4000-01-U

DEPARTMENT OF EDUCATION

Applications for New Awards; Indian Education Discretionary

Grants Programs--Demonstration Grants for Indian Children

Program

AGENCY: Office of Elementary and Secondary Education,
Department of Education

ACTION: Notice.

<u>Overview Information</u>:

Indian Education Discretionary Grants Programs-Demonstration Grants for Indian Children Program
Notice inviting applications for new awards for fiscal year
(FY) 2015.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.299A.

Dates:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Notice of Intent to Apply: [INSERT DATE 35

DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 60

DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Intergovernmental Review: [INSERT DATE 120

DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Full Text of Announcement

I. Funding Opportunity Description

Purpose of Program: The purpose of the Demonstration Grants for Indian Children program is to provide financial assistance to projects that develop, test, and demonstrate the effectiveness of services and programs to improve the educational opportunities and achievement of preschool, elementary, and secondary Indian students.

Background: The priority for Native Youth Community
Projects is a new priority under the Demonstration Grants
program and a major part of the Generation Indigenous (GenI) Initiative. These projects will provide funding to
support community-driven, comprehensive projects to help
American Indian/Alaska Native (AI/AN) children become
college- and career-ready.

Given the interconnectedness of in-school and out-of-school factors, the Department intends to award several grants to encourage a community-wide approach to providing academic, social, and other support services, for AI/AN students and students' family members that will result in improved educational outcomes, and specifically college- and career-readiness. Grantees' project evaluations will help inform future practices that effectively improve outcomes for AI/AN youth.

<u>Priorities</u>: This competition contains one absolute priority and five competitive preference priorities. In accordance with 34 CFR 75.105(b)(2)(ii), the absolute priority is from the notice of final regulations (34 CFR 263.21(c)(1) and 263.20) for this program (NFR), published in the Federal Register on [Insert Date (citation)]. In accordance with 34 CFR 75.105(b)(2)(iv), competitive preference priority one is from §263.21(c)(5) of the NFR, competitive preference priorities two and four are from §263.21(b) of the NFR, competitive preference priority three paragraph (b) is from $\S263.21(c)(2)$ of the NFR, and competitive preference priority five is from §263.21(a) of the NFR. Competitive preference priority three paragraph (a) (relating to Promise Zones) is from the notice of final priority published in the Federal Register on March 27, 2014 (79 FR 17035). Absolute Priority: For FY 2015 and any subsequent year in which we make awards from the list of unfunded applicants from this competition, this priority is an absolute

This priority is:

Native Youth Community Projects.

applications that meet this priority.

A native youth community project is--

(1) Focused on a defined local geographic area;

priority. Under 34 CFR 75.105(c)(3) we consider only

- (2) Centered on the goal of ensuring that Indian students are prepared for college and careers;
- (3) Informed by evidence, which could be either a needs assessment conducted within the last three years or other data analysis, on--
- (i) The greatest barriers, both in and out of school, to the readiness of local Indian students for college and careers;
- (ii) Opportunities in the local community to support Indian students; and
- (iii) Existing local policies, programs, practices, service providers, and funding sources;
- (4) Focused on one or more barriers or opportunities with a community-based strategy or strategies and measurable objectives;
- (5) Designed and implemented through a partnership of various entities, which--
 - (i) Must include--
- (A) One or more tribes or their tribal education agencies; and
- (B) One or more Department of the Interior Bureau of Indian Education (BIE)-funded schools, one or more local educational agencies (LEAs), or both; and
 - (ii) May include other optional entities, including

community-based organizations, national nonprofit organizations, and Alaska regional corporations; and

- (6) Led by an entity that--
- (i) Is eligible for a grant under the Demonstration Grants for Indian Children program; and
- (ii) Demonstrates, or partners with an entity that demonstrates, the capacity to improve outcomes that are relevant to the project focus through experience with programs funded through other sources.

Competitive Preference Priorities: For FY 2015 and any subsequent year in which we make awards from the list of unfunded applicants from this competition, these priorities are competitive preference priorities. Under 34 CFR 75.105(c)(2)(i) we will award up to an additional 9 points to an application, depending on how well the application meets one or more of these priorities.

These priorities are:

<u>Competitive Preference Priority One</u>

We award three points to an application proposing to serve a rural local community. To meet this priority, a project must include an LEA that is eligible under the Small Rural School Achievement (SRSA) or Rural and Low-Income School (RLIS) programs or a BIE-funded school that is located in an

area designated by the U.S. Census Bureau with a locale code of 42 or 43.

<u>Competitive Preference Priority Two</u>

We award three points to an application submitted by an eligible Indian tribe, Indian organization, or Indian institution of higher education (IHE). A consortium of eligible entities or a partnership is eligible to receive the points only if the lead applicant is an Indian tribe, Indian organization, or Indian IHE.

<u>Competitive Preference Priority Three</u>

We award two points to an application that is either--

- (a) Designed to serve a local community within a federally designated Promise Zone; or
- (b) Submitted by a partnership or consortium in which the lead applicant or one of its partners has received a grant in the last four years under one or more of the following grant or enhancement programs:
- (1) State Tribal Education Partnership (title VII, part A, subpart 3).
- (2) Sovereignty in Indian Education Enhancements(Department of the Interior).
- (3) Alaska Native Education Program (title VII, part C).
 - (4) Promise Neighborhoods.

Note: An application will not receive points for both (a) and (b).

<u>Competitive Preference Priority Four</u>

We award one point to an application that is not eligible under Priority 2 and is submitted by a consortium of eligible entities or a partnership that includes an Indian tribe, Indian organization, or Indian IHE.

Competitive Preference Priority Five

We award one point to an application with a plan for combining two or more of the activities described in section 7121(c) of the ESEA over a period of more than one year.

Note: Applications that propose a project to meet the absolute priority will likely meet this competitive preference priority.

<u>Application Requirements</u>: The following requirements apply to all applications submitted under this competition and are from §263.22 of the NFR, published in the <u>Federal Register</u> on [Insert Date (citation)]. Each application must contain:

- (a) A description of how Indian tribes and parents of Indian children have been, and will be, involved in developing and implementing the proposed activities.
- (b) Assurances that the applicant will participate, at the request of the Secretary, in any national evaluation of this program.

- (c) Information demonstrating that the proposed project is based on scientific research, where applicable, or an existing program that has been modified to be culturally appropriate for Indian students.
- (d) A description of how the applicant will continue the proposed activities once the grant period is over.
- (e) Evidence, which could be either a needs assessment conducted within the last three years or other data analysis, of--
- (1) The greatest barriers, both in and out of school, to the readiness of local Indian students for college and careers;
- (2) Opportunities in the local community to support Indian students; and
- (3) Existing local policies, programs, practices, service providers, and funding sources.
- (f) A copy of an agreement signed by the partners in the proposed project, identifying the responsibilities of each partner in the project. The agreement can be either--
- (1) A consortium agreement that meets the requirements of 34 CFR 75.128, if each of the entities are eligible entities under this program; or
- (2) Another form of partnership agreement, such as a memorandum of understanding or a memorandum of agreement, if

not all the partners are eligible entities under this program.

(g) A plan, which includes measurable objectives, to evaluate reaching the project goal or goals.

<u>Statutory Hiring Preference:</u>

- (a) Awards that are primarily for the benefit ofIndians are subject to the provisions of section 7(b) of theIndian Self-Determination and Education Assistance Act (Pub.L. 93-638). That section requires that, to the greatest extent feasible, a grantee--
- (1) Give to Indians preferences and opportunities for training and employment in connection with the administration of the grant; and
- (2) Give to Indian organizations and to Indian-owned economic enterprises, as defined in section 3 of the Indian Financing Act of 1974 (25 U.S.C. 1452(e)), preference in the award of contracts in connection with the administration of the grant.
- (b) For purposes of this section, an Indian is a member of any federally recognized Indian tribe.

Program Authority: 20 U.S.C. 7441.

Applicable Regulations: (a) The Education Department

General Administrative Regulations (EDGAR) in 34 CFR parts

75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB

Guidelines to Agencies on Government-wide Debarment and Suspension (Non-procurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended in 2 CFR part 3474.

(d) The regulations for this program in 34 CFR part 263, including the recent amendments of the NFR, published in the Federal Register on [Insert Date (citation)].

Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education only.

II. Award Information

Type of Award: Discretionary grants.

Estimated Available Funds: \$3,000,000.

Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2016 from the list of unfunded applicants from this competition.

Estimated Range of Awards: \$400,000-600,000.

Estimated Average Size of Awards: \$500,000.

Estimated Number of Awards: 5-7.

<u>Note</u>: The Department is not bound by any estimates in this notice.

Project Period: Up to 48 months.

III. Eligibility Information

1. Eligible Applicants: Eligible applicants for this program are State educational agencies; LEAs, including charter schools that are considered LEAs under State law; Indian tribes; Indian organizations; BIE-funded schools; Indian institutions (including Indian IHEs); or a consortium of any of these entities.

An application from a consortium of eligible entities must meet the requirements of 34 CFR 75.127 through 75.129, including the requirement to include a signed consortium agreement with the application. Letters of support do not meet the requirement for a consortium agreement.

Applicants applying in a consortium with or as an Indian organization must demonstrate that they meet the definition of "Indian organization" in 34 CFR 263.20.

The term "Indian institution of higher education" means an accredited college or university within the United States cited in section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), any other institution that qualifies for funding under the Tribally Controlled College or University Assistance Act of 1978 (25

- U.S.C. 1801 et seq.), and Dine College (formerly Navajo Community College) authorized in the Navajo Community College Assistance Act of 1978 (25 U.S.C. 640a et seq.).
- Cost Sharing or Matching: This competition does not require cost sharing or matching.
- IV. Application and Submission Information
- Address to Request Application Package: You can obtain an application package via the Internet or from the Education Publications Center (ED Pubs).

To obtain a copy via the Internet, use the following address: www.ed.gov/gund/grant/apply/grantapps/index.html.

To obtain a copy from ED Pubs, write, fax, or call the following: ED Pubs, U.S. Department of Education, P.O. Box 22207, Alexandria, VA 22304. Telephone, toll free: 1-877-433-7827. FAX: (703) 605-6794. If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call, toll free: 1-877-576-7734.

You can contact ED Pubs at its Web site, also: www.EDPubs.gov or at its email address: edpubs@inet.ed.gov.

If you request an application from ED Pubs, be sure to identify this program or competition as follows: CFDA number 84.299A.

Individuals with disabilities can obtain a copy of the application package in an accessible format (e.g., braille,

large print, audiotape, or compact disc) by contacting the person or team listed under <u>For Further Information Contact</u> in section VII of this notice.

2. a. Content and Form of Application Submission:
Requirements concerning the content of an application,
together with the forms you must submit, are in the
application package for this competition.
Notice of Intent to Apply: The Department will be able to
review grant applications more efficiently if we know the
approximate number of applicants that intend to apply.

Therefore, the Assistant Secretary strongly encourages each potential applicant to notify us of their intent to submit an application for funding. To do so, please email David.Emenheiser@ed.gov with the subject line "Intent to Apply," and include the following information:

- Applicant's name, mailing address, and phone number;
 - 2. Contact person's name and email address;
- A defined local geographical community to be served;
- Name(s) of partnering LEA(s) or BIE-funded school(s);
 - 5. Names of partnering tribe(s) or TEA(s); and
 - 6. If appropriate, names of other partnering

organizations.

Applicants that do not submit a notice of intent to apply may still apply for funding; applicants that do submit a notice of intent to apply are not bound to apply or bound by the information provided.

Pre-Application Webinar: The Department intends to hold a pre-application Webinar designed to provide technical assistance to interested applicants. Information about Webinar times and instructions for registering are on the Department Web site at

http://www2.ed.gov/programs/indiandemo/applicant.html.

Page Limit: The application narrative is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. The suggested page limit for the application narrative is 35 pages. The suggested standards for the narrative include:

- A page is $8.5" \times 11"$, on one side only, with 1" margins at the top, bottom, and both sides.
- Double space all text in the application narrative, including titles, headings, footnotes, quotations, references, and captions, as well as all text in charts, tables, figures, and graphs.
- Use a font that is 12 point or larger but no smaller than 10 pitch (characters per inch).

Use one of the following fonts: Times New Roman,
 Courier, Courier New, or Arial.

The suggested page limit does not apply to the cover sheet; the budget section, including the budget narrative justification; the consortium agreement or partnership agreement; the assurances and certifications; or the abstract, the resumes, the bibliography, or other required attachments.

b. <u>Submission of Proprietary Information</u>: Given the types of projects that may be proposed in applications for the Demonstration Grants for Indian Children, an application may include business information that the applicant considers proprietary. The Department's regulations define "business information" in 34 CFR 5.11.

Because we plan to make successful applications available to the public, you may wish to request confidentiality of business information.

Consistent with Executive Order 12600, please designate in your application any information that you feel is exempt from disclosure under Exemption 4 of the Freedom of Information Act. In the appropriate Appendix section of your application, under "Other Attachment Form," please list the page number or numbers on which we can find this

information. For additional information please see 34 CFR 5.11(c).

3. Submission Dates and Times:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Notice of Intent to Apply: [INSERT DATE 35

DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 60

DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Applications for grants under this competition must be submitted electronically using the Grants.gov Apply site (Grants.gov). For information (including dates and times) about how to submit your application electronically, or in paper format by mail or hand delivery if you qualify for an exception to the electronic submission requirement, please refer to section IV. 7. Other Submission Requirements of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under For Further
Information Contact in section VII of this notice. If the Department provides an accommodation or auxiliary aid to an

individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

Deadline for Intergovernmental Review: [INSERT DATE 120 DAYS AFTER THE DATE OF PUBLICATION IN THE <u>FEDERAL REGISTER</u>].

- 4. <u>Intergovernmental Review</u>: This competition is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this competition.
- 5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable Regulations</u> section of this notice.
- 6. <u>Data Universal Numbering System Number, Taxpayer</u>

 <u>Identification Number, and System for Award Management</u>: To

 do business with the Department of Education, you must--
- a. Have a Data Universal Numbering System (DUNS)
 number and a Taxpayer Identification Number (TIN);
- b. Register both your DUNS number and TIN with the System for Award Management (SAM) (formerly the Central Contractor Registry (CCR)), the Government's primary registrant database;

- c. Provide your DUNS number and TIN on your application; and
- d. Maintain an active SAM registration with current information while your application is under review by the Department and, if you are awarded a grant, during the project period.

You can obtain a DUNS number from Dun and Bradstreet.

A DUNS number can be created within one-to-two business days.

If you are a corporate entity, agency, institution, or organization, you can obtain a TIN from the Internal Revenue Service. If you are an individual, you can obtain a TIN from the Internal Revenue Service or the Social Security Administration. If you need a new TIN, please allow 2-5 weeks for your TIN to become active.

The SAM registration process can take approximately seven business days, but may take upwards of several weeks, depending on the completeness and accuracy of the data entered into the SAM database by an entity. Thus, if you think you might want to apply for Federal financial assistance under a program administered by the Department, please allow sufficient time to obtain and register your DUNS number and TIN. We strongly recommend that you register early.

Note: Once your SAM registration is active, you will need to allow 24 to 48 hours for the information to be available in Grants.gov and before you can submit an application through Grants.gov.

If you are currently registered with SAM, you may not need to make any changes. However, please make certain that the TIN associated with your DUNS number is correct. Also note that you will need to update your registration annually. This may take three or more business days.

Information about SAM is available at www.SAM.gov. To further assist you with obtaining and registering your DUNS number and TIN in SAM or updating your existing SAM account, we have prepared a SAM.gov Tip Sheet, which you can find at: http://www2.ed.gov/fund/grant/apply/sam-faqs.html.

In addition, if you are submitting your application via Grants.gov, you must (1) be designated by your organization as an Authorized Organization Representative (AOR); and (2) register yourself with Grants.gov as an AOR. Details on these steps are outlined at the following Grants.gov Web page: www.grants.gov/web/grants/register.html

7. Other Submission Requirements: Applications for grants under this program must be submitted electronically unless you qualify for an exception to this requirement in accordance with the instructions in this section.

a. Electronic Submission of Applications.

Applications for grants under the Indian Education-Demonstration Grants for Indian Children program, CFDA
number 84.299A, must be submitted electronically using the
Governmentwide Grants.gov Apply site at www.Grants.gov.
Through this site, you will be able to download a copy of
the application package, complete it offline, and then
upload and submit your application. You may not email an
electronic copy of a grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under Exception to Electronic Submission Requirement.

You may access the electronic grant application for the Indian Education--Demonstration Grants for Indian Children program at www.Grants.gov. You must search for the downloadable application package for this program by the

CFDA number. Do not include the CFDA number's alpha suffix in your search (e.g., search for 84.299, not 84.299A).

Please note the following:

- When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.
- Applications received by Grants.gov are date and time stamped. Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 4:30:00 p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not accept your application if it is received--that is, date and time stamped by the Grants.gov system--after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date.
- The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your

Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.

- You should review and follow the Education

 Submission Procedures for submitting an application through

 Grants.gov that are included in the application package for

 this program to ensure that you submit your application in a

 timely manner to the Grants.gov system. You can also find

 the Education Submission Procedures pertaining to Grants.gov

 under News and Events on the Department's G5 system home

 page at www.G5.gov.
- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.
- You must submit all documents electronically, including all information you typically provide on the following forms: the Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications.
- You must upload any narrative sections and all other attachments to your application as files in a PDF (Portable

Document) read-only, non-modifiable format. Do not upload an interactive or fillable PDF file. If you upload a file type other than a read-only, non-modifiable PDF or submit a password-protected file, we will not review that material.

- Your electronic application must comply with any page-limit requirements described in this notice.
- After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number. (This notification indicates receipt by Grants.gov only, not receipt by the Department.) The Department then will retrieve your application from Grants.gov and send a second notification to you by email. This second notification indicates that the Department has received your application and has assigned your application a PR/Award number (an ED-specified identifying number unique to your application).
- We may request that you provide us original signatures on forms at a later date.

Application Deadline Date Extension in Case of Technical

Issues with the Grants.gov System: If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk

Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically or by hand delivery. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m., Washington, DC time, on the application deadline date, please contact the person listed under For Further
Information Contact in section VII of this notice and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov
Support Desk Case Number. We will accept your application if we can confirm that a technical problem occurred with the Grants.gov system and that the problem affected your ability to submit your application by 4:30:00 p.m., Washington, DC time, on the application deadline date. The Department will contact you after a determination is made on whether your application will be accepted.

Note: The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the Grants.gov system. We will not grant you an

extension if you failed to fully register to submit your application to Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.

Exception to Electronic Submission Requirement: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through the Grants.gov system because—

- You do not have access to the Internet; or
- You do not have the capacity to upload large documents to the Grants.gov system; and
- No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevent you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed

statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: David E. Emenheiser, U.S. Department of Education, 400 Maryland Avenue, SW., room 3W215, Washington, DC 20202-6335. FAX: (202) 401-0606.

Your paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice.

b. <u>Submission of Paper Applications by Mail</u>.

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education, Application Control Center Attention: (CFDA Number 84.299A) LBJ Basement Level 1 400 Maryland Avenue, SW. Washington, DC 20202-4260

You must show proof of mailing consisting of one of the following:

(1) A legibly dated U.S. Postal Service postmark.

- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

A private metered postmark.

check with your local post office.

(2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

NOTE: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should

c. <u>Submission of Paper Applications by Hand Delivery</u>. If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.299A) 550 12th Street, SW. Room 7039, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department--

- (1) You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- (2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

V. Application Review Information

 Selection Criteria: The selection criteria for this competition include general selection criteria from 34 CFR 75.210 and selection criteria based on regulatory requirements in 34 CFR part 263, including the recent amendments of the NFR, published in the <u>Federal Register</u> on [Insert Date (citation)], in accordance with 34 CFR 75.209(a). We will award up to 100 points to an application under the selection criteria; the total possible points for each selection criterion are noted in parentheses.

- a. <u>Need for project</u> (Maximum 15 points). The

 Secretary considers the need for the proposed project. In

 determining the need for the proposed project, the Secretary

 considers the following factor:
- (i) The extent to which the project is informed by evidence, which could be either a needs assessment conducted within the last three years or other data analysis, of:
- (1) The greatest barriers both in and out of school to the readiness of local Indian students for college and careers;
- (2) Opportunities in the local community to support Indian students; and
- (3) Existing local policies, programs, practices, service providers, and funding sources.
- b. Quality of the project design (Maximum 25 points).

 The Secretary considers the quality of the design of the proposed project. In determining the quality of the design

of the proposed project, the Secretary considers the following factors:

- (i) The extent to which the project is focused on a defined local geographic area.
- (ii) The extent to which the proposed project is based on scientific research, where applicable, or an existing program that has been modified to be culturally appropriate for Indian students.
- (iii) The extent to which the goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable.
- (iv) The extent to which the design of the proposed project is appropriate to, and will successfully address, the needs of the target population or other identified needs.
- (v) The extent to which the proposed project is supported by strong theory (as defined in 34 CFR 77.1(c)).
- (vi) The extent to which the services to be provided by the proposed project involve the collaboration of appropriate partners for maximizing the effectiveness of project services.
- c. Quality of project personnel (Maximum 10 points).

 The Secretary considers the quality of the personnel who will carry out the proposed project. In determining the

quality of project personnel, the Secretary considers the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability. In addition, the Secretary considers the following factors:

- (i) The qualifications, including relevant training and experience, of the project director or principal investigator.
- (ii) The qualifications, including relevant training and experience, of key project personnel.

Note: Please note that section 7(b) of the Indian SelfDetermination and Education Assistance Act requires that to
the greatest extent feasible, a grantee must give to Indians
preference and opportunities in connection with the
administration of the grant, and give Indian organizations
and Indian-owned economic enterprises, as defined in section
3 of the Indian Financing Act of 1974 (25 U.S.C. 1452(e)),
preference in the award of contracts in connection with the
administration of the grant.

d. Adequacy of resources (Maximum 10 points). The
Secretary considers the adequacy of resources for the
proposed project. In determining the adequacy of resources

for the proposed project, the Secretary considers the following factors:

- (i) The relevance and demonstrated commitment of each partner in the proposed project to the implementation and success of the project.
- (ii) The extent to which the costs are reasonable in relation to the number of persons to be served and to the anticipated results and benefits.
- e. Quality of Experience (Maximum 10 points). The Secretary considers the quality of experience for the proposed project. In determining the quality of experience for the proposed project, the Secretary considers the following factor:

The extent to which the applicant, or one of its partners, demonstrates capacity to improve outcomes that are relevant to the project focus through experience with programs funded through other sources.

- f. Quality of the management plan (Maximum 20 points). The Secretary considers the quality of the management plan for the proposed project. In determining the quality of the management plan for the proposed project, the Secretary considers the following factors:
- (i) The adequacy of the management plan to achieve the objectives of the proposed project on time and within

budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks.

- (ii) The adequacy of procedures for ensuring feedback and continuous improvement in the operation of the proposed project.
- (iii) The extent to which Indian tribes and parents of Indian children have been, and will be, involved in developing and implementing the proposed activities.
- g. Quality of the project evaluation (Maximum 10 points). The Secretary considers the quality of the evaluation to be conducted of the proposed project. In determining the quality of the evaluation, the Secretary considers the following factors:
- (i) The extent to which the methods of evaluation include the use of objective performance measures that are clearly related to the intended outcomes of the project and will produce quantitative and qualitative data to the extent possible.
- (ii) The extent to which the evaluation will provide guidance about effective strategies suitable for replication or testing in other settings.
- 2. Review and Selection Process: We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider,

under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary also requires various assurances including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Education (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

3. Special Conditions: Under 2 CFR 3474.10, the Secretary may impose special conditions and, in appropriate circumstances, high-risk conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 2 CFR part 200, subpart D; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

VI. Award Administration Information

1. <u>Award Notices</u>: If your application is successful, we notify your U.S. Representative and U.S. Senators and

send you a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of your GAN. We may notify you informally, also.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements: We identify administrative and national policy requirements in the application package and reference these and other requirements in the <u>Applicable Regulations</u> section of this notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

- 3. Reporting: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).
- (b) At the end of your project period, you must submit a final performance report, including financial information,

as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to www.ed.gov/fund/grant/apply/appforms/appforms.html.

- 4. <u>Performance Measures</u>: Under the Government
 Performance and Results Act of 1993 (GPRA), the Department
 has developed the following performance measures for
 measuring the overall effectiveness of the Demonstration
 Grants for Indian Children program:
- (1) The percentage of the annual measurable objectives, as described in the application, that are met by grantees; and
- (2) The percentage of grantees that report a significant increase in community collaborative efforts that promote college and career readiness of Indian children.

These measures constitute the Department's indicators of success for this program. Consequently, we advise an applicant for a grant under this program to give careful consideration to these measures in developing the proposed project and identifying the method of evaluation. Each

grantee will be required to provide, in its annual performance and final reports, data about its progress in meeting these measures.

5. <u>Continuation Awards</u>: In making a continuation award under 34 CFR 75.253, the Secretary considers, among other things: whether a grantee has made substantial progress in achieving the goals and objectives of the project; whether the grantee has expended funds in a manner that is consistent with its approved application and budget; and, if the Secretary has established performance measurement requirements, the performance targets in the grantee's approved application. In making a continuation grant, the Secretary also considers whether the grantee is operating in compliance with the assurances in its approved application, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

VII. Agency Contact

For Further Information Contact: David E. Emenheiser, U.S. Department of Education, 400 Maryland Avenue, SW., room 3W215, Washington, DC 20202. Telephone: (202) 260-1488 or by email: david.emenheiser@ed.gov.

VIII. Other Information

Accessible Format: Individuals with disabilities can obtain this document and a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disk) on request to the program contact person listed under For Further Information Contact in section VII of this notice.

Electronic Access to This Document: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available via the Federal Digital System at:

www.gpo.gov/fdsys. At this site you can view this document, as well as all other documents of this Department published in the Federal Register, in text or Adobe Portable Document Format (PDF). To use PDF you must have Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the <u>Federal Register</u> by using the article search feature at: www.federalregister.gov. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department. Dated:

_____D eborah S. Delisle,

Assistant Secretary for Elementary and Secondary Education