

Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback” (OMB Control Number: 0651-0080)

TITLE OF INFORMATION COLLECTION: PatentsView Query Tool Usability Testing

PURPOSE: This collection is intended to obtain feedback on mockups for a new patents data query tool, part of the USPTO PatentsView website. PatentsView is a prototype website intended to increase the value, utility and transparency of U.S. patent data. The collection seeks guidance from respondents on the usability of two approaches for querying and downloading patent data from the PatentsView database. It also seeks feedback on the value and utility of individual features of each approach. Information will be collected via questionnaire, recorded video demonstration of mockups, and other instruments and used to guide future development of the web tool.

DESCRIPTION OF RESPONDENTS: The targeted group for this collection is economic and legal scholars and researchers in the intellectual property and innovation space and represent a key user group for the web tool. Respondents were selected based on expertise and experience utilizing patent data in published academic research.

TYPE OF COLLECTION: (Check one or multiple)

- | | |
|---|---|
| <input type="checkbox"/> Customer Comment Card/Complaint Form | <input type="checkbox"/> Customer Satisfaction Survey |
| <input checked="" type="checkbox"/> Usability Testing (e.g., Website or Software) | <input type="checkbox"/> Small Discussion Group |
| <input type="checkbox"/> Focus Group | <input type="checkbox"/> Other: _____ |

CERTIFICATION:

I certify the following to be true:

1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. The results are not intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of substantially informing influential policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Business Unit: _____/Amanda Myers/ Office of Chief Economist
OCIO: _____

To assist review, please provide answers to the following question:

Personally Identifiable Information:

1. Is personally identifiable information (PII) collected? Yes No
2. If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974? Yes No
3. If Applicable, has a System or Records Notice been published? Yes No

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [] Yes [X] No

BURDEN HOURS

Category of Respondent	No. of Respondents	Participation Time	Burden
Usability Testing	30	0.5 hours	15 hours
Totals			15 hours

The USPTO estimates that 100% of the surveys will be submitted electronically

FEDERAL COST: A contractor will be performing the collection on behalf of USPTO for an estimated cost of \$1,500.

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?
[X] Yes [] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

A customer list of 30 individuals was compiled based on prior interaction between the targeted respondents and the USPTO Office of Chief Economist via collaboration, coauthorship, visiting speaker series, and conference attendance. Respondents were selected based on expertise and experience utilizing patent data in published academic research. No sampling plan was used to select respondents. All individuals from the customer list will be asked to voluntarily participate.

Administration of the Instrument

1. How will you collect the information? (Check all that apply)
[] Web-based or other forms of Social Media
[] Telephone
[] In-person
[] Mail
[X] Other, Explain: Information will be collected via questionnaire that is emailed to respondents with weblink to or attachment of recorded video demonstration of prototype websites. Screenshots of prototype websites will also be provided via email attachments or weblink.
2. Will interviewers or facilitators be used? [] Yes [X] No