

Attachment 1a: Adult Day Services Center (ADSC) Questionnaire to be Evaluated

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The Public Health Service Act provides us with the authority to do this research (42 United States Code 242k). All information which would permit identification of any individual, a practice, or an establishment will be held confidential, will be used for statistical purposes only by NCHS staff, contractors, and agents only when required and with necessary controls, and will not be disclosed or released to other persons without the consent of the individual or the establishment in accordance with section 308(d) of the Public Health Service Act (42 USC 242m(d)) and the Confidential Information Protection and Statistical Efficiency Act (PL-107-347).



National Study of Long-Term Care Providers

2016 Adult Day Services Center Questionnaire

Dear Director,

The Centers for Disease Control and Prevention conducts the National Study of Long-Term Care Providers. Please complete this questionnaire about the adult day services center at the location listed below.

- **If this adult day services center is part of a multi-facility campus or has more than one adult day license, answer only for the place listed below.**
- Please consult records and other staff as needed to answer questions.
- If you need assistance or have questions, go to <http://www.cdc.gov/nchs/nsltcp.htm> or call 1-866-245-8078.

(A)

Label here

Thank you for taking the time to complete this questionnaire.

National Center for Health Statistics
Division of Health Care Statistics



1 Background Information

1. Is this adult day services center ...

MARK YES OR NO IN EACH ROW

- | | Yes | No |
|--|--------------------------|--------------------------|
| a. licensed or certified by your State specifically to provide adult day services, or accredited by the Commission on Accreditation of Rehabilitation Facilities (CARF)? | <input type="checkbox"/> | <input type="checkbox"/> |
| b. authorized or otherwise set up to participate in Medicaid (Medicaid state plan, Medicaid waiver, or Medicaid managed care) or part of a Program of All-Inclusive Care for the Elderly (PACE)? | <input type="checkbox"/> | <input type="checkbox"/> |

If you answered “No” to both 1a and 1b, skip to question 36 on page 8.

2. Based on a typical week, what is the approximate average daily attendance at this adult day services center at this location? *If none, enter “0.”*

Average daily attendance of participants

If you answered “0,” skip to question 36 on page 8.

3. What is the total number of participants currently enrolled at this adult day services center at this location? *If none, enter “0.”*

Number of participants

If you answered “0,” skip to question 36 on page 8.

4. What is the maximum number of participants allowed at this adult day services center at this location? This may be called the allowable daily capacity and is usually determined by law or by fire code, but may also be a program decision. *If none, enter “0.”*

Maximum number of participants allowed

5. Is this center owned by a person, group, or organization that owns or manages **two or more adult day services centers**? This may include a corporate chain.

- Yes
 No

6. Which **one** of the following best describes the participant needs that the **services of this center** are designed to meet?

MARK ONLY ONE ANSWER

- ONLY social/recreational needs—NO health/medical needs
- PRIMARILY social/recreational needs and SOME health/medical needs
- EQUALLY social/recreational and health/medical needs
- PRIMARILY health/medical needs and SOME social/recreational needs
- ONLY health/medical needs—NO social/recreational needs

7. Is this a **specialized** center that serves **only** participants with a particular diagnosis, condition, or disability?

- Yes
 No

If you answered “No,” skip to question 9.

8. In which of the following diagnoses, conditions, or disabilities does this center specialize?

MARK ALL THAT APPLY

- Alzheimer’s disease or other dementias
- Human immunodeficiency virus (HIV)/AIDS
- Intellectual or developmental disabilities
- Multiple sclerosis
- Parkinson’s disease
- Post-stroke physical or cognitive impairments with a need for rehabilitative therapies
- Severe mental illness, such as schizophrenia and psychosis
- Traumatic brain injury
- Other (please specify)

9. What is the type of ownership of this adult day services center?

MARK ONLY ONE ANSWER

- Private—nonprofit
- Private—for profit
- Publicly traded company or limited liability company (LLC)
- Government—federal, state, county, or local

2 Participant Profile

10. Of this center's revenue from paid participant fees, about what percentage comes from each of the following sources? Your entries should add up to 100%. **Enter "0" for any sources that do not apply.**

- | | | |
|---|----------------------------------|---|
| a. Medicaid (include revenue from a Medicaid state plan, Medicaid waiver, Medicaid managed care, or California regional center) | <input type="text"/> | % |
| b. Medicare | <input type="text"/> | % |
| c. Older Americans Act | <input type="text"/> | % |
| d. Veterans Administration | <input type="text"/> | % |
| e. Other federal, state, or local government | <input type="text"/> | % |
| f. Out-of-pocket payment by the participant or family | <input type="text"/> | % |
| g. Private insurance | <input type="text"/> | % |
| h. Other source | <input type="text"/> | % |
| TOTAL | <input type="text" value="100"/> | % |

NOTE: Your entries should add up to 100%.

11. An electronic health record (EHR) is a computerized version of the participant's health and personal information used in the management of the participant's health care. Other than for accounting or billing purposes, does this adult day services center use electronic health records?

- Yes
 No

12. Does this adult day services center's computerized system support **electronic health information exchange** with each of the following providers? Do not include faxing.

MARK YES OR NO IN EACH ROW

- | | Yes | No |
|--------------|--------------------------|--------------------------|
| a. Physician | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Pharmacy | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Hospital | <input type="checkbox"/> | <input type="checkbox"/> |

13. Of the participants currently enrolled at this center, what is the racial-ethnic breakdown? Count each participant only once. **Enter "0" for any categories with no participants.**

- | | NUMBER OF PARTICIPANTS |
|--|------------------------|
| a. Hispanic or Latino, of any race | <input type="text"/> |
| b. American Indian or Alaska Native, not Hispanic or Latino | <input type="text"/> |
| c. Asian, not Hispanic or Latino | <input type="text"/> |
| d. Black, not Hispanic or Latino | <input type="text"/> |
| e. Native Hawaiian or other Pacific Islander, not Hispanic or Latino | <input type="text"/> |
| f. White, not Hispanic or Latino | <input type="text"/> |
| g. Two or more races, not Hispanic or Latino | <input type="text"/> |
| h. Some other category reported in this center's system | <input type="text"/> |
| i. Not reported (race and ethnicity unknown) | <input type="text"/> |
| TOTAL | <input type="text"/> |

NOTE: Total should be the same as the number of participants provided in question 3.

14. Of the participants currently enrolled at this center, what is the sex breakdown? **Enter "0" for any categories with no participants.**

- | | NUMBER OF PARTICIPANTS |
|-----------|------------------------|
| a. Male | <input type="text"/> |
| b. Female | <input type="text"/> |
| TOTAL | <input type="text"/> |

NOTE: Total should be the same as the number of participants provided in question 3.

15. Of the participants currently enrolled at this center, what is the age breakdown? *Enter "0" for any categories with no participants.*

	NUMBER OF PARTICIPANTS
a. 17 years or younger	<input type="text"/>
b. 18–44 years	<input type="text"/>
c. 45–54 years	<input type="text"/>
d. 55–64 years	<input type="text"/>
e. 65–74 years	<input type="text"/>
f. 75–84 years	<input type="text"/>
g. 85 years or older	<input type="text"/>
TOTAL	<input type="text"/>

NOTE: Total should be the same as the number of participants provided in question 3.

16. Assistance refers to **needing any help or supervision from another person, or use of assistive devices.**

Of the participants currently enrolled at this center, about how many now **need any assistance at their usual residence or this center** in each of the following activities? *Enter "0" for any categories with no participants.*

	NUMBER OF PARTICIPANTS
a. With transferring in and out of a chair	<input type="text"/>
b. With eating, like cutting up food	<input type="text"/>
c. With dressing	<input type="text"/>
d. With bathing or showering	<input type="text"/>
e. With using the bathroom (toileting)	<input type="text"/>
f. With locomotion or walking—this includes using a cane, walker, or wheelchair, or help from another person	<input type="text"/>

17. Of the participants currently enrolled at this center, about how many have been diagnosed with each of the following conditions? *Enter "0" for any categories with no participants.*

	NUMBER OF PARTICIPANTS
a. Alzheimer's disease or other dementias	<input type="text"/>
b. Arthritis	<input type="text"/>
c. Asthma	<input type="text"/>
d. Cancer	<input type="text"/>
e. Chronic kidney disease	<input type="text"/>
f. COPD (chronic bronchitis or emphysema)	<input type="text"/>
g. Depression	<input type="text"/>
h. Diabetes	<input type="text"/>
i. Heart disease (for example, congestive heart failure, coronary or ischemic heart disease, heart attack, stroke)	<input type="text"/>
j. High blood pressure or hypertension	<input type="text"/>
k. Human immunodeficiency virus (HIV)/AIDS	<input type="text"/>
l. Intellectual or developmental disability	<input type="text"/>
m. Multiple sclerosis	<input type="text"/>
n. Obesity	<input type="text"/>
o. Osteoporosis	<input type="text"/>
p. Parkinson's disease	<input type="text"/>
q. Severe mental illness, such as schizophrenia and psychosis	<input type="text"/>
r. Traumatic brain injury	<input type="text"/>

18. During the **last 30 days**, for how many of the participants currently enrolled at this adult day services center did Medicaid pay for some or all of their services received at this center? Please include any participants that received funding from a Medicaid state plan, Medicaid waiver, Medicaid managed care, or California regional center. *If none, enter "0."*

Number of participants

19. Of the participants currently enrolled at this center, about how many were treated in a hospital emergency department in the **last 90 days**?
If none, enter "0."

Number of participants

20. Of the participants currently enrolled at this center, about how many were discharged from an overnight hospital stay in the **last 90 days**? Exclude trips to the hospital emergency department that did not result in an overnight hospital stay. *If none, enter "0."*

Number of participants

If you answered "0," skip to question 22.

21. Of the participants who were discharged from an overnight hospital stay in the last 90 days, about how many of those participants were **re-admitted** to the hospital for an overnight stay **within 30 days** of their hospital discharge? *If none, enter "0."*

Number of participants

22. Of the participants currently enrolled at this center, about how many have elected and are now receiving hospice care? *If none, enter "0."*

Number of participants

23. Of the participants currently enrolled at this center, how many live in each of the following places?
Enter "0" for any categories with no participants.

NUMBER OF PARTICIPANTS

- a. Private residence (house or apartment)
- b. Assisted living or similar residential care community
- c. Nursing home or other institutional setting
- d. Some other place

If you answered "0" to 23a, skip to question 25.

24. Of the participants currently enrolled at this center **who live in a private residence**, how many live with the following people? Assign each participant to only one category. *Enter "0" for any categories with no participants.*

NUMBER OF PARTICIPANTS

- a. Alone
- b. With relative (such as a spouse, partner, adult child including son or daughter-in-law, parent, or other relative)
- c. With non-relative(s)

25. As best you know, about how many of your current participants had a fall in the **last 90 days**? Please include falls that occurred in your center or off-site, whether or not the participant was injured, and whether or not anyone saw the participant fall or caught them. Please just count one fall per participant who fell, even if the participant fell more than one time. If one of your participants fell during the last 90 days, but is currently in the hospital or rehabilitation facility, please include that person in your count. *If no participants had a fall, enter "0."*

Number of participants

If you answered "0," skip to question 28.

26. As best you know, about how many **of the participants who fell in the last 90 days** are in each of the following categories? If a participant had more than one fall in the last 90 days, count only their most serious fall. *Enter "0" for any categories with no participants.*

NUMBER OF PARTICIPANTS

- a. Had a **fall resulting in some kind of injury**, such as a broken bone (for example, wrist, arm, ankle); hip fracture; or head injury
- b. Had a fall that **did not result in some kind of injury**

NOTE: Total of 26a and 26b should be the same as the number provided in question 25.

27. As best you know, **of the participants who fell in the last 90 days**, about how many went to a **hospital emergency department or were hospitalized as a result of the fall**? Include hospital admissions and observation stays. If a participant had more than one fall in the last 90 days, count only their most serious fall. *If none, enter "0."*

Number of participants

3

Services Offered

28. Fall risk assessment tools often address gait, mobility, strength, balance, cognition, vision, medications, and environmental factors. Examples of tools include but are not limited to CDC’s **Stopping Elderly Accidents, Deaths & Injuries** or **STEADI**; **Timed Up and Go** or **TUG** test; 30-second chair stand test; and 4-stage balance test. Does this center typically evaluate each participant’s risk for falling using **any fall risk assessment tool**?

- Yes, as a standard practice with every participant
- Case by case, depending on each participant
- No

29. Fall reduction interventions may include but are not limited to environmental safety measures; medication reconciliation; exercise, gait, or balance training; and participant or family education. Does this center currently use **any formal fall reduction interventions**?

- Yes
- No

30. For **each** service listed below . . . **MARK ALL THAT APPLY**

Type of Service	This adult day services center. . .			
	Provides the service by paid center employees	Arranges for the service to be provided by outside service providers	Refers participants or family to outside service providers	Does not provide, arrange, or refer for this service
a. Hospice services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Social work services —provided by licensed social workers or persons with a bachelor’s or master’s degree in social work, and may include an array of services such as psychosocial assessment, individual or group counseling, and referral services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Mental health services —target participants’ mental, emotional, psychological, or psychiatric well-being, and may include diagnosing, describing, evaluating, and treating mental conditions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Any therapeutic services —physical, occupational, or speech	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Pharmacy services —including filling of or delivery of prescriptions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Dietary and nutritional services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Skilled nursing services —must be performed by an RN or LPN and are medical in nature	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Transportation services for medical or dental appointments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Daily round trip transportation services to or from this center	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4

Staff Profile

31. An individual is considered an **employee** if the center is required to issue a **W-2** federal tax form on their behalf. For **each** staff type below, indicate how many **full-time employees and part-time employees** this center **currently** has. **Enter "0" for any categories with no employees.**

	Number of Full-Time Employees	Number of Part-Time Employees
a. Registered nurses (RNs)	<input type="text"/>	<input type="text"/>
b. Licensed practical nurses (LPNs) / licensed vocational nurses (LVNs)	<input type="text"/>	<input type="text"/>
c. Certified nursing assistants, nursing assistants, home health aides, home care aides, personal care aides, personal care assistants, and medication technicians or medication aides	<input type="text"/>	<input type="text"/>
d. Social workers—licensed social workers or persons with a bachelor's or master's degree in social work	<input type="text"/>	<input type="text"/>
e. Activities directors or activities staff	<input type="text"/>	<input type="text"/>

32. **Contract or agency staff** refers to individuals or organization staff under contract with and working at this center, but are not directly employed by the center. Does this center **currently** have any nursing, aide, social work, or activities contract or agency staff?

Yes

No

If you answered "No," skip to question 34.

33. For **each** staff type below, indicate how many **full-time contract or agency staff and part-time contract or agency staff** this center **currently** has. **Enter "0" for any categories with no contract or agency staff.**

	Number of Full-Time Contract or Agency Staff	Number of Part-Time Contract or Agency Staff
a. Registered nurses (RNs)	<input type="text"/>	<input type="text"/>
b. Licensed practical nurses (LPNs) / licensed vocational nurses (LVNs)	<input type="text"/>	<input type="text"/>
c. Certified nursing assistants, nursing assistants, home health aides, home care aides, personal care aides, personal care assistants, and medication technicians or medication aides	<input type="text"/>	<input type="text"/>
d. Social workers—licensed social workers or persons with a bachelor's or master's degree in social work	<input type="text"/>	<input type="text"/>
e. Activities directors or activities staff	<input type="text"/>	<input type="text"/>

The following questions ask for information to help inform planning for future waves of NSLTCP.

34. The National Center for Health Statistics (NCHS) links person-level survey data with health records from other data sources, such as Medicare or Medicaid data. Linking allows NCHS to better understand the services participants of centers use. In order to link data in future surveys, we would need the information below about your current participants. We would use this information for research purposes only. Federal laws authorize NCHS to ask for this information and require us to keep it strictly private.

To help NCHS plan for future surveys, please answer the following questions: For **each item** below, in **Column 1**, indicate **whether or not this center has this information about its current participants**. For each “yes” in **Column 1**, in **Column 2**, indicate **whether or not this center is willing to provide this information** about participants.

	<u>Column 1</u> This center has . . .	<u>Column 2</u> I would be willing to provide . . .
a. Full names	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Dates of birth	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Last four digits of Social Security numbers	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
d. Full Social Security numbers	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

35. Is this adult day services center a Health Insurance Portability and Accountability Act (HIPAA)–covered entity?

- Yes
 No
 Do not know

5 Contact Information

36. In which of the following ways do you have Internet access at work?

MARK ALL THAT APPLY

- Desktop or laptop
 Smartphone
 Tablet/iPad
 Other
 No Internet access at work

37. We would like to keep your name, telephone number, work e-mail address, and job title for possible future contact related to participation in current and future NSLTCP waves. Your contact information will be kept confidential and will not be shared with anyone outside this project team.

PLEASE PRINT

Your full name:

Your work telephone number, with extension:

 ()

Your work e-mail address:

Your job title:

Thank you for participating.

Please return this questionnaire in the enclosed return envelope.

NSLTCP
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