

**DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)**

WITHDRAWAL OF SPIRITS, SPECIALLY DENATURED SPIRITS, OR WINES FOR EXPORTATION

Please read Instructions after Page 3 before completing this form.

1. SERIAL NO. (Begin with "1" each Jan. 1)

PART I - APPLICATION OR NOTICE

| | | |
|--|---|---|
| 2. APPLICATION IS MADE TO <input type="checkbox"/> WITHDRAW SPIRITS OR WINES WITHOUT PAYMENT OF TAX | 3. NOTICE GIVEN OF <input type="checkbox"/> WITHDRAWAL OF SPECIALLY DENATURED SPIRITS FREE OF TAX | <input type="checkbox"/> WITHDRAWAL OF SPIRITS OR WINES WITHOUT PAYMENT OF TAX |
| 4. WITHDRAWAL FROM <input type="checkbox"/> BW. _____ <input type="checkbox"/> DSP. _____ | OPERATED BY | 5. ADDRESS OF DIRECTOR, NATIONAL REVENUE CENTER ALCOHOL AND TOBACCO TAX AND TRADE BUREAU 550 MAIN ST, STE 8002 CINCINNATI, OH 45202-5215 |
| 6. PURPOSE OF WITHDRAWAL (Make Applicable Entries) | <input type="checkbox"/> SHIPMENT FOR EXPORT TO ARMED FORCES OF THE U.S. <input type="checkbox"/> FOR EXPORTATION TO (Name the foreign port and country) | TRANSFER TO (Number or name and location) <input type="checkbox"/> CMBW <input type="checkbox"/> FTZ <input type="checkbox"/> CBW |
| USE AS SUPPLIES ON VESSELS <input type="checkbox"/> ^{1/} AIRCRAFT <input type="checkbox"/> ^{1/} | | |
| 7. CONSIGNED TO OR IN CARE OF (Make applicable entries) <input type="checkbox"/> DIRECTOR OF CUSTOMS AT PORT OF (Specify) <input type="checkbox"/> TRANSPORTATION OFFICER (Name) (Location) <input type="checkbox"/> CUSTOMS OFFICER/WAREHOUSE PROPRIETOR OF <input type="checkbox"/> CMBW <input type="checkbox"/> FTZ <input type="checkbox"/> CBW (Location) | | |
| 8. NAME OF DOMESTIC CARRIER | | 9. NAME OF EXPORT CARRIER |

| 10. DESCRIPTION OF SPIRITS OR DENATURED SPIRITS AND CONTAINERS (Columns a, d, e, and f only are required for denatured spirits) | | | | | |
|---|---|----------------|---------------------|-----------------------|--|
| KIND ^{2/} (a) | <input type="checkbox"/> PRODUCED BY <input type="checkbox"/> FILLED BY | | CONTAINERS | | <input type="checkbox"/> PG ^{3/} <input type="checkbox"/> WG (f) |
| | NAME (b) | DSP NO. (c) | NO. AND TYPE (d) | IDENTIFICATION (e) | |
| | | | | | |

Select this checkbox if you need to add additional description of spirits or denatured spirits and containers.

| 11. DESCRIPTION OF WINES AND CONTAINERS | | | | | | | |
|---|------------------------|---------------------|------------------------------------|-------------------------|------------------------|---------------------|----------------------|
| KIND (a) | PERCENT ALCOHOL (b) | CONTAINERS | | BOTTLES PER CASE (e) | SIZE OF BOTTLES (f) | WINE GALLONS (g) | TAX LIABILITY (h) |
| | | NO. AND TYPE (c) | SERIAL NUMBER ^{4/} (d) | | | | |
| | | | | | | | |

Select this checkbox if you need to add additional description of wine and containers.

Under the penalties of perjury, I declare that the spirits, denatured spirits, or wines described above are truly intended to (or have been) withdrawn for the purpose indicated, in the manner prescribed in regulations, and will not be (have not been) shipped for the purpose of evading or delaying payment of any revenue tax thereon.

| | | | |
|----------|-----------------------------|----------------|------------|
| 12. DATE | 13. PRINCIPAL ^{5/} | 13a. SIGNATURE | 13b. TITLE |
|----------|-----------------------------|----------------|------------|

FOR TTB USE ONLY PART II - APPROVAL OF APPLICATION

| | | |
|---|----------|--|
| Application is approved with respect to the required bond | 14. DATE | 15. SIGNATURE AND TITLE OF APPROVING OFFICER |
|---|----------|--|

^{1/} State whether (a) vessel or aircraft operated by the United States; (b) vessel or aircraft engaged in foreign trade, or in trade between the United States and any of its possessions, or between Hawaii or Alaska and any other part of the United States; (c) vessel of the United States engaged in trade between Atlantic and Pacific ports of the United States; (d) vessel of war of any foreign nation; or (e) vessel employed in: (1) the fisheries; or (2) the whaling business. Show name of vessel, country of registry, and ports of call, or, if a whaling vessel, location of operations. If aircraft, show also name of airline and country of registry of aircraft.
^{2/} Also enter formula number for special denatured spirits.
^{3/} Enter quantity of spirits in proof gallons or quantity of denatured spirits in wine gallons and check applicable box.
^{4/} In Items 11(d) and 22 show filling date when use of that date is authorized instead of serial numbers on cases. Item 11(d) need not be completed when prior approval of application is required.
^{5/} Show principal on bond under which withdrawal is made.

PART III - PROPRIETOR'S REPORT OF INSPECTION AND TAX LIABILITY

The spirits described on Page 1 were inspected, as reported below, and have been prepared for withdrawal as required by regulations.

| | | | | |
|---|---|--------------------|--|---------------------|
| 16. WITHDRAWAL IS FROM: <input type="checkbox"/> PRODUCTION ACCOUNT <input type="checkbox"/> STORAGE ACCOUNT <input type="checkbox"/> PROCESSING ACCOUNT | | | 17. <input type="checkbox"/> PACKAGE GAUGE RECORD ATTACHED | |
| 18. QUANTITY DETERMINED BY <input type="checkbox"/> GAUGE FOR WITHDRAWAL <input type="checkbox"/> INSPECTION REGAUGE AFTER REDUCTION IN PROOF | CONTAINERS (Leave blank if no change) | | | |
| | NUMBER (a) | IDENTIFICATION (b) | | TAXABLE GALLONS (c) |
| 19. DATE | 20. PROPRIETOR OF DISTILLED SPIRITS PLANT | | 20a. BY (Signature and title) | |

PART IV - CERTIFICATE OF REMOVAL OF WINE FROM BONDED WINE CELLAR (Complete only if approval of application is required)

| | | |
|---|---|-------------------------------------|
| I certify that the wines described above were removed for the purpose stated in Item 6. | 21. REMOVED FROM BONDED WINE CELLAR NO. | 22. SERIAL NUMBERS OF CONTAINERS #/ |
| 23. DATE REMOVED | 24. PROPRIETOR OF BONDED WINE CELLAR | 24a. BY (Signature and title) |

PART V - CUSTOMS OFFICER'S REPORT OF INSPECTION AND LADING, SHIPMENT, OR RECEIPT

| | | | |
|---|--|--|----------------------------|
| 25. NOT INSPECTED (Give reason for not inspecting) <input type="checkbox"/> | | 25a. INSPECTED AND FOUND TO BE AS DESCRIBED <input type="checkbox"/> EXCEPT AS NOTED IN ITEM 31 | |
| 26. RECORDS OF DELIVERING AND EXPORTING CARRIERS SHOW THAT CONTAINERS OF SIMILAR DESCRIPTION <input type="checkbox"/> WERE RECEIVED BY THE EXPORTING CARRIER FOR DELIVERY TO THE FOREIGN PORT NAMED IN ITEM 6 AND WERE LADEN ON BOARD THE EXPORTING CONVEYANCE AS REPORTED IN THIS FORM. | | | 27. LADEN ON (Date) |
| 28. LADEN FOR <input type="checkbox"/> EXPORT <input type="checkbox"/> USE AS SUPPLIES | ON BOARD: VESSEL (Name) RAILROAD CAR (Number) | AIRCRAFT (Name, symbols, number) | TRUCK (State license) |
| 29. RECEIVED IN <input type="checkbox"/> CMBW <input type="checkbox"/> FTZ <input type="checkbox"/> CBW | (No. or Location) | 30. SHIPPED TO (Frontier port) | SERIAL NUMBER ON SEAL USED |
| 31. DISCREPANCY OR SHORTAGE | | | |
| 32. DATE | 33. PORT | 34. SIGNATURE AND TITLE OF CUSTOMS OFFICER/WAREHOUSE PROPRIETOR | |

PART VI - CUSTOMS OFFICER'S REPORT OF THROUGH SHIPMENT AT FRONTIER PORT

| | | | |
|--|--|---|--------------|
| 35. FRONTIER PORT | 36. DATE RECEIVED | 37. INSPECTION DISCLOSED <input type="checkbox"/> NO EVIDENCE OF TAMPERING <input type="checkbox"/> SEALS INTACT <input type="checkbox"/> SEALS BROKEN (See item 38) | |
| 38. DETAILS OF DISCREPANCIES, TRANSSHIPMENT, RESEALING, ETC. | | | |
| 39. THE EXPORTING CONVEYANCE IDENTIFIED IN ITEM 28, BEARING THE SHIPMENT DESCRIBED IN PART I, WITH EXCEPTIONS AS NOTED IN ITEMS 31 AND 38 CLEARED FROM THIS PORT FOR THE PORT OF | | | Foreign Port |
| 40. DATE | 41. SIGNATURE AND TITLE OF CUSTOMS OFFICER | | |

PART VII - CERTIFICATE OF CLEARANCE OR USE

| | | | | |
|--|---|--|-----------|--------------------------|
| 42. THE EXPORTING CONVEYANCE BEARING THE SHIPMENT DESCRIBED IN PART I, WITH EXCEPTIONS AS NOTED ABOVE -- CLEARED FROM PORT OF | | | ON (Date) | BOUND FOR (Foreign port) |
| 43. THE SPIRITS OR WINES DESCRIBED HAVE BEEN USED AS SUPPLIES ON THE AIRCRAFT OR FISHING VESSEL IDENTIFIED ABOVE AND <input type="checkbox"/> THE REQUIRED EVIDENCE OF THEIR USE HAS BEEN RECEIVED. | | | | |
| 44. DATE | 45. SIGNATURE OF DISTRICT DIRECTOR OF CUSTOMS | | | |

PART VIII - CERTIFICATE OF RECEIPT BY ARMED FORCES OFFICER

The distilled spirits or wines, described in Part I, with exceptions as noted in Item 47, were received for export to the Armed Forces of the United States. The spirits or wines will not be shipped for consumption or use to any place subject to application of the Internal Revenue laws of the United States.

| | | | | |
|---------------|-----------------|-----------|--|--|
| 46. DATE | 47. DISCREPANCY | | | |
| 48. SIGNATURE | 49. RANK | 50. TITLE | | |

**DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)**

WITHDRAWAL OF SPIRITS, SPECIALLY DENATURED SPIRITS, OR WINES FOR EXPORTATION (CONTINUATION)

Please read Instructions after Page 3 before completing this form.

1. SERIAL NO. (Begin with "1" each Jan. 1)

PART I - APPLICATION OR NOTICE

| | | |
|--|--|---|
| 2. APPLICATION IS MADE TO <input type="checkbox"/> WITHDRAW SPIRITS OR WINES WITHOUT PAYMENT OF TAX | 3. NOTICE GIVEN OF <input type="checkbox"/> WITHDRAWAL OF SPECIALLY DENATURED SPIRITS FREE OF TAX | <input type="checkbox"/> WITHDRAWAL OF SPIRITS OR WINES WITHOUT PAYMENT OF TAX |
| 4. WITHDRAWAL FROM <input type="checkbox"/> BW. _____ <input type="checkbox"/> DSP. _____ | OPERATED BY | 5. ADDRESS OF DIRECTOR, NATIONAL REVENUE CENTER ALCOHOL AND TOBACCO TAX AND TRADE BUREAU 550 MAIN ST, STE 8002 CINCINNATI, OH 45202-5215 |

10. DESCRIPTION OF SPIRITS OR DENATURED SPIRITS AND CONTAINERS (Columns a, d, e, and f only are required for denatured spirits)

| KIND ^{2/} (a) | <input type="checkbox"/> PRODUCED BY | <input type="checkbox"/> FILLED BY | CONTAINERS | | <input type="checkbox"/> PG ^{3/} |
|---------------------------|--------------------------------------|------------------------------------|---------------------|-----------------------|---|
| | NAME (b) | DSP NO. (c) | NO. AND TYPE (d) | IDENTIFICATION (e) | <input type="checkbox"/> WG (f) |
| | | | | | |

11. DESCRIPTION OF WINES AND CONTAINERS

| KIND (a) | PERCENT ALCOHOL (b) | CONTAINERS | | BOTTLES PER CASE (e) | SIZE OF BOTTLES (f) | WINE GALLONS (g) | TAX LIABILITY (h) |
|-------------|------------------------|---------------------|------------------------------------|-------------------------|------------------------|---------------------|----------------------|
| | | NO. AND TYPE (c) | SERIAL NUMBER ^{4/} (d) | | | | |
| | | | | | | | |

Under the penalties of perjury, I declare that the spirits, denatured spirits, or wines described above are truly intended to (or have been) withdrawn for the purpose indicated, in the manner prescribed in regulations, and will not be (have not been) shipped for the purpose of evading or delaying payment of any revenue tax thereon.

| | | | |
|----------|-----------------------------|----------------|------------|
| 12. DATE | 13. PRINCIPAL ^{5/} | 13a. SIGNATURE | 13b. TITLE |
|----------|-----------------------------|----------------|------------|

INSTRUCTIONS
(Read Prior to Completing Form)

1. EXPORTER.

- a. **GENERAL.** Prepare Part I of this form in quadruplicate. Prepare a fifth copy, marked "consignee's copy" when distilled spirits or wines are for use on aircraft.
- b. **APPLICATION.** As provided in 27 CFR Part 28, this form must be prepared as an application if the exporter is not the proprietor of the bonded premises from which the spirits or wines are to be withdrawn. Before withdrawal is made, application for withdrawal of spirits or wines must be approved by the Director, National Revenue Center, Alcohol and Tobacco Tax and Trade Bureau.
- c. **NOTICE.** If the exporter is the proprietor of the bonded premises from which the spirits (*including denatured spirits*) or wines are to be withdrawn, the form must be prepared as a notice.

2. SHIPMENTS - DISPOSITION OF FORMS.

- a. **DISTILLED SPIRITS OR DENATURED SPIRITS.** The proprietor of the distilled spirits plants must enter the results of any required inspection and regauge in Part III and distribute the form, as follows:

- (1) forward the original and one copy (*with any attachments*) to the official designated in Item 7,
- (2) forward a copy (*with any attachments*) to the Director, National Revenue Center, TTB, and
- (3) retain a copy for his/her files.

In the case of shipment by tank truck, the forms must be placed in a properly addressed sealed envelope and handed to the driver of the truck for delivery to the officer to whom the shipment is consigned.

- b. **WINES.** The proprietor must complete Part IV, if applicable, and distribute the form, as follows:

- (1) forward the original and one copy to the official designated in Item 7,
- (2) forward a copy to the Director, National Revenue Center, TTB, and
- (3) retain the copy for his/her files.

- c. **CONSIGNEE'S COPY.** If a consignee's copy has been prepared as required by Instruction 1a above, the proprietor must forward it to the airline company official at the airport.

3. ACTION BY WAREHOUSE PROPRIETOR OR CUSTOMS OFFICIAL.

- a. **SHIPMENTS FOR DIRECT EXPORTATION OR FOR USE ON VESSELS OTHER THAN FISHING VESSELS.** After inspection of lading, the Customs officer must complete Part V on both copies and forward them (*with any attachments*) to the District Director of Customs. On clearance of the conveyance, the District Director must complete Part VII, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB at the address shown in Item 5, and retain the copy for his/her files.

- b. **USE ON AIRCRAFT OR FISHING VESSELS.** After inspection of lading, the Customs officer must complete Part V on both copies and forward them (*with any attachments*) to the District Director of Customs. On receipt of the required certificate of use (*for aircraft*) or Customs Form 5125 (*for fishing vessels*), the District Director of Customs must complete Part VII, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB, at the address shown in Item 5, and retain the copy for his/her files.

- c. **LADING AT INTERIOR PORT AND EXPORTATION THROUGH FRONTIER PORT.** On completion of lading, the Customs officer must affix the seals and complete Part V on both copies and forward them (*with any attachments*) to the District Director of Customs at the interior port for forwarding to the Customs officer at the frontier port: PROVIDED, that where the shipment is by truck and where instructions from the District Director of Customs so provide, the copies may be forwarded via the truck driver to the Customs officer at the frontier port. When satisfied that the shipment has been exported, that officer must complete Part VI on both copies and return them (*with any attachments*) to the District Director of Customs at the interior port. The District Director must then execute Part VII on both copies, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB, at the address shown in Item 5, and retain the copy for his/her files.

- d. **RECEIPT IN MANUFACTURING BONDED WAREHOUSE.** On receipt of the shipment, the warehouse proprietor must make a report of his/her gauge on Customs Form 6001, complete Part V and attach a copy of the Form 6001 to each copy of the form, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB, at the address shown in Item 5, and retain the copy for his/her files.

- e. **RECEIPT IN FOREIGN -TRADE ZONE.** On receipt of the shipment, the Customs officer in charge must, if necessary, make a report of gauge on Customs Form 6001, complete Part V and attach a copy of the Form 6001 to each copy of the form, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB, at the address shown in Item 5, and retain the copy for his/her files.

- f. **RECEIPT IN CUSTOMS BONDED WAREHOUSE.** On receipt of the shipment, the warehouse proprietor must complete Part V, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB, at the address shown in Item 5, and retain the copy for his/her files.

4. **ACTION BY ARMED FORCES OFFICER.** On receipt of the shipment, the officer to whom consigned (*or other authorized supply officer*) must complete Part VIII on both copies of the form, forward the original (*with any attachments*) to the Director, National Revenue Center, TTB, at the address shown in Item 5, and retain the copy for his/her files.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used to ensure that spirits withdrawn from bond without payment of tax are used only for the purpose authorized by law. This collection is also necessary to ensure that the proprietor's bond coverage for the type of withdrawals is not exceeded. The information requested is mandatory by statute (26 U.S.C. 5066, 5214, and 5362).

The estimated average burden associated with this collection of information is 1 hour per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.



An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.