

## U.S. PURCHASERS' QUESTIONNAIRE

### FROZEN WARMWATER SHRIMP FROM BRAZIL, CHINA, INDIA, THAILAND, AND VIETNAM

This questionnaire must be received by the Commission by **December 28, 2016**  
*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty orders concerning frozen warmwater shrimp from Brazil, China, India, Thailand, and Vietnam (Inv. Nos. 731-TA-1063, 1064, 1066-1068 (Second Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)). Further information on this questionnaire can be obtained from Amelia Preece (202-205-3250, [amelia.preece@usitc.gov](mailto:amelia.preece@usitc.gov)).

<b>Name of firm</b> _____
<b>Address</b> _____
<b>City</b> _____ <b>State</b> _____ <b>Zip Code</b> _____
<b>Website</b> _____
Has your firm purchased frozen warmwater shrimp (as defined on the next page) <u>from any source</u> (domestic or foreign) at any time since January 1, 2013?
<input type="checkbox"/> <b>NO</b> (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> <b>YES</b> (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
<b>Return questionnaire via the Commission Drop Box by clicking on the following link:</b> <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: <b>SHRIMP</b> )

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings or reviews conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this investigation or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
**Name of Authorized Official**

\_\_\_\_\_  
**Title of Authorized Official**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Phone:**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Email address**

\_\_\_\_\_  
**Fax**

**PART I.—GENERAL INFORMATION**

**Background.**-- On February 1, 2005, the Department of Commerce (“Commerce”) issued antidumping duty orders on imports of frozen warmwater shrimp from Brazil, China, India, Thailand, and Vietnam (70 FR 5143–5156). Following the five-year reviews by Commerce and the Commission, effective April 29, 2011, Commerce issued a continuation of the antidumping duty orders on imports of frozen warmwater shrimp from Brazil, China, India, Thailand, and Vietnam (76 FR 23972). The Commission is now conducting second reviews pursuant to section 751(c) of the Act, as amended (19 USC 1675(c)), to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make affirmative determinations, the orders will remain in place. If either the Commission or Commerce makes negative determinations, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at [https://www.usitc.gov/investigations/701731/2016/frozen\\_warmwater\\_shrimp\\_brazil\\_china\\_india/second\\_review\\_full.htm](https://www.usitc.gov/investigations/701731/2016/frozen_warmwater_shrimp_brazil_china_india/second_review_full.htm).

**Frozen warmwater shrimp** covered by these investigations include certain frozen warmwater shrimp and prawns whether wild-caught (ocean harvested) or farm-raised (produced by aquaculture), head-on or head-off, shell-on or peeled, tail-on or tail-off,<sup>1</sup> deveined or not deveined, cooked or raw, or otherwise processed in frozen form.

The frozen warmwater shrimp and prawn products included in the *Orders*, regardless of definitions in the Harmonized Tariff Schedule of the United States (HTSUS), are products which are processed from warmwater shrimp and prawns through freezing and which are sold in any count size.

The products described above may be processed from any species of warmwater shrimp and prawns. Warmwater shrimp and prawns are generally classified in, but are not limited to, the *Penaeidae* family. Some examples of the farmed and wild-caught warmwater species include, but are not limited to, whiteleg shrimp (*Penaeus vannamei*), banana prawn (*Penaeus merguensis*), fleshy prawn (*Penaeus chinensis*), giant river prawn (*Macrobrachium rosenbergii*), giant tiger prawn (*Penaeus monodon*), redspotted shrimp (*Penaeus brasiliensis*), southern brown shrimp (*Penaeus subtilis*), southern pink shrimp (*Penaeus notialis*), southern rough shrimp (*Trachypenaeus curvirostris*), southern white shrimp (*Penaeus schmitti*), blue shrimp (*Penaeus stylirostris*), western white shrimp (*Penaeus occidentalis*), and Indian white prawn (*Penaeus indicus*).

Frozen shrimp and prawns that are packed with marinade, spices or sauce are included in the scope of the *Orders*. In addition, food preparations, which are not “prepared meals,” that contain more than 20 percent by weight of shrimp or prawn are also included in the scope of the orders. Excluded from the *Orders* are: (1) Breaded shrimp and prawns (HTSUS subheading 1605.20.10.20); (2) shrimp and prawns generally classified in the *Pandalidae* family and commonly referred to as coldwater shrimp, in any state of processing; (3) fresh shrimp and prawns whether shell-on or peeled (HTSUS subheadings 0306.23.00.20 and 0306.23.00.40); (4) shrimp and prawns in prepared meals (HTSUS subheading 1605.20.05.10); (5) dried shrimp and prawns; (6) canned warmwater shrimp and prawns (HTSUS subheading 1605.20.10.40); and (7) certain battered shrimp. Battered shrimp is a shrimp-based product: (1) That is produced from fresh (or thawed-from-frozen) and peeled shrimp; (2) to which a “dusting” layer of rice or wheat flour of at least 95 percent purity has been applied; (3) with the entire surface of the shrimp flesh thoroughly and evenly coated with the flour; (4) with the non-shrimp content of the end product constituting between four and 10 percent of the product’s total weight after being dusted, but prior to being frozen; and (5) that is subjected to individually quick frozen (“IQF”) freezing immediately after application of the dusting layer. When dusted in accordance with the definition of

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<sup>1</sup> “Tails” in this context means the tail fan, which includes the *telson* and the *uropods*.

dusting above, the battered shrimp product is also coated with a wet viscous layer containing egg and/or milk, and par-fried.

The products covered by the *Orders* are currently classified under the following HTSUS subheadings: 0306.17.00.03, 0306.17.00.06, 0306.17.00.09, 0306.17.00.12, 0306.17.00.15, 0306.17.00.18, 0306.17.00.21, 0306.17.00.24, 0306.17.00.27, 0306.17.00.40, 1605.21.10.30, and 1605.29.10.10. These HTSUS subheadings are provided for convenience and for customs purposes only and are not dispositive, but rather the written description of the scope of the orders is dispositive.

***Purchaser.***--Any firm engaged, either directly or through a parent company or subsidiary, in purchasing frozen warmwater shrimp from another firm that processes, imports, or otherwise distributes frozen warmwater shrimp.

***Reporting of information.***-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire in connection with this proceeding (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

***Confidentiality.***--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

***Verification.***--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all of your files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

***Release of information.***--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire, if different from that listed on the cover page. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

**"Establishment"**--Each facility of a firm involved in the purchase of frozen warmwater shrimp, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No       Yes--List the following information.

Firm name	Address	Extent of ownership (percent)

I-4. **Related SUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that import frozen warmwater shrimp from Brazil, China, India, Thailand, and/or Vietnam into the United States or that export frozen warmwater shrimp from Brazil, China, India, Thailand, and/or Vietnam to the United States?

No             Yes--List the following information.

Firm name	Address	Affiliation

I-5. **Related NONSUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that import frozen warmwater shrimp from countries other than Brazil, China, India, Thailand, and/or Vietnam into the United States or that export frozen warmwater shrimp from countries other than Brazil, China, India, Thailand, and/or Vietnam to the United States?

No             Yes--List the following information.

Firm name and country	Address	Affiliation

I-6. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that produce frozen warmwater shrimp?

No             Yes--List the following information.

Firm name and country	Address	Affiliation

I-7. **Business plan.**--Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected market conditions for frozen warmwater shrimp?

No	Yes	If yes, please provide these documents. If you are not providing the requested documents, please explain why not.
<input type="checkbox"/>	<input type="checkbox"/>	

**PART II.--PURCHASES**

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in this questionnaire.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Purchases.**--

(a) Please provide your firm's total U.S. purchases of frozen warmwater shrimp in 2015. (Do not include imports for which your firm was the importer of record; such imports should be reported in your U.S. importer's questionnaire.)

Quantity ( <i>pounds</i> )	
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II-2. **Purchases.—Continued**

(b) Provide the percentage of the quantity of your firm's purchases of frozen warmwater shrimp in 2015 that were produced in each of the specified countries.

<b>Frozen warmwater shrimp produced in:</b>	<b>Share of quantity of 2015 purchases</b>
United States	%
Brazil	%
China (subject) <sup>1</sup>	%
India (subject) <sup>2</sup>	%
Thailand (subject) <sup>3</sup>	%
Vietnam (subject) <sup>4</sup>	%
All other sources including nonsubject firms in subject countries and other countries: <sup>5</sup>	%
<b>Total (should sum to 100.0%)</b>	<b>0.0 %</b>
<p><sup>1</sup> Subject imports from China <b>exclude</b> nonsubject imports from: Allied Pacific Group; Shantou Red Garden Foodstuff Co., Ltd.; Yelin Enterprise Co. Hong Kong; Zhanjiang Guolian Aquatic Products Co., Ltd.; and Zhanjiang Regal Integrated Marine Resources Co., Ltd.</p> <p><sup>2</sup> Subject imports from India <b>exclude</b> nonsubject imports from: Devi Sea Foods Limited</p> <p><sup>3</sup> Subject imports from Thailand <b>exclude</b> nonsubject imports from: Thai I-Mei Frozen Foods Co., Ltd.; the Rubicon Group, which includes Andaman Seafood Co., Ltd., Chanthaburi Frozen Food Co., Ltd., Chanthaburi Seafoods Co., Ltd., Intersia Foods Co., Ltd., Phattana Frozen Food Co., Ltd., Phatthana Seafood Co., Ltd., S.C.C. Frozen Seafood Co., Ltd., Sea Wealth Frozen Food Co., Ltd., Thailand Fishery Cold Storage Public Co., Ltd., Thai International Seafoods Co., Ltd., and Wales Co. Universe Limited; and Marine Gold Products Limited</p> <p><sup>4</sup> Subject imports from Vietnam <b>exclude</b> nonsubject imports from: Minh Phu Group, which includes Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd.), Minh Phu Seafood Corp., Minh Phu Seafood Corporation, Minh Phu Seafood Pte, Minh Qui Seafood, Minh Qui Seafood Co., Ltd., Minh Qui, Minh Phat Seafood Co., Ltd., Minh Phat, Minh Phat Seafood, Minh Phat Seafood Corp., Minh Phu Hau Giang Seafood Joint Stock Company, Minh Phu Hau Giang Seafood Co., Ltd., Minh Phu Hau Giang Seafood Corp., Minh Phu Hau Giang Seafood Processing Co., Ltd</p> <p><sup>5</sup> Please identify these other countries or nonsubject firms:</p>	

II-3. **Types of shrimp by source.**—Please check the sources from which you purchase shrimp that have been processed in different ways.

<b>The same product will typically be included in multiple sections of this table</b>							
<b>Type of shrimp</b>	United States	Brazil	China (subject) <sup>1</sup>	India (subject) <sup>2</sup>	Thailand (Subject) <sup>3</sup>	Vietnam (subject) <sup>4</sup>	Other (including nonsubject firms in subject countries)
Head-on	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Headless, shell on	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Head-on	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Raw	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cooked	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Block frozen	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Individually quick frozen	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p><sup>1</sup> Subject imports from China <b>exclude</b> nonsubject imports from: Allied Pacific Group; Shantou Red Garden Foodstuff Co., Ltd.; Yelin Enterprise Co. Hong Kong; Zhanjiang Guolian Aquatic Products Co., Ltd.; and Zhanjiang Regal Integrated Marine Resources Co., Ltd.</p> <p><sup>2</sup> Subject imports from India <b>exclude</b> nonsubject imports from: Devi Sea Foods Limited.</p> <p><sup>3</sup> Subject imports from Thailand <b>exclude</b> nonsubject imports from: Thai I-Mei Frozen Foods Co., Ltd.; the Rubicon Group, which includes Andaman Seafood Co., Ltd., Chanthaburi Frozen Food Co., Ltd., Chanthaburi Seafoods Co., Ltd., Intersia Foods Co., Ltd., Phattana Frozen Food Co., Ltd., Phatthana Seafood Co., Ltd., S.C.C. Frozen Seafood Co., Ltd., Sea Wealth Frozen Food Co., Ltd., Thailand Fishery Cold Storage Public Co., Ltd., Thai International Seafoods Co., Ltd., and Wales Co. Universe Limited; and Marine Gold Products Limited.</p> <p><sup>4</sup> Subject imports from Vietnam <b>exclude</b> nonsubject imports from: Minh Phu Group, which includes Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd.), Minh Phu Seafood Corp., Minh Phu Seafood Corporation, Minh Phu Seafood Pte, Minh Qui Seafood, Minh Qui Seafood Co., Ltd., Minh Qui, Minh Phat Seafood Co., Ltd., Minh Phat, Minh Phat Seafood, Minh Phat Seafood Corp., Minh Phu Hau Giang Seafood Joint Stock Company, Minh Phu Hau Giang Seafood Co., Ltd., Minh Phu Hau Giang Seafood Corp., Minh Phu Hau Giang Seafood Processing Co., Ltd.</p>							



II-4. **Changes in purchasing patterns.**--Please indicate how the shares of your firm's purchases of frozen warmwater shrimp from different sources have changed since January 1, 2013.

Source of purchases	Did not purchase	Decreased	Increased	Constant	Fluctuated	Explanation for trend
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Brazil	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
China (subject) <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
India (subject) <sup>2</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Thailand (subject) <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Vietnam (subject) <sup>4</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
All other countries (including nonsubject firms in subject countries)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Sources unknown	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> Subject imports from China **exclude** nonsubject imports from: Allied Pacific Group; Shantou Red Garden Foodstuff Co., Ltd.; Yelin Enterprise Co. Hong Kong; Zhanjiang Guolian Aquatic Products Co., Ltd.; and Zhanjiang Regal Integrated Marine Resources Co., Ltd.

<sup>2</sup> Subject imports from India **exclude** nonsubject imports from: Devi Sea Foods Limited.

<sup>3</sup> Subject imports from Thailand **exclude** nonsubject imports from: Thai I-Mei Frozen Foods Co., Ltd.; the Rubicon Group, which includes Andaman Seafood Co., Ltd., Chanthaburi Frozen Food Co., Ltd., Chanthaburi Seafoods Co., Ltd., Intersia Foods Co., Ltd., Phattana Frozen Food Co., Ltd., Phatthana Seafood Co., Ltd., S.C.C. Frozen Seafood Co., Ltd., Sea Wealth Frozen Food Co., Ltd., Thailand Fishery Cold Storage Public Co., Ltd., Thai International Seafoods Co., Ltd., and Wales Co. Universe Limited; and Marine Gold Products Limited.

<sup>4</sup> Subject imports from Vietnam **exclude** nonsubject imports from: Minh Phu Group, which includes Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd.), Minh Phu Seafood Corp., Minh Phu Seafood Corporation, Minh Phu Seafood Pte, Minh Qui Seafood, Minh Qui Seafood Co., Ltd., Minh Qui, Minh Phat Seafood Co., Ltd., Minh Phat, Minh Phat Seafood, Minh Phat Seafood Corp., Minh Phu Hau Giang Seafood Joint Stock Company, Minh Phu Hau Giang Seafood Co., Ltd., Minh Phu Hau Giang Seafood Corp., Minh Phu Hau Giang Seafood Processing Co., Ltd.

**II-5. Purchases from one country only.—**

(a) If your firm has purchased frozen warmwater shrimp from only one country, please explain the reasons for doing so.

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(b) If your firm has not purchased domestic origin frozen warmwater shrimp in 2015 or has purchased limited quantities of domestic origin frozen warmwater shrimp in 2015 please explain your reason for doing so.

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**II-6. Supplier identification.-- Please list your firm's **FIVE** largest suppliers for frozen warmwater shrimp since January 1, 2013. Also, provide the share of the quantity of your firm's total purchases of frozen warmwater shrimp that each of these suppliers accounted for in 2015.**

No.	Supplier's name	City and state	Share of quantity of 2015 purchases
1			%
2			%
3			%
4			%
5			%

**PART III.-- MARKET CHARACTERISTICS AND PURCHASING PRACTICES**

III-1. **Firm type.**--Which of the following best describes your firm as a purchaser of frozen warmwater shrimp (check all that apply)?

End user		Retailer	Foodservice distributors	Other Distributor /wholesaler	Other	Describe other
Food processor	Restaurant					
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

*If your firm is a distributor/wholesaler of frozen warmwater shrimp, please answer questions III-2 and III-3.*

III-2. **Competition for sales.**--Do you compete for sales to your customers with the U.S. processors or importers from which you purchase frozen warmwater shrimp?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-3. **Types of customers.**--What are the major types of consumers to which you sell frozen warmwater shrimp?

*If your firm is an end user or retailer of frozen warmwater shrimp, please answer question III-4.*

III-4. **End use demand.**—Has your firm's demand for frozen warmwater shrimp changed since 2013?

No	Yes	Explain
<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Substitutes.**—

(a) Can other products be substituted for frozen warmwater shrimp?

No                       Yes--Please fill out the table.

	Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for frozen warmwater shrimp?		
			No	Yes	Explanation
1.			<input type="checkbox"/>	<input type="checkbox"/>	
2.			<input type="checkbox"/>	<input type="checkbox"/>	
3.			<input type="checkbox"/>	<input type="checkbox"/>	

(b) Have there been any changes in the number or types of products that can be substituted for frozen warmwater shrimp since January 1, 2013? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since January 1, 2013	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Demand trends.**-- Indicate how demand within the United States and outside of the United States (if known) for frozen warmwater shrimp has changed since January 1, 2013, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
<b>Demand since January 1, 2013</b>					
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Anticipated future demand</b>					
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-7. **Market studies.**--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss frozen warmwater shrimp supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including Brazil, China, India, Thailand, and/or Vietnam, and (3) the world as a whole. Of particular interest is such data from 2013 to the present and forecasts for the future.

III-8. **Importance of purchasing domestic product.**--Please fill out the table below, estimating the percentage of your firm's **U.S.** 2015 purchases of frozen warmwater shrimp that required frozen warmwater shrimp produced in the United States.

	<b>Estimated percentage of your firm's total 2015 purchases of frozen warmwater shrimp</b>
<b>Purchases that did not require domestic product</b>	%
<b>Purchases that were required by law or regulation to be domestic product</b> (e.g., government purchases under "Buy American" provisions)	%
<b>Purchases that were not required by law or regulation, but were required by your customers to be domestic product</b>	%
<b>Purchases that were required to be domestic product for other reasons</b> (explain: )	%
<b>Total</b> (should sum to 100.0%)	0.0 %

III-9. **Conditions of competition.**--

(a) Is the frozen warmwater shrimp market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to frozen warmwater shrimp?

<b>Check all that apply.</b>	<b>Please describe.</b>
<input type="checkbox"/> <b>No</b>	Skip to question III-10.
<input type="checkbox"/> <b>Yes-Business cycles (e.g. seasonal business)</b>	
<input type="checkbox"/> <b>Yes-Other distinctive conditions of competition</b>	

(b) If yes, have there been any changes in the business cycles or conditions of competition for frozen warmwater shrimp since January 1, 2013?

<b>No</b>	<b>Yes</b>	<b>If yes, describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

(c) Does the Gulf oil spill of 2010 affect your customers' current perception of shrimp or the amount customers currently wish to purchase or the price they are currently willing to pay for any type of shrimp?

<b>No</b>	<b>Yes</b>	<b>If yes, describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

III-10. **Decisions based on producer and country-of-origin.**--How often does your firm, and if you know, do your customers, make purchasing decisions involving frozen warmwater shrimp based on its producer or country of origin?

	Always	Usually	Sometimes	Never	If at least sometimes, explain.
<b>Decision based on producer</b>					
Your firm	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Your customers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Decision based on country of origin</b>					
Your firm	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Your customers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-11. **Availability of supply.**--Has the availability of frozen warmwater shrimp in the U.S. market changed since January 1, 2013? Do you anticipate any future changes?

Availability in the U.S. market	No	Yes	Please explain, noting the countries and reasons for the changes.
<b>Changes since January 1, 2013:</b>			
U.S.-produced product	<input type="checkbox"/>	<input type="checkbox"/>	
Subject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Nonsubject imports	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Anticipated changes:</b>			
U.S.-produced product	<input type="checkbox"/>	<input type="checkbox"/>	
Subject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Nonsubject imports	<input type="checkbox"/>	<input type="checkbox"/>	

III-12. **Supply constraints.**--Has any firm refused, declined, or been unable to supply your firm with frozen warmwater shrimp since January 1, 2013 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

**III-13. Purchasing frequency.--**

(a) How frequently do you make purchases of frozen warmwater shrimp (check one)?

Daily	Weekly	Monthly	Quarterly	Annually	Other	<i>If other, specify</i>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) Do you expect this purchasing frequency to change in the next two years?

No	Yes	If yes, explain.
<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Number of suppliers contacted.**--How many suppliers do you generally contact before making a purchase? Between \_\_\_\_ and \_\_\_\_ firms.

III-15. **Supplier negotiations.**--Do your purchases of frozen warmwater shrimp usually involve negotiations between supplier and purchaser?

No	Yes	If yes, explain the factors you generally negotiate and note whether your firm quotes competing prices during negotiations.
<input type="checkbox"/>	<input type="checkbox"/>	

III-16. **Change in suppliers.**-- Has your firm changed suppliers since January 1, 2013?

No	Yes	If yes, please list the supplier(s), whether the firm was added or dropped, and the reasons for the change.
<input type="checkbox"/>	<input type="checkbox"/>	

III-17. **New suppliers.**--

(a) Are you aware of any new suppliers, either foreign or domestic, that have entered the market since January 1, 2013?

No	Yes	If yes, please identify the firms.
<input type="checkbox"/>	<input type="checkbox"/>	

(b) Do you expect new frozen warmwater shrimp suppliers to enter the U.S. market?

No	Yes	If yes, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	



**III-18. Supplier qualification.--**

(a) Do you require your suppliers to be or to become certified or qualified to sell frozen warmwater shrimp to your firm?

If yes, provide the following information.

- Percent of 2015 purchases that required certification.
- The number of days to qualify a new supplier.
- A general description of the certification or qualification process. Also, a brief description of the factors that you consider when qualifying a new supplier (*e.g.*, quality of product, reliability of supplier, etc.).

No	Yes	Percent of 2015 purchases that required certification	Number of days	Process and factors
<input type="checkbox"/>	<input type="checkbox"/>			

(b) Do you have different standards of certification or qualification for wild-caught and farmed frozen warmwater shrimp? Report any difference in standards and reason for the differences.

- No                       Yes--Please fill out the table.

	<b>If yes, please report differences between the certification/qualification for wild-caught and farmed shrimp and the reasons standards differ.</b>
Standard for wild-caught	
Standard for farmed	
Reason standards differ	

III-19. **Failure to certify.**--Since January 1, 2013, have any domestic or foreign producers failed in their attempts to certify or qualify their frozen warmwater shrimp with your firm or have any producers lost their approved status?

<b>No</b>	<b>Yes</b>	<b>If yes, please identify these firms, the countries where they are located, and the reasons why they failed the certification/qualification.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

III-20. **Rejected shipments.**--Since January 1, 2013, have you rejected any shipments due to quality defects, quality concerns, or failure to meet your specifications?

No       Yes--Please fill out the table.

	Number of pounds rejected	Reasons for the rejections
Domestic		
Imported		

III-21. **Shipments requiring price adjustments.**--Since January 1, 2013, have you required price adjustments for any shipments due to quality defects, quality concerns, or failure to meet your specifications?

No       Yes--Please fill out the table.

	Number of pounds with price adjustment	Reasons price adjustment were requested
Domestic		
Imported		

III-22. **Major purchasing factors.**-- Please list, in order of their importance, the three major factors your firm considers in deciding from whom to purchase frozen warmwater shrimp (examples include availability, extension of credit, contracts, price, quality, range of supplier's product line, traditional supplier, etc.).

1.	
2.	
3.	
Please list any other factors that are very important in your purchase decisions:	

**III-23. Purchase of wild-caught vs. farm-raised.—**

(a) Please indicate the percentage of wild-caught and farm-raised frozen warmwater shrimp purchased by your firm in 2015

<b>Type of shrimp purchased in 2015</b>	<b>Percent</b>	
Wild-caught (ocean harvested)		%
Farm-raised (produced by aquaculture)		%
<b>Total</b> (should sum to 100.0 percent)	0.0	

(b) Since January 1, 2013, has your firm purchased (check all that apply)?

<b>Wild-caught shrimp</b>		<b>Farm-raised shrimp</b>	
<b>Domestic</b>	<b>Imported</b>	<b>Domestic</b>	<b>Imported</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(c) Which does your firm prefer?

<b>Wild-caught</b>	<b>Farm-raised</b>	<b>No preference</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(d) If your firm purchases only wild-caught or only farm-raised frozen warmwater shrimp, please explain why. If you purchase both wild-caught and farm-raised frozen warmwater shrimp please explain why you purchase each type.

III-24. **Purchasing factors.**--Please rate the importance of the following factors in your firm's purchasing decisions for frozen warmwater shrimp.

Factor	Very important	Somewhat important	Not important
Availability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consistency from one shipment to another	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delivery terms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delivery time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discounts offered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Extension of credit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Minimum quantity requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Packaging	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Price	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product consistency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product range	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proper cutting, handling, and packing techniques	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality exceeds industry standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality meets industry standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reliability of supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taste/flavor profile	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Technical support/service	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
U.S. transportation costs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-25. **Quality characteristics.**--What characteristics does your firm consider when determining the quality of frozen warmwater shrimp?

III-26. **Frequency of decisions based on price.**--How often does your firm purchase the frozen warmwater shrimp that is offered at the lowest price?

Always	Usually	Sometimes	Never
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-27. **Price leaders.**--A price leader is defined as (1) one or more firms that initiate a price change, either upward or downward, that is followed by other firms, or (2) one or more firms that have a significant impact on prices. *A price leader is not necessarily the lowest-priced supplier.*

Please list the names of any firms you considered price leaders in the frozen warmwater shrimp market since January 1, 2013.

Firm(s)	Describe how the firm(s) exhibited price leadership

III-28. **Changes in U.S. industry.**--

(a) Please identify and discuss any improvements/changes in the U.S. frozen warmwater shrimp industry since January 1, 2013 and explain the factors, including the order(s) under review, that were responsible for each improvement/change.

--

(b) Please discuss any improvements/changes that you anticipate in the future in the U.S. frozen warmwater shrimp industry. Identify the time period and causes for these improvements/changes.

--

III-29. **Effect of revocation.**--What do you think will be the likely effects of any revocation of the antidumping duty order for imports of frozen warmwater shrimp from Brazil, China, India, Thailand, and/or Vietnam? As appropriate, please discuss any potential effects of revocation of the antidumping duty order on (1) the future activities of your firm and (2) the U.S. market as a whole. Please note the future time period to which you are referring.

Activities of your firm	
Entire U.S. market	

**PART IV.-- PRODUCT COMPARISONS**

IV-1. **Country knowledge.**--Please indicate the countries of origin for frozen warmwater shrimp for which your firm has actual marketing/pricing knowledge.

United States	Brazil	China Subject	India Subject	Thailand Subject	Vietnam Subject	All nonsubject sources	Other countries (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Subject imports from China **exclude** nonsubject imports from: Allied Pacific Group; Shantou Red Garden Foodstuff Co., Ltd.; Yelin Enterprise Co. Hong Kong; Zhanjiang Guolian Aquatic Products Co., Ltd.; and Zhanjiang Regal Integrated Marine Resources Co., Ltd.

Subject imports from India **exclude** nonsubject imports from: Devi Sea Foods Limited

Subject imports from Thailand **exclude** nonsubject imports from: Thai I-Mei Frozen Foods Co., Ltd.; the Rubicon Group, which includes Andaman Seafood Co., Ltd., Chanthaburi Frozen Food Co., Ltd., Chanthaburi Seafoods Co., Ltd., Intersia Foods Co., Ltd., Phattana Frozen Food Co., Ltd., Phatthana Seafood Co., Ltd., S.C.C. Frozen Seafood Co., Ltd., Sea Wealth Frozen Food Co., Ltd., Thailand Fishery Cold Storage Public Co., Ltd., Thai International Seafoods Co., Ltd., and Wales Co. Universe Limited; and Marine Gold Products Limited

Subject imports from Vietnam **exclude** nonsubject imports from: Minh Phu Group, which includes Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd.), Minh Phu Seafood Corp., Minh Phu Seafood Corporation, Minh Phu Seafood Pte, Minh Qui Seafood, Minh Qui Seafood Co., Ltd., Minh Qui, Minh Phat Seafood Co., Ltd., Minh Phat, Minh Phat Seafood, Minh Phat Seafood Corp., Minh Phu Hau Giang Seafood Joint Stock Company, Minh Phu Hau Giang Seafood Co., Ltd., Minh Phu Hau Giang Seafood Corp., Minh Phu Hau Giang Seafood Processing Co., Ltd

**All nonsubject sources.** Nonsubject sources include both nonsubject firms in subject countries and all sources in countries not subject to these reviews.

IV-2. **Interchangeability.**--Is frozen warmwater shrimp produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	Brazil	China Subject <sup>1</sup>	India Subject <sup>2</sup>	Thailand Subject <sup>3</sup>	Vietnam Subject <sup>4</sup>	All nonsubject sources <sup>5</sup>
United States						
Brazil	X					
China subject	X	X				
India subject	X	X	X			
Thailand subject	X	X	X	X		
Vietnam subject	X	X	X	X	X	

For any country-pair producing frozen warmwater shrimp which is *sometimes* or *never* interchangeable, please identify the country-pair and explain the factors that limit or preclude interchangeable use:

<sup>1</sup> Subject imports from China **exclude** nonsubject imports from: Allied Pacific Group; Shantou Red Garden Foodstuff Co., Ltd.; Yelin Enterprise Co. Hong Kong; Zhanjiang Guolian Aquatic Products Co., Ltd.; and Zhanjiang Regal Integrated Marine Resources Co., Ltd.

<sup>2</sup> Subject imports from India **exclude** nonsubject imports from: Devi Sea Foods Limited

<sup>3</sup> Subject imports from Thailand **exclude** nonsubject imports from: Thai I-Mei Frozen Foods Co., Ltd.; the Rubicon Group, which includes Andaman Seafood Co., Ltd., Chanthaburi Frozen Food Co., Ltd., Chanthaburi Seafoods Co., Ltd., Intersia Foods Co., Ltd., Phattana Frozen Food Co., Ltd., Phatthana Seafood Co., Ltd., S.C.C. Frozen Seafood Co., Ltd., Sea Wealth Frozen Food Co., Ltd., Thailand Fishery Cold Storage Public Co., Ltd., Thai International Seafoods Co., Ltd., and Wales Co. Universe Limited; and Marine Gold Products Limited

<sup>4</sup> Subject imports from Vietnam **exclude** nonsubject imports from: Minh Phu Group, which includes Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd.), Minh Phu Seafood Corp., Minh Phu Seafood Corporation, Minh Phu Seafood Pte, Minh Qui Seafood, Minh Qui Seafood Co., Ltd., Minh Qui, Minh Phat Seafood Co., Ltd., Minh Phat, Minh Phat Seafood, Minh Phat Seafood Corp., Minh Phu Hau Giang Seafood Joint Stock Company, Minh Phu Hau Giang Seafood Co., Ltd., Minh Phu Hau Giang Seafood Corp., Minh Phu Hau Giang Seafood Processing Co., Ltd.

<sup>5</sup> Nonsubject sources include both nonsubject firms in subject countries and all sources in countries not subject to these reviews.

IV-3. **Factors other than price.**--Are differences other than price (*e.g.*, quality, availability, transportation network, product range, technical support, *etc.*) between frozen warmwater shrimp produced in the United States and in other countries a significant factor in your firm's purchases of the products?

Please indicate A, F, S, N, or 0 in the table below:

- A = such differences are *always* significant
- F = such differences are *frequently* significant
- S = such differences are *sometimes* significant
- N = such differences are *never* significant
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	Brazil	China Subject <sup>1</sup>	India Subject <sup>2</sup>	Thailand Subject <sup>3</sup>	Vietnam Subject <sup>4</sup>	All nonsubject sources <sup>5</sup>
United States						
Brazil						
China subject						
India subject						
Thailand subject						
Vietnam subject						

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's purchases of frozen warmwater shrimp, identify the country-pair and report the advantages or disadvantages imparted by such factors:

<sup>1</sup> Subject imports from China **exclude** nonsubject imports from: Allied Pacific Group; Shantou Red Garden Foodstuff Co., Ltd.; Yelin Enterprise Co. Hong Kong; Zhanjiang Guolian Aquatic Products Co., Ltd.; and Zhanjiang Regal Integrated Marine Resources Co., Ltd.

<sup>2</sup> Subject imports from India **exclude** nonsubject imports from: Devi Sea Foods Limited

<sup>3</sup> Subject imports from Thailand **exclude** nonsubject imports from: Thai I-Mei Frozen Foods Co., Ltd.; the Rubicon Group, which includes Andaman Seafood Co., Ltd., Chanthaburi Frozen Food Co., Ltd., Chanthaburi Seafoods Co., Ltd., Intersia Foods Co., Ltd., Phattana Frozen Food Co., Ltd., Phatthana Seafood Co., Ltd., S.C.C. Frozen Seafood Co., Ltd., Sea Wealth Frozen Food Co., Ltd., Thailand Fishery Cold Storage Public Co., Ltd., Thai International Seafoods Co., Ltd., and Wales Co. Universe Limited; and Marine Gold Products Limited

<sup>4</sup> Subject imports from Vietnam **exclude** nonsubject imports from: Minh Phu Group, which includes Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd.), Minh Phu Seafood Corp., Minh Phu Seafood Corporation, Minh Phu Seafood Pte, Minh Qui Seafood, Minh Qui Seafood Co., Ltd., Minh Qui, Minh Phat Seafood Co., Ltd., Minh Phat, Minh Phat Seafood, Minh Phat Seafood Corp., Minh Phu Hau Giang Seafood Joint Stock Company, Minh Phu Hau Giang Seafood Co., Ltd., Minh Phu Hau Giang Seafood Corp., Minh Phu Hau Giang Seafood Processing Co., Ltd.

<sup>5</sup> Nonsubject sources include both nonsubject firms in subject countries and all sources in countries not subject to these reviews.



IV-4. **Country preferences.**--Do you or your customers ever specifically order frozen warmwater shrimp from one country in particular over other possible sources of supply?

No	Yes	If yes, identify the countries and explain.
<input type="checkbox"/>	<input type="checkbox"/>	

IV-5. **Availability of merchandise.**--Are certain grades/types/sizes of frozen warmwater shrimp only available from certain country sources?

No	Yes	If yes, please identify the countries and the grade/type/size.
<input type="checkbox"/>	<input type="checkbox"/>	

IV-6. **Choice of product not based on price.**--If you purchased frozen warmwater shrimp from one source although a comparable product was available from another country source at a lower price, please explain your reasons for doing so (please specify by country).

--

IV-7. **Factor country comparisons.**--For the factors listed below, please rate how frozen warmwater shrimp produced in each country you identified in your response to the first question in Part IV compares with frozen warmwater shrimp produced in each of the other countries you identified.

***If you are unfamiliar with the product from a particular country, please leave the boxes for those country comparisons blank.***

Factor	Product from <u>United States</u> compared to product from <u>Brazil</u>			Product from <u>United States</u> compared to product from <u>China subject</u>			Product from <u>United States</u> compared to product from <u>India subject</u>		
	Superior	Comparable	Inferior	Superior	Comparable	Inferior	Superior	Comparable	Inferior
Availability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consistency from one shipment to another	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delivery terms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delivery time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discounts offered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Extension of credit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Minimum quantity requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Packaging	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Price <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product consistency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product range	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proper cutting, handling, and packing techniques	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality exceeds industry standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality meets industry standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reliability of supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taste/flavor profile	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Technical support/service	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
U.S. transportation costs <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<sup>1</sup> A rating of superior on price and U.S. transportation costs indicates that the first country generally has lower prices/U.S. transportation costs than the second country.									

**IV-7. Factor country comparisons.--Continued**

***If you are unfamiliar with the product from a particular country, please leave the boxes for those country comparisons blank.***

Factor	Product from <u>United States</u> compared to product from <u>Thailand subject</u>			Product from <u>United States</u> compared to product from <u>Vietnam subject</u>			Product from <u>United States</u> compared to product from <u>nonsubject countries</u>		
	Superior	Comparable	Inferior	Superior	Comparable	Inferior	Superior	Comparable	Inferior
Availability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consistency from one shipment to another	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delivery terms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delivery time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discounts offered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Extension of credit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Minimum quantity requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Packaging	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Price <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product consistency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product range	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proper cutting, handling, and packing techniques	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality exceeds industry standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality meets industry standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reliability of supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taste/flavor profile	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Technical support/service	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
U.S. transportation costs <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<sup>1</sup> A rating of superior on price and U.S. transportation costs indicates that the first country generally has lower prices/U.S. transportation costs than the second country.

IV-8. **Minimum quality.**--How often does frozen warmwater shrimp from the following countries meet minimum quality specifications for your uses or your customers' uses?

Source	Always	Usually	Sometimes	Rarely or never	Don't know
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Brazil	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
China	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Thailand	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vietnam	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ecuador	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indonesia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

IV-9. **Change in price.**--

(a) Since January 1, 2013, has there been a change in the price of frozen warmwater shrimp? If so, has the price of U.S.-produced frozen warmwater shrimp changed more or less than the price of imported frozen warmwater shrimp from Brazil, China, India, Thailand, and/or Vietnam?

<input type="checkbox"/>	No change in price.
<input type="checkbox"/>	Prices have changed by the same amount.
<input type="checkbox"/>	Price of U.S.-produced frozen warmwater shrimp has changed relative to the price of frozen warmwater shrimp from Brazil.
<input type="checkbox"/>	Price of U.S.-produced frozen warmwater shrimp has changed relative to the price of frozen warmwater shrimp from China.
<input type="checkbox"/>	Price of U.S.-produced frozen warmwater shrimp has changed relative to the price of frozen warmwater shrimp from India.
<input type="checkbox"/>	Price of U.S.-produced frozen warmwater shrimp has changed relative to the price of frozen warmwater shrimp from Thailand.
<input type="checkbox"/>	Price of U.S.-produced frozen warmwater shrimp has changed relative to the price of frozen warmwater shrimp from Vietnam.

IV-9. **Change in price.**—*Continued*

(b) If the price of U.S.-produced frozen warmwater shrimp has changed relative to the price of frozen warmwater shrimp from Brazil, China, India, Thailand, and/or Vietnam, the price of U.S.-produced frozen warmwater shrimp is now relatively

<b>Higher</b>	<b>Lower</b>	
<input type="checkbox"/>	<input type="checkbox"/>	than those from Brazil.
<input type="checkbox"/>	<input type="checkbox"/>	than those from China.
<input type="checkbox"/>	<input type="checkbox"/>	than those from India.
<input type="checkbox"/>	<input type="checkbox"/>	than those from Thailand.
<input type="checkbox"/>	<input type="checkbox"/>	than those from Vietnam.

IV-10. **Other explanations.**--If your firm would like to further explain a response to a question that did not provide a narrative response box, please note the question number and the explanation in the space provided below.

## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[https://www.usitc.gov/investigations/701731/2016/frozen\\_warmwater\\_shrimp\\_brazil\\_china\\_india/second\\_review\\_full.htm](https://www.usitc.gov/investigations/701731/2016/frozen_warmwater_shrimp_brazil_china_india/second_review_full.htm)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>      **Pin:** **SHRIMP**

- **E-mail.**—E-mail the MS Word questionnaire to [amelia.preece@usitc.gov](mailto:amelia.preece@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm does not purchase this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

***Parties to this proceeding.***—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.