

IRB Signature

Signature

Judith Cohen
Judith Cohen, PhD, IRB Vice-Chair

By

MSB
Mona Bosch, CIP

5/15/2015

Date

Review and Approval Information

E&I Study Number **15037 - 01**

Approval Date Tuesday, May 12, 2015

Review Process Expedited 7

Expiration Date Wednesday, May 11, 2016 at 11:59 PM

This document certifies the IRB's approval, per 34 CFR 97 including Subpart D Section 404, of the bolded items identified under "Documents Approved" to be conducted by the named Principal Investigator.

All translated documents must be submitted to the IRB, including certifications, prior to enrollment of Non-English speaking subjects.

As a condition of approval, a copy of all MOU's must be submitted to the IRB for review upon completion. All additional sites must be submitted to the IRB for review prior to study conduct.

NOTE: Subjects must be asked for their consent using the most recently approved, stamped version(s). All IRB approved consent documents are version controlled and may not be modified in any way without prior IRB approval. Use of an unapproved document may constitute non-compliance.

Study

Assessing the Role of Noncognitive and School Environmental Factors in Students' Transitions to High School in New Mexico

Client SEDL / Southwest Educational Development Corp

Sponsor US Department of Education

Grant Number and/or Title

ED-IES-12-C-00012; Regional Education Laboratory Southwest (REL Southwest)

Principal Investigator

Ginger L. Stoker, PhD

E&I PI Number 16708 - 001

Address

SEDL, an affiliate of American Institutes for Research (AIR)
4700 Mueller Blvd.
Austin, TX 78723

Performance Sites

SEDL, an affiliate of AIR, 4700 Mueller Blvd., Austin, TX 78723

Sub-Investigator(s), Approval Date(s)

Brenda D. Arellano, PhD, 5/12/2015

Documents Approved

Document #	Version	Date
REL 4.7		e-date 5-4-15
E&I 5/15/2015		e-date 5-4-2015
E&I 5/15/2015		e-date 5-4-2015
		Rec'd 5/7/2015

Stipulations of Approval

1. No subjects may be involved in any study procedure prior to the IRB approval date or after the expiration

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- date. Investigators and sponsors are responsible for initiating Continuing Review proceedings.
2. All protocol modifications must be IRB approved prior to implementation. This includes any addition or change of recruitment materials, change of investigator, or performance site address. (Exception: If necessary to eliminate apparent immediate hazard to subjects.)
 3. Report to E&I within five working days of learning if any of the following occur:
 - Unanticipated problems involving risk to human subjects or others;
 - Unanticipated Serious Adverse Events and Safety Reports;
 - Protocol deviations, violations, and exceptions that impact subject welfare or safety or study integrity including changes intended to reduce immediate risk to subjects;
 - Use of an investigational product in an emergency situation; and
 - Claims for compensation or for medical care for research-related injury.
 4. Advertising and recruitment materials must be approved by E&I prior to use or publication.

Copies to:

Lynn Mellor, lmellor@air.org

END

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