

NPS - 25

# 2016 Survey of Prison Inmates (SPI) Questionnaire

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# **Interview Set-up (IS)**

IS1

OMB No. 1121-0152: Approval Expires XX/XX/XXXX

INTERVIEWER: IF RESPONDENT ASKS ANY QUESTIONS ABOUT OMB APPROVAL FOR THIS STUDY, YOU MAY READ THE INFORMATION BELOW. OTHERWISE ENTER 1 TO CONTINUE TO THE NEXT SCREEN.

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| IS2 | FACILITY ID  |
|-----|--|
|     | <del></del>  |
| IS3 | ENTER YOUR INTERVIEWER ID NUMBER:                          |
|     | [ALLOW 6 DIGITS]   |
| IS4 | IS AN INCENTIVE BEING OFFERED TO INMATES AT THIS FACILITY? |
|     | 1 YES<br>2 NO  |

# **Section 1 — Demographics (DEMO)**

# GENDER OF FACILITY INPUT VARIABLE:

Within facility ID: Male or Female

[A descriptor on the sample input file will assign sample members to either female or male facilities when a facility has both a male and female wing]

| DEMO1.                              | What is your date of birth?  |
|-------------------------------------|--|
|                                     | Month: [RANGE: 1 – 12] Day: RANGE: 1 – 31] Year: [Range: CURRENT YEAR – 95 TO CURRENT YEAR – 18] |
| DEFINE CAL<br>CALCAGE =             | CAGE:<br>AGE CALCULATED BASED ON DATE OF BIRTH (DEMO1) AND DATE OF<br>INTERVIEW                  |
| DEFINE AGI<br>IF CALCAGI            | EFILL:<br>E ≠ EMPTY THEN AGEFILL = CALCAGE   |
| DEMO1a.                             | [COMPUTER TO CALCULATE AGE] That means you are currently [AGEFILL] years old. Is that correct?   |
|                                     | 1 YES 2 NO DK/REF  |
| DEMO1b.<br>you?                     | [IF DEMO1a = 2 OR DK OR REF OR DEMO1year = DK/REF] How old are                                   |
| UPDATE CA<br>IF DEMO1b <del>7</del> | AGE IN YEARS: [RANGE: 18 – 95] LCAGE:  EMPTY THEN CALCAGE = AGE REPORTED IN DEMO1b               |

# **DEMO1c**. [IF DEMO1b= DK OR REF]

(INTERVIEWER: ASK IF NOT OBVIOUS)

As of today, [date of interview] are you 18 years old or older?

- 1 YES Go to DEMO2
- 2 NO Go to Interview Closeout

DK/REF Go to Interview Closeout

# **DEMO2**. Are you of Spanish, [Latino/Latina], or Hispanic origin?

- 1 YES
- 2 NO

DK/REF

# **DEMO3**. Which of these categories describes your race? You may answer yes to one or more of these categories.

|  | Yes | No |
|--|-----|----|
| <b>DEMO3a</b> White?                           | 1   | 2  |
| <b>DEMO3b</b> Black or African-American?       | 1   | 2  |
| <b>DEMO3c</b> American Indian or Alaska        | 1   | 2  |
| Native?  |     |    |
| <b>DEMO3d</b> Asian?                           | 1   | 2  |
| <b>DEMO3e</b> Native Hawaiian or other Pacific | 1   | 2  |
| Islander?                                      |     |    |
| <b>DEMO3f</b> Anything else?                   | 1   | 2  |
| <b>DEMO3OTH</b> IF DEMO3f = 1] SPECIFY:        |     |    |
|  |     |    |

# **DEMO4**. Are you **now**...

- 1 Married,
- Widowed,
- 3 Divorced,
- 4 Separated, for reasons other than incarceration, or
- 5 Never married?

- DEMO5. Have you ever served in the United States Armed Forces? 1 YES
  - 2 NO

DK/REF

DEMO5a. Which branches have you served in? MARK ALL THAT APPLY

- 1 Army, including the Army National Guard or Reserve
- 2 Navy, including the Reserve
- 3 Marine Corps, including the Reserve
- 4 Air Force, including the Air National Guard or Reserve
- 5 Coast Guard, including the Reserve

DK/REF

# A – Military Service Block

Block Level Universe: (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5)

DEMOA2. [IF DEMO5a = 1 OR 2 OR 3 OR 4 OR 5] In what year did you **first** enter the United States Armed Forces?

> YEAR: \_\_\_ [RANGE: 1920 – CURRENT YEAR] DK/REF

DEMOA3. [IF DEMO5a = 1 OR 2 OR 3 OR 4 OR 5] In what year were you last discharged from the United States Armed Forces?

(ENTER '999' IF INMATE HAS NOT BEEN DISCHARGED/IS CURRENTLY

SERVING)

\_\_\_ [RANGE: 1920 – CURRENT YEAR] YEAR:

DK/REF 999

#### **DEFINE DEMOA1:**

IF DEMOA3 = 999 THEN set DEMOA1 = 1IF DEMOA3 = 1920 - CURRENT DATE THEN set DEMOA1 = 2 ELSE, DEMOA1 = EMPTY

**DEMOA4.** [IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 1] Altogether, how much time have you served in the United States Armed Forces?

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 2] Altogether, how much time did you serve in the United States Armed Forces?

- 1 Less than 1 year
- 2 At least one year but less than 3 years
- 3 At least 3 years but less than 5 years
- 4 At least 5 years but less than 10 years
- 5 At least 10 years but less than 15 years
- 6 At least 15 years but less than 20 years
- 7 20 years or more

DK/REF

**DEMOA5**. [IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 2] While serving in the United States Armed Forces did you ever serve in a combat or war zone?

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 1] While serving in the United States Armed Forces have you ever served in a combat or war zone?

- 1 YES
- 2 NO

DK/REF

**DEMOA6.** [IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1= 1] (While serving in the United States Armed Forces,) have you seen combat in a line or combat unit?

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 2] While serving in the United States Armed Forces, did you see combat in a line or combat unit?

- 1 YES
- 2 NO

**DEMOA7**. [IF DEMOA6 = 1] Did you see combat in a line or combat unit in...

|  | Yes | No |
|--|-----|----|
| DEMOA7a. [IF CALCAGE > 80] World War II?                 | 1   | 2  |
| DEMOA7b. [IF CALCAGE > 74] the Korean Conflict?          | 1   | 2  |
| DEMOA7c. [IF CALCAGE > 52] the Vietnam War?              | 1   | 2  |
| DEMOA7d. [IF CALCAGE > 38] the Persian Gulf War?         | 1   | 2  |
| DEMOA7e. [IF CALCAGE > 16] Afghanistan?                  | 1   | 2  |
| DEMOA7f. [IF CALCAGE > 16] Iraq?                         | 1   | 2  |
| DEMOA7g. [IF CALCAGE> 16] Some other military operation, | 1   | 2  |
| which may include peacekeeping operations                |     |    |

#### **DEMOA8**

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 1] While serving in the United States Armed Forces have you ever been exposed to dead, dying, or wounded people?

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 2] While serving in the United States Armed Forces were you ever exposed to dead, dying, or wounded people?

- 1 YES
- 2 NO

DK/REF

#### **DEMOA9**

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 1] (While serving in the United States Armed Forces) have you ever been a prisoner of war?

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 2] (While serving in the United States Armed Forces) were you ever a prisoner of war?

- 1 YES
- 2 NO

**DEMOA10** [IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 1] (While serving in the United States Armed Forces) have you ever been a exposed to environmental hazards such as Agent Orange, chemical warfare agents, ionizing radiation, or other potentially toxic substances?

[IF (DEMO5a = 1 OR 2 OR 3 OR 4 OR 5) AND DEMOA1 = 2] (While serving in the United States Armed Forces) were you ever exposed to environmental hazards such as Agent Orange, chemical warfare agents, ionizing radiation, or other potentially toxic substances?

- 1 YES
- 2 NO

DK/REF

**DEMOA11**. [IF DEMO5a = 1 OR 2 OR 3 OR 4 OR 5] (While serving in the United States Armed Forces,) did you suffer any injury as a result of training or combat?

- 1 YES
- 2 NO

DK/REF

**DEMOA12**. [IF DEMOA1 = 2] What type of discharge did you receive from the United States Armed Forces?

- 1 Honorable
- 2 General under honorable conditions
- 3 General without honorable conditions
- 4 Other than honorable
- 5 Bad conduct
- 6 Dishonorable
- 7 Some other type of discharge

DK/REF

**DEMOA13** [IF DEMOA12 = 7] What type of discharge did you receive?

- 1 MEDICAL
- 2 PSYCHOLOGICAL
- 3 OTHER

**DEMOA14** [IF DEMOA13 = 1] Was your medical discharge honorable, dishonorable, or something else?

- 1 HONORABLE
- 2 DISHONORABLE
- 3 SOMETHING ELSE

DK/REF

**DEMOA15** [IF DEMOA13 = 2] Was your psychological discharge honorable, dishonorable, or something else?

- 1 HONORABLE
- 2 DISHONORABLE
- 3 SOMETHING ELSE

DK/REF

END Military Service Block (A)

# Section 2 — Criminal Justice (CJ)

**CJ1.** Now I am going to ask you some questions about why you are here.

Are you currently sentenced to serve time for any offense?

- 1 YES Go to CJ4 2 NO Go to CJ2
- DK/REF Go to CJ2
- CJ2 [IF CJ 1 = 2, DK, REF] Are you awaiting trial, sentencing, or a revocation hearing?
  - 1 YES Go to CJ2a
  - 2 NO Go to C.I3

DK/REF Go to CJ3

**CJ2a.** [IF CJ2 = 1] Are you...

- 1 Awaiting trial Go to CJ4
- 2 Awaiting sentencing, or Go to CJ4
- 3 Awaiting a revocation hearing? Go to CJ2b

DK/REF

**CJ2b.** [IF CJ2a = 3] Are you awaiting revocation of...

- 1 Parole or post-release supervision release after serving time, Go to CJA1
- 2 Probation, including shock probation and split sentences? Go to CJB1 DK/REF
- **CJ3.** [IF CJ2 = 2, DK, REF] Have you been told by a judge or jury that you are to spend time in prison for an offense?
  - 1 YES Go to CJ4
  - 2 NO Go to C5

DK/REF Go to CJ4

- **CJ4.** [IF CJ1 = 1 OR (CJ2a = 1 OR 2) OR (CJ3 = 1 OR DK OR REF)] When you were arrested or charged with the offenses for which you are now serving time, were you on...
  - 1 Parole or post-release supervision after serving time? Go to CJA1
  - 2 Probation, including shock probation and split sentences? Go to CJB1
  - 3 Escape? Go to CJ17
  - 4 Or none of these (no parole, probation, or escape) Go to CJ11TYPE OR CJ14TYPE

CJ5 [CJ1=2 AND CJ2=2 AND CJ3=2] Are you being held in this facility....

|                                     | Yes         | No           |
|-------------------------------------|-------------|--------------|
| <b>CJ5a</b> for other authorities?  | 1 Go to CJ6 | 2 Go to CJ5b |
| [IF CJ5a = 2]                       | 1 Go to CJ7 | 2 Go to CJ5c |
| <b>CJ5b</b> for safe keeping?       |             |              |
| [IF CJ5b = 2]                       | 1 Go to CJ7 | 2 Go to CJ5d |
| <b>CJ5c</b> for a civil commitment? |             |              |
| [IF CJ5c = 2]                       | 1 Go to CJ7 | 2 Go to CJ7  |
| CJ5d any other reason?              |             |              |
| (CJ5_OTH) SPECIFY                   |             |              |

- **CJ6** [IF CJ5a = 1] For what other authorities are you being held? ALLOW ONLY ONE ENTRY.
  - 1 State authorities
  - 2 Local authorities
  - 3 Federal Bureau of prisons
  - 4 U.S. Immigration and Customs Enforcement
  - 5 U.S. Marshals Service
  - 6 Other (CJ6\_OTH) Specify: \_\_\_\_\_

Go to CJ7

#### **DEFINE HELD\_FILL:**

IF CJ5a = 1 THEN HELD FILL = "being held for (insert response from CJ6)?"

IF CJ5b = 1 THEN HELD\_FILL = "in safe keeping?"

IF CJ5c = 1 THEN HELD FILL = "being held on a civil commitment?"

IF CJ5d = 1 THEN HELD\_FILL = "being held?"

- **CJ7.** [IF CJ3= 2] When you were arrested or charged with the offenses for which you are now incarcerated, were you on...
  - 1 Parole or post-release supervision after serving time? Go to CJA1
  - 2 Probation, including shock probation and split sentences? Go to CJB1
  - 3 Escape? Go to CJ17
  - 4 Or none of these (no parole, probation, or escape) Go to CJ8

| -                             | CJ5b = 1  OR  CJ5c = 1   | OR CJ5d = 1] For what offenses are you          |
|-------------------------------|--|---|
| [HELD_FILL]                   |  |   |
|                               |  | TER OFFENSES. USE 'OTHER' IF OFFENSE            |
| IS NOT FOUR                   | ND IN THE TABLE. E   | NTER '999' AFTER THE LAST OFFENSE IS            |
| RECORDED.                     | )  |   |
|                               | ´1   |   |
| (CI8a)                        | 2  |   |
| (CIQ <sub>0</sub> )           | 2  |   |
| (CIO <sub>a</sub> )           | 3  |   |
|                               | 4  |   |
| (CJ8a)                        | 5  |   |
|                               |  |   |
| (VERI                         | FY THE RECORDED  | OFFENSES WITH THE RESPONDENT.)                  |
| Go to                         | CJ9  |   |
|                               |  |   |
| <b>CJ9</b> [IF CJ5 $a = 1$ or | CJ5b = 1 or $CJ5c = 1$ or  | r CJ5d = 1] In what month and year were you     |
| arrested for or               | charged with [the offe   | nse/those offenses] for which you are now being |
| held for [fill fro            | _  | , , , , , , , , , , , , , , , , , , ,           |
| note for [IIII II.            | sin evoj.  |   |
|                               |  |   |
| (IE MODE T                    | HAN ONE DATE IS  | CIVEN TAKE THE EADI LEGT DATE                   |
| `                             |  | GIVEN, TAKE THE EARLIEST DATE.)                 |
| `                             |  | K PLACE, ASK FOR THE DATE WHEN                  |
| NOTIFIED C                    | OF CHARGES.)   |   |
|                               |  |   |
| CJ9a                          | 2-DIGIT MONTH: _   | [RANGE: 1 – 12]                                 |
|                               | DK/REF   | -   |
| C.I9h                         |  | [RANGE: 1915 – current year]                    |
| C <b>0</b> 7.0                | DK/REF   | [ranvoll. 1915 carrent year]                    |
|                               | DICKEI   | FI NOTE: I will refer to this date as your      |
|                               |  | · · · · · · · · · · · · · · · · · · ·           |
|                               |  | arrest date throughout the rest of the survey.  |
|                               |  |   |
| DEFINE DATE_AR                |  |   |
| IF CJ9a $\neq$ EMPTY A        | .ND CJ9b≠EMPTY TI  | HEN DATE_ARREST = "in MONTH, YEAR"              |
| ELSE DATE_ARRES               | ST = EMPTY   |   |
|                               |  |   |
|                               |  |   |
| CJ10  [IF  CJ5a = 1.0]        | r CI5b = 1  or  CI5c = 1  or  CI | or CJ5d = 1] On what date were you admitted to  |
| _                             | at offense/those offense   | - · · · · · · · · · · · · · · · · · · ·         |
| prison for tina               | it offense/those offens  | cs]:  |
|                               |  |   |
| OT10                          |  | IDANGE 1 101                                    |
| CJ10a                         |  | [RANGE: 1 – 12]                                 |
|                               | DK/REF   |   |
| CJ10b                         | 2-DIGIT DAY:   | [RANGE: 1 – 31]                                 |
|                               | DK/REF   |   |
| C.I10c                        |  | [RANGE: 1915 – current year]                    |
| 23200                         | DK/REF   |   |
|                               | - 13/ IXLI   | FI NOTE: This is the date you began being       |
|                               |  | FI NOTE: This is the date you began being       |
|                               |  | held.   |

#### **DEFINE DATE\_ADMIT:**

IF CJ10a ≠ EMPTY AND CJ10b ≠ EMPTY AND CJ10c ≠ EMPTY THEN DATE\_ADMIT = "on MONTH, DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ10c ≠ EMPTY THEN DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE\_ADMIT = EMPTY

New court commitments (no CJ status and sentenced to serve time)

**CJ11TYPE** [IF CJ1 = 1 AND CJ4 = 4] For what offenses are you now in prison?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJ11
- 4 NO MORE VIOLATIONS DK/REF

#### **CJ11** [CJ11TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJ11a) | 1 |      |  |
|---------|---|------|--|
| (CJ11b) | 2 | <br> |  |
| (CJ11c) | 3 |      |  |
| (CJ11d) | 4 |      |  |
| (CJ11e) | 5 |      |  |

(VERIFY THE RECORDED OFFENSES WITH THE RESPONDENT.) Go to CJ12

**CJ12** [IF CJ1 = 1 AND CJ4 = 4 AND CJ11TYPE = 3] In what month and year were you arrested for or charged with [fill that offense/those offenses]?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE EARLIEST DATE.) (IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN NOTIFIED OF CHARGES.)

| CJ12a 2-DIGIT MONTH: | [RANGE: 1 – 12]             | DK/REF |
|----------------------|-----------------------------|--------|
| CJ12b 4-DIGIT YEAR:  | [RANGE: 1915 – current year | DK/REF |

FI NOTE: I will refer to this date as your arrest date throughout the rest of the survey.

#### **UPDATE DATE\_ARREST:**

IF CJ12a  $\neq$  EMPTY AND CJ12b  $\neq$  EMPTY THEN DATE\_ARREST = "in MONTH, YEAR" ELSE DATE\_ARREST = EMPTY

| CJ13 [                      | prison for (Fill that<br>CJ13a. 2-DIC<br>DK/R                | 4 = 4 AND CJ11TYPE = 3] On what date were you admitted to offense/those offenses)?  HT MONTH: [RANGE: 1 - 12]  EF  HT DAY: [RANGE: 1 - 31]       |
|-----------------------------|--|--|
|                             | DK/R   |  |
|                             | DK/R   | IT YEAR: [RANGE: 1915 – current year] EF   |
|                             | Go to CJ19   |  |
| IF CJ1 "on Mo IF CJ1 "in MO | ONTH DAY, YEAR"  | J13b ≠ EMPTY AND CJ13c ≠ EMPTY THEN DATE_ADMIT = J13b = EMPTY AND CJ13c ≠ EMPTY THEN DATE_ADMIT = JPTY   |
| <u>Unsent</u>               | <u>enced</u>   |  |
| CJ14T                       | <ul><li>1 PAROLE</li><li>2 PROBAT</li><li>3 INMATE</li></ul> | D (CJ2a = 1 OR 2)] For what offenses are you (fill from CJ2a)? VIOLATION ION VIOLATION PROVIDED ACTUAL OFFENSE(S) <i>Go to CJ14</i> E VIOLATIONS |
| CJ14                        | IS NOT FOUND IN  | TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS   |
|                             | RECORDED.)   |  |
|                             | (CJ14a)<br>(CJ14b)   | 1  |
|                             | (CJ140)<br>(CJ14c)   | 2  |
|                             | (CJ14d)  | 3<br>4   |
|                             | (CJ14e)  | 5  |
|                             | (VERIFY TH   | E RECORDED OFFENSES WITH THE RESPONDENT.)  |

Go to CJ15

**CJ15** [IF CJ4 = 4 AND (CJ2a = 1 OR 2) AND CJ14TYPE = 3] In what month and year were you arrested for or charged with [fill that offense/those offenses]?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE EARLIEST DATE.) (IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN NOTIFIED OF CHARGES.)

CJ15a 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12]

DK/REF

CJ15b 4-DIGIT YEAR: \_\_\_\_\_[RANGE: 1915 – current year]

DK/REF

FI NOTE: I will refer to this date as your arrest date throughout the rest of the survey.

#### **UPDATE DATE\_ARREST:**

IF CJ15a ≠ EMPTY AND CJ15b ≠ EMPTY THEN DATE\_ARREST = "in MONTH, YEAR" ELSE DATE\_ARREST = EMPTY

**CJ16** [IF CJ4 = 4 AND (CJ2a = 1 OR 2) AND CJ14TYPE = 3] On what date were you admitted to prison for (Fill that offense/those offenses)?

#### **UPDATE DATE ADMIT:**

IF CJ16a ≠ EMPTY AND CJ16b ≠ EMPTY AND CJ16c ≠ EMPTY THEN DATE\_ADMIT = "on MONTH DAY, YEAR"

IF CJ16a  $\neq$  EMPTY AND CJ16b = EMPTY AND CJ16c  $\neq$  EMPTY THEN DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE\_ADMIT = EMPTY

Section: On ESCAPE (those with new offenses and those with no new offenses)

CJ17 [IF CJ4 = 3 OR CJ7 = 3] When you were admitted to prison after being on escape, were you charged with any new offenses?

- 1 YES 2 NO – Go to CJ26 DK/REF
- **CJ18** [IF CJ17 = 1] Have you been sentenced for any of these offenses? Include any sentence for escape.
  - 1 YES 2 NO – Go to CJ23 DK/REF

On escape from correctional facility with new offenses (sentenced)

**CJ19TYPE** [IF CJ18 = 1] For what new offenses were you sentenced?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJ19
- 4 ESCAPE
- 7 NO MORE VIOLATIONS
- **CJ19** [CJ19TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJ19a) | 1 |
|---------|---|
| (CJ19b) | 2 |
| (CJ19c) | 3 |
| (CJ19d) | 4 |
| (CJ19e) | 5 |

(VERIFY THE RECORDED OFFENSES WITH THE RESPONDENT.)

**CJ20** [IF CJ19TYPE = 3] In what month and year were you arrested for this new offense/these new offenses after being on escape?

(IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN NOTIFIED OF CHARGES.)

CJ20a 2-DIGIT MONTH: \_\_\_\_\_ [RANGE: 1 – 12]

DK/REF

CJ20b 4-DIGIT YEAR: \_\_\_\_ [RANGE: 1915 – current year]

DK/REF

#### **UPDATE DATE\_ARREST:**

IF CJ20a ≠ EMPTY AND CJ20b ≠ EMPTY THEN DATE\_ARREST = "in MONTH, YEAR"

ELSE DATE ARREST = EMPTY

FIE CIA 2 OD CIZ 210 1 4 14

CJ21 [IF CJ4 = 3 OR CJ7 = 3] On what date were you readmitted to prison after being on escape?

**CJ21a** 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12] DK/REF

**CJ21b** 2-DIGIT DAY: \_\_\_\_\_ [RANGE: 1 – 31] DK/REF

CJ21c 4-DIGIT YEAR: \_\_\_\_\_ [RANGE: 1915 – current year] DK/REF

#### **UPDATE DATE ADMIT:**

IF CJ21a ≠ EMPTY AND CJ21b ≠ EMPTY AND CJ21c ≠ EMPTY THEN DATE\_ADMIT = "on MONTH DAY, YEAR"

IF CJ21a  $\neq$  EMPTY AND CJ21b = EMPTY AND CJ21c  $\neq$  EMPTY THEN DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE ADMIT = EMPTY

On escape from correctional facility with no new (sentenced) offenses

**CJ23TYPE** [IF CJ18 = 2] For what new offenses were you arrested following your escape? Any others?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJ23
- 4 ESCAPE
- 6 NO MORE VIOLATIONS

| CJ23 [CJ23TYPE =                                    | = 3]  |
|---|---|
| _   | OOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE   |
|   | ND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS  |
| RECORDED  |   |
|   | a) 1  |
| ,   |   |
| (CJ23   | b) 2  |
| (CJ23   | c) 3  |
|   | d) 4  |
| (CJ23   | e) 5  |
| (VER  | IFY THE RECORDED OFFENSES WITH THE RESPONDENT.)   |
| <b>CJ24</b> [IF CJ18 = 2] I offenses]?              | In what month and year were you arrested for [this new offense/these new  |
| (IF NO PHYS<br>NOTIFIED O                           | ICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN F CHARGES)  |
| <b>CJ24a</b> 2-DIC                                  | GIT MONTH:[RANGE: 1 – 12]<br>DK/REF   |
| <b>CJ24b</b> 4-DIC                                  | GIT YEAR: [RANGE: 1915 – current year] DK/REF   |
| UPDATE DATE_A<br>IF CJ24a ≠ EMPTY<br>ELSE DATE_ARRE | AND CJ24b ≠ EMPTY THEN DATE_ARREST = "in MONTH, YEAR"   |
| <b>CJ25</b> [IF CJ17 = 2 C escape?                  | OR CJ18 = 2] On what date were you readmitted to prison after being on  |
| CJ25  | a 2-DIGIT MONTH:[RANGE: 1 – 12]<br>DK/REF   |
| CJ25  | b 2-DIGIT DAY: [RANGE: 1 – 31]<br>DK/REF  |
| CJ25  | c 4-DIGIT YEAR: [RANGE: 1915 – current year] DK/REF   |
| "on MONTH DAY,                                      | AND CJ25b \( \neq \) EMPTY AND CJ25c \( \neq \) EMPTY THEN DATE_ADMIT = YEAR"  AND CJ25b = EMPTY AND CJ25c \( \neq \) EMPTY THEN DATE_ADMIT = " |

**CJ26TYPE** [IF CJ4 = 3 OR CJ7 = 3] For what offenses were you serving time before you escaped? Any others?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJ23
- 4 ESCAPE
- 7 NO MORE VIOLATIONS

DK/REF

# **CJ26** [CJ26TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJ26a) | 1 |  |
|---------|---|--|
| (CJ26b) | 2 |  |
| (CJ26c) | 3 |  |
| (CJ26d) | 4 |  |
| (CJ26e) | 5 |  |

(VERIFY THE RECORDED OFFENSES WITH THE RESPONDENT.)

Go To CJ27

\_\_\_\_\_

#### A – Parole Violators Block

Block Level Universe: (CJ4 = 1 OR CJ7 = 1)

<u>Section: On parole or post-release supervision after serving time (those with new offenses and those with no new offenses</u>

#### Original Offense

**CJA1TYPE.** [IF CJ4 = 1 OR CJ7 = 1] For what offenses were you serving time in prison and then put on parole or post-release supervision?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJA1
- 8 NO MORE VIOLATIONS

#### CJA1 [If CJA1TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJA1a) | 1 |  |
|---------|---|--|
| (CJA1b) | 2 |  |
| (CJA1c) | 3 |  |
| (CJA1d) | 4 |  |
| (CJA1e) | 5 |  |

(VERIFY THE RECORDED OFFENSE WITH THE RESPONDENT.)

# Original Arrest Date

**CJA2** [IF CJ4 = 1 OR CJ7 = 1] In what month and year were you arrested for or charged with that offense/those offenses?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE EARLIEST DATE.) (IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN NOTIFIED OF CHARGES.)

CJA2a 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12] DK/REF

CJA2b 4-DIGIT YEAR: \_\_\_\_\_ [RANGE: 1915 – current year] DK/REF

FI NOTE: I will refer to this date as your arrest date throughout the rest of the survey.

#### **UPDATE DATE ARREST:**

IF CJA2  $\neq$  EMPTY AND CJA2  $\neq$  EMPTY THEN DATE\_ARREST = "in MONTH, YEAR" ELSE DATE\_ARREST = EMPTY

#### New Offense – sentenced inmates

**CJA3**. [IF (CJ4 = 1 OR CJ7 = 1) AND CJ1 = 1] When you were readmitted to prison after being on parole or post-release supervision, were you sentenced for any new offenses? **Include any sentence for the parole violation**.

IF RESPONDENT IS A TECHNICAL VIOLATOR, MARK "No"

- 1 YES Go to CJA4TYPE
- 2 NO Go to CJ27

<sup>\*</sup>On parole with new sentence\*

**CJA4TYPE** [IF CJA3 = 1 AND CJ1 = 1] For what new offenses were you sentenced? Any others?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJA4
- 7 NO MORE VIOLATIONS

DK/REF

#### CJA4 [If CJA4TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJA2a) | 1 |
|---------|---|
| (CJA2b) | 2 |
| (CJA2c) | 3 |
| (CJA2d) | 4 |
| (CJA2e) | 5 |

(VERIFY THE RECORDED OFFENSE WITH THE RESPONDENT.)

#### New Arrest Date - Sentenced inmates

**CJA5**. [IF CJA3 = 1 AND CJ1= 1] In what month and year were you arrested for [this new offense/these new offenses]?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE MOST RECENT DATE.)

(IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN NOTIFIED OF THE CHARGES]

| CJA5a 2-DIGIT MONTH: DK/REF   | [RANGE: 1 – 12]              |
|-------------------------------|------------------------------|
| CJA5b 4-DIGIT YEAR:<br>DK/REF | [RANGE: 1915 – current year] |

#### **UPDATE DATE ARREST:**

IF CJA5a  $\neq$  EMPTY AND CJA5b  $\neq$  EMPTY THEN DATE\_ARREST = "in MONTH, YEAR" ELSE DATE\_ARREST = EMPTY

#### New Offense – unsentenced inmates

**CJA6** [IF (CJ4 = 1 OR CJ7 = 1) AND CJ1 = 2] When you were readmitted to prison after being on parole or post-release supervision, were you arrested or charged for any new offenses? **Include any sentence for the parole violation.** 

IF RESPONDENT IS A TECHNICAL VIOLATOR, MARK "No"

- 1 YES AND Go to CJA7TYPE
- NO Go to CJ27

DK/REF

**CJA7TYPE** [IF CJA6 = 1 AND CJ1 = 2] For what new offenses were you arrested or charged?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJA7
- 7 NO MORE VIOLATIONS DK/REF

# **CJA7** [If CJA7TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJA7a) | 1 |      |  |
|---------|---|------|--|
| (CJA7b) | 2 | <br> |  |
| (CJA7c) | 3 | <br> |  |
| (CJA7d) | 4 | <br> |  |
| (CJA7e) | 5 |      |  |

(VERIFY THE RECORDED OFFENSE WITH THE RESPONDENT.)

New Arrest Date – Unsentenced inmates

<sup>\*</sup>On parole with no new sentence\*

| offense/these new of   | fenses]?  |
|--|---|
| DATE.)   | ONE DATE IS GIVEN, TAKE THE MOST RECENT ARREST TOOK PLACE, ASK FOR THE DATE WHEN                                      |
| `  | ·   |
| CJA8a  | 2-DIGIT MONTH:[RANGE: 1 – 12]<br>DK/REF   |
| CJA8b  | 4-DIGIT YEAR: [RANGE: 1915 – current year] DK/REF   |
| UPDATE DATE_ARREST IF CJA8a ≠ EMPTY AND CELSE DATE_ARREST = E    | CJA8b≠EMPTY THEN DATE_ARREST = "in MONTH, YEAF  |
| Date (Re)admitted  |   |
| <b>CJA9</b> . [IF CJ4 = 1 OR CJ7 = parole or post-release superv | = 1] On what date were you readmitted to prison after being on vision?  |
| <b>CJA9a</b> 2-DI<br>DK/R  | GIT MONTH: [RANGE: 1 – 12]<br>EF  |
| <b>CJA9b</b> 2-DI<br>DK/R  | GIT DAY: [RANGE: 1-31]<br>EF  |
| <b>CJA9c</b> 4-DI<br>DK/R  | GIT YEAR: [RANGE: 1915 – current year] EF   |
| $DATE\_ADMIT = "c$   | AND CJA9b ≠ EMPTY AND CJA9c ≠ EMPTY THEN on MONTH DAY, YEAR" AND CJA9b = EMPTY AND CJA9c ≠ EMPTY THEN on MONTH, YEAR" |
|  | END Parole Violators Block (A)  |
| Go To CJ27   |   |

#### **B – Probation Violators Block**

Block Level Universe: (CJ4 = 2 OR CJ7 = 2)

Section. On probation (from court/from prison and inmates with new offenses and those with no new offenses)

- **CJB1**. [IF CJ4 = 2 OR CJ7 = 2] Now we are interested in how you received your probation sentence. Was your probation sentence a stand-alone sentence given by the court with no prison or jail time?
  - 1 YES Go to CJB3TYPE
  - 2 NO Go to CJB2

DK/REF

- **CJB2**. [CJB1 = 2] Was your probation sentence part of a split sentence that included serving time in a prison or jail?
  - 1 YES Go to CJB3TYPE
  - 2 NO Go to CJB3TYPE

DK/REF

#### Original Offense

**CJB3TYPE** [IF CJ4 = 2 OR CJ7 = 2] For what offenses were you on probation from a court?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJB3
- 7 NO MORE VIOLATIONS

DK/REF

#### CJB3 [If CJB3TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJB3a) | 1 |
|---------|---|
| (CJB3b) | 2 |
| (CJB3c) | 3 |
| (CJB3d) | 4 |
| (CJB3e) | 5 |

(VERIFY THE RECORDED OFFENSE WITH THE RESPONDENT.)

#### Original Arrest Date

**CJB4** [IF CJ4 = 2 OR CJ7 = 2] In what month and year were you arrested for or charged with [that offense/those offenses]?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE EARLIEST DATE.) (IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN NOTIFIED OF CHARGES.)

CJAB42-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12]
DK/REF

CJAB44-DIGIT YEAR: \_\_\_\_\_[RANGE: 1915 – current year]
DK/REF

FI NOTE: I will refer to this date as your arrest date throughout the rest of the survey.

#### **UPDATE DATE\_ARREST:**

IF CJB4 ≠ EMPTY AND CJB4 ≠ EMPTY THEN DATE\_ARREST = "in MONTH, YEAR" ELSE DATE\_ARREST = EMPTY

#### New Offense – sentenced inmates

**CJB5**. [IF (CJ4 = 2 OR CJ7 = 2) AND CJ1 = 1] When you were readmitted to prison after being on probation, were you sentenced for any new offenses? **Include any sentence for the probation violation.** 

IF RESPONDENT IS A TECHNICAL VIOLATOR, MARK "No"

1 YES Go to CJB6TYPE 2 NO Go to CJ27 DK/REF

**CJB6TYPE** [IF CJB5 = 1 AND CJ1 = 1] For what new offenses were you sentenced? Any others?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJB6
- 7 NO MORE VIOLATIONS DK/REF

<sup>\*</sup>On probation with new sentence\*

| (US)<br>IS N |                    |    | O ENTER OFFE<br>E. ENTER '999 |   |  |
|--------------|--------------------|----|-------------------------------|---|--|
|              | (CJB6a)<br>(CJB6b) | 12 |                               | _ |  |

(VERIFY THE RECORDED OFFENSE WITH THE RESPONDENT.)

New Arrest Date – Sentenced inmates

(CJB6c) (CJB6d) (CJB6e)

**CJB7**. [IF CJB5 = 1 AND CJ1= 1] In what month and year were you arrested for this new offense/these new offenses?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE EARLIEST DATE.) (IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN

CJB7a 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12] DK/REF

CJB7b 4-DIGIT YEAR: \_\_\_\_\_ [RANGE: 1915 – current year] DK/REF

#### **UPDATE DATE ARREST:**

IF CJB7a  $\neq$  EMPTY AND CJB7b  $\neq$  EMPTY THEN DATE\_ARREST = "in MONTH, YEAR" ELSE DATE\_ARREST = EMPTY

#### New Offense – unsentenced inmates

**CJB8** [IF (CJ4 = 2 OR CJ7 = 2) AND CJ1 = 2] When you were readmitted to prison after being on probation, were you arrested or charged for any new offenses? **Include any sentence for the probation violation.** 

IF RESPONDENT IS A TECHNICAL VIOLATOR, MARK "No"

- 1 YES AND Go to CJB9TYPE
- 2 NO Go to CJ27

<sup>\*</sup>On probation with no new sentence\*

**CJB9TYPE** [IF CJB8 = 1 AND CJ1 = 2] For what new offenses were you arrested or charged?

- 1 PAROLE VIOLATION
- 2 PROBATION VIOLATION
- 3 INMATE PROVIDED ACTUAL OFFENSE(S) Go to CJB9
- 7 NO MORE VIOLATIONS DK/REF

#### **CJB9** [If CJB9TYPE = 3]

(USE THE LOOKUP TABLE TO ENTER OFFENSES. USE 'OTHER' IF OFFENSE IS NOT FOUND IN THE TABLE. ENTER '999' AFTER THE LAST OFFENSE IS RECORDED.)

| (CJB9a) | 1 |  |
|---------|---|--|
| (CJB9b) | 2 |  |
| (CJB9c) | 3 |  |
| (CJB9d) | 4 |  |
| (CJB9e) | 5 |  |

(VERIFY THE RECORDED OFFENSE WITH THE RESPONDENT.)

#### New Arrest Date – Unsentenced inmates

**CJB10** [IF CJB8 = 1 AND CJ1= 2] In what month and year were you arrested for this new offense/these new offenses?

(IF MORE THAN ONE DATE IS GIVEN, TAKE THE MOST RECENT DATE.)

(IF NO PHYSICAL ARREST TOOK PLACE, ASK FOR THE DATE WHEN

CJB10a 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12] DK/REF

CJB10b 4-DIGIT YEAR: [RANGE: 1915 – current

**b** 4-DIGIT YEAR: \_\_\_\_\_ [RANGE: 1915 – current year] DK/REF

#### **UPDATE DATE ARREST:**

IF CJB10a ≠ EMPTY AND CJB10b ≠ EMPTY THEN DATE\_ARREST = "in MONTH, YEAR"

ELSE DATE\_ARREST = EMPTY

#### Date (Re)admitted

**CJB11**. [IF CJ4 = 2 OR CJ7 = 2] On what date were you readmitted to prison after being on probation?

|                  | a 2-DIGIT MONTH:<br>DK/REF                                 | [RANGE: 1 – 12]   |
|------------------|--|---|
|                  | <b>b</b> 2-DIGIT DAY: _<br>DK/REF                          | [RANGE: 1-31]   |
|                  | <b>c</b> 4-DIGIT YEAR: _<br>DK/REF                         | [RANGE: 1915 – current year]  |
| DATE_ADMIT = "on | AND CJB11b ≠ EM<br>MONTH DAY, YEA<br>AND CJB11b = EMI<br>" | PTY AND CJB11c≠EMPTY THEN<br>AR"<br>PTY AND CJB11c≠EMPTY THEN DATE_ADMIT  |
|                  | END  | Probation Violators Block (B)   |
| arrested [DAT 0  | E_ARREST] and be   | er, how long did you spend in jail after you were fore being admitted to prison [DATE_ADMIT]]?  If 1 offense go to CJC2 If 2+ offenses go to CJC4 If 1 offense go to CJC2 If 2+ offenses go to CJC2 |
| CJ27b.<br>CJ27c. | YEARS:<br>MONTHS:<br>WEEKS:<br>DAYS:                       | [RANGE: 0 - 30, blank]  |

#### **C – Sentencing Block**

**Block Level Universe: (CJ1 = 1)** 

- **CJC1** [IF CJ1 = 1 AND (CJ27 = 1 DAY OR LONGER)] How much jail time was applied to your prison sentence?
  - 0 NONE OF IT

If 2+ offenses go to CJC4

1 ALL OF IT

If 1 offense go to CJC2
If 2+ offenses go to CJC4

Sentenced prisoners with one offense

#### **DEFINE OFFENSE SINGLE:**

IF CJA4 = 1 offense THEN OFFENSE\_SINGLE = offense listed in CJA4

IF CJA4 = EMPTY AND CJA1 = 1 offense THEN OFFENSE\_SINGLE = offense listed in CJA1

IF CJB6 = 1 offense THEN OFFENSE SINGLE = offense listed in CJB6

IF CJB6 = EMPTY AND CJB3 = 1 offense THEN OFFENSE\_SINGLE = offense listed in CJB3

IF CJ11 = 1 offense THEN OFFENSE\_SINGLE = offense listed in CJ11

IF CJ26 = 1 offense THEN OFFENSE SINGLE = offense listed in CJ26

IF CJ26 = EMPTY AND CJ19 = 1 offense THEN OFFENSE\_SINGLE = offense listed in CJ19

CJC2 [IF CJ1 = 1 AND (CJA3 = 2 AND CJA1 = 1 offense) OR (CJA3 = 1 AND CJA4 = 1 offense) OR (CJB5 = 2 AND CJB3 = 1 offense) OR (CJB5 = 1 AND CJB6 = 1 offense) OR (CJ11 = 1 offense) OR (CJ18 = 1 and CJ19 = 1 offense) OR (CJ17 = 2 and CJ26 = 1 offense)]

How long is your sentence to prison for [OFFENSE\_SINGLE]? Include any time suspended by a judge or court. (DID THE INMATE GIVE A SINGLE/FLAT SENTENCE OR A RANGE OF TIME?)

- 1 SINGLE OR FLAT SENTENCE (GO TO CJC3a)
- 2 RANGE OF TIME OR INDETERMINATE SENTENCE (GO TO CJC3b) DK/REF

#### CJC3a (RECORD SINGLE/FLAT SENTENCE)

- 1 LIFE
- 2 LIFE PLUS ADDITIONAL YEARS
- 3 LIFE WITHOUT PAROLE
- 4 DEATH
- 5 INTERMITTENT (NIGHTS/WEEKENDS)

#### OR

CJC3a1. YEARS: [RANGE: 1 – 99, blank] CJC3a2 MONTHS: [RANGE: 1 – 99, blank] CJC3a3 DAYS: [RANGE: 1 – 90, blank]

#### **CJC3b** (RECORD RANGE OF TIME OR INDETERMINATE SENTENCE)

|                         | Minimum              | <u>Maximum</u>      |
|-------------------------|----------------------|---------------------|
| CJC3b1YEARS:            | (Range 1-50, blank)_ | (Range 1-99, blank) |
| CJC3b2 MONTHS:          | (Range 1-36, blank)_ | (Range 1-99, blank) |
| CJC3b3 DAYS:            | (Range 1-90, blank)_ | (Range 1-90, blank) |
| (add maximum of "life") |                      |                     |

Sentenced prisoners with multiple offenses

#### **DEFINE OFFENSES MULTI:**

IF CJA4 = 2+ offense THEN OFFENSES\_ MULTI = offenses listed in CJA4

IF CJA4 = EMPTY AND CJA1 = 2+ offenses THEN OFFENSES\_ MULTI = offenses listed in CJA1

IF CJB6 = 2+ offenses THEN OFFENSES\_ MULTI = offenses listed in CJB6

IF CJB6 = EMPTY AND CJB3 = 2+ offenses THEN OFFENSES\_ MULTI = offenses listed in CJB3

IF CJ11 = 2+ offenses THEN OFFENSES\_ MULTI = offenses listed in CJ11

IF CJ26 = 2+ offenses THEN OFFENSES MULTI = offenses listed in CJ26

IF CJ26 = EMPTY AND CJ19 = 2+ offenses THEN OFFENSES\_ MULTI = offense listed in CJ19

# CJC4 [IF CJ1 = 1 AND (CJA3 = 2 AND CJA1 = 2+ offenses) OR (CJA3 = 1 AND

CJA4 = 2+ offenses) OR (CJB5 = 2 AND CJB3 = 2+ offenses) OR (CJB5 = 1

AND CJB6 = 2+ offenses) OR (CJ11 = 2+ offenses) OR

(CJ18 = 1 and CJ19 = 2 + offenses) OR (CJ17 = 2 and CJ26 = 2 + offenses)

What is the total maximum sentence length to prison for ALL the consecutive sentences you are serving? [OFFENSES\_MULTI]? Include any suspended time.

(DID THE INMATE GIVE A SINGLE/FLAT SENTENCE OR A RANGE OF TIME?)

- 1 SINGLE OR FLAT SENTENCE (GO TO CJC5a)
- 2 RANGE OF TIME OR INDETERMINATE SENTENCE (GO TO CJC5b)

#### CJC5a (RECORD SINGLE/FLAT SENTENCE)

- 1 LIFE
- 2 LIFE PLUS ADDITIONAL YEARS
- 3 LIFE WITHOUT PAROLE
- 4 DEATH
- 5 INTERMITTENT (NIGHTS/WEEKENDS)
  OR

CJC5a1. YEARS: [RANGE: 1 – 99, blank] CJC5a2 MONTHS: [RANGE: 1 – 99, blank] CJC5a3 DAYS: [RANGE: 1 – 90, blank]

#### CJC5b (RECORD RANGE OF TIME OR INDETERMINATE SENTENCE)

|                         | Minimum             | <u>Maximum</u>       |
|-------------------------|---------------------|----------------------|
| CJC5b1YEARS:            | (Range 1-50, blank) | _(Range 1-99, blank) |
| CJC5b2 MONTHS:          | (Range 1-36, blank) | _(Range 1-99, blank) |
| CJC5b3 DAYS:            | (Range 1-90, blank) | (Range 1-90, blank)  |
| (add maximum of "life") |                     |                      |

**CJC6** [IF CJ1 = 1] When you were sentenced, was any part of your total sentence been suspended by a judge or court?

1 YES 2 NO DK/REF

**FI NOTE:** "Good time", "earned time", "gain time", and "time credits" are not considered a suspended sentence.

**CJC7** [IF CJC6 = 1] By how much was your sentence suspended by a judge or court?

1 ALL OF IT OR

CJC7a YEARS: [RANGE: 1 – 60, blank] CJC7b MONTHS: [RANGE: 1 – 30, blank] CJC7c DAYS: [RANGE: 1 – 200, blank] CJC8 [IF CJ1 = 1 AND (CJ11 OR CJ19 OR CJ26 OR CJA1 OR CJA4 OR CJB3 OR CJB6) = 2+] Of the [OFFENSES\_MULTI], for which offense did you receive the longest sentence? [Display offenses from OFFENSES\_MULTI as a pre-chart numbered 1-5 above question]

- 1 (FIRST OFFENSE LISTED)
- 2 (SECOND OFFENSE LISTED)
- 3 (THIRD OFFENSE LISTED)
- 4 (FOURTH OFFENSE LISTED)
- 5 (FIFTH OFFENSE LISTED)
- 8 INMATE ONLY RECEIVED ONE SENTENCE
- 9 ALL SENTENCES ARE THE SAME LENGTH DK/REF

IF FI ENDORSES "8" or "9" IN CJC9, THEN USE A HIERARCHY RULE SUCH THAT THE CONTROLLING OFFENSE ABOUT WHICH WE WILL COLLECT DETAILS IS 1) A VIOLENT OFFENSE (IF THEY REPORT A VIOLENT OFFENSE), 2) A PROPERTY OFFENSE (IF THEY REPORT A PROPERTY OFFENSE), OR A DRUG OFFENSE (IF THEY REPORT A DRUG OFFENSE)

CJC9 [IF CJC8 = 8 OR 9] How long was the sentence for [OFFENSES\_MULTI]? Include any suspended time.
(DID THE INMATE GIVE A SINGLE/FLAT SENTENCE OR A RANGE OF TIME?)

- 1 SINGLE OR FLAT SENTENCE (GO TO CJC10a)
- 2 RANGE OF TIME OR INDETERMINATE SENTENCE (GO TO CJC10b)

#### CJC10a (RECORD SINGLE/FLAT SENTENCE)

- 1 LIFE
- 2 LIFE PLUS ADDITIONAL YEARS
- 3 LIFE WITHOUT PAROLE
- 4 DEATH
- 5 INTERMITTENT (NIGHTS/WEEKENDS) OR

CJC10a1. YEARS: [RANGE: 1 – 99, blank] CJC10a2 MONTHS: [RANGE: 1 – 99, blank] CJC10a3 DAYS: [RANGE: 1 – 90, blank]

### **CJC10b** (RECORD RANGE OF TIME OR INDETERMINATE SENTENCE)

|                         | Minimum             | <u>Maximum</u>      |
|-------------------------|---------------------|---------------------|
| CJC10b1YEARS:           | (Range 1-50, blank) | (Range 1-99, blank) |
| CJC10b2 MONTHS:         | (Range 1-36, blank) | (Range 1-99, blank) |
| CJC10b3 DAYS:           | (Range 1-90, blank) | (Range 1-90, blank) |
| (add maximum of "life") | -                   |                     |

# **CJC11** [IF CJ1 = 1] Does your sentence include –

|   | Yes | No |
|---|-----|----|
| CJC11a Court costs or felony assessment fees?             | 1   | 2  |
| CJC11b Any fines?   | 1   | 2  |
| <b>CJC11c</b> Restitution to the victim if there was one? |     | 2  |
| <b>CJC11d</b> Some other type of fee or payment?          |     | 2  |
| (CJC11_OTH) SPECIFY                                       |     |    |

# **CJC12** [IF CJ1 = 1] Does your sentence include –

|   | Yes | No |
|---|-----|----|
| CJC12a Community Service?                                   | 1   | 2  |
| CJC12b Mandatory drug testing?                              | 1   | 2  |
| CJC12c Drug or alcohol treatment program?                   | 1   | 2  |
| CJC12d Sex offenders treatment program?                     | 1   | 2  |
| CJC12e Psychiatric or psychological counseling or           | 1   | 2  |
| treatment?  |     |    |
| <b>CJC12f</b> Any other special conditions or restrictions? | 1   | 2  |
| (CJC12_OTH) SPECIFY   |     |    |

# **END Sentencing Block (C)**

CJ28 Do you have a definite date on which you expect to be released from prison?

1 YES Go to CJ29 2 NO Go to CJ30

DK/REF

**CJ29** Keep previous question on screen [IF CJ28 = 1] In what month and year do you expect to be released?

CJ29a 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12]

DK/REF

CJ29b 4-DIGIT YEAR: \_\_\_\_\_ [RANGE: current year - 2077]

DK/REF

Go to CJ32

CJ30 [IF CJ28 = 2] Do you expect to ever be released from prison?

- 1 YES Go to CJ31
- 2 NO IF CJ1 = 1Go to CJ34, otherwise Go to CJ36

**CJ31** *Keep previous question on screen* [IF CJ30 = 1] In what month and year is your earliest possible release date?

CJ31a 2-DIGIT MONTH: \_\_\_\_\_[RANGE: 1 – 12] DK/REF

CJ31b 4-DIGIT YEAR: \_\_\_\_\_ [RANGE: current year - 2077] DK/REF

Go to CJ32

**CJ32** [IF CJ28 = 1 OR CJ30 = 1] When you are released, do you expect to be supervised in the community on either parole or probation?

1 YES 2 NO DK/REF

 $IF\ CJ1 = 1Go\ to\ CJ34$ , otherwise  $Go\ toCJ36$ 

#### ESTABLISH CONTROLLING OFFENSE FOR ALL INMATES

- Sentenced inmates:
  - With one offense then that offense is the controlling offense
  - With multiple offenses and sentences, the controlling offense is the offense with the longest sentence
  - With multiple offenses and one sentence, the controlling offense is the "most serious" offense which is determined using the look-up tables and a hierarchy, where violent is most serious, then property, then drug
- Unsentenced prisoners:
  - With one offense then that offense is the controlling offense (For those awaiting sentencing the offenses they were convicted of/awaiting sentencing for are used; for all other inmates including those awaiting trial the arrest offenses are used)
  - With multiple offenses, the "most serious" offense is the controlling offense and is determined using the look-up tables (For those awaiting sentencing the offenses they were convicted of/awaiting sentencing for are used; for all other inmates including those awaiting trial the arrest offenses are used)

IF THE LOOKUP TABLE DOES NOT ENABLE THE FI TO LINK TO AN OFFENSE IN OUR DATABASE, THE FI WILL ASK CJ33 FOR EACH OFFENSE. ONCE IT IS DETERMINED WHICH OFFENSE HAS THE LONGEST SENTENCE *AND* IT IS KNOWN WHICH CATEGORY EACH OFFENSE FALLS INTO (VIOLENT, PROPERTY, DRUG, OR OTHER), THEN WE KNOW WHICH INCIDENT CHARACTERISTICS SECTION OF THIS MODULE (VIOLENT, PROPERTY OR DRUG) THE INMATE SHOULD RECEIVE.

#### **INMATE\_TYPE** (explained)

The following items feed into the MOST SERIOUS OFFENSE, which is used in lieu of a controlling offense for cases where the inmate is awaiting trial: CJ14 and CJ8. There are 2 types of inmates who will have a MOST SERIOUS OFFENSE. The most serious offense will be determined by the (presence of) offenses collected at the various locations described below.

- 1 Inmate awaiting trial or waiting to be sentenced—use offenses from CJ14
- Inmate NOT sentenced, NOT convicted, NOT awaiting trial AND being held for other authorities, a civil commitment, safekeeping, or another reason use offenses from CJ8

The following items feed into the CONTROLLING OFFENSE: CJ11, CJ19, CJ26, CJA1, CJA4, CJB3, and CJB6. There are 10 types of inmates who will have a CONTROLLING OFFENSE. The controlling offense will be determined by the (presence of) offenses collected in the various locations described below.

- Inmate NOT incarcerated for a parole or probation violation and NOT on parole or probation at time of admission (New Court Commitment) use offenses from CJ11
- 4 On Escape with NO new offenses use offenses from CJ26
- 5 On Escape WITH new offenses use offenses from CJ19
- On Escape WITH new [arrest] offenses use offenses from CJ<del>26</del> (although new arrest offenses are collected at CJB23)
- 7 Parole Violator with NO new offenses use offenses from CJA1
- 8 Parole Violator WITH new offenses use offenses from CJA4
- 9 Parole Violator WITH new [arrest] offenses use offenses from CJA1 (although new arrest offenses are collected at CJA7)
- 10 Probation Violator with NO new offenses use offenses from CJB3
- 11 Probation Violator WITH new offenses use offenses from CJB6
- Probation Violator WITH new [arrest] offenses use offenses from CJB3 (although new arrest offenses are collected at CJB9)

#### **DEFINE INMATE TYPE:**

IF (CJ2a = 1 OR 2) AND CJ4 = 4 THEN set INMATE\_TYPE = 1 IF CJ1 = 2 AND CJ2 = 2 AND CJ3 = 2 AND (CJ5a = 1 OR CJ5b = 1 OR CJ5c = 1 OR CJ5d = 1) THEN set INMATE\_TYPE = 2

IF CJ1 = 1 AND CJ4 = 4 THEN set INMATE\_TYPE = 3

IF  $(CJ4 = 3 OR CJ7 = 3) AND CJ17 = 2 THEN set INMATE_TYPE = 4$ 

IF  $(CJ4 = 3 OR CJ7 = 3) AND CJ18 = 1 THEN set INMATE_TYPE = 5$ 

IF (CJ4 = 3 OR CJ7 = 3) AND CJ18 = 2 THEN set INMATE TYPE = 6

IF  $(CJ4 = 1 OR CJ7 = 1) AND CJA3 = 2 THEN set INMATE_TYPE = 7$ 

IF (CJ4 = 1 OR CJ7 = 1) AND CJ1 = 1 AND CJA3 = 1 THEN set INMATE TYPE = 8

IF (CJ4 = 1 OR CJ7 = 1) AND CJ1 = 2 AND CJA6 = 1 THEN set INMATE TYPE = 9

IF  $(CJ4 = 2 OR CJ7 = 2) AND CJB5 = 2 THEN set INMATE_TYPE = 10$ 

IF (CJ4 = 2 OR CJ7 = 2) AND CJ1 = 1 AND CJB5 = 1 THEN set INMATE\_TYPE = 11

IF (CJ4 = 2 OR CJ7 = 2) AND CJ1 = 2 CJB8 = 1 THEN set INMATE\_TYPE = 12

# CJ33 [IF LITERAL CANNOT BE LINKED TO AN OFFENSE IN THE LOOKUP TABLE] Is the [CONTROLLING\_OFFENSE] generally considered a –

- 1 Violent offense? Store 1 in CONTROLLING TYPE
- Property offense? Did you take, damage or handle someone's property, defraud them of something of theirs, embezzle something of value, burglarize a building, or obtain money or other financial gain by deceit? *Store 2 in CONTROLLING\_TYPE*
- Wiolation of laws which could or did give you some financial gain, but not a violent or drug offense? Store 2 in CONTROLLING\_TYPE
- 4 Drug offense? Store 3 in CONTROLLING TYPE
- 5 Public order offense? Store 4 in CONTROLLING\_TYPE IF CJ1 = 1Go to CJ34, otherwise Go toCJ36

  DK/REF IF CJ1 = 1Go to CJ34, otherwise Go toCJ36

#### **DEFINE CONTROLLING\_TYPE**

IF CONTROLLING\_OFFENSE is a Violent Offense THEN CONTROLLING\_TYPE = 1 IF CONTROLLING\_OFFENSE is a Property Offense THEN CONTROLLING\_TYPE = 2 IF CONTROLLING\_OFFENSE is a Drug Offense THEN CONTROLLING\_TYPE = 3 IF CONTROLLING\_OFFENSE is a Public Order Offense THEN CONTROLLING\_TYPE = 4

- **CJ34** [IF CJ1 = 1] Were you acting alone when you committed the [CONTROLLING\_OFFENSE] or was someone or more than one person helping you?
  - 1 ACTING ALONE
  - 2 SOMEONE OR MORE THAN ONE PERSON WAS HELPING DK/REF

**CJ35** [IF CJ34 = 2] How many people were helping you commit the [CONTROLLING\_OFFENSE]?

- 1 ONE
- 2 TWO OR MORE

DK/REF

# E - Violent Crime and Victims Block

**Block Level Universe:** (CJ1 = 1 AND CONTROLLING\_TYPE = 1)

**CJE1** [IF CJ1 = 1 AND CONTROLLING\_TYPE = 1] The next few questions are about the victims of the [CONTROLLING\_OFFENSE] for which you are now serving time in prison.

Was there one victim of the [CONTROLLING\_OFFENSE] or more than one?

- 1 ONE VICTIM Go to CJEA1
- 2 MORE THAN ONE VICTIM Go to CJEB1
- 3 NO VICTIM OR VICTIM WAS NOT A PERSON Go to CJ36
- DK Go to CJ36

REF Go to CJ36

#### EA -Victims Block - Single Victim

Block Level Universe: (CJ1 = 1 AND CONTROLLING\_TYPE = 1 AND CJE1 = 1)

CJEA1 [IF CJE1 = 1] Was the person of Spanish, Latino, or Hispanic origin?

- 1 YES
- 2 NO

DK

REF

**CJEA2** [CJE1 = 1] What race was the person? You may answer yes to one or more of these categories.

(CHECK ALL THAT APPLY)

- 1 White?
- 2 Black or African American?
- 3 American Indian or Alaska Native?
- 5 Asian?
- 6 Native Hawaiian or Other Pacific Islander?

DK

**REF** 

| CJEA3 [IFC]E1 = 1          | ] Was the person male or female?   |
|----------------------------|--|
| 1<br>2<br>DK<br>REF        | MALE<br>FEMALE   |
| CJEA4 [IFCJE1=1            | ] How old would you say the person was?  |
|                            | Under 12 years 12 to 17 18 to 24 25 to 34 35 to 54 55 or older EF  |
| CJEA5 [IFCJE1 = 1] before? | Was the person someone you knew or a stranger you had never seen   |
| 1<br>2<br>DK/I             | KNEW Go to CJEA6<br>STRANGER<br>REF  |
|                            | = 1] How well did you know the person - by sight only, casual ee, or well known?   |
| 1<br>2<br>3<br>DK/F        | SIGHT ONLY<br>CASUAL ACQUAINTANCE<br>WELL KNOWN<br>REF   |
|                            | =3] What was the person's relationship to you at the time of the example, a friend, relative, etc.  SPOUSE EX-SPOUSE PARENT/STEP-PARENT OWN CHILD STEPCHILD BROTHER/SISTER/STEPBROTHER/STEPSISTER OTHER RELATIVE – (CJEA7_RELSPECIFY BOYFRIEND/GIRLFRIEND EX-BOYFRIEND/EX-GIRLFRIEND FRIEND/EX-FRIEND OTHER (CJEA7_OTH) SPECIFY: |
| DK/N                       | XL1  |

#### **EFINE VIOLENT\_TYPE**

IF CONTROLLING\_TYPE = 1 AND there is a match of literals with "rape" from Lookup Table THEN VIOLENT\_TYPE = 1 (RAPE)

IF CONTROLLING\_TYPE = 1 AND there is a match of literals with "murder", "manslaughter", or "homicide" from Lookup Table THEN VIOLENT\_TYPE = 2 (MURDER/MANSLAUGHTER/HOMOCIDE)

IF CONTROLLING\_TYPE = 1 AND there is NO match of literals with "rape", "murder", "manslaughter", or "homicide" from Lookup Table THEN VIOLENT\_TYPE = EMPTY

CJEA8 [IFCJE1 = 1 AND VIOLENT\_TYPE = EMPTY] (INTERVIEWER: CLASSIFY THIS OFFENSE, [CONTROLLING\_OFFENSE], INTO ONE OF THE CATEGORIES BELOW)

- 1 RAPE Go to CJEA11
- 2 MURDER/MANSLAUGHTER/HOMICIDE Go to CJ36
- 3 OTHER VIOLENT OFFENSE Go to CJEA9

#### **UPDATE VIOLENT TYPE**

IF CONTROLLING\_TYPE = 1 AND there was NO match of literals with "rape" from Lookup Table and CJEA8 = 1 THEN VIOLENT\_TYPE = 1 (RAPE)
IF CONTROLLING\_TYPE = 1 AND there was NO match of literals with "murder", "manslaughter", or "homicide" from Lookup Table and CJEA8 = 2 THEN VIOLENT\_TYPE = 2 (MURDER/MANSLAUGHTER/HOMOCIDE)
IF CONTROLLING\_TYPE = 1 AND there was NO match of literals with "rape", "murder", "manslaughter", or "homicide" from Lookup Table and CJEA8 = 3 THEN VIOLENT\_TYPE = 3

**CJEA9** [IFCJE1 = 1 AND VIOLENT\_TYPE = 3] Was this person hurt or injured during the [CONTROLLING\_OFFENSE]?

- 1 YES Go to CJEA10
- 2 NO Go to CJ36 DK Go to CJ36 REF Go to CJ36

| CJEA10 [IFCJEA9=1] What were the injuries? Any other injuries? (MARK ALL TH APPLY)   | AT   |
|--|------|
| VICTIM DIED VICTIM RAPED OR SEXUALLY ASSAULTED KNIFE OR STAB WOUND GUN SHOT, BULLET WOUNDS BROKEN BONES TEETH KNOCKED OUT OR CHIPPED INTERNAL INJURIES KNOCKED UNCONSCIOUS BRUISES, BLACK EYE, CUTS, SCRATCHES, SWELLING OTHER (S5Q4B_OTH) SPECIFY: DK/REF |      |
| CJEA11 [CJE1=1 AND VIOLENT_TYPE=1 AND CJEA8 = 1] During the rape, he was the victim hurt or injured? Any other injuries? (CHECK ALL THAT APPLY)  |      |
| 1 KNIFE OR STAB WOUND 2 GUN SHOT, BULLET WOUNDS 3 BROKEN BONES, TEETH KNOCKED OUT, OR CHIPPLETH 4 INTERNAL INJURIES 5 KNOCKED UNCONSCIOUS 6 BRUISES, BLACK EYE, CUTS, SCRATCHES, SWELLIE 7 OTHER(CJEA11_OTH) SPECIFY:                                      | NG   |
| END Victims Block – Single Victim (EA)   |      |
| EB -Victims Block - Multiple Victims Block Level Universe: (CJ1 = 1 AND CONTROLLING_TYPE = 1 AND CJE1  | = 2) |
| CJEB1[IFCJE1 = 2] How many persons were victims?  NUMBER OF PERSONS: (Range 2-20)  DK/REF  |      |
| <b>CJEB2</b> [IF CJE1 = 2] Were these persons victims of a single incident or more than of incident of the crime?  | one  |
| 1 ONE INCIDENT 2 MULTIPLE INCIDENTS DK/REF   |      |

**CJEB3**.[IF CJEI = 2] Were any of the persons of Spanish, Latino, or Hispanic origin?

- 1 YES
- 2 NO Go to CJEB5

DK/REF - Go to CJEB5

**CJEB4** [IF CJEB3 = 1] Were all the persons Hispanic, were most of them Hispanic, were equal numbers of them Hispanic and non-Hispanic, or were most of them non-Hispanic?

- 1 ALL WERE HISPANIC
- 2 MOST WERE HISPANIC
- 3 THEY WERE EVENLY DIVIDED
- 4 MOST WERE NON-HISPANIC

DK/REF

**CJEB5** [IF CJE1 = 2] What race or races were the persons? You may answer yes to one or more of these categories.

#### (CHECK ALL THAT APPLY)

- 1 White?
- 2 Black or African American?
- 3 American Indian or Alaska Native?
- 4 Asian?
- 5 Native Hawaiian or Other Pacific Islander?

DK/REF

If inmate reports only one race go to CJEB7, otherwise go to CJEB6

#### **CJEB6** [IF CJEB5 = MORE THAN 1 RESPONSE] What race were most of the persons?

- 1 Mostly White
- 2 Mostly Black or African American
- 3 Mostly American Indian or Alaska Native
- 4 Mostly Asian
- 5 Mostly Native Hawaiian or Other Pacific Islander

DK/REF

**CJEB7** [IF CJE1 = 2] Were the persons male or female?

- 1 ALL MALE Go to CJEB9
- 2 ALL FEMALE Go to CJEB9
- 3 BOTH MALE AND FEMALE Go to CJEB8

DK/REF - Skip to CJEB9

**CJEB8** [IFCJEB7=3] Were most of the persons male or female?

- 1 MOSTLY MALE
- 2 MOSTLY FEMALE
- 3 THEY WERE EVENLY DIVIDED

DK/REF

**CJEB9**[IF CJE1 = 2] Approximately how old would you say the youngest person was?

- 1 UNDER 12 YEARS
- 2 12 TO 17
- 3 18 TO 24
- 4 25 TO 34
- 5 35 TO 54
- 6 55 OR OLDER

DK/REF

**CJEB10** [IFCJE1 = 2] Approximately how old would you say the oldest person was?

- 1 UNDER 12 YEARS
- 2 12 TO 17
- 3 18 TO 24
- 4 25 TO 34
- 5 35 TO 54
- 6 55 OR OLDER

DK/REF

**CJEB11** [IF CJE1 = 2] Were any of the persons known to you or were they all strangers you had never seen before?

- 1 ALL KNOWN
- 2 SOME KNOWN
- 3 ALL STRANGERS

DK/REF

**CJEB12** [IF CJEB11 = 1 OR 2] How well did you know the persons? Did you know any of them...

|                                  | Yes | No |
|----------------------------------|-----|----|
| CJEB12a. By sight only?          | 1   | 2  |
| CJEB12b As casual acquaintances? | 1   | 2  |
| CJEB12c Well known?              | 1   | 2  |

CJEB13 [IF CJEB12c = 11 At the time of the crime, what was the relationship to you of the well-known persons? For example, friends, relatives, etc. (CHECKALL THAT APPLY)

- 1 SPOUSE
- 2 EX-SPOUSE
- 3 PARENT/STEP-PARENT
- 4 OWN CHILD
- 5 STEPCHILD
- 6 BROTHER/SISTER/STEPBROTHER/STEPSISTER
- 7 OTHER RELATIVE (S5Q9C7\_OTH)SPECIFY\_\_\_\_\_
- 8 BOYFRIEND/GIRLFRIEND
- 9 EX-BOYFRIEND/EX-GIRLFRIEND
- 10 FRIEND/EX-FRIEND
- 11 OTHER (CJEB13\_OTH) SPECIFY:\_\_\_\_\_ DK/REF

# **DEFINE VIOLENT\_TYPE**

IF CONTROLLING\_TYPE = 1 AND there is a match of literals with "rape" from Lookup Table THEN VIOLENT\_TYPE = 1 (RAPE)

IF CONTROLLING\_TYPE = 1 AND there is a match of literals with "murder", "manslaughter", or "homicide" from Lookup Table THEN VIOLENT\_TYPE = 2 (MURDER/MANSLAUGHTER/HOMOCIDE)

IF CONTROLLING\_TYPE = 1 AND there is NO match of literals with "rape", "murder", "manslaughter", or "homicide" from Lookup Table THEN VIOLENT\_TYPE = EMPTY

CJEB14 [IF CJE1 = 2 AND VIOLENT\_TYPE = EMPTY] (INTERVIEWER: CLASSIFY THIS OFFENSE, [CONTROLLING\_OFFENSE], INTO ONE OF THE CATEGORIES BELOW)

- 1 RAPE Go to CJEB17
- 2 MURDER/MANSLAUGHTER/HOMICIDE Go to CJEB36
- 3 OTHER VIOLENT OFFENSE Go to CJEB15

#### **UPDATE VIOLENT TYPE**

IF CONTROLLING\_TYPE = 1 AND there was NO match of literals with "rape" from Lookup Table and CJEB14 = 1 THEN VIOLENT\_TYPE = 1 (RAPE)
IF CONTROLLING\_TYPE = 1 AND there was NO match of literals with "murder", "manslaughter", or "homicide" from Lookup Table and CJEB14 = 2 THEN VIOLENT\_TYPE = 2 (MURDER/MANSLAUGHTER/HOMOCIDE)
IF CONTROLLING\_TYPE = 1 AND there was NO match of literals with "rape", "murder", "manslaughter", or "homicide" from Lookup Table and CJEB14 = 3 THEN VIOLENT\_TYPE = 3

| CJEB15 [IFCJE1 = 2 AND VIOLENT_TYPE = 3] Were any of the victims hurt or injured         |
|--|
| during the [CONTROLLING_OFFENSE]?  |
| 1 YES  |
| 2 NO Go to CJ36  |
| DK/REF - Go to CJEB18  |
| CJEB16[IF CJEB15 = 1] What were the injuries? Any other injuries? (CHECK ALL THAT APPLY) |
| 1 VICTIM DIED  |
| 2 VICTIM RAPED OR SEXUALLY ASSAULTED   |
| 3 KNIFE OR STAB WOUND  |
| 4 GUN SHOT, BULLET WOUNDS  |
| 5 BROKEN BONES, TEETH KNOCKED OUT, OR CHIPPED TEETH                                      |
| 6 INTERNAL INJURIES  |
| 7 KNOCKED UNCONSCIOUS  |
| 8 BRUISES, BLACK EYE, CUTS, SCRATCHES, SWELLING  |
| 9 OTHER (CJEB16_OTH) SPECIFY:  |
| DK/REF   |
| CJEB17 [IF CJE1=2 AND VIOLENT_TYPE=1 AND CJEB14=1] During the rape, how                  |
| were the victims hurt or injured? Any other injuries? MARK ALL THAT APPLY.               |
| 1 KNIFE OR STAB WOUND  |
| 2 GUN SHOT, BULLET WOUNDS  |
| 3 BROKEN BONES, TEETH KNOCKED OUT, OR CHIPPED TEETH                                      |
| 4 INTERNAL INJURIES  |
| 5 KNOCKED UNCONSCIOUS  |
| 6 BRUISES, BLACK EYE, CUTS, SCRATCHES, SWELLING  |
| 7 OTHER(CJEB17_OTH) SPECIFY:   |
| 8 NOT PHYSICALLY INJURED   |
| DK/REF   |
| (DO NOT ALLOW 1-7 WITH AN 8 ENTRY)   |

\_END Victims Block – Multiple Victims (EB)

\_END Violent Crime and Victims Block (E)

#### F – Property Crime Block

**Block Level Universe: (CJ1 = 1 AND CONTROLLING\_TYPE = 2)** 

- **CJF1**[CJ1 = 1 AND CONTROLLING\_TYPE = 2] Did you acquire or receive any money, checks, or bank deposits, as a result of the [CONTROLLING\_OFFENSE] for which you are now serving time in prison?
  - 1 YES
  - 2 NO Go to S5Q12c

DK/REF Go to S5Q12c

**CJF2** [CJF1 = 1] How much did you obtain?

\$\_\_\_\_ (1-100,000) DK/REF

- **CJF3** [CJ1 = 1 AND CONTROLLING\_TYPE = 2] Did you acquire or receive any goods or property other than money as a result of the [CONTROLLING\_OFFENSE]?
  - 1 YES Go to CJF4
  - 2 NO Go to CJF5

DK/REF Go to CJF5

- CJF4[CJF3 = 1] What did you obtain? (CHECK ALL THAT APPLY)
  - 1 PURSE OR WALLET
  - 2 CREDIT CARDS
  - 3 MOTOR VEHICLE
  - 4 MOTOR VEHICLE PARTS
  - 5 HOUSEHOLD OR PERSONAL PROPERTY
  - 6 COMMERCIAL GOODS
  - 7 FIREARMS
  - 8 DRUGS
  - 9 OTHER PROPERTY (CJF4\_OTH) Specify:\_\_\_\_\_ DK/REF
- **CJF5** [CJ1 = 1 AND CONTROLLING\_TYPE = 2] Did you damage anything that belonged to someone else?
  - 1 YES Go to CJF6
  - 2 NO Go to CJF7

DK/REF Go to CJF7

**CJF6** [CJF5 = 1] What did you damage? (CHECKALL THAT APPLY)

- 1 HOME OR APARTMENT BUILDING/GARAGE
- 2 MOTOR VEHICLE
- 3 PERSONAL EFFECTS OF VICTIM
- 4 GOVERNMENT/BUSINESS/PUBLIC/COMMERCIAL BUILDING OR PROPERTY
- 5 OTHER (CJF6\_OTH) Specify:\_\_\_\_\_\_ DK/REF

**CJF7** Before your conviction for [CONTROLLING\_OFFENSE], did you have a job where you were responsible for or had access to money, property, or opportunities which could be turned into money?

- 1 YES Go to CJF8
- 2 NO Go to CJ36

DK/REF Go to CJ36

**CJF8** [CJF7 = 1] Were you able to commit the [CONTROLLING\_OFFENSE] because of access to property or opportunities given by your job?

- 1 YES
- 2 NO

DK/REF

Go to CJ36

\_\_\_\_\_END Property Crime Block (F)

#### **G – Drug Crime Block**

**Block Level Universe:** (CJ1 = 1 AND CONTROLLING\_TYPE = 3)

**CJG1** [CJ1 = 1 AND CONTROLLING\_TYPE = 3] (INTERVIEWER: ARE ANY OF THE OFFENSES BELOW "POSSESSION" OF A DRUG?)

[CONTROLLING OFFENSE]

- 1 YES Go to CJG2
- 2 NO Go to CJG3

NO DK/REF ENTRIES ALLOWED

- **CJG2** [CJG1 = 1] You said that you were convicted for drug possession. Was that possession with intent to distribute drugs?
  - 1 YES
  - 2 NO

| CJG3  | [CONTROL                      | OR CJG2 = 1] You said that you were convicted for LING_OFFENSE] What drugs were involved? Any others?   |  |  |  |  |
|-------|-------------------------------|---|--|--|--|--|
|       | (CHECK A                      | ALL THAT APPLY)   |  |  |  |  |
|       | 1                             | MARIJUANA OR HASHISH  |  |  |  |  |
|       | 2                             | COCAINE   |  |  |  |  |
|       | 3                             | CRACK   |  |  |  |  |
|       | 4                             | HEROIN  |  |  |  |  |
|       | 5                             | PCP (ANGEL DUST" OR PHENCYCLIDINE)  |  |  |  |  |
|       | 6                             | ECSTACY (MDMA)  |  |  |  |  |
|       | 7                             | OTHER HALLUCINOGENS (LSD, PEYOTE, MESCALINE, OR   |  |  |  |  |
|       |                               | PSILOCYBIN, FOUND IN MUSHROOMS)   |  |  |  |  |
|       | 8                             | METHAMPHETAMINE (METH, CRYSTAL, OR CRANK)   |  |  |  |  |
|       | 9                             | PRESCRIPTION MEDICATIONS  |  |  |  |  |
|       | 10                            | OTHER (CJG3_OTH) Specify:   |  |  |  |  |
|       | DK/R                          | LEF   |  |  |  |  |
|       | [Include in "other"]          | n training manual documentation about how, when to code   |  |  |  |  |
| CJG3  | a [CJG3 = 1]                  | Approximately what amount of marijuana or hashish was involved?   |  |  |  |  |
|       |                               | TI Was your and a same of the |  |  |  |  |
|       | Joints                        | (Range 1-100, blank)  |  |  |  |  |
|       | Grams                         | s (Range 1-1000, blank)   |  |  |  |  |
|       |                               | rams (Range 1-1000, blank)  |  |  |  |  |
|       |                               | es (Range 1-16, blank)  |  |  |  |  |
|       |                               | ds (Range 1-500000, blank)  |  |  |  |  |
|       |                               | (Range 1-1000, blank)   |  |  |  |  |
|       | Other                         | (CJG3a_OTH) Specify:  |  |  |  |  |
|       | DK/REF                        |   |  |  |  |  |
| CJG3b | [CJG3 = 2]                    | Approximately what amount of cocaine was involved?  |  |  |  |  |
|       | Milli                         | grams (Range 0.00-200, blank)   |  |  |  |  |
|       |                               | ns (Range 0.00-1000, blank)   |  |  |  |  |
|       | Kilo                          | grams ( <i>Range 0.00-500, blank</i> )  |  |  |  |  |
|       | Ounces (Range 0.00-32, blank) |   |  |  |  |  |
|       | Pounds (Range 0.00-5, blank)  |   |  |  |  |  |
|       |                               | ks (Range 0.00-200, blank)  |  |  |  |  |
|       | Othe                          | er (CJG3b_OTH) Specify:   |  |  |  |  |
|       |                               |   |  |  |  |  |

| CJG3c [CJG3 = 3] Approximately what amount of crack cocaine was involved   | ve |
|--|----|
| Milligrams (Range 0.00-200, blank)   |    |
| Grams (Range 0.00-1000, blank)   |    |
| Kilograms (Range 0.00-500, blank)  |    |
| Ounces (Range 0.00-32, blank)  |    |
| Pounds (Range 0.00-5, blank)   |    |
| Rocks (Range 0.00-200, blank)  |    |
| Other (CJG3c_OTH) Specify:   |    |
| DK/REF   |    |
| <b>CJG3d</b> [CJG3 = 4] Approximately what amount of heroin was involved?  |    |
| Milligrams (Range 0.00-500, blank)   |    |
| Grams (Range 0.00-900, blank)  |    |
| Kilograms (Range 0.00-100, blank)  |    |
| Ounces (Range 0.00-32, blank)  |    |
| Pounds ( <i>Range 0.00-20, blank</i> )                                     |    |
| Tons (Range 0.00-2, blank)   |    |
| Other (CJG3d_OTH) Specify:   |    |
| DK/REF   |    |
| <b>CJG3e</b> [CJG3 = 5] Approximately what amount of PCP was involved?     |    |
| Pills/capsules (Range 1-1000, blank)                                       |    |
| Grams (Range 1-1000, blank)  |    |
| Kilograms (Range 1-10, blank)  |    |
| Ounces (Range 1-16, blank)   |    |
| Pounds (Range 1-5, blank)  |    |
| Liters (Range 1-3)   |    |
| Gallons (Range 1-5)  |    |
| Other (CJG3E_OTH) Specify:   |    |
| DK/REF   |    |
| <b>CJG3f</b> [CJG3 = 6] Approximately what amount of ecstasy was involved? |    |
| Pills (Range 0.00-1000, blank)   |    |
| Grams ( <i>Range 0.00-1000</i> , <i>blank</i> )                            |    |
| Kilograms (Range 0.00-10 blank)  |    |
| Ounces (Range 0.00-16, blank)  |    |
| Pounds (Range 0.00-5, blank)   |    |
| Liters (Range 0.00-10, blank)  |    |
| Gallons (Range 0.00-5, blank)  |    |
| Other (CJG3f_OTH) Specify:   |    |
| DK/REF   |    |

MESCALINE, OR PSILOCYBIN, FOUND IN MUSHROOMS) was involved? \_\_\_\_Pills (Range 1-3500) \_\_\_\_Papers, squares, windowpanes, cubes (Range 1-3000) \_\_\_\_Grams (Range 0.00-1000, blank) \_\_\_\_Kilograms (Range 0.00-10 blank) \_\_\_Ounces (*Range 0.00-16*, *blank*) \_\_\_\_Pounds (*Range 0.00-5*, *blank*) \_\_\_\_Liters (*Range 1-3*) \_\_\_\_Gallons (*Range 1-5*) Other (CJG3g\_OTH) Specify:\_\_\_\_\_ DK/REF CJG3h [CJG3 = 8] Approximately what amount of methamphetamine or amphetamine was involved? \_\_\_\_Milligrams (Range 0.00-200, blank) \_\_\_\_Grams (Range 0.00-1000, blank) \_\_\_\_Kilograms (*Range 0.00-500, blank*) Ounces (*Range 0.00-32*, *blank*) \_\_\_\_Pounds (Range 0.00-5, blank) \_\_\_\_\_Rocks (*Range 0.00-200, blank*) Other (CJG3h\_OTH) Specify:\_\_\_\_ DK/REF **CJG3i** [CJG3 = 9] Approximately what amount of prescription medications was involved? \_\_Pills (Range 0.00-1000, blank) Grams (*Range 0.00-1000*, *blank*) \_Kilograms (Range 0.00-10 blank) Ounces (Range 0.00-16, blank) \_\_\_\_\_Pounds (*Range 0.00-5*, blank) Liters (Range 0.00-10, blank) Gallons (Range 0.00-5, blank) Other (CJG3i\_OTH) Specify:\_\_\_\_\_

**CJG3g** [CJG3 = 7] Approximately what amount of other hallucinogens (LSD, PEYOTE,

| <b>CJG3j</b> [CJG3 = 10] Approximately what amount of [CJG3_OTH] was involved?  |            |           |
|---|------------|-----------|
| Pills (Range 0.00-1000, blank)Grams (Range 0.00-1000, blank)Kilograms (Range 0.00-10 blank)Ounces (Range 0.00-16, blank)Pounds (Range 0.00-5, blank)Liters (Range 0.00-10, blank)Gallons (Range 0.00-5, blank)Other (CJG3j_OTH) Specify: DK/REF |            |           |
| CJG4 [CJ1 = 1 AND CONTROLLING_TYPE = 3] The next few que about-drug related activities you may have been involved time of your arrest for [CONTROLLING_OFFENSE] were  | with. At t |           |
|   | Yes        | No        |
| <b>CJG4a</b> Importing or helping others import illegal drugs into the United States?   | 1          | 2         |
| <b>CJG4b</b> Illegally manufacturing, growing or helping others manufacture or grow drugs?  | 1          | 2         |
| CJG4c Laundering drug money?  | 1          | 2         |
| <b>CJG4d</b> Distributing or helping to distribute drugs to dealers?  | 1          | 2         |
| <b>CJG4e</b> Selling or helping to sell drugs to others for their use?  | 1          | 2         |
| CJG4f Using or possessing illegal drugs?  | 1          | 2         |
| CJG5 When you committed the INSERT OFFENSE were you a organization that manufactured, imported, distributed or  1 YES Go to CJG6 2 NO- Skip to CJ36 DK/REF  | -          |           |
| <b>CJG6</b> [IF CJG5 = 1] Altogether, about how many people would participated in that group or organization?   | you say    | regularly |

NUMBER OF PEOPLE: \_\_\_\_ (RANGE 1-50)

**CJG7** [IF CJG5 = 1] Which of these best describes your role in that group or organization—

- 1 A leader or organizer?
- 2 A middle man?
- 3 An underling, such as a carrier, runner, etc?
- 4 Something else?
- 5 Other (CJG7\_OTH) SPECIFY:\_\_\_\_\_

DK/REF

# **END Drug Crime Block (G)**

#### **All Inmates**

**CJ36** At the time of the arrest [DATE\_ARREST] in what state and city or town did you live?

```
CJ36a. City/Town ______
CJ36b State____ ENTER (h) FOR VALID 2 CHARACTER STATE
CODES
DK/REF
```

Store the numeric State FIPS Codes listed below

```
01 ... AL
             17 ...IL
                         30 ... MT
                                       44 ..RI
02 ... AK
                                       45 ..SC
                         31 ... NE
              18 ...IN
04 ... AZ
                         32 ... NV
              19 ...IA
                                       46 .. SD
05 ... AR
             20 ...KS
                         33 ... NH
                                       47 .. TN
06 ... CA
             21 ...KY
                         34 ... NJ
                                       48 .. TX
08 ... CO
             22 ...LA
                         35 ... NM
                                       49 .. UT
09 ... CT
             23 ...ME
                         36 ... NY
                                       50 .. VT
10 ... DE
             24 ...MD
                        37 ... NC
                                       51 .. VA
11 ... DC
             25 ...MA
                        38 ... ND
                                       53 .. WA
12 ... FL
             26 ...MI
                         39 ... OH
                                       54 ... WV
13 ... GA
             27 ...MN 40 ... OK
                                       55 ..WI
                        41 ... OR
                                       56 .. WY
15 ... HI
             28 ...MS
             29 ...MO 42 ... PA
16 ... ID
                                       66 ... PR (Puerto
                                       Rico)
```

**CJ36zip** At the time of the arrest or charge [DATE\_ARREST] what was the zip code where you were living?

```
ZIP CODE: _____ [5 DIGITS] DK/REF
```

# CJ37 [CJ1 =1 AND ((CONTROLLING\_TYPE = 1 AND CJE1= 1 or 2) OR CONTROLLING\_TYPE = 2)] Did the [CONTROLLING\_OFFENSE] take place...

- 1 At a home or apartment shared by you and the victim?
- 2 At or in the victim's home or apartment?
- 3 At or in your own home or apartment?
- 4 In a COMMERCIAL PLACE such as a bar, restaurant, gas station, store, office, or factory?
- 5 In a PUBLIC PLACE such as at school, on the street, in a parking lot, on public transportation, in a station or depot, or in a park, etc
- 6 Some other place? (CJ37\_OTH) SPECIFY:\_\_\_\_\_
- 7 No one place/multiple incidents DK/REF

# **CJ38** [CJ1 = 1 AND ((CONTROLLING\_TYPE = 1 AND CJE1 $\neq$ 1 or 2) OR

CONTROLLING\_TYPE = 3 or 4)] Ask of all convicted violent without victims or drug offenders or public order offenders] Did the [CONTROLLING\_OFENSE] take place...

- 1 At or in your own home or apartment?
- 2 In a COMMERCIAL PLACE such as a bar, restaurant, gas station, store, office, or factory?
- In a PUBLIC PLACE such as at school, on the street, in a parking lot, on public transportation, in a station or depot, or in a park, etc
- 4 Some other place? (CJ38\_OTH) SPECIFY:\_\_\_\_\_
- 5 No one place/multiple incidents DK/REF
- **CJ39** [IF CJ1 = 1 OR CJ2a = 2] Did you carry, possess, or use a weapon when the [CONTROLLING\_OFFENSE] occurred?
  - 1 YES Go to CJH1
  - 2 NO Go to CJ40

DK/REF

#### H – Weapons Block

Block Level Universe: (CJ39 = 1)

- **CJH1**[IF CJ39 = 1] How many weapons did you carry, possess, or use when the [CONTROLLING\_OFFENSE] occurred?
  - 1 ONE
  - 2 TWO OR MORE

#### **DEFINE WEAPONS FILL:**

IF CJH1 = 1 THEN WEAPONS\_FILL = "kind of weapon was it"

IF CJH1 = 2 THEN WEAPONS\_FILL = "kinds of weapons were they"

**CJH2** [IF CJ39 = 1] What [WEAPONS\_FILL]? (CHECK ALL THAT APPLY)

- 1 FIREARM Go to CJH3
- 2 TOY OR BB GUN (INCLUDE FAKE OR REPLICA GUNS)
- 3 KNIFE
- 4 OTHER SHARP OBJECT (SCISSORS, ICE PICK, AX, ETC)
- 5 BLUNT OBJECT (ROCK, CLUB, BLACKJACK, ETC.)
- 6 ANOTHER WEAPON (CJH2\_OTH) SPECIFY\_\_\_\_\_\_ DK/REF

#### **DEFINE CJH2\_FILL:**

IF CJH2 = 1 THEN CJH2 FILL = "firearm"

IF CJH2 = 2 THEN CJH2 FILL = "toy or bb gun"

IF CJH2 = 3 THEN CJH2 FILL = "knife"

IF CJH2 = 4 THEN CJH2 FILL = "sharp object"

IF CJH2 = 5 THEN CJH2 FILL = "blunt object"

IF CJH2 = 6 AND CJH2\_OTH ne EMPTY THEN CJH2\_FILL = open-ended response from CJH2\_OTH

**CJH3**[IF CJH1 = 2 and CJH2 = 1] How many firearms did you carry, possess, or use when the (*Insert controlling offense*) occurred?

NUMBER OF FIREARMS: \_\_\_\_\_ [RANGE: 1 – 99]

**CJH3a** [IF CJH3 = DK OR REF] Was it one or more than one firearm?

- 1 ONE
- 2 MOE THAN ONE

DK/REF

#### **DEFINE FIREARM FILL1:**

IF (CJH1 = 1 AND CJH2 = 1) OR CJH3 = 1 OR CJ3a = 1 THEN FIREARM\_FILL1 = "type of firearm was it"

IF CJH3 = 2+ OR CJ3a = 2 THEN FIREARM FILL1 = "types of firearms were they"

#### **DEFINE FIREARM FILL2:**

IF (CJH1 = 1 AND CJH2 = 1) OR CJH3 = 1 THEN FIREARM\_FILL2 = "the firearm" IF CJH3 = 2+ THEN FIREARM\_FILL2 = "any of the firearms"

| CJH4 [IF CJH2 = | 1 What | [FIREARM] | FILL11? | (CHECK | <b>ALL</b> | THAT | <b>APPLY</b> |
|-----------------|--------|-----------|---------|--------|------------|------|--------------|
|                 |        |           |         |        |            |      |              |

- 1 A HANDGUN?
- 2 A RIFLE?
- 3 A SHOTGUN?
- 4 SOME OTHER TYPE OF FIREARM? (CJH4\_OTH)Specify\_\_\_\_\_

#### **DEFINE GUNTYPE FILL:**

IF CJH4 = 1 THEN GUNTYPE FILL = "handgun"

IF CJH4 = 2 THEN GUNTYPE FILL = "rifle"

IF CJH4 = 3 THEN GUNTYPE FILL = "shotgun"

IF CJH4\_OTH ≠ Empty THEN GUNTYPE\_FILL = verbatim from CJH4\_OTH Include all, separated by commas for example: "handgun, rifle, shotgun"

- **CJH5** [IF CJH2 = 1] How did you obtain the firearm that you carried, possessed, or used during the (*Insert controlling offense*)? (CHECK ALL THAT APPLY) (Probe: Any others?)
  - 1 STOLE IT *CJH13*
  - 2 RENTED IT CJH13
  - 3 BORROWED FROM OR WAS HOLDING FOR SOMEBODY CJH13
  - 4 TRADED SOMETHING FOR IT *CJH13*
  - 5 BOUGHT IT *CJH13*
  - 6 SOMEONE BOUGHT IT FOR ME *CJH14*
  - 7 SOMEONE GAVE IT TO ME AS A GIFT
  - 8 FOUND IT/WAS AT LOCATION WHERE OFFENSE OCCURRED
  - 9 WAS BROUGHT BY SOMEONE ELSE
  - 10 Other (G12\_OTH) Specify:\_\_\_\_\_\_ DK/REF
- **CJH6** [IF CJH2 = 1AND (CJH5  $\neq$  6 OR 7 OR 8 OR 9 OR 10)] Where did you obtain the [GUNTYPE\_FILL]? (CHECK ALL THAT APPLY)
  - 1 GUN SHOP OR GUN STORE
  - 2 PAWN SHOP
  - 3 FLEA MARKET
  - 4 GUN SHOW
  - 5 FROM THE VICTIM(S)
  - 6 FROM A FAMILY MEMBER
  - 7 FROM A FRIEND
  - 8 FROM A FENCE/BLACK MARKET SOURCE
  - 9 OFF THE STREET/FROM A DRUG DEALER
  - 10 IN A BURGLARY
  - 11 ONLINE/THE INTERNET
  - 12 OTHER (CJH6\_OTH) Specify:\_\_\_\_\_

**CJH6a** [IF CJH6 = 3 OR 4] When you obtained the [GUNTYPE\_FILL] was it from a licensed firearm dealer or a private seller?

- 1 LICENSED FIREARM DEALER
- 2 PRIVATE SELLER

DK/REF

**CJH6b** [IF CJH5 = 5 AND CJH6 = 1-4] Did you buy the [GUNTYPE\_FILL] under your own name?

- 1 YES
- 2 NO
- 3 NO PAPERWORK WAS REQUIRED DK/REF

FI NOTE: If the inmate indicates that they did not have to provide a name, record as "NO PAPEREWORK REQUIRED"

**CJH6c** [IF CJH5 = 5 AND CJH6 = 1-4] Did the seller do a firearm purchase background check before selling you the gun?

- 1 YES
- 2 NO

DK/REF

**CJH6d** [IF CJH5 = 5 AND CJH6 = 1-4] Did you buy the [GUNTYPE\_FILL] directly or did someone else buy it for you?

- 1 INMATE BOUGHT
- 2 SOMEONE ELSE BOUGHT

DK/REF

**CJH7** [IF CJH5 = 6] Where did that person buy the [GUNTYPE\_FILL]?

- 1 GUN SHOP OR GUN STORE
- 2 PAWN SHOP
- 3 FLEA MARKET
- 4 GUN SHOW
- 5 FROM THE VICTIM(S)
- 6 FROM A FAMILY MEMBER
- 7 FROM A FRIEND
- 8 FROM A FENCE/BLACK MARKET SOURCE
- 9 OFF THE STREET/FROM A DRUG DEALER
- 10 OTHER (G14\_OTH) Specify:\_\_\_\_\_ DK/REF

| СЈН8  | [IF CJH5= 6] Why did someone buy the [GUNTYPE_FILL] for you? (CHECK ALL THAT APPLY)  |  |  |  |  |
|-------|--|--|--|--|--|
|       | 1 COULD NOT TRAVEL TO WHERE THE SELLER WAS 2 NOT ALLOWED BECAUSE TOO YOUNG 3 NOT ALLOWED BECAUSE OF CRIMINAL RECORD 4 THEY COULD GET IT MORE QUICKLY OR EASILY 5 DID NOT WANT TO BE LINKED TO FIREARM PURCHASE 6 OTHER – (G15_OTH) Specify: DK/REF |  |  |  |  |
| СЈН9  | [IF CJH2 = 1] Did you get the [GUNTYPE_FILL] because you were <b>planning</b> to carry, possess, or use it during the [CONTROLLING_OFFENSE]  |  |  |  |  |
|       | 1 YES<br>2 NO<br>DK/REF  |  |  |  |  |
| CJH1( | [IF CJH2 = 1] Did you show or point [FIREARM_FILL2] at anyone during the (Insert controlling offense)?   |  |  |  |  |
|       | 1 YES<br>2 NO<br>DK/REF  |  |  |  |  |
| CJH11 | [IF CJH2 = 1] Did you fire [FIREARM_FILL2] the during the [CONTROLLING_OFENSE]?  |  |  |  |  |
|       | 1 YES Go to CJH12<br>2 NO Go to CJH14<br>DK/REF  |  |  |  |  |
| CJH12 | [IF CJH11 = 1] Did you shoot anyone?   |  |  |  |  |
|       | 1 YES Go to CJH13 2 NO Go to CJH14 DK/REF  |  |  |  |  |
| CJH13 | 3 [IF CJH12 = 1] Did anyone you shot die?  |  |  |  |  |
|       | 1 YES<br>2 NO<br>Go to CJH14   |  |  |  |  |

CJH14 [CJH2 – 1-6] Now I would like you to think about all the weapons you carried, possessed or used when the [CONTROLLING\_OFFENSE] occurred. Did you use the [CJH2\_FILL]...

|                                    | Yes | No |
|------------------------------------|-----|----|
| <b>CJH14a</b> To scare the victim? | 1   | 2  |
| <b>CJH14b</b> To kill the victim?  | 1   | 2  |
| CJH14c To get away?                | 1   | 2  |
| <b>CJH14d</b> To protect yourself? | 1   | 2  |
| CJH14e In any other way?           | 1   | 2  |
| (CJH14e_OTH) SPECIFY               |     |    |
|                                    |     |    |

# \_END Weapons Block (H)

**CJ40**. These next questions are about your experience with crime and the juvenile and adult criminal justice systems over your lifetime. When answering these questions, please think about your entire life and include things that you may have already told me about.

How old were you the first time you were arrested for any offense?

AGE: \_\_\_\_\_ [RANGE: 6-CALCAGE]

DK/REF

**CJ41** [IF CONTROLLING\_TYPE NE 1] Violent offenses include crimes like physical assault, robbery, manslaughter, murder, attempted murder, extortion, stalking, sex offenses, or kidnapping.

Have you ever been arrested for a violent offense?

- 1 YES Go to CJ41a
- 2 NO Go to CJ42

DK/REF

**CJ41a** [IF CJ41 = 1] Have you ever been sentenced to serve time in a correctional facility for a violent offense?

- 1 YES Go to CJ41b
- NO Go to CJ42

DK/REF

FI NOTE: A correctional facility includes a jail, prison, or juvenile correctional facility. Record any time sentenced to serve whether as an adult or juvenile.

| CJ41h       | have you be            | en <mark>sente</mark> r | nced to serve tin         | TYPE = 1] Altogether, how many times in your life ne for of a violent offense? Please remember to have already told me about earlier in the survey.              |
|-------------|------------------------|-------------------------|---------------------------|--|
|             |                        | MBER OI<br>REF          | FTIMES:                   | [RANGE: 1 = 999]   |
| <b>CJ42</b> | Property of            | fenses inc              |                           | ave you ever been arrested for a property offense? burglary, larceny, theft, auto theft, bad checks, stolen goods.   |
|             | 1<br>2<br>DK/          | YES<br>NO<br>REF        |                           |  |
| CJ42a       | IF CJ42 = property off |                         | ou ever been ser          | tenced to serve time in a correctional facility for a  |
|             | 1<br>2<br>DK/          | YES<br>NO<br>REF        |                           | FI NOTE: A correctional facility includes a jail, prison, or juvenile correctional facility. Record any time sentenced to serve whether as an adult or juvenile. |
| CJ42h       | have you be            | en <mark>sente</mark> r | nced to serve tin         | TYPE = 2] Altogether, how many times in your life for of a property offense? Please remember to y have already told me about earlier in the survey.              |
|             | NUMBER ODK/REF         | OF TIME                 | S:[RA]                    | NGE: 1 – 999]  |
| CJ43        | Drug offens            | ses includ              |                           | lave you ever been arrested for a drug offense? sessing, selling, trafficking, importing, smuggling, g paraphernalia.  |
|             | 1<br>2                 | YES<br>NO               | Go to CJ43a<br>Go to CJ44 |  |

| CJ43a | a [IF CJ43 = 1] Have you ever been <b>senten</b> drug offense?  | ced to serve time in a correctional facility for a   |
|-------|---|--|
|       | 1 YES Go to CJ43b<br>2 NO Go to CJ44<br>DK/REF  |  |
|       |   | FI NOTE: A correctional facility includes a jail, prison, or juvenile correctional facility. Record any time sentenced to serve whether as an adult or juvenile. |
| CJ43b |   | PE = 3] Altogether, how many times in your life<br>or a drug offense? Please remember to include<br>old me about earlier in the survey.                          |
|       | NUMBER OF TIMES: [RANGEDK/REF   | E: 0 – 999]  |
| CJ44  | have you been arrested for any offenses? Please me about and times when you may have been of convicted. |  |
|       | NUMBER OF TIMES: [RANGEDK/REF   | E: 0 – 999]  |
| CJ45  | •   | have you been placed on probation by a court? robation and a correctional facility. (INCLUDE   |
|       | NUMBER OF TIMES: [RANGEDK/REF   | E: 0 – 999]  |
|       |   | FI NOTE: Include both juvenile and adult probation   |
| CJ46  | Altogether, how many different times in y in a juvenile correctional facility?                          | your life have you been sentenced to serve time  |
|       | NUMBER OF TIMES: [RANGEDK/REF   | E: 0 – 999]  |
|       |   |  |

| <b>CJ47</b> | Altogether, how many different times in your life have you been sentenced to serve time in a local or county jail?                             |
|-------------|--|
|             | NUMBER OF TIMES: [RANGE: 0 – 999]<br>DK/REF  |
| CJ48        | [IF CJ47 = 1 or more] How old were you the first time you were admitted to a local or county jail to serve a sentence?                         |
|             | AGE: RANGE: 12 – CURRENT AGE] DK/REF   |
| <b>CJ49</b> | [IF CJ1 = 1 OR CJ2a = 2] Have you ever been sentenced to serve time in a state or federal prison?  |
|             | 1 YES Go to CJ50 2 NO Go to NEXT MODULE DK/REF   |
| CJ50        | [IF CJ49 = 1 OR CJ1 = 1] Altogether, how many different times in your life have you been sentenced to serve time in a state or federal prison? |
|             | NUMBR OF TIMES: [RANGE: 1 – 999]<br>DK/REF   |
|             | Go to CJ51   |
| CJ51        | [IF CJ49 = 1 (OR CJ1= 1 and CJ50 $\neq$ 1)] When was the first time you were admitted to a state or federal prison to serve a sentence?        |
|             | CJ51a. 2-DIGIT MONTH: [RANGE: 1 – 12] DK/REF   |
|             | CJ51b. 4-DIGIT YEAR: [RANGE: 1915 – current year] DK/REF   |
| CJ52        | [IF CJ51b = DK/REF] How old were you the first time you were admitted to a state or federal prison to serve a sentence?                        |
|             | AGE: [RANGE: 13 – CURRENT AGE] DK/REF  |

CJ53 [IF CJ51a = DK/REF] What time of year was it? Was it winter, spring, summer, or fall the first time you were admitted to a state or federal prison to serve a sentence?

- 1 WINTER
- 2 SPRING
- 3 SUMMER
- 4 FALL

# <u>Section 3 — Socioeconomic Characteristics (SES)</u>

#### FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS)

The following customized text fills were defined in a previous section and appear within questions in this section of the instrument:

Fill Name Origin

PAROLE\_FILL Section 2 – Criminal Justice (CJ)
DATE\_ARREST Section 2 – Criminal Justice (CJ)

#### **PAROLE FILL** Fill Instructions:

IF (Inmate was charged with a parole violation) THEN PAROLE\_FILL = "parole" IF (Inmate was charged with a probation violation) THEN PAROLE\_FILL = "probation" ELSE PAROLE\_FILL = EMPTY

#### **DATE\_ARREST** Fill Instructions:

IF CJ9a  $\neq$  EMPTY AND CJ9b  $\neq$  EMPTY THEN DATE\_ARREST = "in MONTH, YEAR"

ELSE DATE ARREST = EMPTY

Note – DATE\_ARREST is updated with the Month and Year of the arrest wherever it is collected in the Criminal Justice module, as these dates can be collected in several places.

- **SES1** Earlier I asked you if you were of Spanish, [Latino/Latina], or Hispanic origin and which category best described your race. Now I would like you to think about **how other people** would describe you. Do you think **other people** would describe you as Hispanic or [Latino/Latina]?
  - 1 YES 2 NO DK/REF
- **SES2** Now I would like you to think about **how other people** would describe your race. Do you think they would describe you as...

|  | Yes | No |
|--|-----|----|
| SES2a White?                                     | 1   | 2  |
| SES2b Black or African-American?                 | 1   | 2  |
| SES2c American Indian or Alaska Native?          | 1   | 2  |
| SES2d Asian?                                     | 1   | 2  |
| SES2e Native Hawaiian or other Pacific Islander? | 1   | 2  |
| SES2f Anything else?                             | 1   | 2  |
| <b>SES2OTH</b> IF SES2f = 1] SPECIFY:            |     |    |
|  |     |    |

**SES3** These next questions are about your education, employment, and income.

Before your admission to prison [DATE\_ADMIT], what was the highest grade of school that you had **attended**?

| <u>ELEMENTARY</u> | HIGH S | <u>SCHOOL</u> | COLLEC       | <u>3E</u>         |
|-------------------|--------|---------------|--------------|-------------------|
| (1) First         | (9)    | Ninth         | (13)         | Freshman          |
| (2) Second        | (10)   | Tenth         | (14)         | Sophomore         |
| (3) Third         | (11)   | Eleventh      | (15)         | Junior            |
| (4) Fourth        | (12)   | Twelfth       | (16)         | Senior            |
| (5) Fifth         |        |               |              |                   |
| (6) Sixth         |        |               | <u>GRADU</u> | ATE SCHOOL        |
| (7) Seventh       |        |               | (17)         | One year          |
| (8) Eighth        |        |               | (18)         | Two or more years |

(ATTENDED SCHOOL IN ANOTHER COUNTRY/SYSTEM THAT IS NOT COMPARABLE CODE AS 30) DK/REF

\_\_\_\_\_

# A – Education Block

**Block Level Universe: (SES3 = 1-18)** 

**SESA1** [IF SES3 = 1 - 18) Did you complete that year of school?

- 1 YES
- 2 NO

DK/REF

- **SESA2** [IF (SES3 = 1 11 AND SESA1 = 1) OR (SES3 = 12 AND SESA1 = 2) Do you have a GED, that is, a high school equivalency certificate?
  - 1 YES
  - 2 NO

DK/REF

- **SESA3.** [IF (SES3 = 12 AND SESA1 = 1) OR SES3 = 13 18] Did you receive a GED or a high school diploma for finishing high school?
  - 1 GED
  - 2 HIGH SCHOOL DIPLOMA

|         |                       | = 1] Did you earn your GED while you were incarcerated in a prison, correctional facility?                     |
|---------|-----------------------|--|
|         | 1<br>2<br>DK/RI       | YES<br>NO<br>EF  |
|         |                       | r, psychologist, or teacher <b>ever</b> told you that you have an attention der, sometimes called ADD or ADHD? |
| 1       | YES                   |  |
| 2       | NO                    |  |
| D       | K/REF                 |  |
|         |                       | or, psychologist, or teacher <b>ever</b> told you that you have a learning such as dyslexia or dyscalculia?    |
| 1       | YES                   |  |
| 2       | NO                    |  |
| _       | K/REF                 |  |
| SESA7   | Have you<br>S.P.E.D.? | ever been enrolled in special education classes, sometimes called  |
| 1       | YES                   |  |
| 2       | NO                    |  |
| D       | K/REF                 |  |
|         |                       | END Education Block (A)  |
| SES4 In | what country          | ry were you born?  |
|         | 1                     | UNITED STATES Go to SES6   |
|         | 2                     | PUERTO RICO Go to SES6   |
|         | 3                     | US VIRGIN ISLANDS Go to SES6   |
|         | 4                     | GUAM Go to SES6  |
|         | 5                     | AMERICAN SAMOA Go to SES6  |
|         | 6                     | NORTHERN MARIANA ISLANDS   |
|         | 7                     | OTHER COUNTRY Go to SES5   |
|         | DK/RI                 | EF   |

**SES5** [IF SES4=7] Of what country are you a citizen?

| 1  | BELIZE             | 13 | HONDURAS             |
|----|--------------------|----|----------------------|
| 2  | CANADA             | 14 | ITALY                |
| 3  | COLOMBIA           | 15 | JAMAICA              |
| 4  | CHINA              | 16 | MEXICO               |
| 5  | CUBA               | 17 | NIGERIA              |
| 6  | DOMINICAN REPUBLIC | 18 | PANAMA               |
| 7  | EL SALVADOR        | 19 | PHILLIPINES          |
| 8  | ENGLAND (BRITAIN)  | 20 | PUERTO RICO          |
| 9  | GERMANY            | 21 | TOBAGO, TRINIDAD     |
| 10 | GUATEMALA          | 22 | <b>UNITED STATES</b> |
| 11 | GUYANA (GUIANA)    | 23 | VIETNAM              |
| 12 | HAITI              | 24 | OTHER (SES5_OTH)     |

SPECIFY:\_\_\_\_\_

**SES5A** [IF SES4 = 7 AND SES5 NE 22] Are you also a citizen of the United States?

1 YES

2 NO

DK/REF

**SES5B** [IF SES4 = 7 AND SES5 NE 22] How many years have you lived in the United States?

NUMBER OF YEARS: \_\_\_\_\_[RANGE: 0 - 75] DK/REF

**SES6** Now I'm going to read you a list of places you may have lived during the 30 days prior to your arrest [DATE\_ARREST] At any time during those 30 days did you live...

|  | Yes | No |
|--|-----|----|
| <b>SES6a</b> . In your own house, apartment, or mobile     | 1   | 2  |
| home?  | 1   | 2  |
| <b>SES6b</b> . In someone else's house, apartment, or      | 1   | 2. |
| mobile home?   | 1   | 2  |
| <b>SES6c</b> . In transitional housing for former inmates, | 1   | 2. |
| such as a halfway house?                                   | 1   | 2  |
| <b>SES6d</b> . In a residential treatment facility?        | 1   | 2  |
| <b>SES6e</b> . In a rooming house, hotel, or motel?        | 1   | 2  |
| <b>SES6f</b> . In a car, truck, or other motor vehicle?    | 1   | 2  |
| <b>SES6g</b> . In a homeless shelter, on the street, or in | 1   | 2  |
| some outdoor location?                                     | 1   | 2  |
| <b>SES6h</b> . In a prison, jail, or some other type of    | 1   | 2  |
| detention facility?  | 1   |    |
| SES6i. In some other place?                                | 1   | 2  |

**SES6J** [IF SES6g NE 1] In the 12 months before your arrest INSERT ARREST DATE, was there a time when you were homeless, living on the street, or in a shelter?

- 1 YES
- 2 NO

DK/REF

**SES7** [IF SES6h=1] Were you incarcerated in a prison, jail, or other detention facility for the **entire 30 days** before your arrest INSERT ARREST DATE?

- 1 YES
- 2 NO

DK/REF

SES8 [SES6a = 1 OR SES6b = 1 OR SES6e = 1 OR SES6f = 1 OR SES6g = 1 OR SES6i = 1] Excluding yourself, how many people were you living with at the time of your arrest? NUMBER OF PEOPLE: \_\_\_\_\_[RANGE: 0 - 15] DK/REF

#### **DEFINE PERSON\_FILL:**

IF SES8 = 1 THEN PERSON\_FILL = "was this person" IF SES8 = 2 – 15 THEN PERSON\_FILL = "were these people"

SES9 [IF SES8 = 1 - 15] How [PERSON\_FILL] related to you? (CHECK ALL THAT APPLY)

- 1 SPOUSE
- 2 PARTNER OR GIRL/BOYFRIEND
- 3 BIOLOGICAL / ADOPTED CHILDREN UNDER 18
- 4 STEPCHILDREN / PARTNER'S CHILDREN UNDER 18
- 5 BIOLOGICAL / ADOPTED CHILDREN OVER 18
- 6 STEPCHILDREN / PARTNER'S CHILDREN OVER 18
- 7 MOTHER / STEPMOTHER
- 8 FATHER / STEPFATHER
- 9 SIBLING / BROTHER OR SISTER/ STEPBROTHER OR STEPSISTER
- 10 GRANDPARENT(S)
- 11 GRANDCHILDREN
- 12 OTHER CHILDREN UNDER 18 NOT RELATED TO YOU
- 13 OTHER FAMILY MEMBERS
- 14 FOSTER FAMILY
- 15 FRIENDS
- 16 OTHER NON-RELATIVE / NOT A FRIEND

DK/REF

[FI PROBE – Biological/adopted vs step children and under or over 18]

**SES10** Do you have any children? Please include any of your own biological or adopted children.

1 YES Go to SESB1 2 NO Go to SES11 DK/REF

#### B - Children Block

Block Level Universe: (SES15 = 1)

# OF CHILDREN: \_\_\_\_\_ [RANGE: 1 – 20] DK/REF

**SESB1a** [IF SESB1 = 1] How old is your child? (FOR CHILDREN UNDER AGE 1 CODE AS 99)

AGE OF CHILD: \_\_\_\_\_ [RANGE:1–75, 99] DK/REF

# **DEFINE CHILDAGE\_FILL:**

IF SESB1a ≠ EMPTY, DK, REF THEN CHILDAGE\_FILL = "SESB1a VALUE year old" ELSE CHILDAGE FILL = EMPTY

SESB1b [IF SESB1 = 2 OR MORE] How old is your [first/second/third/etc.] child? [LOOP THROUGH UNTIL ALL CHILDREN ARE REPORTED ON.]

(FOR CHILDREN UNDER AGE 1 CODE AS 0)

AGE OF CHILD: \_\_\_\_\_ [RANGE: 0 – 75] DK/REF

# **CALCULATED VARIABLE:**

#### **CREATE #UNDER18**

IF SESB1a LT 18 OR IF ONLY ONE CHILD AGE IN SESB1b LT 18 THEN #UNDER18 = 1

IF 2 CHILDREN'S AGES IN SESB1b LT 18 THEN #UNDER18 = 2

IF 3 CHILDREN'S AGES IN SESB1b LT 18 THEN #UNDER18 = 3

....

IF 20 CHILDREN'S AGES IN SESB1b LT 18 THEN #UNDER18 = 20 ELSE #UNDER18 = BLANK

#### **DEFINE #UNDER18 FILL:**

IF #UNDER18 = 1 THEN #UNDER18\_FILL = EMPTY
IF #UNDER18 = 2 - 20 THEN #UNDER18 FILL = #UNDER18

#### **DEFINE CHILD\_FILL1:**

IF #UNDER18 = 1 THEN CHILD\_FILL1 = "child who is"
IF #UNDER18 = 2 - 20 THEN CHILD\_FILL1 = "children who are"

# **DEFINE CHILD\_FILL2:**

IF #UNDER18 = 1 THEN CHILD\_FILL2 = "your"
IF #UNDER18 = 2 - 20 THEN CHILD FILL2 = "any of your"

#### **DEFINE CHILD FILL3:**

IF #UNDER18 = 1 THEN CHILD\_FILL3 = "child" IF #UNDER18 = 2 - 20 THEN CHILD\_FILL3 = "children"

#### **DEFINE THIS FILL1:**

IF #UNDER18 = 1 THEN THIS\_FILL1 = "this"
IF #UNDER18 = 2 - 20 THEN THIS FILL1 = "any of these"

### **DEFINE THIS FILL2:**

IF #UNDER18 = 1 THEN THIS\_FILL2 = "this"
IF #UNDER18 = 2 - 20 THEN THIS FILL2 = "these"

**SESB2** [IF #UNDER18 = 1 OR MORE] Were you living with [THIS-FILL1] [#UNDER18\_FILL] [CHILD\_FILL3] just before your arrest INSERT ARREST DATE?

1 YES 2 NO

DK/REF

**SESB3** [IF #UNDER18 > 1 AND SESB2 = 1] How many of these [#UNDER18\_FILL] children were you living with just before your arrest INSERT ARREST DATE?

| NUMBER: | <br>[RANGE 1 | - #UNDER] |
|---------|--------------|-----------|
| DK/REE  |              |           |

#### **DEFINE CHILD FILL4:**

IF SESB3 = 1 THEN CHILD\_FILL4 = "child"
IF SESB3 = 2 OR MORE THEN CHILD\_FILL4 = "children"

#### **CREATE #SESB3:**

#SESB3 = SESB1 Value – SESB3 Value

# **DEFINE CHILD\_FILL5:**

IF #SESB3 = 1 THEN CHILD\_FILL5 = "child that was"
IF #SESB3 = 2 OR MORE THEN CHILD FILL5 = "children that were"

| <b>DEFIN</b> | _      | FILL:<br>THEN IS FILL = "is"   |
|--------------|--------|--|
|              |        | OR MORE THEN IS_FILL = "are"   |
| SESB4        |        | SESB3 > 1] How many of the [SESB3 VALUE] children that you lived with <b>currently</b> living together?  |
|              |        | NUMBER: [RANGE: 0, 2 – SESB3 VALUE] DK/REF   |
| SESB5        | just b | [IS_FILL] your [SESB3 VALUE] [CHILD_FILL4] that you were living with efore your arrest INSERT ARREST DATE living with now? (CHECK ALL Γ APPLY) |
|              | 1      | CHILD'S MOTHER / FATHER (INCLUDING STEP PARENTS)   |
|              | 2      | CHILD'S GRANDMOTHER  |
|              | 3      | CHILD'S GRANDFATHER  |
|              | 4      | OTHER RELATIVES  |
|              | 5      | INMATE'S BOYFRIEND / GIRLFRIEND / PARTNER /SPOUSE  |
|              | 6      | INMATE'S FRIENDS   |
|              | 7      | FRIENDS OF THE INMATE'S CHILD(REN)   |
|              | 8      | FOSTER PARENT(S)/FOSTER HOME   |
|              | 9      | INCARCERATED   |
|              | 10     | AGENCY OR INSTITUTION (INCLUDING MENTAL  |
|              |        | HEALTH FACILITY, GROUP HOME, RESIDENTIAL   |
|              |        | TREATMENT FACILITY)  |
|              | 11     | CHILD LIVES ON OWN (INCLUDES SCHOOL/COLLEGE, MILITARY,   |
|              |        | MONASTARV ETC )  |

**SESB6**[IF #UNDER18 = 1or more] Now I would like for you to tell me about the contact you have had with any of your [#UNDER18] children who are under 18 years old. [12MON\_FILL1] [DATE\_ADMIT], what type of contact have you had with any of those children under 18 years old?

SOMEONE ELSE (SESB5\_OTH) SPECIFY:\_\_\_\_

|  | YES | NO |
|--|-----|----|
| SESB6a. Have you had in-person visits? | 1   | 2  |
| SESB6b. Have you had phone calls?      | 1   | 2  |
| SESB6c. Have you sent mail?            | 1   | 2  |
| SESB6d. Have you received mail?        | 1   | 2  |
| SESB6e. Some Other Way?                | 1   | 2  |

**DECEASED** 

12

13

# **DEFINE 12MON\_FILL1:**

IF admission date is less than 12 months ago THEN 12MON\_FILL1 = "Since you were admitted to prison"

IF admission date is at least 12 months ago THEN 12MON\_FILL1 = "During the past 12 months"

SESB7[12MON FILL1] [DATE ADMIT], about how often have you...

Daily = 1 Weekly = 2 Monthly = 3 Less than once a month = 4

**FI NOTE:** "Daily" means daily or almost daily. "Weekly" means at least once a week. "Monthly" means at least once a month but less than weekly.

|  | 1 | 2 | 3 | 4 |
|--|---|---|---|---|
| <b>SESB7a.</b> [IF SESB6a = 1] had in-person visits with any of those children under 18? |   |   |   |   |
| <b>SESB7b.</b> [IF SESB6b = 1] had phone calls with any of those children under 18?      |   |   |   |   |
| <b>SESB7c.</b> [IF SESB6c = 1] <b>sent</b> mail to any of those children under 18?       |   |   |   |   |
| <b>SESB7d.</b> [IF SESB6d = 1] <b>received</b> mail from any of those children under 18? |   |   |   |   |

SESB8 [IF SESB6a = 2] Why do you think you have not received an in-person visit from any of those children under 18 [12MON\_FILL2] [DATE\_ADMIT]? (CHECK ALL THAT APPLY)

- 1. LIVES TOO FAR AWAY
- 2. CAN'T HAVE VISITORS
- 3. INMATE DOESN'T WANT CHILD TO SEE THEM INCARCERATED
- 4. CAN'T AFFORD TO TRAVEL TO FACILITY
- 5. VISITING FACILITY WILL BE UNSAFE
- 6. CHILD DOESN'T WANT TO SEE INMATE WHILE INCARCERATED
- 7. CHILD'S OTHER PARENT/GUARDIAN DOESN'T WANT CHILD TO VISIT
- 8. CURRENT CAREGIVER DOES NOT WANT CHILD TO VISIT
- 9. RECEIVED TELEVISIT INSTEAD OF IN-PERSON VISIT
- 10. OTHER

**SESB9** [IF #UNDER18 >0] Sometimes people have a legal agreement through a court or a child support agency that specifies how much money a person has to pay for child support. At the time of your arrest [DATE\_ARREST], did you have a legal agreement to pay child support for any of your children who were under the age of 18?

- 1 YES Go to SESB10
- 2 NO Go to SES11

DK/REF

**SESB10** [IF SESB9 = 1] Do you owe any back child support?

1 YES

2 NO

DK/REF

### **END Children Block (B)**

**SES11** [IF SES7 NE 1] Now I would like to ask you about jobs you may have worked before your incarceration. Excluding any illegal activities, during the 30 days before you were arrested [DATE\_ARREST], did you have a job or a business? Please only think about jobs or businesses you worked at in the 30 days **before** you were arrested on [DATE ARREST].

1 YES Go to SESC1

2 NO Go to SES12

DK/REF

# C – Employed Block

**Block Level Universe: (SES11 = 1)** 

**SESC1** [IF SES11 = 1] How many different jobs or businesses did you have?

- 1 ONE
- 2 TWO
- 3 THREE
- 4 FOUR OR MORE
- 5 NONE

DK/REF

**SESC2** [IF SESC1 = 1] Was this full-time, part-time, or work done occasionally?

- 1 FULL-TIME
- 2 PART-TIME
- 3 WORK DONE OCCASIONALLY

| <b>SESC3</b> [IF SESC1 = 1] | What was your occupation then? | (PROBE FOR SPECIFIC |
|-----------------------------|--------------------------------|---------------------|
| OCCUPATION)                 |                                |                     |

SPECIFY: \_\_\_\_\_ [ALLOW 200 CHARACTERS]

DK/REF

**SESC4** [IF SESC1 = 2-4] Were any of these jobs or businesses ...

|                                | Yes | No |
|--------------------------------|-----|----|
| SESC4a Full-time work?         | 1   | 2  |
| SESC4b Part-time work?         | 1   | 2  |
| SESC4c Work done occasionally? | 1   | 2  |

# \_END Employed Block (C)

**SES12** [IF SES11 = 2 OR SESC1 = 5] In the 30 days before you were arrested [DATE\_ARREST] were you looking for work?

- 1 YES Go to SES14
- 2 NO Go to SES13

**SES13** [IF SES12 = 2] What were the reasons you were not looking for work? (CHECK ALL THAT APPLY)

- 1 NO SUITABLE WORK AVAILABLE
- 2 COULDN'T FIND ANY WORK
- 3 LACK OF NECESSARY SCHOOLING, TRAINING, SKILLS, OR EXPERIENCE
- 4 MEDICAL CONDITION, ILL HEALTH, PHYSICAL OR MENTAL DISABILITY
- 5 EMOTIONAL OR MENTAL HEALTH PROBLEM
- 6 EMPLOYERS THOUGHT YOU WERE TOO YOUNG
- 7 EMPLOYERS THOUGHT YOU WERE TOO OLD
- 8 CRIMINAL RECORD WAS A HANDICAP
- 9 FAMILY RESPONSIBILITIES OR COULDN'T ARRANGE CHILD CARE
- 10 STAY AT HOME PARENT
- 11 ON WELFARE OR OTHER PUBLIC ASSISTANCE
- 12 IN SCHOOL / SPECIAL PROGRAM
- 13 DIDN'T NEED JOB
- 14 DIDN'T WANT JOB
- 15 ILLEGAL ACTIVITIES
- 16 ON DRUGS / ALCOHOL
- 17 RETIRED
- 18 OTHER(SES13\_OTH)SPECIFY: \_\_\_\_\_
- 19 NO SPECIFIC REASON

DK/REF

**SES14** [IF SESC1 = 5 OR SES11 = 2 OR SES7=1] Excluding any illegal activities, in what month and year did you last work at a job or business? Please only think about jobs or businesses you worked at **before** you were arrested [DATE\_ARREST]?

MONTH: \_\_\_\_ [Range: 1-12, 999] If '999' go to SES19

YEAR: \_\_\_\_ [Range: 1930 - CURRENT YEAR]

(IF INMATE NEVER WORKED AT A JOB OR BUSINESS CODE AS 999) DK/REF

SES15 [IF SES14 NE 999 OR DK/REF] Was it full-time, part-time, or occasional work?

- 1 FULL-TIME
- 2 PART-TIME
- 3 OCCASIONAL

**SES16** [IF SES7 ne 1] In the 30 days before your arrest INSERT ARREST DATE, did you receive any income from...

|   | Yes | No |
|---|-----|----|
| SES16a Wages, salary, commissions, bonuses,       | 1   | 2  |
| or tips from a job? Please do not include money   |     |    |
| earned from any illegal activities.               |     |    |
| SES16b Self-employment from your own              | 1   | 2  |
| business or farm?                                 |     |    |
| SES16c Social Security or Railroad Retirement?    | 1   | 2  |
| SES16d Supplemental Security Income or            | 1   | 2  |
| SSI?  |     |    |
| SES16e Any public assistance or welfare           | 1   | 2  |
| payments from the state or local welfare office?  |     |    |
| <b>SES16f</b> Retirement, survivor, or disability | 1   | 2  |
| pensions?   |     |    |
| <b>SES16g</b> Veteran's Administration payments?  | 1   | 2  |
| SES16h Unemployment compensation?                 | 1   | 2  |
| SES16i Child support or alimony?                  | 1   | 2  |
| SES16j Disability benefits?                       | 1   | 2  |
| SES16k Illegal activities such as theft or drug   | 1   | 2  |
| sales?  |     |    |
| SES16l Any other source of income?                | 1   | 2  |

**SES17** [IF AT LEAST ONE CATEGORY IN SES16 = 1] Think about all of the income you received during the 30 days before your arrest DETERMINE IF ARREST DATE NECESSARY, including from any illegal activities. What was your total income for that 30 day period?

| TOTAL PERSONAL INCOME: | <br>[RANGE: 0 | – 99,999] |
|------------------------|---------------|-----------|
| DK/REF                 |               |           |

SES17a [SES17 = DK, REF] Would you say the income you received in those 30 days was...

- 1 Less than \$200
- 2 \$200 \$599
- 3 \$600 \$999
- 4 \$1000 \$1999
- 5 \$2000 \$4999, or
- 6 \$5000 or more?

support for the household?

- 1 YES
- 2 NO

DK/REF

**SES18** [IF SES16k =1] How much of your total income during those 30 days came from illegal activity? Would you say...

- 1 All of it
- 2 Most of it
- 3 Some of it, or
- 4 Just a little of it?

DK/REF

**SES19** During the 30 days before your arrest INSERT ARREST DATE, were you covered by any of the following types of health insurance or health coverage plans?

|   | Yes | No |
|---|-----|----|
| <b>SES19a</b> . Insurance through a current employer or union of yours or another family member?                                    | 1   | 2  |
| <b>SES19b</b> . Insurance purchased directly from an insurance company by you or another family member?                             | 1   | 2  |
| <b>SES19c</b> . Medicare, for people 65 and older, or people with certain disabilities?   | 1   | 2  |
| <b>SES19d</b> . Medicaid, Medical Assistance, or any kind of government-assistance plan for those with low incomes or a disability? | 1   | 2  |
| <b>SES19e</b> . TRICARE or other military health care?  | 1   | 2  |
| <b>SES19f.</b> VA? Please answer yes if you have <b>ever</b> used or enrolled in VA healthcare.                                     | 1   | 2  |
| SES19g. Indian Health Service?  | 1   | 2  |
| <b>SES19h</b> . Any other type of health insurance or health coverage plan?   | 1   | 2  |

**SES20** [IF SES19h = 1] What other type of health insurance or health coverage plan did you have in the 30 days before the arrest or charge?

| NAME OF PLAN: | [ALLOW 40 CHARACTERS] |
|---------------|-----------------------|
| DK/REF        |                       |

**SES20a** [IF (DEMOA9a = 1-5) AND CJ28 NE 2 AND CJ44 NE 2 AND (CJC5a NE 1-4) AND (CJC10a NE 1-4)] After you are released from prison, do you expect to

be eligible for any health care benefits from the VA such as treatment for physical or mental health problems or treatment for drug or alcohol use?

- 1 YES
- 2 NO

DK/REF

# **DEFINE 18\_FILL1:**

IF AGE LT 18 = 1 THEN 18\_FILL1 = "Have you ever lived"
IF AGE = 18 or older THEN 18FILL 1 = "Before you were 18, did you ever live"

**SES21**[18\_FILL1] in a homeless shelter, on the street, or in some outdoor location, even if it was only for a short time?

- 1 YES
- 2 NO

DK/REF

**SES22**[18\_FILL1] in a foster home, agency or institution, even if it was only for a short time?

- 1 YES
- 2 NO

DK/REF

SES23 [IF SES22 = 1] Was it a foster home, an agency or institution, or both?

- 1 FOSTER HOME
- 2 AGENCY OR INSTITUTION
- 3 BOTH

DK/REF

# **DEFINE 18\_FILL2:**

IF AGE LT 18 = 1 THEN 18\_FILL2 = "While you were growing up" IF AGE = 18 or older THEN 18FILL\_2 = "Before you were 18,"

SES24[18\_FILL2] Who did you live with for most of the time? [IF MORE THAN

# ONE ANSWER GIVEN, USE CLOSEST BLOOD RELATIVE

- 1 BOTH PARENTS (INCLUDING ADOPTED OR STEP-PARENTS)
- 2 MOTHER (INCLUDING ADOPTED OR STEPMOTHER)
- 3 FATHER (INCLUDING ADOPTED OR STEPFATHER)
- 4 SPLIT TIME BETWEEN BOTH PARENTS
- 5 FOSTER PARENT(S)/FOSTER HOME
- 6 GRANDMOTHER
- 7 GRANDFATHER
- 8 BOTH GRANDPARENTS (INCLUDING ADOPTED OR STEP-PARENTS)
- 9 OTHER RELATIVE(S)
- 10 FRIENDS
- 11 INCARCERATED
- 12 AGENCY OR INSTITUTION (INCLUDING MENTAL HEALTH FACILITY, GROUP HOME, RESIDENTIAL TREATMENT FACILITY
- 13 SOMEONE ELSE (SES35\_OTH) SPECIFY:\_\_\_\_\_ DK/REF

# **DEFINE 18\_FILL3:**

IF AGE LT 18 = 1 THEN 18\_FILL3 = "Did"
IF AGE = 18 or older THEN 18FILL 3 = "Before you were 18, did"

**SES25**[18\_FILL3] any of your parents or guardians ever receive welfare or public assistance, for example, AFDC, TANF, food stamps, Medicaid or WIC?

1 YES 2 NO DK/REF

**SES26** [18\_FILL1] in public housing or publicly-assisted housing, for example, Section 8 housing?

- 1 YES
- 2 NO

DK/REF

**SES27**Now I would like to ask about your relatives who may have been sentenced to serve time in jail or prison. Please include step-relatives when answering.

Have any of the following people been sentenced to served time in jail or prison.

|                              | Yes | No |
|------------------------------|-----|----|
| SES27a. Your mother?         | 1   | 2  |
| SES27b. Your father?         | 1   | 2  |
| SES27c. Siblings?            | 1   | 2  |
| SES27d. Spouses or partners? | 1   | 2  |
| SES27e. Children?            | 1   | 2  |

# <u>Section 4 — Mental Health (MH)</u>

# FOR DOCUMENTATION PURPOSES (DO NOT READ TO RESPONDENTS)

The following customized text fills were defined in a previous section and appear within questions in this section of the instrument:

Fill Name Origin

DATE\_ADMIT Section 2 – Criminal Justice (CJ)
DATE ARREST Section 2 – Criminal Justice (CJ)

# **DATE ADMIT** Fill Instructions:

IF C10a  $\neq$  EMPTY AND C10b  $\neq$  EMPTY AND CJ10c  $\neq$  EMPTY THEN

DATE ADMIT = "on MONTH DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ01c ≠ EMPTY THEN

DATE ADMIT = "in MONTH, YEAR"

ELSE DATE ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places

# **DATE\_ARREST** Fill Instructions:

IF CJ9a ≠ EMPTY AND CJ9b ≠ EMPTY THEN DATE ARREST

= "in MONTH, YEAR"

ELSE DATE\_ARREST = EMPTY

Note – DATE\_ARREST is updated with the Month and Year of the arrest wherever it is collected in the Criminal Justice module, as these dates can be collected in several places.

# **DEFINE DATE 30**

DATE\_30 = INTERVIEW DATE - 30 DAYS BUT SHOULD BE FILLED AS JUST THE MONTH AND DAY.

# **DEFINE DATE\_12**

**DATE 12 = DATE OF INTERVIEW – 1 YEAR** 

**MH1**The next questions are about how you have been feeling during the past 30 days, that is since [DATE\_30]. For each question please tell me whether you felt that way all of the time, most of the time, some of the time, a little of the time, or none of the time.

About how often during the past 30 days did you feel nervous?

- 1 ALL OF THE TIME
- 2 MOST OF THE TIME
- 3 SOME OF THE TIME
- 4 A LITTLE OF THE TIME
- 5 NONE OF THE TIME

- **MH2** During the past 30 days, about how often did you feel hopeless?
  - 1 ALL OF THE TIME
  - 2 MOST OF THE TIME
  - 3 SOME OF THE TIME
  - 4 A LITTLE OF THE TIME
  - 5 NONE OF THE TIME

DK/REF

- **MH3** (During the past 30 days,) about how often did you feel restless or fidgety?
  - 1 ALL OF THE TIME
  - 2 MOST OF THE TIME
  - 3 SOME OF THE TIME
  - 4 A LITTLE OF THE TIME
  - 5 NONE OF THE TIME

DK/REF

- **MH4** How often (during the past 30 days) did you feel so depressed that nothing could cheer you up? Would you say all of the time, most of the time, some of the time, a little of the time, or none of the time?
  - 1 ALL OF THE TIME
  - 2 MOST OF THE TIME
  - 3 SOME OF THE TIME
  - 4 A LITTLE OF THE TIME
  - 5 NONE OF THE TIME

DK/REF

- **MH5** About how often (during the past 30 days) did you feel that everything was an effort?
  - 1 ALL OF THE TIME
  - 2 MOST OF THE TIME
  - 3 SOME OF THE TIME
  - 4 A LITTLE OF THE TIME
  - 5 NONE OF THE TIME

**MH6** About how often (during the past 30 days) did you feel worthless?

- 1 ALL OF THE TIME
- 2 MOST OF THE TIME
- 3 SOME OF THE TIME
- 4 A LITTLE OF THE TIME
- 5 NONE OF THE TIME

DK/REF

**MH7** Have you **ever** been told by a medical doctor or a mental health professional, such as a psychiatrist or psychologist that you had...

|   | Yes | No |
|---|-----|----|
| <b>MH7a.</b> Manic depression, a bipolar disorder, or mania?          | 1   | 2  |
| <b>MH7b</b> . A depressive disorder?                                  | 1   | 2  |
| <b>MH7c.</b> Schizophrenia or another psychotic disorder?             | 1   | 2  |
| <b>MH7d.</b> Post-traumatic stress disorder or PTSD?                  | 1   | 2  |
| <b>MH7e.</b> Another anxiety disorder, such as panic disorder or      | 1   | 2  |
| obsessive-compulsive disorder, also known as OCD?                     |     |    |
| <b>MH7f.</b> A personality disorder, such as antisocial or borderline | 1   | 2  |
| personality   |     |    |
| <b>MH7g</b> . A mental or emotional condition other than those I just | 1   | 2  |
| asked you about?  |     |    |
| <b>MH7_OTH.</b> [IF MH7g = 1] What condition is that? SPECIFY:        |     |    |
|   |     |    |

MH8 The next questions are about any times you may have stayed overnight in any type of hospital or other facility for any problem with your emotions, nerves, or mental health. Please do **not** include any overnight hospital stays for alcohol or drug use.

Have you **ever** stayed overnight or longer in any type of hospital or other facility to receive treatment or counseling for any problem you were having with your emotions, nerves, or mental health?

- 1 YES
- 2 NO

DK/REF

**MH9** [IF MH8 = 1] During the 12 months before your arrest [DATE\_ARREST], did you stay overnight or longer in any type of hospital or other facility to receive treatment or counseling for any problem you were having with your emotions, nerves, or mental health?

- 1 YES
- 2 NO

- **MH10** The next questions are about services you may have received for any problem with your emotions, nerves, or mental health. As you answer these questions please do **not** include any services you may have received for drug or alcohol use. Some questions ask about prescription medicine. Prescription medicines are drugs that you take if a doctor authorizes them for you.
- **MH11** At the time of the [INSERT CONTROLLING OFFENSE], were you taking prescription medicine for any problem you were having with your emotions, nerves, or mental health?
  - 1 YES
  - 2 NO

DK/REF

- **MH12** Since you were admitted to prison [DATE \_ADMIT], have you taken prescription medicine for any problem you were having with your emotions, nerves, or mental health?
  - 1 YES
  - 2 NO

DK/REF

- **MH13** [IF MH12 = 1] Are you **currently** taking prescription medicine for any problem with your emotions, nerves, or mental health?
  - 1 YES
  - 2 NO

DK/REF

- **MH14** Since you were admitted to prison [DATE\_ADMIT], have you received counseling, treatment, or therapy from a mental health professional such as a psychiatrist, psychologist, social worker, or nurse for any problem you were having with your emotions, nerves, or mental health?
  - 1 YES
  - 2 NO

DK/REF

- **MH15** [IF MH14 = 1] Are you **currently** receiving any counseling, treatment, or therapy from a mental health professional such as a psychiatrist, psychologist, social worker, or nurse for any problem with your emotions, nerves, or mental health?
  - 1 YES
  - 2 NO

# <u>Section 5 – Physical Health, Treatment and Disabilities (PH)</u>

# FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS) The following customized text fills were defined in a previous section and appear within questions in this section of the instrument: Fill Name Origin DATE\_ADMIT Section 2 – Criminal Justice (CJ) DATE\_ARREST Section 2 – Criminal Justice (CJ)

# **DATE\_ADMIT** Fill Instructions:

IF C10a ≠ EMPTY AND C10b ≠ EMPTY AND CJ10c ≠ EMPTY THEN DATE\_ADMIT = "on MONTH DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ01c ≠ EMPTY THEN DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE\_ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places

# **DATE ARREST** Fill Instructions:

IF CJ9a ≠ EMPTY AND CJ9b ≠ EMPTY THEN DATE\_ARREST = "in MONTH, YEAR"
ELSE DATE ARREST = EMPTY

Note – DATE\_ARREST is updated with the Month and Year of the arrest wherever it is collected in the Criminal Justice module, as these dates can be collected in several places.

PH\_INTRO The next questions are about your physical characteristics and any health and dental care you may have received since you were admitted to prison [DATE\_ADMIT].

ENTER 1 TO CONTINUE.

PH1 How tall are you?

| FEET: \_\_\_\_\_ [RANGE: 3 - 7] | INCHES: \_\_\_\_ [RANGE: 0 - 11] | DK/REF

| PH2 How much do you currently weigh?
| POUNDS: \_\_\_\_\_ [RANGE: 75 - 500]

**PH3** What sex were you assigned at birth, on your original birth certificate?

1 MALE

DK/REF

2 FEMALE

**PH4** How do you describe yourself? (SELECT ONE)

- 1 Male
- 2 Female
- 3 Transgender
- 4 Do not identify as male, female or transgender

DK/REF

# SOFT ERROR

[IF (PH3 = 1 AND PH4 ne 1) OR (PH3 = 2 AND PH4 ne 2)] VERIFY THE VALUES FOR PH3 AND PH4 WERE CORRECTLY RECORDED

**PH5** Which of the following best represents how you think of yourself?

- 1 Lesbian or gay,
- 2 Straight, that is not lesbian or gay,
- 3 Bisexual, or
- 4 Something else

DK/REF

**PH6** [IF GENDER OF FACILITY = FEMALE AND PH3 NE 1] When you were admitted to prison [DATE\_ADMIT], were you pregnant?

- 1 YES
- 2 NO

DK/REF

**PH6a**. [IF PH6 = 1] Since your admission to prison, have you received an obstetric examination, an examination of you and the baby before it was born?

- 1 YES
- 2 NO

DK/REF

**PH6b.** [IF PH6 = 1] Have you received any other care, such as instructions on child care, exercises, a special diet, medication, or special testing from a doctor, nurse, or other medical person?

- 1 YES
- 2 NO

- **PH7** Since your admission to prison [DATE\_ADMIT], have you seen a doctor, nurse, or other health care provider for any reason?
  - 1 YES
  - 2 NO

DK/REF

- **PH8** [IF PH7 = 1] Since your admission to prison [DATE\_ADMIT] have you seen a doctor, nurse or other health care provider **outside the prison**, for example in a community hospital, doctor's office, or clinic?
  - 5 YES
  - 6 NO

DK/REF

**PH9** The next questions are about difficulties that you might have due to a physical, mental, or emotional problem.

# **ENTER 1 TO CONTINUE**

- **PH10** Are you deaf or do you have serious difficulty hearing?
  - 1 YES
  - 2 NO

DK/REF

- **PH11** Are you blind or do you have serious difficulty seeing even when wearing glasses?
  - 1 YES
  - 2 NO

DK/REF

- **PH12** Because of a physical, mental, or emotional problem, do you have serious difficulty concentrating, remembering, or making decisions?
  - 1 YES
  - 2 NO

DK/REF

**PH13** [IF PH12 = 1] Is the serious difficulty you have concentrating, remembering, or making decisions caused by...

|  | Yes | No |
|--|-----|----|
| PH13a. a physical problem?                   | 1   | 2  |
| <b>PH13b.</b> a mental or emotional problem? | 1   | 2  |

PH14 Do you have serious difficulty walking or climbing stairs?

- 1 YES
- 2 NO

DK/REF

**PH15** Do you have difficulty dressing or bathing?

- 1 YES
- 2 NO

DK/REF

**PH16** Because of a physical, mental, or emotional problem, do you have difficulty doing activities on your own such as going to meal time, going outside, working in or outside of this facility, going to classes, or attending programs?

- 1 YES
- 2 NO

DK/REF

**PH17** [IF PH16 = 1] Is the difficulty you experience doing activities on your own caused by...

|                                     | Yes | No |
|-------------------------------------|-----|----|
| PH17a. a physical problem?          | 1   | 2  |
| <b>PH17b.</b> a mental or emotional | 1   | 2  |
| problem?                            |     |    |

**PH18** These next questions are about specific medical problems you may have had in the past or have currently.

**ENTER 1 TO CONTINUE** 

PH19 Has a doctor, nurse, or other health care provider ever told you that you had...

|   | Yes | No |
|---|-----|----|
| <b>PH19a</b> . Any type of cancer?                                | 1   | 2  |
| <b>PH19b</b> . High blood pressure or hypertension?               | 1   | 2  |
| PH19c. A stroke?  | 1   | 2  |
| <b>PH19d.</b> [IF SEX_FILL = MALE: Diabetes, high blood           | 1   | 2  |
| sugar, or sugar diabetes?   |     |    |
| IF SEX_FILL = FEMALE: Diabetes, high blood sugar, or              |     |    |
| sugar diabetes that was <b>not</b> due to being pregnant?]        |     |    |
| <b>PH19e.</b> Heart disease, including a heart attack, coronary   | 1   | 2  |
| heart disease, angina, congestive heart failure or other heart    |     |    |
| problems?   |     |    |
| <b>PH19f.</b> A problem with your kidneys?                        | 1   | 2  |
| <b>PH19g.</b> Some form of arthritis, rheumatoid arthritis, gout, | 1   | 2  |
| lupus, or fibromyalgia?   |     |    |
| PH19h. Asthma?  | 1   | 2  |
| <b>PH19i.</b> Cirrhosis of the liver?                             | 1   | 2  |
| PH19j. Tuberculosis, also called TB?                              | 1   | 2  |
| PH19k. Hepatitis B?   | 1   | 2  |
| PH19l. Hepatitis C?   | 1   | 2  |
| <b>PH19m.</b> HIV – the virus that causes AIDS?                   | 1   | 2  |
| <b>PH19n.</b> Other sexually transmitted diseases?                | 1   | 2  |

**PH20** [IF P19m = 2] Have you **ever** been tested for HIV – the virus that causes AIDS?

- 1 YES
- 2 NO

**PH21**[IF PH19a = 1 OR PH19b = 1 OR PH19c = 1 OR PH19d = 1 OR PH19e = 1 OR PH19f = 1 OR PH19g = 1 OR PH19h = 1 OR PH19i = 1 OR PH19k = 1 OR PH19l = 1 ] Has a doctor, nurse, or other health care provider told you that you **currently** have...

# NOTE TO PROGRAMMERS: THIS SCREEN SHOULD FILL WITH ONLY THOSE DISEASES THE R ENDORSED IN PH19.

|   | Yes | No |
|---|-----|----|
| <b>PH21a.</b> [IF PH19a = 1] Any type of cancer?                  | 1   | 2  |
| <b>PH21b.</b> [IF PH19b = 1] High blood pressure or               | 1   | 2  |
| hypertension?   |     |    |
| <b>PH21c.</b> [IF PH19c = 1] Problems caused by a stroke?         | 1   | 2  |
| <b>PH21d.</b> [IF PH19d = 1] Diabetes, high blood sugar, or sugar | 1   | 2  |
| diabetes?   |     |    |
| <b>PH21e.</b> [IF PH19e = 1] Heart disease, including a heart     | 1   | 2  |
| attack, coronary heart disease, angina, congestive heart          |     |    |
| failure or other heart problems?                                  |     |    |
| <b>PH21f.</b> [IF PH19f = 1] A problem with your kidneys?         | 1   | 2  |
| PH21g. [IF PH19g = 1] Arthritis, rheumatoid arthritis, gout,      | 1   | 2  |
| lupus, or fibromyalgia?   |     |    |
| <b>PH21h.</b> [IF PH19h = 1] Asthma?                              | 1   | 2  |
| <b>PH21i.</b> [IF PH19i = 1] Cirrhosis of the liver?              | 1   | 2  |
| <b>PH21j.</b> [IF PH19k = 1] Hepatitis B?                         | 1   | 2  |
| <b>PH21k.</b> [IF PH19l = 1] Hepatitis C?                         | 1   | 2  |

- **PH22** These next questions are about smoking cigarettes. Have you smoked at least 100 cigarettes in your entire life (that is, about 5 packs)?
  - 1 YES
  - 2 NO

DK/REF

**PH23** [IF PH22 = 1] How old were you when you first started smoking cigarettes fairly regularly?

ENTER ZERO IF RESPONDENT NEVER SMOKED CIGARETTES FAIRLY REGULARLY.

AGE 1<sup>st</sup> SMOKED FAIRLY REGULARLY: \_\_\_\_ [RANGE: 7 – CURRENT AGE] DK/REF

| PH24 | [IF PH22 = 1] Are you allowed to smoke at this facility?   |
|------|--|
|      | 1 YES<br>2 NO<br>DK/REF  |
| PH25 | [IF PH22 = 1 AND PH24 = 1] Do you now smoke cigarettes every day, some days, or not all?   |
|      | 1 EVERY DAY 2 SOME DAYS 3 NOT AT ALL DK/REF  |
| PH26 | [IF PH25 = 1 OR 2] On how many of the <b>past 30 days</b> did you smoke cigarettes?  |
|      | NUMBER OF DAYS SMOKED: [RANGE: 1 – 30] DK/REF  |
| PH27 | [IF PH26 NE DK OR REF OR BLANK] On the [PH26] days you smoked cigarettes during the past 30 days, how many cigarettes did you smoke per day, on average? |
|      | IF LESS THAN 1, ENTER "1"  |
|      | NUMBER OF CIGARETTES PER DAY: [RANGE: 1 – 30]  |
| PH28 | [IF PH25 =3] Why haven't you smoked any cigarettes during the past 30 days?  |
|      | 1 COULD NOT AFFORD TO BUY CIGARETTES 2 DID NOT WANT TO SMOKE CIGARETTES 3 TRYING TO QUIT 4 SOME OTHER REASON DK/REF                                      |
| PH29 | [IF PH22 = 1] During the 30 days before your arrest [DATE_ARREST], did you smoke cigarettes every day, some days, or not at all?                         |
|      | 1 EVERY DAY 2 SOME DAYS 3 NOT AT ALL DK/REF  |

| PH30 |              | me doing physical exercise, for example sports, weightlifting, or g?             |
|------|--------------|--|
|      | 1            | YES  |
|      | 2            | NO   |
|      | DK/R         | EF   |
| РН31 | -            | H30 = 1] On how many of those 30 days did you spend time doing any cal exercise? |
|      | NUMI<br>DK/R | BER OF DAYS: [RANGE: 1 - 30]<br>EF   |

# Section 6 — Alcohol Use (AU)

# FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS)

The following customized text fills were defined in a previous section and appear within questions in this section of the instrument:

Fill Name

Origin

DATE\_ADMIT

Section 2 – Criminal Justice (CJ)

# **DATE ADMIT** Fill Instructions:

IF CJ10a ≠ EMPTY AND CJ10b ≠ EMPTY AND CJ10c ≠ EMPTY THEN DATE\_ADMIT = "on MONTH DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ10c ≠ EMPTY THEN DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE\_ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places

**AU1** The next questions are about alcoholic beverages, such as beer, wine, brandy, and mixed drinks. These questions are about drinks of alcoholic beverages. Throughout these questions, by a "drink," we mean a can or bottle of beer, a glass of wine or a wine cooler, a shot of liquor, or a mixed drink with liquor in it. We are not asking about times when you only had a sip or two from a drink.

Have you **ever**, even once, had a drink of any type of alcoholic beverage? Please do not include times when you only had a sip or two from a drink.

- 1 YES
- 2 NO

DK/REF

# **DEFINE EVERDRUG:**

IF AU1 = 1 THEN set EVERDRUG = 1 ELSE EVERDRUG = EMPTY

**AU2** [IF AU1=1] Think about the **first time** you had a drink of an alcoholic beverage. How old were you the **first time** you had a drink of an alcoholic beverage? Please do not include any time when you only had a sip or two from a drink.

| AGE:   | [RANGE: | 1 - 99 |
|--------|---------|--------|
| DK/REF |         |        |

| AU3 | - | 1=1] Did you drink alcohol 6 or more days during the 12 months before your ion to prison [DATE_ADMIT]? |
|-----|---|--|
|     | 1 | YES  |
|     | 2 | NO   |

- **AU4** [IF AU3=DK] Did you drink any alcohol during the 12 months before your admission to prison [DATE\_ADMIT]?
  - 1 YES 2 NO DK/REF

DK/REF

# **DEFINE DRINK\_12:**

```
IF AU4 = 1THEN DRINK_12 = 1
IF AU3 = 1THEN DRINK_12 = 2
ELSE DRINK_12 = EMPTY
```

- **AU5** [IF AU1=1] Had you been drinking any alcohol at the time of [the offense / any of the offenses] for which you are now incarcerated?
  - 1 YES 2 NO DK/REF

# D – Alcohol Dependency and Abuse Items Block Level Universe: (DRINK\_12 = 2)

**AUD1** [IF DRINK\_12 = 2] Think about your use of **alcohol** during the **12 months** before your admission to prison [DATE\_ADMIT] .

During that 12 month period, was there a month or more when you spent a lot of your time getting or drinking **alcohol**?

1 YES 2 NO DK/REF **AUD2** [IF AUD1 = 2 OR DK/REF] During the 12 months before your admission to prison [DATE\_ADMIT], was there a month or more when you spent a lot of time getting over the effects of the **alcohol** you drank?

1 YES

2 NO

DK/REF

**AUD3** [IF DRINK\_12 = 2] (During the 12 months before your admission to prison [DATE\_ADMIT] ), did you try to set limits on how often or how much **alcohol** you would drink?

1 YES

2 NO

DK/REF

**AUD4** [IF AUD3 = 1] Were you able to keep to the limits you set, or did you often drink more than you intended to?

- 1 USUALLY KEPT TO LIMITS SET
- 2 OFTEN DRANK MORE THAN INTENDED

DK/REF

**AUD5** [IF DRINK\_12 = 2] During that 12 month period, did you need to drink more **alcohol** than you used to in order to get the effect you wanted?

1 YES

2 NO

DK/REF

**AUD6** [IF AUD5=2 OR DK/REF] (During that 12 month period), did you notice that drinking the same amount of **alcohol** had less effect on you than it used to?

1 YES

2 NO

DK/REF

**AUD7** [IF DRINK\_12 = 2] (During that 12 month period), did you want to or try to cut down or stop drinking alcohol?

1 YES

2 NO

**AUD8** [IF AUD7 = 1] (During that 12 month period), were you **able to** cut down or stop drinking **alcohol every time** you wanted to or tried to?

- 1 YES
- 2 NO

DK/REF

**AUD9** [IF (AUD7 = 2 OR DK/REF) OR (AUD8 = 2 OR DK/REF)] (During that 12 month period), did you cut down or stop drinking **at least one time**?

- 1 YES
- 2 NO

DK/REF

**AUD10** [IF AUD8 = 1 OR AUD9 = 1] During that 12 month period, did you have **2 or more** of these symptoms after you cut back or stopped drinking **alcohol**?

- Sweating or feeling that your heart was beating fast
- Having your hands tremble
- Having trouble sleeping
- Vomiting or feeling nauseous
- Seeing, hearing, or feeling things that weren't really there
- Feeling like you couldn't sit still
- Feeling anxious
- Having seizures or fits
- 1 YES
- 2 NO

DK/REF

**AUD11** [IF AUD10 = 1] (During that 12 month period), did you have **2 or more of these symptoms at the same time** that lasted for longer than a day after you cut back or stopped drinking **alcohol**?

- Sweating or feeling that your heart was beating fast
- Having your hands tremble
- Having trouble sleeping
- Vomiting or feeling nauseous
- Seeing, hearing, or feeling things that weren't really there
- Feeling like you couldn't sit still
- Feeling anxious
- Having seizures or fits
- 1 YES
- 2 NO

**AUD12** [IF DRINK\_12 = 2] During that 12 month period, did you have any problems with your emotions, nerves, or mental health that were probably caused or made worse by drinking **alcohol**?

1 YES

2 NO

DK/REF

**AUD13** [IF AUD12 = 1] Did you continue to drink **alcohol** even though you thought drinking was causing you to have problems with your emotions, nerves, or mental health?

1 YES

2 NO

DK/REF

AUD14 [IF (AUD12 = 2 OR DK/REF) OR (AUD13 = 2 OR DK/REF)] (During that 12 month period,) did you have any physical health problems that were probably caused or made worse by drinking **alcohol**?

1 YES

2 NO

DK/REF

**AUD15** [IF AUD14 = 1] Did you continue to drink **alcohol** even though you thought drinking was causing you to have physical problems?

1 YES

2 NO

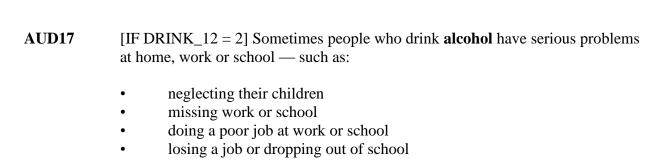
DK/REF

**AUD16** [IF DRINK\_12 = 2] This question is about important activities such as working, going to school, taking care of children, doing fun things such as hobbies and sports, and spending time with friends and family.

During the 12 months before your admission to prison [DATE\_ADMIT], did drinking **alcohol** cause you to give up or spend less time doing these types of important activities?

1 YES

2 NO



(During the 12 months before your arrest INSERT ARREST DATE,) did drinking **alcohol** cause you to have serious problems like this either at home, work, or school?

```
1 YES
2 NO
DK/REF
```

AUD18

[IF DRINK\_12 = 2] (During that 12 month period,) did you regularly drink **alcohol** and then do something where being drunk might have put you in physical danger?

1 YES 2 NO DK/REF

AUD19

[IF DRINK\_12 = 2] During that 12 month period, did drinking **alcohol** cause you to do things that repeatedly got you in trouble with the law?

1 YES 2 NO DK/REF

AUD20

[IF DRINK $_12 = 2$ ] (During that 12 month period,) did you have any problems with family or friends that were probably caused by your drinking?

1 YES 2 NO DK/REF

AUD21

[IF AUD20 = 1] Did you continue to drink **alcohol** even though you thought your drinking caused problems with family or friends?

1 YES 2 NO DK/REF

END Alcohol Dependency and Abuse Items Block (D)

# Section 7 – Drug Use (DU)

# FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS)

The following customized text fills were defined in a previous section and appear within questions in this section of the instrument:

Fill Name Origin

DATE\_ADMIT Section 2 – Criminal Justice (CJ)

DATE\_ARREST Section 2 – Criminal Justice (CJ)

# **DATE\_ADMIT** Fill Instructions:

IF C10a ≠ EMPTY AND C10b ≠ EMPTY AND CJ10c ≠ EMPTY THEN

DATE ADMIT = "on MONTH DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ01c ≠ EMPTY THEN

DATE ADMIT = "in MONTH, YEAR"

ELSE DATE ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places

# **DATE\_ARREST** Fill Instructions:

IF CJ9a ≠ EMPTY AND CJ9b ≠ EMPTY THEN DATE\_ARREST

= "in MONTH, YEAR"

ELSE DATE ARREST = EMPTY

Note – DATE\_ARREST is updated with the Month and Year of the arrest wherever it is collected in the Criminal Justice module, as these dates can be collected in several places.

**DU1** These next questions are about using drugs other than alcohol. Please think about the **12** months before your admission to prison [DATE\_ADMIT]. During those 12 months, did you use...

|   | YES | NO |
|---|-----|----|
| DU1a. Marijuana or hashish?   | 1   | 2  |
| <b>DU1b</b> . <b>Any form of cocaine</b> , including powder, "crack", free base, and coca paste?  | 1   | 2  |
| <b>DU1c</b> . [IF DU1b = 1] "Crack"?  | 1   | 2  |
| DU1d. Heroin?   | 1   | 2  |
| <b>DU1e.</b> PCP? PCP is also called "angel dust" or phencyclidine.   | 1   | 2  |
| <b>DU1f.</b> Ecstasy, or 'Molly', also known as MDMA?   | 1   | 2  |
| DU1g During the 12 months before your admission to prison [DATE_ADMIT] did you use any other type of hallucinogen, including LSD, peyote, mescaline, or psilocybin, found in mushrooms?   | 1   | 2  |
| <b>DU1h.</b> Methamphetamine, also known as meth, ice, crystal meth, glass or crank?  | 1   | 2  |
| <b>DU1i.</b> During those 12 months did you use inhalants – that is substances that people sniff or inhale for kicks or to get high? These substances include: amyl nitrite, locker room odorizers, cleaning fluid, gasoline, glue, nitrous oxide, or spray paints. | 1   | 2  |

**DU2** The next question is about using prescription pain relievers, tranquilizers, stimulants, or sedatives in any way a **doctor did not direct you to use them.** This could include using it without a prescription of your own, using it in greater amounts, more often, or longer than you were told to take it, or using it in any other way a doctor did not direct you to use it.

During the 12 months before you admission to prison [DATE\_ADMIT] did you use any prescription pain reliever, tranquilizer, stimulant or sedative in a way a doctor did not direct you to use it?

- 1 YES
- 2 NO

**DU3** During the 12 months before your admission to prison [DATE\_ADMIT] did use any drug or other substance, **besides those I've just asked about**, for kicks or to get high?

1 YES

2 NO

DK/REF

| D | T | 2 | Λ | T | וי |   |
|---|---|---|---|---|----|---|
| v | U | J | v | 1 | J  | ш |

What other drugs did you use for kicks or to get high during the 12 months before you admission to prison [DATE\_ADMIT]? (Any others?)

OTHER DRUGS: \_\_\_\_\_ [ALLOW 100 CHARACTERS]

DK/REF

# **UPDATE EVERDRUG:**

IF DU1a =1 OR DU1b=1 OR DU1c=1 OR DU1d=1 OR DU1e=1 OR DU1f=1 OR DU1g=1 OR DU1h=1 OR DU1i=1 OR DU2=1 OR DU3=1 THEN Set EVERDRUG = 1 ELSE (and AU1  $\neq$ 1) EVERDRUG = EMPTY

**DU4** Have you **ever**, even once, used...

|   | YES | NO |
|---|-----|----|
| <b>DU4a.</b> [IF DU1a = 2 OR DK/REF] Marijuana or hashish?  | 1   | 2  |
| <b>DU4b</b> . [IF DU1b = 2 OR DK/REF] <b>Any form of cocaine</b> , including powder, "crack", free base, and coca paste?  | 1   | 2  |
| <b>DU4c</b> . [IF DU1c = 2 OR DK/REF] "Crack"?  | 1   | 2  |
| <b>DU4d.</b> [IF DU1d = 2 OR DK/REF] Heroin?  | 1   | 2  |
| <b>DU4e.</b> [IF DU1e = 2 OR DK/REF] PCP? PCP is also called "angel dust" or phencyclidine.   | 1   | 2  |
| <b>DU4f.</b> [IF DU1f = 2 OR DK/REF] Ecstasy, or 'Molly', also known as MDMA?   | 1   | 2  |
| <b>DU4g</b> [IF DU1g = 2 OR DK/REF] During the 12 months before your admission to prison [DATE_ADMIT] did you use any other type of hallucinogen, including LSD, peyote, mescaline, or psilocybin, found in mushrooms?  | 1   | 2  |
| <b>DU4h.</b> [IF DU1h = 2 OR DK/REF] Methamphetamine, also known as meth, ice, crystal meth, glass or crank?  | 1   | 2  |
| <b>DU4i.</b> [IF DU1i = 2 OR DK/REF] During those 12 months did you use inhalants – that is substances that people sniff or inhale for kicks or to get high? These substances include: amyl nitrite, locker room odorizers, cleaning fluid, gasoline, glue, nitrous oxide, or spray paints. | 1   | 2  |

- **DU5** [IF DU2 = 2 OR DK/REF] Have you **ever**, even once, used any prescription pain reliever, tranquilizer, stimulant or sedative in a way a doctor did not direct you to use it?
  - 1 YES
  - 2 NO

DK/REF

- **DU6** [IF DU3 = 2 OR DK/REF] Have you **ever**, even once, used any drug or other substance, **besides those I've just asked about**, for kicks or to get high?
  - 1 YES
  - 2 NO

DK/REF

### **UPDATE EVERDRUG:**

IF DU4a =1 OR DU4b=1 OR DU4c=1 OR DU4d=1 OR DU4e=1 OR DU4f=1 OR DU4g=1 OR DU4h=1 OR DU4i=1 OR DU5=1 OR DU6=1 THEN Set EVERDRUG = 1 ELSE (AND AU1  $\neq$ 1 AND DU1a  $\neq$ 1 AND DU1b $\neq$ 1 AND DU1c $\neq$ 1 AND DU1d $\neq$ 1 AND DU1d $\neq$ 1 AND DU1e $\neq$ 1 AND DU1f $\neq$ 1 AND DU1f $\neq$ 1 AND DU1f $\neq$ 1 AND DU1f $\neq$ 1 AND DU2 $\neq$ 1 AND DU3 $\neq$ 1) EVERDRUG = EMPTY

**DU6OTH** [IF DU6 = 1] What other drugs did you **ever** use for kicks or to get high? (Any others?)

OTHER DRUGS: \_\_\_\_\_ [ALLOW 100 CHARACTERS]

- **DU7.** [IF DU1a = 1 OR DU1b=1 OR DU1c=1 OR DU1d=1 OR DU1e=1 OR DU1f=1 OR DU1g=1 OR DU1h=1 OR DU1i=1 OR DU2=1 OR DU3=1 OR IF DU4a = 1 OR DU4b=1 OR DU4c=1 OR DU4d=1 OR DU4e=1 OR DU4f=1 OR DU4g=1 OR DU4h=1 OR DU4i=1 OR DU5=1 ORDU6=1] Were you under the influence of any drugs at the time of the offense that led to this incarceration?
  - 1 YES
  - 2 NO

**DU8** [IF DUD7 = 1] What drugs were you under the influence of at the time of the offense?

# NOTE TO PROGRAMMERS: THIS SCREEN SHOULD FILL WITH ONLY THOSE DRUGS THE R ENDORSED IN DU1a-i, DU2, DU3, DU4a-i, DU5 and DU6.

|   | YES | NO |
|---|-----|----|
| <b>DU8a.</b> [IF DU1a OR DU4a = 1] Marijuana or hashish?  | 1   | 2  |
| <b>DU8b.</b> [IF DU1b = 1 OR DU4b = 1] <b>Any form of cocaine?</b> (Including powder, "crack", free base, and coca paste)   | 1   | 2  |
| <b>DU8c</b> . [IF DU1c = 1 OR DU4c = 1] "Crack"?  | 1   | 2  |
| <b>DU8d.</b> [IF DU1d = 1 OR DU4d = 1] Heroin?  | 1   | 2  |
| <b>DU8e.</b> [IF DU1e = 1 OR DU4e = 1] PCP? (PCP is also called "angel dust" or phencyclidine.)   | 1   | 2  |
| <b>DU8f.</b> [IF DU1f = 1 OR DU4f = 1] Ecstasy or 'Molly', also known as MDMA?  | 1   | 2  |
| <b>DU8g</b> [IF DU1g = 1 Or DU4g = 1] Any other type of hallucinogen, including LSD, peyote, mescaline, or psilocybin, found in mushrooms?  | 1   | 2  |
| <b>DU8h.</b> [IF DU1h = 1 OR DU4h = 1] Methamphetamine, also known as meth, ice crystal, meth, glass or crank?  | 1   | 2  |
| <b>DU8i.</b> [IF DU1i = 1 OR DU4i = 1] Inhalants - that is substances that people sniff or inhale for kicks or to get high? (These include: amyl nitrite, locker room odorizers, cleaning fluid, gasoline, glue, nitrous oxide, or spray paints.) | 1   | 2  |
| <b>DU8j.</b> [IF DU2 = 1 OR DU5 = 1] Prescription drugs that that you used in any way that a doctor did not direct you to use them?   | 1   | 2  |
| <b>DU8k.</b> [IF DU3 = 1 OR DU6 = 1] A drug or other substance <b>besides those I've just asked about,</b> that you took only for kicks or to get high?   | 1   | 2  |

DU9 [IF DU1a = 1 OR DU1b=1 OR DU1c=1 OR DU1d=1 OR DU1e=1 OR DU1f=1 OR DU1g=1 OR DU1h=1 OR DU1i=1 OR DU2=1 OR DU3=1 OR DU4a = 1 OR DU4b=1 OR DU4c=1 OR DU4d=1 OR DU4d=1 OR DU4h=1 OR DU4h=1 OR DU4i=1 OR DU5=1 ORDU6=1] Now I would like for you to think about the 30 days before your arrest [DATE\_ARREST]. During those 30 days did you use...

# NOTE TO PROGRAMMERS: THIS SCREEN SHOULD FILL WITH ONLY THOSE DRUGS THE R ENDORSED IN DU1a-i, DU2, DU3, DU4a-i, DU5 and DU6.

|   | YES  | NO |
|---|------|----|
| <b>DU9a.</b> [IF DU1a = 1 OR DU4a = 1] Marijuana or hashish?  | 1    | 2  |
| <b>DU9b.</b> [IF DU1b = 1 OR DU4b = 1] <b>Any form of cocaine?</b> (Including powder, "crack", free base, and coca paste)   | ) 1  | 2  |
| <b>DU9c.</b> [IF DU1c = 1 OR DU4c = 1] "Crack"?   | 1    | 2  |
| <b>DU9d.</b> [IF DU1d = 1 OR DU4d = 1] Heroin?  | 1    | 2  |
| <b>DU9e.</b> [IF DU1e = 1 OR DU4e = 1] PCP? (PCP is also called "angel dust" or phencyclidine.)   | 1    | 2  |
| <b>DU9f.</b> [IF DU1f = 1 OR DU4f = 1] Ecstasy or 'Molly', also known as MDMA?  | 1    | 2  |
| <b>DU9g</b> [IF DU1g = 1 OR DU4g = 1] Any other type of hallucinogen, including LSD, peyote, mescaline, or psilocybin, found in mushrooms?  | 1    | 2  |
| <b>DU9h.</b> [IF DU1h = 1 OR DU4h = 1] Methamphetamine, also known as meth, ice crystal, meth, glass or crank?  | 1    | 2  |
| <b>DU9i.</b> [IF DU1i = 1 OR DU4i = 1] Inhalants - that is substances that people sniff or inhale for kicks or to get high? (These include: amyl nitrite, locker room odorizers, cleaning fluid, gasoline, glue, nitrous oxide, or spray paints.) | e 1  | 2  |
| <b>DU9j.</b> [IF DU2 = 1 OR DU5 = 1] Prescription drugs that that you used in any way that a doctor did not direct you to use them?   | ou 1 | 2  |
| <b>DU9k.</b> [IF DU3 = 1 OR DU6 = 1] A drug or other substance <b>besides those I've just asked about,</b> that you took only for kicks or to get high?   | 1    | 2  |

**DU10** [IF DU1b=1 OR DU1d=1 OR DU2=1 OR DU3 = 1 OR DU4b=1 OR DU4d=1 OR DU5=1 OR DU6 = 1] Have you **ever**, even once, used a needle to inject any drug that was **not** prescribed for you?

```
1 YES
2 NO
DK/REF
```

**DU11** [IF DU10 = 1] Have you **ever** used a needle that you knew or suspected **someone else** had used before?

```
1 YES
2 NO
DK/REF
```

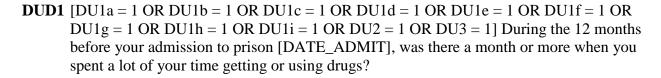
D – Substance Dependency and Abuse Items Block

```
Block Level Universe: (DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1)
```

**DUDINTRO** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] Earlier you told me that during the 12 months before your admission to prison [DATE\_ADMIT] you used:

- [IF DU1a = 1] Marijuana or hashish
- [IF DU1b = 1] Any form of cocaine
- [IF DU1c = 1] "Crack"
- [IF DU1d = 1] Heroin
- [IF DU1e = 1] PCP
- [IF DU1f = 1] Ecstasy
- [IF DU1g = 1] Other hallucinogens, such as LSD, peyote, mescaline, or psilocybin, found in mushrooms
- [IF DU1h = 1] Methamphetamine
- [IF DU1i = 1] Inhalants
- [IF DU2 = 1] Prescription drugs that that you used in any way that a doctor did not direct you to use them
- [IF DU3 = 1] And the other drugs you told me about: [DU3OTH FILL]

Please think about your use of those drugs as I ask you these next questions.



- 1 YES
- 2 NO

DK/REF

**DUD2** [IF DUD1=2] During the 12 months before your admission to prison [DATE\_ADMIT], was there a month or more when you spent a lot of your time getting over the effects of the drugs you used?

- 1 YES
- 2 NO

DK/REF

**DUD3** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] (During the 12 months before your admission to prison [DATE\_ADMIT]), did you try to set limits on how often or how much drugs you would use?

- 1 YES
- 2 NO

DK/REF

**DUD4** [IF DUD3=1] Were you able to keep to the limits you set, or did you often use drugs more than you intended to?

- 1 KEPT TO LIMITS
- 2 USED MORE THAN INTENDED

**DUD5** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] During the 12 months before your admission to prison [DATE\_ADMIT], did you need to use more drugs than you used to in order to get the effect you wanted?

- 1 YES
- 2 NO

DK/REF

**DUD6** [IF DUD5=2] (During that 12 month period,) did you notice that using the same amount of drugs had less effect on you than it used to?

- 1 YES
- 2 NO

DK/REF

**DUD7** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] (During that 12 month period,) did you want to or try to cut down or stop using drugs?

- 1 YES
- 2 NO

DK/REF

**DUD8** [IF DUD7=1] (During that 12 month period,) were you able to cut down or stop using drugs every time you wanted to or tried to?

- 1 YES
- 2 NO

DK/REF

**DUD9** [IF (DUD7=2 OR DK/REF) OR (DUD8=2 OR DK/REF)] (During that 12 month period,) did you cut down or stop using drugs at least one time?

- 1 YES
- 2 NO

DK/REF

**DUD10** [IF DUD8=1 OR DUD9=1] (During that 12 month period,) did you feel kind of blue or down when you cut down or stopped using drugs?

- 1 YES
- 2 NO

**DUD11** [IF DUD10=1] During that 12 month period, did you **have two or more** of these symptoms after you cut back or stopped using drugs?

- Having trouble sleeping or sleeping more than you normally do
- Feeling either very slowed down or like you couldn't sit still
- Feeling anxious
- Being physically sick, such as having cramps, diarrhea, a fever, or feeling nauseous
- 1 YES
- 2 NO

DK/REF

**DUD12** [IF DUD11 = 1] (During that 12 month period,) did you have two or more of these symptoms at the same time that lasted **for longer than a day** after you cut back or stopped using drugs?

- Having trouble sleeping or sleeping more than you normally do
- Feeling either very slowed down or like you couldn't sit still
- Feeling anxious
- Being physically sick, such as having cramps, diarrhea, a fever, or feeling nauseous
- 1 YES
- 2 NO

DK/REF

**DUD13** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] During that 12 month period, did you have any problems with your emotions, nerves, or mental health that were probably caused or made worse by your use of drugs?

- 1 YES
- 2 NO

DK/REF

**DUD14** [IF DUD13 = 1] Did you continue to use drugs even though you thought it was causing you to have problems with your emotions, nerves, or mental health?

- 1 YES
- 2 NO

**DUD15** [IF (DUD13=2 OR DK/REF) OR (DUD14=2 OR DK/REF] (During the 12 months before your admission to prison [DATE\_ADMIT],) did you have any physical health problems that were probably caused or made worse by your use of drugs?

- 1 YES
- 2 NO

DK/REF

**DUD16** [IF DUD15=1] Did you continue to use drugs even though you thought it was causing you to have physical problems?

- 1 YES
- 2 NO

DK/REF

**DUD17** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] This question is about important activities such as working, going to school, taking care of children, doing fun things such as hobbies and sports, and spending time with friends and family.

During the 12 months before your admission to prison [DATE\_ADMIT], did using drugs cause you to give up or spend less time doing these types of important activities?

- 1 YES
- 2 NO

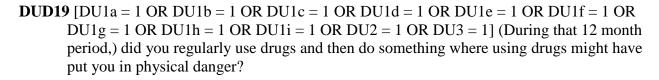
DK/REF

**DUD18** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] Sometimes people who use drugs have serious problems at home, work or school — such as:

- neglecting their children
- missing work or school
- doing a poor job at work or school
- losing a job or dropping out of school

During the 12 months before your admission to prison [DATE\_ADMIT], did using drugs cause you to have serious problems like this either at home, work, or school?

- 1 YES
- 2 NO



- 1 YES
- 2 NO

DK/REF

**DUD20** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] (During that 12 month period,) did using drugs cause you to do things that repeatedly got you in trouble with the law?

- 1 YES
- 2 NO

DK/REF

**DUD21** [DU1a = 1 OR DU1b = 1 OR DU1c = 1 OR DU1d = 1 OR DU1e = 1 OR DU1f = 1 OR DU1g = 1 OR DU1h = 1 OR DU1i = 1 OR DU2 = 1 OR DU3 = 1] (During that 12 month period,) did you have any problems with family or friends that were probably caused by your use of drugs?

- 1 YES
- 2 NO

DK/REF

**DUD22** [IF DUD21 = 1] Did you continue to use drugs even though you thought it caused problems with family or friends?

- 1 YES
- 2 NO

DK/REF

END Substance Dependency and Abuse Items Block (D)

## Section 8 — Drug and Alcohol Treatment (DTX)

# FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS)

The following customized text fills were defined in a previous section and appear within questions in this section of the instrument:

Fill Name

Origin

DATE\_ADMIT

Section 2 – Criminal Justice (CJ)

### **DATE\_ADMIT** Fill Instructions:

IF C10a ≠ EMPTY AND C10b ≠ EMPTY AND CJ10c ≠ EMPTY THEN DATE\_ADMIT = "on MONTH DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ01c ≠ EMPTY THEN DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE\_ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places.

The following calculated variable was defined in a previous section and appears within the universe statement for questions within this section:

Variable Name

Origin

**EVERDRUG** 

Section 6 – Alcohol Use (AU) and Section 7 – Drug Use (DU)

#### **EVERDRUG** Instructions:

IF AU1 OR DU1a = 1 OR DU1b=1 OR DU1c=1 OR DU1d=1 OR DU1e=1 OR DU1f=1 OR DU1g=1 OR DU1h=1 OR DU1i=1 OR DU1j=1 OR DU2=1 OR DU3=1 OR IF DU4a = 1 OR DU4b=1 OR DU4c=1 OR DU4d=1 OR DU4e=1 OR DU4f=1 OR DU4g=1 OR DU4h=1 OR DU4i=1 OR DU5=1 OR DU6=1 THEN set EVERDRUG = 1 ELSE EVERDRUG = EMPTY

**DTX1** [IF EVERDRUG = 1] These next questions deal with treatment for alcohol and drug problems, **not including cigarettes**. Please report treatment or counseling designed to help you cut down or stop your alcohol or drug use. Please include detoxification and any other treatment for medical problems associated with your alcohol or drug use.

Have you **ever received** treatment or counseling for your use of alcohol or any drug, not counting cigarettes?

1 YES

2 NO

**DTX2** [IF DTX1 = 1] Have you received any kind of treatment or counseling for your use of alcohol or any drug...

|  | YES | NO |
|--|-----|----|
| <b>DTX2a.</b> [IF DTX1 = 1] since your admission to prison [DATE_ADMIT]?   | 1   | 2  |
| DTX2b. [IF DTX1 = 1 AND DAT3a = 2 OR DK/REF] while you were incarcerated in a prison, jail, or some other type of correctional facility? | 1   | 2  |
| <b>DTX2c</b> . [IF DTX1 = 1 (and parole/probation reported in CJ module)] <b>while on probation or parole</b> ?                          | 1   | 2  |
| <b>DTX2d</b> . [IF DTX1 = 1] while living <b>in the community</b> and <b>not on probation or parole</b> ?                                | 1   | 2  |

#### DTX3a

[IF (DTX2a = 2 OR DK OR REF) AND (DTX2b = 1 OR DTX2c = 1 OR DTX2d = 1)] Was the treatment or counseling you received for alcohol use only, drug use only, or both alcohol and drug use?

- 1 ALCOHOL USE ONLY
- 2 DRUG USE ONLY
- 3 BOTH ALCOHOL AND DRUG USE DK/REF

#### DTX3b

[IF DTX2a = 1] Since your admission to prison [DATE\_ADMIT] did you receive treatment or counseling for alcohol use only, drug use only, or both alcohol and drug use?

- 1 ALCOHOL USE ONLY
- 2 DRUG USE ONLY
- 3 BOTH ALCOHOL AND DRUG USE DK/REF

**DTX4** [IF DTX1 = 1] Treatment or counseling for [alcohol use/drug use/alcohol or drug use] can be provided in a variety of ways. Have you **ever** received any treatment or counseling from...

|  | YES | NO |
|--|-----|----|
| <b>DTX4a.</b> [IF DTX1 = 1] an alcohol or drug detoxification unit where you spent up to 72 hours to dry out?                                    | 1   | 2  |
| <b>DTX4b.</b> [IF DTX1 = 1] an alcohol or drug program in which you lived in a special facility or unit?   | 1   | 2  |
| <b>DTX4c.</b> [IF DTX1 = 1] counseling sessions with a trained professional while NOT living in a special facility or unit?                      | 1   | 2  |
| <b>DTX4d.</b> [IF DTX1 = 1] a self-help group or peer group counseling, such as Alcoholics Anonymous, Cocaine Anonymous, or Narcotics Anonymous? | 1   | 2  |
| <b>DTX4e.</b> [IF DTX1 = 1] an education or awareness program explaining problems with alcohol or drugs?   | 1   | 2  |
| <b>DTX4f.</b> [IF DTX1 = 1] a maintenance drug to cut your high or make you sick, such as methadone, antibuse, or naltrexone?                    | 1   | 2  |
| <b>DTX4g.</b> [IF DTX1 = 1] some other program?  | 1   | 2  |

**DTX5** [IF DTX4a = 1 OR DTX4b = 1 OR DTX4c = 1 OR DTX4d = 1 OR DTX4e = 1 OR DTX4f = 1 OR DTX4g = 1] Since your **admission to prison [DATE\_ADMIT],** have you receive any treatment or counseling from...

|   | YES | NO |
|---|-----|----|
| <b>DTX5a</b> [IF DTX4a = 1] an alcohol or drug detoxification unit where you spent up to 72 hours to dry out?                             | 1   | 2  |
| <b>DTX5b</b> [IF DTX4b = 1] an alcohol or drug program in which you lived in a special facility or unit?                                  | 1   | 2  |
| <b>DTX5c</b> [IF DTX4c = 1] counseling sessions with a trained professional while NOT living in a special facility or unit?               | 1   | 2  |
| DTX5d [IF DTX4d = 1] a self-help group or peer group counseling, such as Alcoholics Anonymous, Cocaine Anonymous, or Narcotics Anonymous? | 1   | 2  |
| <b>DTX5e</b> [IF DTX4e = 1] an education or awareness program explaining problems with alcohol or drugs?                                  | 1   | 2  |
| <b>DTX5f</b> [IF DTX4f = 1] a maintenance drug to cut your high or make you sick, such as methadone, antibuse, or naltrexone?             | 1   | 2  |
| <b>DTX5g</b> [IF DTX4g = 1] some other program?   | 1   | 2  |

# Section 9 — Rule Violations and Complaints (RV)

### FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS)

The following customized text fill was defined in a previous section and appears within questions in this section of the instrument:

Fill Name Origin

DATE\_ADMIT Section 2 – Criminal Justice (CJ)

#### **DATE ADMIT** Fill Instructions:

IF CJ10a  $\neq$  EMPTY AND CJ10b  $\neq$  EMPTY AND CJ10c  $\neq$  EMPTY THEN DATE\_ADMIT = "on MONTH DAY, YEAR"

IF CJ10a ≠ EMPTY AND CJ10b = EMPTY AND CJ10c ≠ EMPTY THEN

DATE\_ADMIT = "in MONTH, YEAR"

ELSE DATE ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places.

#### **DEFINE 12MONSTATUS:**

IF admission date is less than 12 months ago THEN set 12MONSTATUS = 1 IF admission date is at least 12 months ago THEN set 12MONSTATUS = 2

**RV1** Prisons have a lot of rules that inmates are expected to follow. This next set of questions is about any prison rules that you may have broken.

Since you were admitted to prison [DATE\_ADMIT], how many times have you been written up for or found guilty of breaking **any** prison rules?

| TIMES: | [RAN | NGE: $0 - 500$ ] |
|--------|------|------------------|
| DK/REF |      |                  |

**RV2** [IF RV1  $\geq$  1 AND 12MONSTATUS = 2] **During the past 12 months,** how many times have you been written up for or found guilty of breaking any prison rules?

TIMES: \_\_\_\_\_ [RANGE: 1 – 250] DK/REF

RV3 [IF RV2  $\geq$  1] the next set of questions will ask you how many times you have been written up or found guilty of specific types of offenses during the past 12 months. During the past 12 months, how many times have you been written up for or found guilty of... RV3a a drug or alcohol violation, such as possession, use, or selling? TIMES: \_\_\_\_\_ [RANGE: 0 – 250] DK/REF RV3b possession of a weapon? TIMES: \_\_\_\_\_ [RANGE: 0 – 250] DK/REF RV3c possession of stolen property? TIMES: \_\_\_\_\_ [RANGE: 0 – 250] DK/REF RV3d possession of any other unauthorized substance or item? TIMES: \_\_\_\_\_ [RANGE: 0 – 250] DK/REF During the past 12 months, how many times have you been written up for or found guilty of... RV3e verbal assault on a correctional officer or other staff person? TIMES: \_\_\_\_\_ [RANGE: 0 - 250] DK/REF RV3f physical assault on a correctional officer or other staff person? TIMES: \_\_\_\_\_ [RANGE: 0 – 250] DK/REF RV3g verbal assault on another inmate? TIMES: [RANGE: 0-250]DK/REF RV3h physical assault on another inmate? TIMES: \_\_\_\_\_ [RANGE: 0 – 250] DK/REF

|     | RV3i    | escape or attempted escape?   |
|-----|---------|---|
|     |         | TIMES: [RANGE: 0 – 250]<br>DK/REF   |
|     | RV3j a  | any other major violations, such as work slowdowns, food strikes, setting fires, or   |
|     |         | TIMES:[RANGE: 0 – 250]  |
|     |         | DK/REF  |
|     | being o | any other minor violations relating to facility orderliness and operations, such as out of place, disobeying orders, uses of abusive language, horseplay, or failing to sanitary regulations? |
|     |         | TIMES: [RANGE: 0 – 250]   |
|     |         | DK/REF  |
| RV4 | _       | $RV1 \ge 1$ AND 12MONSTATUS = 1] Since your admission to prison TE_ADMIT], how many times have you been written up for or found guilty of   |
|     | RV4     | a drug or alcohol violation, such as possession, use, or selling?   |
|     |         | TIMES: [RANGE: 0 – 250]<br>DK/REF   |
|     | RV4b    | possession of a weapon? TIMES: [RANGE: 0 - 250] DK/REF  |
|     | RV4c    | possession of stolen property? TIMES: [RANGE: 0 - 250] DK/REF   |
|     | RV4d    | possession of any other unauthorized substance or item?  TIMES: [RANGE: 0 - 250]  DK/REF  |
|     |         | your admission to prison [DATE_ADMIT], how many times have you been up for or found guilty of   |
|     | RV4e    | verbal assault on a correctional officer or other staff person?   |
|     |         | TIMES: [RANGE: 0 – 250] DK/REF  |

During the past 12 months, how many times have you been written up for or found

guilty of...

|     | RV4f | physical assault on a correctional officer or other staff person?  |
|-----|------|--|
|     |      | TIMES: [RANGE: 0 – 250]<br>DK/REF  |
|     | RV4g | verbal assault on another inmate?  |
|     |      | TIMES: [RANGE: 0 – 250]<br>DK/REF  |
|     | RV4h | physical assault on another inmate?  |
|     |      | TIMES: [RANGE: 0 – 250]<br>DK/REF  |
|     |      | your admission to prison [DATE_ADMIT], how many times have you been up for or found guilty of  |
|     | RV4i | escape or attempted escape?  |
|     |      | TIMES: [RANGE: 0 – 250] DK/REF   |
|     |      | j any other major violations, such as work slowdowns, food strikes, setting fires, oting?  |
|     |      | TIMES:[RANGE: 0 – 250]   |
|     |      | DK/REF   |
|     | RV4k | any other minor violations relating to facility orderliness and operation, such as being out of place, disobeying orders, uses of abusive language, horseplay, failing to follow sanitary regulations or others? |
|     |      | TIMES:[RANGE: 0 – 250]   |
|     |      | DK/REF   |
| RV5 |      | ANY RV3a - k OR ANY RV4a - $k \ge 1$ ] So you have told me that you were ten up for or found guilty of:  |
|     | EXX  |  |

- [IF RV3a OR RV4a  $\geq 1$ ] A drug or alcohol violation
- [IF RV3b or RV4b  $\geq$  1] Possession of a weapon
- [IF RV3c OR RV4c  $\geq$  1] Possession of stolen property
- [IF RV3d OR RV4d  $\geq$  1] Possession of any unauthorized substance or item
- [IF RV3e OR RV4e  $\geq$  1] A verbal assault on staff
- [IF RV3f OR RV4f  $\geq$  1] A physical assault on staff
- [IF RV3g OR RV4g  $\geq$  1] A verbal assault on an inmate

- [IF RV3h OR RV4h  $\geq$  1] A physical assault on an inmate
- [IF RV3i OR RV4i  $\geq$  1] An escape or attempted escape
- [IF RV3j OR RV4j  $\geq$  1] Some other major violation
- [IF RV3k OR RV4k  $\geq$  1] Some other minor violation

#### Which of these rule violations occurred **most recently**?

- 1 A DRUG OR ALCOHOL VIOLATION
- 2 POSSESSION OF A WEAPON
- 3 POSSESSION OF STOLEN PROPERTY
- 4 POSSESSION OF ANY UNAUTHORIZED SUBSTANCE OR ITEM
- 5 A VERBAL ASSAULT ON STAFF
- 6 A PHYSICAL ASSAULT ON STAFF
- 7 A VERBAL ASSAULT ON AN INMATE
- 8 A PHYSICAL ASSAULT ON AN INMATE
- 9 AN ESCAPE OR ATTEMPTED ESCAPE
- 10 SOME OTHER MAJOR VIOLATION
- 11 SOME OTHER MINOR VIOLATION

#### DK/REF

### **DEFINE RV5 FILL**

- IF RV5 = 1 THEN RV5 FILL = "drug or alcohol violation"
- IF RV5 = 2 THEN RV5 FILL = "possession of a weapon"
- IF RV5 = 3 THEN RV5 FILL = "possession of stolen property"
- IF RV5 = 4 THEN RV5\_FILL = "possession of any unauthorized substance or item"
- IF RV5 = 5 THEN RV5\_FILL = "verbal assault on staff"
- IF RV5 = 6 THEN RV5\_FILL = "physical assault on staff"
- IF RV5 = 7 THEN RV5 FILL = "verbal assault on an inmate"
- IF RV5 = 8 THEN RV5 FILL = "physical assault on an inmate"
- IF RV5 = 9 THEN RV5\_FILL = "escape or attempted escape"
- IF RV5 = 10 THEN RV5 FILL = "other major violation"
- IF RV5 = 11 THEN RV5 FILL = "other minor violation"
- ELSE RV5 FILL = violation

# **RV6** [IF RV5 NE BLANK] Did any disciplinary action take place for that [RV5 FILL]?

- 1 YES
- 2 NO

- **RV7** [IF RV6 = 1] What disciplinary action took place for that [RV5\_FILL]? Any others? MARK ALL THAT APPLY
  - 1 SOLITARY CONFINEMENT OR SEGREGATION
  - 2 CONFINEMENT TO OWN CELL OR QUARTERS
  - 3 HIGHER CUSTODY LEVEL WITHIN FACILITY
  - 4 TRANSFERRED TO ANOTHER FACILITY
  - 5 LOSS OF "GOOD/GAIN" TIME / "BAD" TIME
  - 6 RECEIVED A NEW SENTENCE
  - 7 GIVEN EXTRA WORK
  - 8 LOSS OR CHANGE OF WORK ASSIGNMENT
  - 9 LOSS OF PRIVILEGES (INCLUDING COMMISSARY AND VISITING PRIVELEGES)
  - 10 PUT IN RESTRAINTS
  - 11 RECEIVED FORMAL REPRIMAND ONLY
  - 12 RECEIVED NO PUNISHMENT / PUNISHMENT SUSPENDED
  - 13 OTHER
    SPECIFY: (RV7\_OTH) \_\_\_\_\_[ALLOW 75 CHARACTERS]
    DK/REF

# FOR DOCUMENTATION PURPOSES (NOT READ TO RESPONDENTS)

The following customized text fill was defined in a previous section and appears within questions in this section of the instrument:

Fill Name

Origin

DATE\_ADMIT

Section 2 – Criminal Justice (CJ)

#### **DATE\_ADMIT** Fill Instructions:

IF CJ10a ≠ EMPTY AND CJ10b ≠ EMPTY AND CJ10c ≠ EMPTY THEN DATE ADMIT = "on MONTH DAY, YEAR"

IF CJ10a  $\neq$  EMPTY AND CJ10b = EMPTY AND CJ10c  $\neq$  EMPTY THEN

DATE ADMIT = "in MONTH, YEAR"

ELSE DATE\_ADMIT = EMPTY

Note – DATE\_ADMIT is updated with the Month, Day and Year of admission wherever it is collected in the Criminal Justice module, as these dates can be collected in several places

The following calculated variable was defined in a previous section and appears within the universe statement for questions within this section:

Variable Name

Origin

12MONSTATUS

Section 9 – Rule Violations (RV)

#### **12MONSTATUS** Instructions:

IF admission date is less than 12 months ago THEN set 12MONSTATUS = 1 IF admission date is at least 12 months ago THEN set 12MONSTATUS = 2

# P\_INTRO

This next set of questions is about any services you may have received or programs you may have participated in while you were incarcerated. (ENTER TO CONTINUE)

**P1** 

Since you were admitted to prison [DATE\_ADMIT], have you participated in any job training programs, such as employment readiness or vocational training?

1 YES

2 NO

DK/REF

**P2** 

[IF 12MONSTATUS=2 AND P1 = 1 OR DK OR REF] During the past 12 months, that is since (DATE\_12], have you participated in any job training programs?

1 YES

2 NO

P2a [IF P2 = 1] Were you **required** to participate in any the job training programs? 1 YES 2 NO DK/REF **FI NOTE:**An inmate may be required by their sentence, the Department of Corrections (DOC), the Bureau of Prisons (BOP) or the facility itself. [IF P1 = 1 AND (12MONSTATUS=1 OR P2 = 1)] Are you currently **P3** participating in any job training programs? 1 YES 2 NO DK/REF P3a [IF P1 = 1 AND P3 = 2] Why are you no longer participating in any job training programs? (CHECK ALL THAT APPLY) 1 COMPLETED PROGRAM REQUIREMENTS GRADUATED FROM PROGRAM/RECEIVED CERTIFICATE 3 OUIT OR DROPPED OUT OF PROGRAM 4 NO LONGER ALLOWED TO PARTICIPATE IN PROGRAM (DISCIPLINARY/RULE VIOLATION) 5 SENT TO ANOTHER FACILITY BEFORE COMPLETING THE **PROGRAM** 6 PROGRAM NO LONGER AVAILABLE

SOME OTHER REASON P3A\_OTH\_\_\_\_\_

7

DK/REF

(SPECIFY)

- P4 [IF P1 = 2] What is the main reason you have not participated in any job training programs since you were admitted to prison [DATE\_ADMIT]?
  - 1 DOESN'T KNOW ANYTHING ABOUT PROGRAM
  - 2 DOESN'T NEED PROGRAM
  - 3 HASN'T BEEN OFFERED THE CHANCE TO ATTEND PROGRAM
  - 4 HAS HEARD BAD THINGS ABOUT PROGRAM
  - 5 STAFF DIDN'T WANT HIM/HER TO ATTEND PROGRAM
  - 6. TOO BUSY TO ATTEND PROGRAM
  - 7 NOT QUALIFIED/ALLOWED TO ATTEND PROGRAM
  - 8 SOME OTHER REASON PXS OTH\_\_\_\_\_(SPECIFY) DK/REF

P5 [IF P1 = 1] I am going to read a list of reasons why people may participate in job training programs. For each reason, please tell me whether you feel it is very important, somewhat important, or not important at all.

|   | VERY      | SORT OF   | NOT                 |
|---|-----------|-----------|---------------------|
|   | IMPORTANT | IMPORTANT | IMPORTANT<br>AT ALL |
| <b>P5a.</b> To break up boredom or      |           |           |                     |
| see what it was like?                   | 1         | 2         | 3                   |
| <b>P5b.</b> To spend time with          |           |           |                     |
| friends or make friends?                | 1         | 2         | 3                   |
| <b>P5c.</b> To try to get out of prison |           |           |                     |
| early?                                  | 1         | 2         | 3                   |
| <b>P5d.</b> To help or improve          |           |           |                     |
| yourself by learning new skills?        | 1         | 2         | 3                   |

**P6** [IF P1 = 1] We'd like to know how much you think participating in job training programs will help you. Response options will be: A lot, some, and not at all.

How much do you think participating in job training programs will help you...

|   | A LOT | SOME | NOT AT ALL |
|---|-------|------|------------|
|   |       |      |            |
| <b>P6a.</b> Get a better education?                       | 1     | 2    | 3          |
| <b>P6b.</b> Get or keep a job once you are released?      | 1     | 2    | 3          |
| <b>P6c.</b> Avoid breaking the law once you are released? | 1     | 2    | 3          |

P7 Since you were admitted to prison [DATE\_ADMIT], have you participated in any education programs, such as high school, GED, adult basic education, or college courses, or English as a Second Language (ESL)?

1 YES

2 NO

DK/REF

P7a [IF P1 = 7] Did the education programs you participated in include English as a Second Language, or ESL, courses?

1 YES

2 NO

DK/REF

P8 [IF 12MONSTATUS=2 AND P7 = 1 OR DK OR REF] During the past 12 months, that is since (DATE\_12], have you participated in any education programs?

1 YES

2 NO

DK/REF

**P8a** [IF P7 = 1] Were you **required** to participate in any education programs?

1 YES

2 NO

DK/REF

**FI NOTE:** An inmate may be required by their sentence, the Department of Corrections (DOC), the Bureau of Prisons (BOP) or the facility itself.

P9 [IF P7 = 1 AND (12MONSTATUS=1 OR P8 = 1)] Are you currently participating in any education programs?

1 YES

2 NO

- P9a [IF P7 = 1 AND P9 = 2] Why are you no longer participating in any education programs? (CHECK ALL THAT APPLY)
  - 1 COMPLETED PROGRAM REQUIREMENTS (NO CERTIFICATE)
  - 2 GRADUATED FROM PROGRAM/RECEIVED CERTIFICATE OF COMPLETION
  - 3 OUIT OR DROPPED OUT OF PROGRAM
  - 4 NO LONGER ALLOWED TO PARTICIPATE IN PROGRAM (DISCIPLINARY/RULE VIOLATION)
  - 5 SENT TO ANOTHER FACILITY BEFORE COMPLETING THE PROGRAM
  - 6 PROGRAM NO LONGER AVAILABLE
  - 7 SOME OTHER REASON P9A\_OTH\_\_\_\_(SPECIFY) DK/REF
- P10 [IF P7 = 2] What is the main reason you have not participated in any education programs since you were admitted to prison [DATE\_ADMIT]?
  - 1 DOESN'T KNOW ANYTHING ABOUT PROGRAM
  - 2 DOESN'T NEED PROGRAM/NOT INTERESTED IN PROGRAM
  - 3 HASN'T BEEN OFFERED THE CHANCE TO ATTEND PROGRAM
  - 4 HAS HEARD BAD THINGS ABOUT PROGRAM
  - 5 STAFF DIDN'T WANT HIM/HER TO ATTEND PROGRAM
  - 6 TOO BUSY TO ATTEND PROGRAM
  - 7 NOT QUALIFIED/ALLOWED TO ATTEND PROGRAM
  - 8 SOME OTHER REASON P10\_OTH\_\_\_\_(SPECIFY)

# DK/REF

P11 [IF P7 = 1] I am going to read a list of reasons why people may participate in education programs. For each reason, please tell me whether you feel it is very important, somewhat important, or not important at all.

|   | VERY<br>IMPORTANT | SORT OF<br>IMPORTANT | NOT<br>IMPORTANT<br>AT ALL |
|---|-------------------|----------------------|----------------------------|
| <b>P11a.</b> To break up boredom or see what it was like? | 1                 | 2                    | 3                          |
| <b>P11b.</b> To spend time with friends or make friends?  | 1                 | 2                    | 3                          |
| <b>P11c.</b> To try to get out of prison early?           | 1                 | 2                    | 3                          |
| <b>P11d.</b> To help or improve yourself?                 | 1                 | 2                    | 3                          |

P12 [IF P1 = 7] We'd like to know how much you think participating in education programs may help you. Response options will be: A lot, some, and not at all.

How much do you think participating in education programs will help you...

|  | A LOT | SOME | NOT AT ALL |
|--|-------|------|------------|
|  |       |      |            |
| P12a. Get a better education?                              | 1     | 2    | 3          |
| <b>P12b.</b> Get or keep a job once you are released?      | 1     | 2    | 3          |
| <b>P12c.</b> Avoid breaking the law once you are released? | 1     | 2    | 3          |

P13 Since you were admitted to prison [DATE\_ADMIT], have you participated in any of the following classes or programs?

|   | YES | NO |
|---|-----|----|
| P13a. Any child rearing classes?                                  | 1   | 2  |
| <b>P13b.</b> Any anger management or conflict resolution classes? | 1   | 2  |
| P13c. Any money management or financial planning classes          | 1   | 2  |
| P13d. Any sex offender treatment programs                         | 1   | 2  |

P14 Do you **currently** have a work assignment either inside the facility, on facility grounds, or outside the prison facility for which you leave the prison grounds?

1 YES Go to P15

2 NO

# P15 [IF P14 = 1] What sorts of work assignments do you have currently? (CHECK ALL THAT APPLY)

- 1 GENERAL JANITORIAL DUTIES (CLEANING/ORDERLY/SWEEPING)
- 2 GROUNDS OR ROAD MAINTENANCE
- FOOD PREPARATION OR RELATED DUTIES (KITCHEN, BAKERY, BUTCHERY, ETC.)
- 4 LAUNDRY
- 5 HOSPITAL, INFIRMARY, OR OTHER MEDICAL SERVICES
- 6 FARMING/FORESTRY/RANCHING
- 7 GOODS PRODUCTION/INDUSTRIES/CONTRACT SERVICES (TELEMARKETING, TAG SHOP, PRINT SHOP, ETC.)
- 8 OTHER SERVICES SUCH AS LIBRARY, STOCKROOM, STORE, OFFICE HELP, RECREATION, SEW SHOP, BARBER OR BEAUTY SHOP, ETC.
- 9 MAINTENANCE OR REPAIR/CONSTRUCTION
- 10 OTHER (P15\_OTH) *SPECIFY*\_\_\_\_\_

### DK/REF

**P16** [IF P14 = 1] Are you **required** to have a work assignment?

1 YES

2 NO

DK/REF

**FI NOTE:** An inmate may be required by their sentence, the Department of Corrections (DOC), the Bureau of Prisons (BOP) or the facility itself.

P17 [IF P16 = 2] I am going to read a list of reasons why people may choose to have a work assignment while they are in prison. For each reason, please tell me whether you feel it is very important, somewhat important, or not important at all.

|   | Very      | Sort of   | Not Important |
|---|-----------|-----------|---------------|
|   | Important | Important | at All        |
| <b>P17a.</b> To break up boredom or see         |           |           |               |
| what it was like?                               | 1         | 2         | 3             |
| <b>P17b.</b> To spend time with friends or      |           |           |               |
| make friends?                                   | 1         | 2         | 3             |
| <b>P17c.</b> To try to get out of prison early? | 1         | 2         | 3             |
| <b>P17d.</b> To learn some new job skills?      | 1         | 2         | 3             |
| <b>P17e.</b> For spending money?                | 1         | 2         | 3             |

# **Interview Closeout Screens (IC)**

ICA1 Finally, I would like to ask you to consent to one additional aspect of our research designed to improve our understanding of the characteristics and challenges of prison inmates, including those who are eventually released to the community, and without taking up more of your time. We would like to obtain your Social Security number to determine whether or not you have used any programs or received any benefits such as Medicaid, Medicare, Supplemental Security Income (also known as SSI), and disability and/or survivor benefits.

The Bureau of Justice Statistics will use this information for research purposes only. We will not release your data to any agency or individual who is not directly involved with our research. As with the other information you have provided today, your Social Security number will be protected by Federal law and a Privacy Certificate.

Providing your Social Security number is voluntary. There will be no effect on your benefits if you do not provide it. Whether you provide it or not will not affect your legal status or any decisions regarding your release from this facility in any way.

If you are willing, please provide your Social Security number.

- 1 INMATE AGREES; ENTER SOCIAL SECURITY NUMBER ON NEXT SCREEN
- 2 INMATE DOES NOT AGREE
- 3 INMATE INDICATES HE/SHE DOES NOT HAVE A SSN
- 4 INMATE DOES NOT KNOW WHAT HIS/HER SSN IS

DK

**REF** 

| ICA3a [IF ICA2 NE BLANK] Your Social Security number may be included in your file kept  |
|---|
| by the [STATE Department of Corrections/Federal Bureau of Prisons] or as part of you    |
| criminal history records. If it is, may we verify your Social Security number from thos |

- 1 YES (EITHER/BOTH)
- 2 YES, STATE DOC/BOP FILE **ONLY**

**ICA2** [IF ICA1 = 1] ENTER SOCIAL SECURITY NUMBER:

- 3 YES, CRIMINAL RECORDS **ONLY**
- 4 NO

records?

DK

REF

- **ICA3b** [IF ICA1 = 2 OR 3 OR 4 OR DK OR REF] Your Social Security number may be included in your file kept by the [STATE Department of Corrections/Federal Bureau of Prisons] or as a part of your criminal history records. If it is, may we obtain your Social Security number from those records?
  - 1 YES (EITHER/BOTH)
  - 2 YES, STATE DOC/BOP FILE **ONLY**
  - 3 YES, CRIMINAL RECORDS **ONLY**
  - 4 NO

DK

**REF** 

**ICA4** Those are all the questions I have for you. Thank you for your time and cooperation.

INTERVIEWER: NOTIFY OFFICER THAT THE INMATE IS FINISHED AND CAN LEAVE THE INTERVIEW ROOM. AFTER THE INMATE LEAVES THE ROOM, ENTER 1 TO CONTINUE.

ICA5 INTERVIEWER: PLEASE PROVIDE ANY COMMENTS ABOUT THE INTERVIEW THAT WOULD BE USEFUL FOR THE PROJECT TEAM TO KNOW:

\_\_\_\_\_

**ALLOW 400 CHARACTERS**