metallic elements corresponding to the alloy series designations published by The Aluminum Association commencing with the numbers 1, 3, and 6 (or proprietary equivalents or other certifying body equivalents). Specifically, the subject merchandise made from aluminum alloy with an Aluminum Association series designation commencing with the number 1 contains not less than 99 percent aluminum by weight. The subject merchandise made from aluminum alloy with an Aluminum Association series designation commencing with the number 3 contains manganese as the major alloying element, with manganese accounting for not more than 3.0 percent of total materials by weight. The subject merchandise is made from an aluminum alloy with an Aluminum Association series designation commencing with the number 6 contains magnesium and silicon as the major alloying elements, with magnesium accounting for at least 0.1 percent but not more than 2.0 percent of total materials by weight, and silicon accounting for at least 0.1 percent but not more than 3.0 percent of total materials by weight. The subject aluminum extrusions are properly identified by a four-digit alloy series without either a decimal point or leading letter. Illustrative examples from among the approximately 160 registered alloys that may characterize the subject merchandise are as follows: 1350, 3003, and 6060.

Aluminum extrusions are produced and imported in a wide variety of shapes and forms, including, but not limited to, hollow profiles, other solid profiles, pipes, tubes, bars, and rods. Aluminum extrusions that are drawn subsequent to extrusion (drawn aluminum) are also included in the scope.

Aluminum extrusions are produced and imported with a variety of finishes (both coatings and surface treatments), and types of fabrication. The types of coatings and treatments applied to subject aluminum extrusions include, but are not limited to, extrusions that are mill finished (*i.e.*, without any coating or further finishing), brushed, buffed, polished, anodized (including brightdip anodized), liquid painted, or powder coated. Aluminum extrusions may also be fabricated, *i.e.*, prepared for assembly. Such operations would include, but are not limited to, extrusions that are cut-to-length, machined, drilled, punched, notched, bent, stretched, knurled, swedged, mitered, chamfered, threaded, and spun. The subject merchandise includes aluminum extrusions that are finished (coated, painted, *etc.*), fabricated, or any combination thereof.

Subject aluminum extrusions may be described at the time of importation as parts for final finished products that are assembled after importation, including, but not limited to, window frames, door frames, solar panels, curtain walls, or furniture. Such parts that otherwise meet the definition of aluminum extrusions are included in the scope. The scope includes the aluminum extrusion components

that are attached (*e.g.*, by welding or fasteners) to form subassemblies, *i.e.*, partially assembled merchandise unless imported as part of the finished goods 'kit' defined further below. The scope does not include the non-aluminum extrusion components of subassemblies or subject kits.

Subject extrusions may be identified with reference to their end use, such as fence posts, electrical conduits, door thresholds, carpet trim, or heat sinks (that do not meet the finished heat sink exclusionary language below). Such goods are subject merchandise if they otherwise meet the scope definition, regardless of whether they are ready for use at the time of importation. The following aluminum extrusion products are excluded: aluminum extrusions made from aluminum alloy with an Aluminum Association series designations commencing with the number 2 and containing in excess of 1.5 percent copper by weight; aluminum extrusions made from aluminum alloy with an Aluminum Association series designation commencing with the number 5 and containing in excess of 1.0 percent magnesium by weight; and aluminum extrusions made from aluminum alloy with an Aluminum Association series designation commencing with the number 7 and containing in excess of 2.0 percent zinc by weight.

The scope also excludes finished merchandise containing aluminum extrusions as parts that are fully and permanently assembled and completed at the time of entry, such as finished windows with glass, doors with glass or vinyl, picture frames with glass pane and backing material, and solar panels. The scope also excludes finished goods containing aluminum extrusions that are entered unassembled in a "finished goods kit." A finished goods kit is understood to mean a packaged combination of parts that contains, at the time of importation, all of the necessary parts to fully assemble a final finished good and requires no further finishing or fabrication, such as cutting or punching, and is assembled "as is" into a finished product. An imported product will not be considered a "finished goods kit" and therefore excluded from the scope of the investigation merely by including fasteners such as screws, bolts, *etc.* in the packaging with an aluminum extrusion product.

The scope also excludes aluminum alloy sheet or plates produced by other than the extrusion process, such as aluminum products produced by a method of casting. Cast aluminum products are properly identified by four digits with a decimal point between the third and fourth digit. A letter may also precede the four digits. The following Aluminum Association designations are representative of aluminum alloys for casting: 208.0, 295.0, 308.0, 355.0, C355.0, 356.0, A356.0, A357.0, 360.0, 366.0, 380.0, A380.0, 413.0, 443.0, 514.0, 518.1, and 712.0. The scope also excludes pure, unwrought aluminum in any form.

The scope also excludes collapsible tubular containers composed of metallic elements corresponding to alloy code 1080A as designated by the Aluminum Association where the tubular container (excluding the nozzle) meets each of the following dimensional characteristics: (1) length of 37 millimeters ("mm") or 62 mm, (2) outer diameter of 11.0 mm or 12.7 mm, and (3) wall thickness not exceeding 0.13 mm.

Also excluded from the scope of this order are finished heat sinks. Finished heat sinks are fabricated heat sinks made from aluminum extrusions the design and production of which are organized around meeting certain specified thermal performance requirements and which have been fully, albeit not necessarily individually, tested to comply with such requirements.

Imports of the subject merchandise are provided for under the following categories of the Harmonized Tariff Schedule of the United States (HTSUS): 8424.90.9080, 9405.99.4020, 9031.90.90.95, 7616.10.90.90, 7609.00.00, 7610.10.00, 7610.90.00, 7615.10.30, 7615.10.71, 7615.10.91, 7615.19.10, 7615.19.30, 7615.19.50, 7615.19.70, 7615.19.90, 7615.20.00, 7616.99.10, 7616.99.50, 8479.89.98,

8479.90.94, 8513.90.20, 9403.10.00, 9403.20.00, 7604.21.00.00, 7604.29.10.00, 7604.29.30.10, 7604.29.30.50, 7604.29.50.30, 7604.29.50.60, 7608.20.00.30, 7608.20.00.90, 8302.10.30.00, 8302.10.60.30, 8302.10.60.60, 8302.10.60.90, 8302.20.00.00, 8302.30.30.10, 8302.30.30.60, 8302.41.30.00, 8302.41.60.15, 8302.41.60.45, 8302.41.60.50, 8302.41.60.80, 8302.42.30.10, 8302.42.30.15, 8302.42.30.65, 8302.49.60.35, 8302.49.60.45, 8302.49.60.55, 8302.49.60.85, 8302.50.00.00, 8302.60.90.00, 8305.10.00.50, 8306.30.00.00, 8414.59.60.90, 8415.90.80.45, 8418.99.80.05, 8418.99.80.50, 8418.99.80.60, 8419.90.10.00, 8422.90.06.40, 8473.30.20.00, 8473.30.51.00, 8479.90.85.00, 8486.90.00.00, 8487.90.00.80, 8503.00.95.20, 8508.70.00.00, 8515.90.20.00, 8516.90.50.00, 8516.90.80.50, 8517.70.00.00, 8529.90.73.00, 8529.90.97.60, 8536.90.80.85, 8538.10.00.00, 8543.90.88.80, 8708.29.50.60, 8708.80.65.90, 8803.30.00.60, 9013.90.50.00, 9013.90.90.00, 9401.90.50.81, 9403.90.10.40, 9403.90.10.50, 9403.90.10.85, 9403.90.25.40, 9403.90.25.80, 9403.90.40.05, 9403.90.40.10, 9403.90.40.60, 9403.90.50.05, 9403.90.50.10, 9403.90.50.80, 9403.90.60.05, 9403.90.60.10, 9403.90.60.80, 9403.90.70.05, 9403.90.70.10, 9403.90.70.80, 9403.90.80.10, 9403.90.80.15, 9403.90.80.20, 9403.90.80.41, 9403.90.80.51, 9403.90.80.61, 9506.11.40.80, 9506.51.40.00, 9506.51.60.00, 9506.59.40.40, 9506.70.20.90, 9506.91.00.10, 9506.91.00.20, 9506.91.00.30, 9506.99.05.10, 9506.99.05.20, 9506.99.05.30, 9506.99.15.00, 9506.99.20.00, 9506.99.25.80, 9506.99.28.00, 9506.99.55.00, 9506.99.60.80, 9507.30.20.00, 9507.30.40.00, 9507.30.60.00, 9507.90.60.00, and 9603.90.80.50.

The subject merchandise entered as parts of other aluminum products may be classifiable under the following additional Chapter 76 subheadings: 7610.10, 7610.90, 7615.19, 7615.20, and 7616.99, as well as under other HTSUS chapters. In addition, heat exchange systems may be classifiable under HTSUS numbers: 8418.99.80.50 and 8418.99.80.60. While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of this *AD Order* is dispositive.¹

The following products are among those that Commerce determined to be within the scope of these reviews pursuant to certain scope ruling requests:

Fin evaporator coil systems begin with extruded aluminum tubes that are bent into serpentine profiles. The serpentine then undergoes a series of further manufacturing steps to be processed into a fin evaporator coil system. Specifically, each serpentine first is run through a process on which a number of stamped aluminum “fins” (made of aluminum alloy sheet) of multiples sizes are serially attached to the coil. Following the attachment of the fins, two “stub” fittings made of copper—one smaller, one larger—are welded to the open ends of the coil. In certain instances, these systems are imported in this condition; in others, additional componentry, such as “capillaries”, suction tubes, foam “air dams”, or a defrost heater attachment are added prior to importation.

Fittings for engine cooling systems consist of the following: (1) aluminum fittings for oil coolers; (2) aluminum fittings for condensers; (3) aluminum fittings for radiators; (4) aluminum plugs for oil coolers; (5) aluminum mounting pins for oil coolers; and (6) aluminum fasteners for oil coolers. All of these fittings begin with rough blanks of extruded aluminum. The rough blanks then undergo several complex processes in numerically controlled CNC machines, which fundamentally change the shape of the blanks.

Reporting of information.— If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

¹ *Aluminum Extrusions from the People's Republic of China: Final Results of Expedited First Sunset Review of the Antidumping duty order*, 81 FR 51856, August 5, 2016.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

"Establishment"--Each facility of a firm involved in the importation of aluminum extrusions, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information.

Firm name	Address	Extent of ownership (percent)

I-4. **Related SUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing aluminum extrusions from China into the United States or that are engaged in exporting aluminum extrusions from China to the United States?

No Yes--List the following information.

Firm name	Address	Extent of ownership (percent)

I-5. **Related NONSUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing aluminum extrusions from countries other than China into the United States or that are engaged in exporting aluminum extrusions from countries other than China to the United States?

No Yes--List the following information.

Firm name	Address	Extent of ownership (percent)

I-6. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of aluminum extrusions?

No Yes--List the following information.

Firm name	Address	Extent of ownership (percent)

I-7. **Importing operations.**--Please indicate the nature of your firm's importing operations on aluminum extrusions. More than one answer may be applicable.

Importer of record	Takes title to the imported product(s)	Consignee of the imported products(s)	Customs broker or freight forwarder
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-8. **Consignees.**--If your firm is an importer of record of aluminum extrusions but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

I-9. **FTZ, TIB, or bonded warehouses.**--Please indicate whether your firm enters aluminum extrusions into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports aluminum extrusions under the TIB (temporary importation under bond) program.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

"Temporary Importation under Bond ("TIB") program" is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule (HTS).

	No	Yes
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>
Temporary importation under bond	<input type="checkbox"/>	<input type="checkbox"/>

I-10. **Subsets of aluminum extrusions.**—Please indicate whether your firm imported the following subsets of aluminum extrusions.

Production of	No	Yes	If yes
Fin evaporator coil systems	<input type="checkbox"/>	<input type="checkbox"/>	Report your firm's fin evaporator coil systems data along with data on all other aluminum extrusions in Parts II and III of this questionnaire. Then separately report data for fin evaporator coil systems in Part IV of this questionnaire.
Fittings for engine cooling systems	<input type="checkbox"/>	<input type="checkbox"/>	Report your firm's fittings for engine cooling systems data along with data on all other aluminum extrusions in Parts II and III of this questionnaire. Then separately report data for fittings for engine cooling systems in Part IV of this questionnaire.

I-11. **Business plan.**--In Parts II and III of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected market conditions for aluminum extrusions?

No Yes--Please provide the requested documents. If you are not providing the requested documents, please explain why not.

I-12. **Other investigations.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief investigations in the United States or in any other countries?

No Yes--Please specify.

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Justin Enck (202-205-3363, Justin.enck@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of aluminum extrusions since January 1, 2011.

<i>Check as many as appropriate.</i>		<i>If checked, please describe; leave blank if not applicable.</i>
<input type="checkbox"/>	Office/warehouse openings	
<input type="checkbox"/>	Office/warehouse closings	
<input type="checkbox"/>	Relocations	
<input type="checkbox"/>	Expansions	
<input type="checkbox"/>	Acquisitions	
<input type="checkbox"/>	Consolidations	
<input type="checkbox"/>	Prolonged shutdowns or importation curtailments	
<input type="checkbox"/>	Revised labor agreements	
<input type="checkbox"/>	Other (e.g., technology)	

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the importation of aluminum extrusions in the future?

No	Yes	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue.
<input type="checkbox"/>	<input type="checkbox"/>	

For question II-4, if your firm's response differs for particular orders, please indicate and explain the particular effect of revocation of specific orders.

II-4. **Anticipated changes in operations in the event the order is revoked.**--Would your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the importation of aluminum extrusions in the future if the antidumping and countervailing duty orders on aluminum extrusions from China were to be revoked?

No	Yes	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue.
<input type="checkbox"/>	<input type="checkbox"/>	

II-5. **Arranged imports.**--Has your firm imported or arranged for the importation of aluminum extrusions for delivery after **September 30, 2016**?

"Arranged imports" are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No Yes--Fill out the table below.

Quantity (in short tons)				
Period/Source	Oct-Dec 2016	Jan-Mar 2017	Apr-Jun 2017	Jul-Sept 2017
China				
Other sources¹				

- II-6. **Reasons for importing if producer.**--If your firm also produces aluminum extrusions in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

Definitions

"Imports" --Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

"Import quantities" --Quantities reported should be net of returns.

"Import values" --Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

"U.S. commercial shipments" -- Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" --Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" --Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" --A firm that your firm solely or jointly owns, manages, or otherwise controls.

"Export shipments" -- Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" --Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. **Imports of aluminum extrusions from China.**--Report your firm's imports and your firm's shipments and inventories of aluminum extrusions imported from China during the specified periods.

CHINA -- ALL IN SCOPE EXTRUSIONS

Quantity (<i>in short tons</i>), value (<i>in \$1,000</i>)							
Item	Calendar year					January-September	
	2011	2012	2013	2014	2015	2015	2016
Beginning-of-period inventories (<i>quantity</i>) (A)							
Imports: <i>Quantity</i> (B)							
<i>Value</i> (C)							
U.S. shipments: Commercial shipments: <i>Quantity</i> (D)							
<i>Value</i> (E)							
Internal consumption/ company transfers: <i>Quantity</i> (F)							
<i>Value</i> ¹ (G)							
Export shipments: ² <i>Quantity</i> (H)							
<i>Value</i> (I)							
End-of-period inventories (<i>quantity</i>) (J)							
Channels of distribution: U.S. commercial shipments to wholesaler/distributors (<i>quantity</i>) (K)							
U.S. commercial shipments to end users (<i>quantity</i>) (L)							

¹ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each period identified above: _____.

² Identify your firm's principal export markets: _____.

II-8. **Imports of aluminum extrusions from all other sources combined ("AOS").**-- Report your firm's imports and your firm's shipments and inventories of aluminum extrusions imported from all other sources combined during the specified periods.

AOS -- ALL IN SCOPE EXTRUSIONS

(list sources: _____)

Quantity (in short tons), value (in \$1,000)							
Item	Calendar year					January-September	
	2011	2012	2013	2014	2015	2015	2016
Beginning-of-period inventories (quantity) (A)							
Imports:							
Quantity (B)							
Value (C)							
U.S. shipments:							
Commercial shipments:							
Quantity (D)							
Value (E)							
Internal consumption/ company transfers:							
Quantity (F)							
Value ¹ (G)							
Export shipments: ²							
Quantity (H)							
Value (I)							
End-of-period inventories (quantity) (J)							
Channels of distribution:							
U.S. commercial shipments to wholesaler/distributors (quantity) (K)							
U.S. commercial shipments to end users (quantity) (L)							

¹ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each period identified above: _____.

² Identify your firm's principal export markets: _____.

For questions II-9 and II-10, if your firm's response differs for particular orders, please indicate and explain the particular effect of imposition and/or revocation of specific orders.

II-9. **Effect of order(s).**--Describe the significance of the existing antidumping and countervailing duty orders covering imports of aluminum extrusions from China in terms of its effect on your firm's imports, U.S. shipments of imports, and inventories. You may wish to compare your firm's operations before and after the imposition of the orders.

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II-10. **Likely effect of revocation of order(s).**--Would your firm anticipate any changes in its imports, U.S. shipments of imports, or inventories of aluminum extrusions in the future if the antidumping and countervailing duty orders on aluminum extrusions from China were to be revoked?

No	Yes	
<input type="checkbox"/>	<input type="checkbox"/>	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections your firm may provide.

II-11. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Emily Burke (202-205-3191, Emily.Burke@usitc.gov).

III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part III.

Name	
Title	
Email	
Telephone	
Fax	

PRICE DATA

III-2. This question requests quarterly quantity and value data for your firm's **commercial shipments to unrelated U.S. customers** since January 1, 2011 of the following products your firm imported from China:

Product 1.—Mullions & Split-Mullions, Anodized Finish, Unworked, Alloy in the 6000 series – Size: 1.75" x 3" to 3" x 8", Weight: 0.6lb/ft to 7lb/ft

Product 2.—Door/Window Frames and Sashes, Painted Finish, Unworked, Alloy in the 6000 series – Size: CCD: 0.75" to 6", Weight: 0.2lb/ft to 2lb/ft

Product 3.—Hand Rails, Painted Finish, Unworked, Alloy in the 6000 series – Size: CCD: 0.5" to 6", Weight: 0.15lb/ft to 8.25 lb/ft

Product 4.—Tub and shower components, Anodized and Bright Dip Finishes, Unworked, Alloys in the 6000 series – Size: CCD: 0.6" to 3", Weight: 0.1 lb/ft to 1 lb/ft

Product 5.—Pipe, Mill Finish, Unworked, Alloy in the 6000 series – Size: 1" to 5" Schedule 40 @ 0.5 lb/ft to 5 lb/ft

Product 6.—Aluminum serpentine tubing, Alloy 1235, in widths of 25 to 28 inches.

Please note that values should be **f.o.b., U.S. point of shipment** and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

During January 2011-September 2016, did your firm import from China and sell to unrelated U.S. customers other than for retail sale any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	Yes. --Please complete the following pricing data table as appropriate.
<input type="checkbox"/>	No. --Skip question III-3.

III-2(b). **Price data (China).**--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm.

China

Report data in actual pounds and actual dollars (not 1,000s).

(Quantity in pounds, value in dollars)						
Period of shipment	Product 1		Product 2		Product 3	
	Quantity	Value	Quantity	Value	Quantity	Value
2011:						
January-March						
April-June						
July-September						
October-December						
2012:						
January-March						
April-June						
July-September						
October-December						
2013:						
January-March						
April-June						
July-September						
October-December						
2014:						
January-March						
April-June						
July-September						
October-December						
2015:						
January-March						
April-June						
July-September						
October-December						
2016:						
January-March						
April-June						
July-September						

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data. If you provided data for the following product(s), please note which alloy(s) are included in your data.

Product 1:

Product 2:

Product 3:

III-2(b). **Price data (China).**--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm.

China

Report data in actual pounds and actual dollars (not 1,000s).

(Quantity in pounds, value in dollars)						
Period of shipment	Product 4		Product 5		Product 6	
	Quantity	Value	Quantity	Value	Quantity	Value
2011:						
January-March						
April-June						
July-September						
October-December						
2012:						
January-March						
April-June						
July-September						
October-December						
2013:						
January-March						
April-June						
July-September						
October-December						
2014:						
January-March						
April-June						
July-September						
October-December						
2015:						
January-March						
April-June						
July-September						
October-December						
2016:						
January-March						
April-June						
July-September						

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data. If you provided data for the following product(s), please note which alloy(s) are included in your data.

Product 4:

Product 5:

Product 6:

III-2(c). **Pricing data methodology.**-- Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

--

III-2(d). **Imports for own use.** --During January 2011-September 2016, did your firm import **product 6** (or any products that were competitive with this product) from China **for your firm's own use**.

<input type="checkbox"/>	Yes. --Please complete the cost table as appropriate.
<input type="checkbox"/>	No. --Skip question III-3.

Report below the quarterly landed duty-paid cost data¹ for pricing product 6² imported from China by your firm for your own use in the United States.

Please note that values should be landed, duty-paid and should not include U.S.-inland transportation costs. Values should reflect the final net amount paid by your firm (i.e., should be net of all returns, discounts, allowances, and rebates).

III-2(d). Imports for own use.--Continued

China

Report data in actual *pounds* and actual dollars (not 1,000s).

(Quantity in <i>pounds</i> , value in <i>dollars</i>)		
Period of shipment	Product 6	
	Quantity	Landed, duty-paid value ¹
2011:		
January-March		
April-June		
July-September		
October-December		
2012:		
January-March		
April-June		
July-September		
October-December		
2013:		
January-March		
April-June		
July-September		
October-December		
2014:		
January-March		
April-June		
July-September		
October-December		
2015:		
January-March		
April-June		
July-September		
October-December		
2016:		
January-March		
April-June		
July-September		

¹ Net values (*i.e.*, landed duty-paid values net all discounts, returns, allowances, rebates, prepaid freight, and the value of returned goods.) Moreover, please note that landed duty-paid values are NOT the sales prices at which your firm sells products you produce from aluminum extrusions on the first page of Part III.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

Product 6:

III-2(e). Additional costs for your direct imports of aluminum extrusions for your firm's production of downstream products.

- (i) If your firm reported direct import costs above (question III-2d), please identify the factors that add to your cost of importing directly since January 1, 2011, estimate the share of the cost of the landed duty paid value, and explain the specific costs associated with each category.

Factors	Estimated share of landed duty paid value	Explanation
Logistical or supply chain costs		
Warehousing costs		
Insurance costs		
Currency conversion costs		
Other		

- (ii) To which source does your firm compare costs in determining your additional transaction costs to directly import?

U.S. importers	U.S. producers	Both	Neither
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- (iii) (a) If your firm reported data above (question III-2d), briefly identify the benefits of directly importing aluminum extrusions instead of purchasing aluminum extrusions from a U.S. importer or from a U.S. producer.

(b) Please provide the estimated margin saved by having directly imported aluminum extrusions instead of purchasing from a U.S. importer. _____ percent of landed duty-paid value.

(c) Explain any variation in the margin saved since January 1, 2011.

- (iv) What is the approximate percentage of the total cost of aluminum extrusions you directly imported from China that is accounted for by U.S. inland transportation or other logistics costs from the port of importation to your distribution network or retail store? _____ percent

- (v) If your firm imported aluminum extrusions from China for your firm's use in the United States, did your firm ALSO purchase aluminum extrusions manufactured in the United States from U.S. producers? If so, please contact Emily Burke (Emily.Burke@usitc.gov) to obtain a purchaser questionnaire.

No Yes

III-3. **Price setting.**—

- (a) How does your firm determine the prices that it charges for sales of aluminum extrusions (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Does your firm use mechanisms to adjust the price charged for sales of aluminum extrusions to purchasers to reflect changes in your primary aluminum costs?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

- (c) Do variations or changes in the LME, SHME, or other aluminum benchmarks affect your overall prices and price lists?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-4. **Discount policy.**-- Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Pricing terms.**--

(a) What are your firm's typical sales terms for aluminum extrusions imported from China?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of imported aluminum extrusions from China usually quoted? (*check one*)

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Contract versus spot.**-- Approximately what share of your firm's sales of aluminum extrusions imported from China in 2015 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
Share of your 2015 sales	%	%	%	%	0.0 %

III-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for aluminum extrusions from China (or check "not applicable" if your firm does not sell on a long-term, short-term, and/or contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-8. **Lead times.**--What is your firm's share of sales of aluminum extrusions imported from China from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of aluminum extrusions?

Source	Share of 2015 sales	Lead time (average number of days)
From inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

III-9. **Shipping information.--**

- (a) What is the approximate percentage of the total delivered cost of aluminum extrusions imported from China that is accounted for by U.S. inland transportation costs? _____ %
- (b) Who generally arranges the transportation to your firm's customers' locations?
 Your firm Purchaser (*check one*)
- (c) When your firm sells aluminum extrusions imported from China, from where is it shipped?
 Point of importation Storage facility (*check one*)
- (d) Indicate the approximate percentage of your sales of aluminum extrusions imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

III-10. **Geographical shipments.--** In which U.S. geographic market area(s) has your firm sold aluminum extrusions imported from China since January 1, 2011 (check all that apply)?

Geographic area	China
Northeast. —CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
Midwest. —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
Southeast. —AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
Central Southwest. —AR, LA, OK, and TX.	<input type="checkbox"/>
Mountains. —AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
Pacific Coast. —CA, OR, and WA.	<input type="checkbox"/>
Other. —All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

III-11. **End uses.**--

(a) List the end uses of the aluminum extrusions that your firm imports from China. For each end-use product, what percentage of the total cost is accounted for by aluminum extrusions and other inputs?

End use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	Aluminum extrusions	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

(b) Have there been any changes in the end uses of aluminum extrusions since January 1, 2011? Do you anticipate any future changes?

Changes in end uses			Explain
	No	Yes	
Changes since January 1, 2011	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

III-12. **Substitutes.--**

(a) Can other products be substituted for aluminum extrusions?

No Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for aluminum extrusions?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

(b) Have there been any changes in the number or types of products that can be substituted for aluminum extrusions since January 1, 2011? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since January 1, 2011	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

III-13. **Availability of supply.**--Has the availability of aluminum extrusions in the U.S. market changed since January 1, 2011? Do you anticipate any future changes?

Availability in the U.S. market	No	Yes	Please explain, noting the countries and reasons for the changes.
Changes since January 1, 2011:			
U.S.-produced product	<input type="checkbox"/>	<input type="checkbox"/>	
Subject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Nonsubject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes:			
U.S.-produced product	<input type="checkbox"/>	<input type="checkbox"/>	
Subject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Nonsubject imports	<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Finishing.**— If your firm sells finished aluminum extrusions, would your customers accept unfinished aluminum extrusions if finished extrusions were not available?

Not Applicable	No (Please explain)	Yes (Please explain)	Other (Please explain)	Explanation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Demand trends.**-- Indicate how demand within the United States and outside of the United States (if known) for aluminum extrusions has changed since January 1, 2011, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Demand since January 1, 2011					
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated future demand					
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-16. **Product changes.**-- Have there been any significant changes in the product range, product mix, or marketing of aluminum extrusions since January 1, 2011? Do you anticipate any future changes?

Changes in product range, product mix, or marketing	No	Yes	Explain
Changes since January 1, 2011	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

III-17. **Conditions of competition.**--

(a) Is the aluminum extrusions market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to aluminum extrusions?

Check all that apply.	Please describe.
<input type="checkbox"/> No	Skip to question III-18.
<input type="checkbox"/> Yes-Business cycles (e.g. seasonal business)	
<input type="checkbox"/> Yes-Other distinctive conditions of competition	

(b) If yes, have there been any changes in the business cycles or conditions of competition for aluminum extrusions since January 1, 2011?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-18. **Supply constraints.**--Has your firm refused, declined, or been unable to supply aluminum extrusions since January 1, 2011 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-19. **Raw materials.**-- Indicate how aluminum extrusions raw material prices have changed since January 1, 2011, and how you expect they will change in the future.

Raw materials prices	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for aluminum extrusions.
Changes since January 1, 2011	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-20. **Price comparisons.**--Please compare market prices of aluminum extrusions in U.S. and non-U.S. markets if known. Provide information as to time periods and regions for any price comparisons.

III-21. **Market studies.**--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss aluminum extrusions supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including China, and (3) the world as a whole. Of particular interest is such data from 2011 to the present and forecasts for the future.

III-22. **Interchangeability**--Are aluminum extrusions produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China	X	
For any country-pair producing aluminum extrusions that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:		

III-23. **Factors other than price.**--Are differences other than price (*e.g.*, quality, availability, transportation network, product range, technical support, etc.) between aluminum extrusions produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

- A = such differences are *always* significant
- F = such differences are *frequently* significant
- S = such differences are *sometimes* significant
- N = such differences are *never* significant
- O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of aluminum extrusions, identify the country-pair and report the advantages or disadvantages imparted by such factors:		

III-24. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART IV.--ALTERNATIVE PRODUCT INFORMATION

IV-1. **Imports of fin evaporator coil systems from China.**--Report your firm's imports and your firm's shipments and inventories of **fin evaporator coil systems (a subset of aluminum extrusions defined on pages 2-4)** imported from China by your firm during the specified periods.

CHINA - FIN EVAPORATOR COIL SYSTEMS

Quantity (in short tons), value (in \$1,000)							
Item	Calendar year					January-September	
	2011	2012	2013	2014	2015	2015	2016
Beginning-of-period inventories (quantity) (A)							
Imports:							
Quantity (B)							
Value (C)							
U.S. shipments:							
Commercial shipments:							
Quantity (D)							
Value (E)							
Internal consumption/ company transfers:							
Quantity (F)							
Value ¹ (G)							
Export shipments:²							
Quantity (H)							
Value (I)							
End-of-period inventories (quantity) (J)							
Channels of distribution:							
U.S. commercial shipments to wholesaler/distributors (quantity) (K)							
U.S. commercial shipments to end users (quantity) (L)							

¹ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each period identified above: _____.

² Identify your firm's principal export markets: _____.

IV-2. **Imports of fin evaporator coil systems from all other sources combined ("AOS").**-- Report your firm's imports and your firm's shipments and inventories of **fin evaporator coil systems (a subset of aluminum extrusions defined on pages 2-4)** imported from all other sources combined by your firm during the specified periods.

AOS - FIN EVAPORATOR COIL SYSTEMS

(list sources: _____)

Quantity (in short tons), value (in \$1,000)							
Item	Calendar year					January-September	
	2011	2012	2013	2014	2015	2015	2016
Beginning-of-period inventories (quantity) (A)							
Imports:							
Quantity (B)							
Value (C)							
U.S. shipments:							
Commercial shipments:							
Quantity (D)							
Value (E)							
Internal consumption/ company transfers:							
Quantity (F)							
Value ¹ (G)							
Export shipments: ²							
Quantity (H)							
Value (I)							
End-of-period inventories (quantity) (J)							
Channels of distribution:							
U.S. commercial shipments to wholesaler/distributors (quantity) (K)							
U.S. commercial shipments to end users (quantity) (L)							

¹ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each period identified above: _____.

² Identify your firm's principal export markets: _____.

IV-3. **Imports of fittings for engine cooling systems from China.**-- Report your firm's imports and your firm's shipments and inventories of **fittings for engine cooling systems (a subset of aluminum extrusions defined on pages 2-4)** imported from China by your firm during the specified periods.

CHINA - FITTINGS FOR ENGINE COOLING SYSTEMS

Quantity (in short tons), value (in \$1,000)							
Item	Calendar year					January-September	
	2011	2012	2013	2014	2015	2015	2016
Beginning-of-period inventories (quantity) (A)							
Imports:							
Quantity (B)							
Value (C)							
U.S. shipments:							
Commercial shipments:							
Quantity (D)							
Value (E)							
Internal consumption/ company transfers:							
Quantity (F)							
Value ¹ (G)							
Export shipments:²							
Quantity (H)							
Value (I)							
End-of-period inventories (quantity) (J)							
Channels of distribution:							
U.S. commercial shipments to wholesaler/distributors (quantity) (K)							
U.S. commercial shipments to end users (quantity) (L)							

¹ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each period identified above: _____.

² Identify your firm's principal export markets: _____.

IV-4. **Imports of fittings for engine cooling systems from all other sources combined ("AOS").**-- Report your firm's imports and your firm's shipments and inventories of **fittings for engine cooling systems (a subset of aluminum extrusions defined on pages 2-4)** imported from all other sources combined by your firm during the specified periods.

AOS - FITTINGS FOR ENGINE COOLING SYSTEMS

(list sources: _____)

Quantity (in short tons), value (in \$1,000)							
Item	Calendar year					January-September	
	2011	2012	2013	2014	2015	2015	2016
Beginning-of-period inventories (quantity) (A)							
Imports:							
Quantity (B)							
Value (C)							
U.S. shipments:							
Commercial shipments:							
Quantity (D)							
Value (E)							
Internal consumption/ company transfers:							
Quantity (F)							
Value ¹ (G)							
Export shipments: ²							
Quantity (H)							
Value (I)							
End-of-period inventories (quantity) (J)							
Channels of distribution:							
U.S. commercial shipments to wholesaler/distributors (quantity) (K)							
U.S. commercial shipments to end users (quantity) (L)							

¹ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each period identified above: _____.

² Identify your firm's principal export markets: _____.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

http://wwwadmin.usitc.gov/investigations/701731/2016/aluminum_extrusions_china/full_review.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> **Pin:** ALUM

- **E-mail.**—E-mail the MS Word questionnaire to Justin.enck@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm does not import this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.