



Instruction Manual for the FIFRA Work Plan and Report Template

3/6/2014

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I. Introduction

The FIFRA Work Plan and Report Template is a new format for the FIFRA grantee work plan, grantee progress reports as well as the year-end evaluation from the EPA regional offices. **This template has not yet been approved under the Paperwork Reduction Act¹.**

The template includes proposed work activities from the national FY15-17 FIFRA cooperative agreement guidance, space for proposed and negotiated grantee work activities, space for grantee progress reports on the negotiated work activities, and space for EPA comments and recommendations. The template, a result of a collaborative effort involving staff from EPA regional offices, the Office of Pesticide Programs, the Office of Compliance and several FIFRA state lead agencies, was created in order to:

- 1) streamline the cooperative agreement process for grantees and EPA regional offices;
- 2) promote national consistency in grantee work plans, grantee progress reports and EPA year-end evaluation reports;
- 3) more easily allow for the compilation of data, both regionally and nationally; and
- 4) assist in the design of a new national data system.


While the template is a change in the format of work plans and reports, it does not change the type of information reported or the processes used by regional offices and grantees in submitting cooperative agreement applications, negotiating work plan commitments, and reporting on the progress of those commitments. This template is not intended to change the normal workflow process between the grantee and the EPA project officer.

With any new tool, there will be a period of learning and adjustment. This instruction manual focuses solely on explaining how to use the template within the Excel environment. If you are not familiar with Excel, there are a number of excellent resources available online you can use to learn the program. Your IT department may have further resources to recommend. Getting to know Excel will help you in using the template.

¹ **PRA Notice:** According to the Paperwork Reduction Act (PRA) (44 USC 3501 *et seq.*), and the implementing regulations (5 CFR 1320 *et seq.*), a Federal agency may not conduct or sponsor, and a person is not required to respond to, certain collections of information involving identical questions to 10 or more persons unless the agency has obtained approval from the Office of Management and Budget (OMB). For each such collection activity, the agency is required to "inform" the respondent that they are not required to respond to the collection of information unless it displays a valid OMB control number. Failure to display a valid OMB control number permits a respondent to raise the affirmative legal defense provided by the "public protection" provision in section 3512 of the PRA (see also 5 CFR 1320.6).

FIFRA Cooperative Agreement reporting is currently approved by OMB under OMB Control No. 2030-0020. However, the Agency has not yet obtained approval from OMB for this reporting template. Until such approval is obtained, the reporting template is solely intended to provide respondents with an alternate method of providing information to the Agency that is already required under the terms of the cooperative agreement. Until OMB approves the reporting template under the PRA, the PRA's "public protection" provision will prevent the Agency from treating the failure to utilize the reporting template as grounds for withholding benefits or imposing penalties. Instead the agency must permit respondents to prove or satisfy the legal conditions in any other reasonable manner.

You can refer to this website for help: [Microsoft Excel Help and How-to](#)

Another resource is the Help function in Excel. Look for the  icon in the upper right corner of the screen. You can type questions in the prompt box after clicking on the question mark.

II. Software Needs

The template was designed using Microsoft Office’s Excel 2007, and should run on any newer version of Excel as well. Functionality of the template might be hampered with Excel versions older than 2007.

III. Contact Information

If you discover issues or think of better/easier ways that will improve this template, we would appreciate hearing from you.

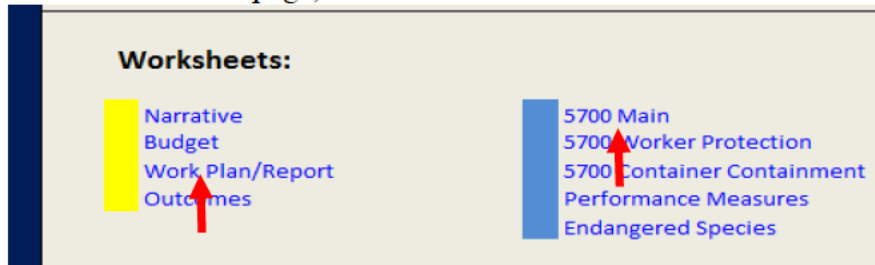
For technical questions about Excel, you can call/email either Allen Demorest of EPA Region 9 (demorest.allen@epa.gov, 415-947-4214) or Jolene Trujillo of OPP (trujillo.jolene@epa.gov, 703-347-0103).

For policy/process questions that impact more broadly than just a single grantee/project officer relationship, you can call/email Dea Zimmerman of OPP (zimmerman.dea@epa.gov, 312-353-6344) or Allen Demorest.

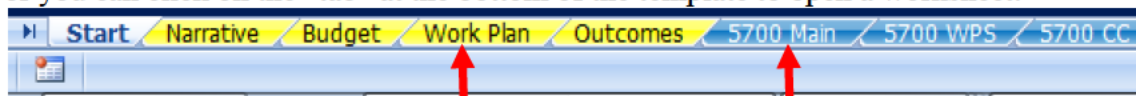
IV. Contents of the Template





The template is comprised of several worksheets, that all appear at the bottom of the screen once you open the Excel file.

To open a worksheet, you can either click on the name of the worksheet in the Worksheets section of the Start page,



or you can click on the “tab” at the bottom of the template to open a worksheet.



To return to the Start worksheet, select the “Back” button at the top of a worksheet or simply click on  from the tabs at the base of the document. If the  icon is not visible, select the  icon to page through all the worksheet tabs and then click the  icon.

Worksheets



In order of appearance from left to right, the worksheets contained in the template are:

Start: This is the “cover” page or landing page for the template. This is where the grantee should begin work by answering the questions numbered 1-8 in the top half of the Start page. See page 7 for more detailed information.

Narrative: This is the Narrative worksheet where you can add additional narrative descriptions that don’t fit anywhere else in the template. See page 10 for more detailed information.

Budget: This worksheet is a placeholder for you to either insert your budget sheet into the white space or embed a separate document into this tab. See page 11 for more detailed information.

Work Plan: This worksheet contains all of the “required” and “pick-list” activities in the national FY15-17 FIFRA cooperative agreement guidance, contains space for the grantee to enter in work plan activities and progress reports, and space for EPA to add comments and recommendations. See page 11 for more detailed information.

Outcomes: This worksheet contains a chart that links program areas, outcomes and the EPA’s Strategic Plan goals, helping grantees to comply with Grants Policy Issuance 11-03. See page 15 for more detailed information.

Inspection and Enforcement Action/ Projections and Accomplishments Forms

5700 Main: This is the standard 5700-33H form to be filled out by the grantee to project inspections and samples and report inspection and enforcement accomplishments on a schedule negotiated with the regional office, annually at a minimum.

5700 WPS: This is the form to report worker protection inspections, samples and enforcement actions, on a schedule negotiated with the regional office, annually at a minimum.

5700 CC: This is the form to report container/containment inspections, samples and enforcement actions, on a schedule negotiated with the regional office, annually at a minimum.

ES (Endangered Species Inspections Report): This is the form used to collect information during use or misuse inspections on whether applicators are following ES Bulletins, on a schedule negotiated with the regional office, annually at a minimum.

Perf Meas: This is a placeholder for the enforcement performance measures that are currently under development, and will be inserted here once finalized.

See pages 15-22 for more detailed information on all the 5700 forms and the ES form.

Standard Reports/My Reports

Reports are an easy way to synthesize information from the work plan worksheet. The template comes preloaded with some general reports that can be used. However, you can use the pivot table function in Excel to create a report specific to your needs. You can place your report(s) under the MY1 tab (stands for My Report 1). See the descriptions of each standard report below.

Status: Activity Status Report. This report lists, by status (partially complete, complete, not complete or ongoing) the program areas, the work plan activity commitments in the program areas, the due dates and the activity accomplishments reported by the grantee.

Prog Area: Report by Program Area. This report contains much of the same information as the Activity Status Report, but sorted by program area rather than status.

Sig: Significant Issues and Innovations Report. This report pulls out all activities that are flagged as significant or innovative, along with a description of the accomplishment.

Act Type: Activity Type Report. This report, sorted by whether the activity was considered “required” or a “pick list” activity in the national guidance, will give you a quick view of the status of those activities.

Rec: EPA Recommendations Report. This report is sorted by program area and will list work plan accomplishments along with any EPA recommendations, if any.

MY1: My Report- This is a placeholder for a user created report.

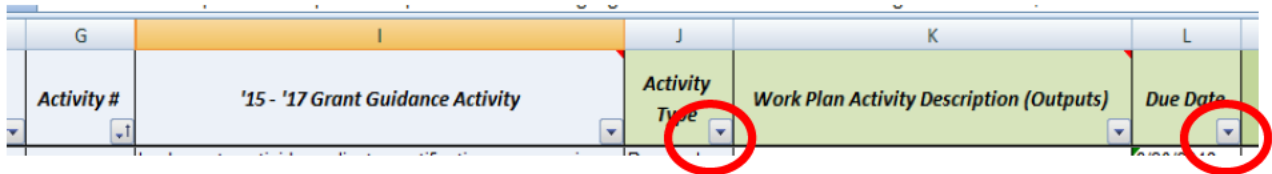
V. General Worksheet Information

A. Protecting Worksheets

Excel has the ability to “protect” a worksheet to prevent users from accidentally changing or deleting important data, formulas, etc. There are six worksheets in the template that are currently “protected”: Start, Outcomes, 5700 Main, 5700 WPS, 5700 CC and ES. You can enter data into any of these protected sheets. If you have a need to unprotect a worksheet, go to Review>(Changes) and select Unprotect Sheet. When done, you may protect the sheet again to prevent accidental deletion of information. No password is required to protect the sheet, just select OK. The data entry cells of worksheets remain editable when a sheet is protected.

B. Filters

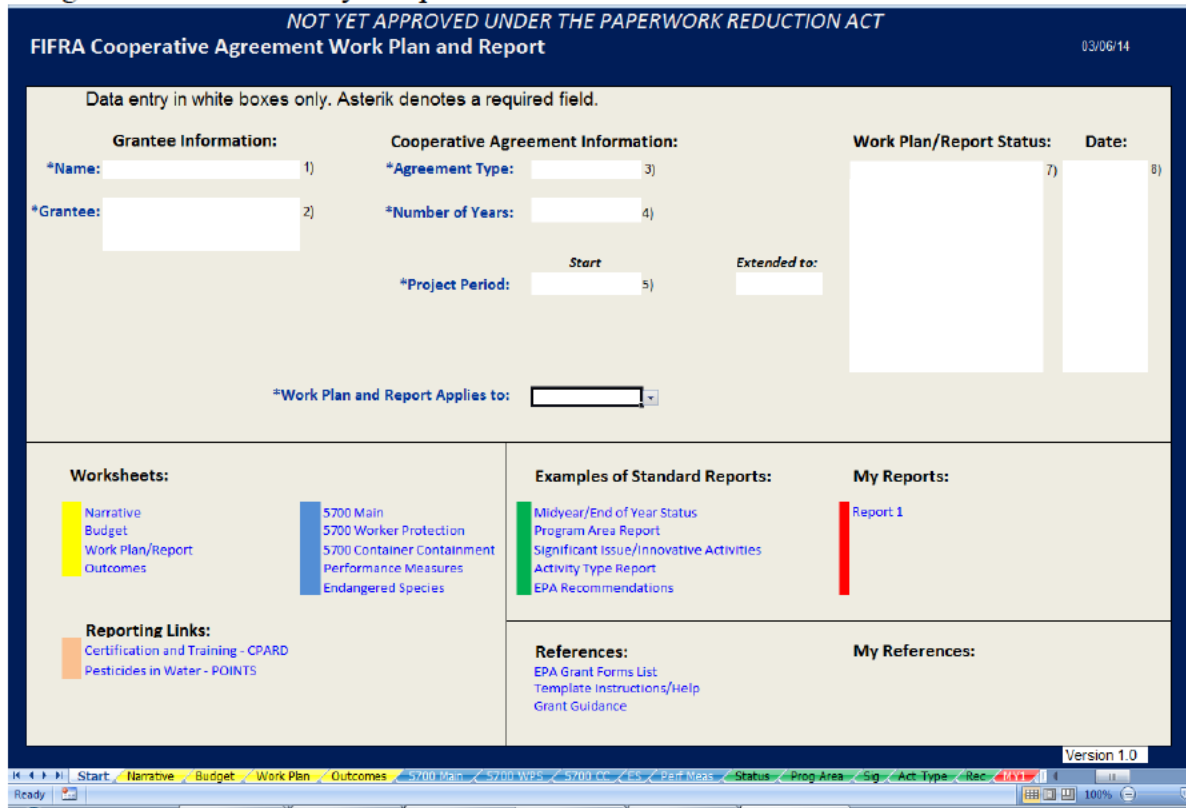
Filters and drop down options are included to easily sort through the items in that particular column. For example, at the top of the Work Plan in each of the column headings, you will see a drop down arrow. Use this to select subsets of information you want to display in that column of the Work Plan. Make sure to review each arrow as you go through the Work Plan. Don't forget to go back and "select all", otherwise you might think you are missing some of your data!



Activity #	'15 - '17 Grant Guidance Activity	Activity Type	Work Plan Activity Description (Outputs)	Due Date
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VI. Using the Start Worksheet

The grantee should initially complete work in the Start worksheet.



NOT YET APPROVED UNDER THE PAPERWORK REDUCTION ACT
03/06/14

FIFRA Cooperative Agreement Work Plan and Report

Data entry in white boxes only. Asterik denotes a required field.

Grantee Information: *Name: <input type="text"/> 1) *Grantee: <input type="text"/> 2)	Cooperative Agreement Information: *Agreement Type: <input type="text"/> 3) *Number of Years: <input type="text"/> 4) *Project Period: <input type="text"/> Start <input type="text"/> 5) Extended to: <input type="text"/>	Work Plan/Report Status: <input type="text"/> 7)	Date: <input type="text"/> 8)
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*Work Plan and Report Applies to:

Worksheets:

- Narrative
- Budget
- Work Plan/Report
- Outcomes
- 5700 Main
- 5700 Worker Protection
- 5700 Container Containment
- Performance Measures
- Endangered Species

Reporting Links:

- Certification and Training - CPARD
- Pesticides in Water - POINTS

Examples of Standard Reports:

- Midyear/End of Year Status
- Program Area Report
- Significant Issue/Innovative Activities
- Activity Type Report
- EPA Recommendations

My Reports:

- Report 1

References:

- EPA Grant Forms List
- Template Instructions/Help
- Grant Guidance

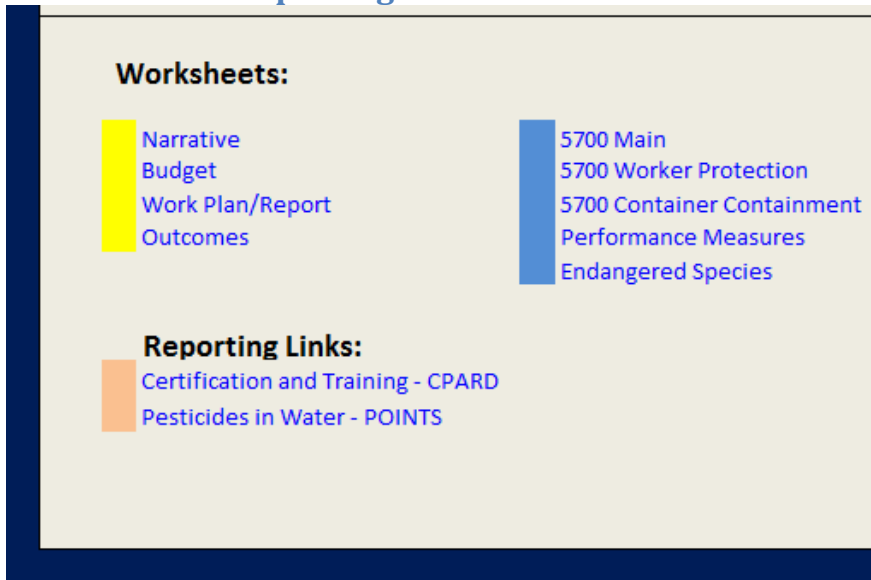
My References:

Version 1.0

Extended to: This box is only to be filled out if there is a no-cost extension associated with the cooperative agreement.

- 6) **Work Plan and Report Applies to:** Select from the dropdown list the appropriate budget period to which the work plan applies.
- 7) **Work Plan/Report Status:** Select from the drop down list what the current document represents (i.e. either work plan or report) and its status (choices are: “Work Plan Draft, Work Plan Final, Work Plan Final Amended, Report Draft, Report Final, Report Final Amended”). Make sure to save a unique template file for each type and stage of document.
- 8) **Date:** Enter the date in mm/dd/yyyy format that corresponds to the type of document in the Work Plan/Report Status field.

B. Worksheets & Reporting Links



The yellow section in this panel relates mainly to non-inspection related information, while the blue section relates mainly to inspection related information. Selecting one of the titles takes you directly to the relevant worksheet.

The links under the pink section in this area link to external databases and are provided here as a convenience. Click on the link to be taken to the appropriate URL. ⚠️ Template users do not need to report information entered into these databases again in the template.

C. Examples of Standard Reports / My Reports

Examples of Standard Reports:	My Reports:
<ul style="list-style-type: none">Midyear/End of Year StatusProgram Area ReportSignificant Issue/Innovative ActivitiesActivity Type ReportEPA Recommendations	<ul style="list-style-type: none">Report 1

This panel lists the Standard Reports and My Reports found in the template. Selecting one of the titles takes you directly to the relevant worksheet.

D. Reference Materials

References:	My References:
<ul style="list-style-type: none">EPA Grant Forms ListTemplate Instructions/HelpGrant Guidance	

Version 1.0

This panel provides access to the various documents that support template users.

References:

EPA Grant Forms List: This link will take you the EPA Grants and Debarment grant forms page.

Template Instructions/Help: This link takes you to this document if you need to refer to it as you complete the template.

Grant Guidance: This link takes you to the FY15-17 national FIFRA cooperative agreement guidance.

My References:

This area provides space for users to add links to any additional reference material the user finds helpful.

VII. Using the Narrative Worksheet

The Narrative worksheet is where you can add additional narrative descriptions that don't fit anywhere else in the template, such as: authority to accept the cooperative agreement, legislative changes, QAPPs, neutral administrative inspection schemes, case review results, etc.

You can add narrative either by 1) double clicking on the Word logo, or 2) embedding a document within the worksheet. Either option allows you to create new material or insert existing material. To use the second option, go to the menu and click on “Insert”, then click on “Object”. Here you can choose to create a new file or choose an existing file (Word, PPT, PDF, JPG, etc.) by selecting “Create from File”, Browse to find your file, and finally choose either “Link to File” or “Display as Icon”.

VIII. Using the Budget Worksheet

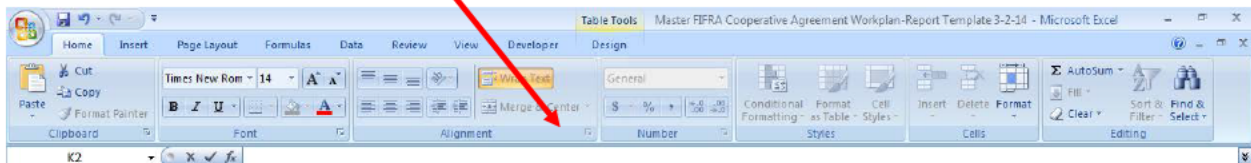
This worksheet is a placeholder for you to either enter budget information directly into the white space or embed a separate document into this tab. To embed a document, go to the menu and click on “Insert”, then click on “Object”. Here you can choose to create a new file or choose an existing file (Word, PPT, PDF, etc.) by selecting “Create from File”, Browse to find your file, and finally choose either “Link to File” or “Display as Icon”.

This budget worksheet is also where you can provide your thoughts on your financial draw down strategy as described in Grants Policy Issuance 12-06.

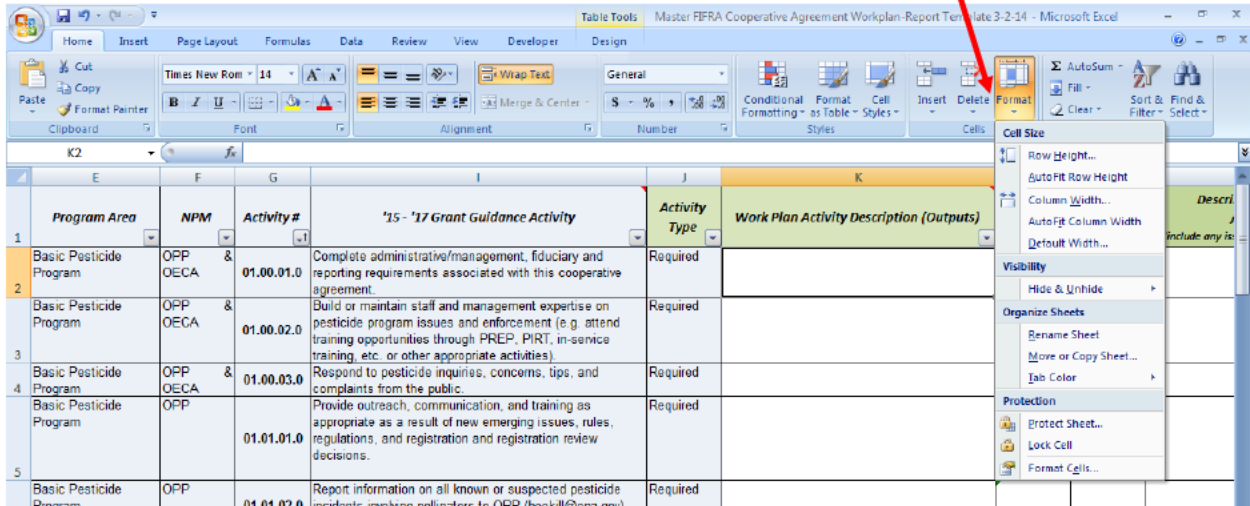
IX. Using the Work Plan Worksheet

A. Cell Formatting

Each cell is formatted so that text wraps within each cell, the cell should expand so that all text is visible, and the text is aligned left and at the top of the cell. However, within the Excel environment, if you copy and paste text from another source (i.e. another excel file, word document etc.) the formatting from that source will override these defaults. If you notice that the format to a particular cell is not following these rules after you’ve added information to a cell, you can navigate to the “Alignment” section of the Home ribbon in excel and adjust the formatting of the cell there.

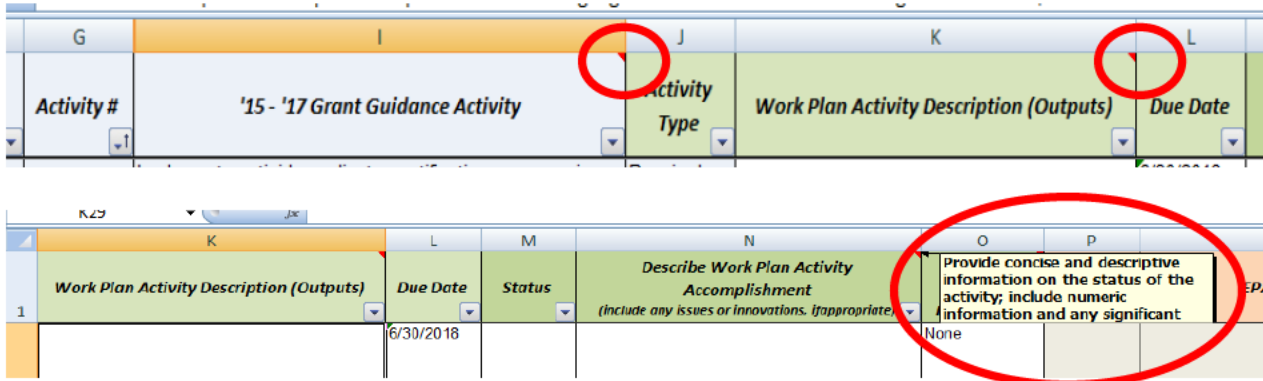


To expand the row height, click on the cell or highlight the row, go to “Cells”, then “Format”, then select “Autofit Row Height”.



B. Red Triangles in Upper Right Hand Corner of Cells

Instructions within the Work Plan worksheet are indicated by a red triangle in the corner of the cell. Place your cursor over the triangle for the instructions to appear.



C. Column Information and Entering Data

In general, the white areas in the body of any worksheet are meant for data entry.

Program Area (Column E): This listing is taken directly from the national FY15-17 FIFRA cooperative agreement guidance. Information in this column should not be changed.

NPM (Column F): Describes which National Program Manager the grant funding for a particular work activity comes from: OPP or OECA. Information in this column should not be changed.

Activity # (Column G): ⚠ This number is unique for each row and matches the activity number from the national guidance. Do not change the “Activity Number” listed in these cells. If a row needs to be added, see the instructions below for “adding rows.”

‘15 – ‘17 Grant Guidance Activity (Column I): ⚠ All of the proposed work activities listed in column H, FY 15-17 Grant Guidance Activity, are taken directly from the national cooperative agreement guidance. Do not change anything in this column.

Activity Type (Column J): Describes if the activity is required or pick-list. For all the pick list activities, the grantee will need to open the drop down list and choose either “Selected” or “Not Selected” to indicate if the grantee will be doing any work in those program areas.

If there are supplemental activities or regional specific activities, they are considered optional until selected or not selected. Those are found at the end of the worksheet.

Work Plan Activity Description (Outputs) (Column K): The grantee will use this cell to briefly and succinctly describe the Work Plan activity that you will be conducting that corresponds to the grant guidance activity listed in Column I. **Please be as specific as possible, especially if the activity involves any metrics, locations, etc.**

Due Date (Column L): This column auto-fills with the ending date of the budget period selected from the Start worksheet. If the date is different, the grantee will need to enter the correct date. Multiple dates may be entered if separated by a comma.

Status (Column M): When reporting results on the activities that you committed to (according to the schedule you have arranged with your PO), select the current status for the corresponding activity.

Options in the drop down menu:

- Complete
- Partially complete
- Not started
- Ongoing/ As Needed

Describe Work Plan Activity Accomplishments (Column N): Use this cell to briefly and succinctly describe the activity accomplishment/status listed in Column K, as well as the reasons for partially complete/not complete/ongoing/as needed responses in Column M. **Please be as specific as possible, especially if the activity involves any significant issues or innovations, metrics, locations, etc.**

Significant Issues/Innovations (Column O): This column will be used as a means to flag activities that the grantee feels are either nationally significant issues or activities that

used an innovative approach. There are four options in the drop down menu: “None” “Innovative,” “Significant Issue,” or “Both”. If anything other than “None” is selected, please explain the innovation or significant issue, or both, in column N.

EPA Review of Status (Column P): This column is completed by the EPA project officer/technical contact and indicates the Region’s concurrence or disagreement with the status reported by the grantee in Columns M and N.

EPA Comment (Column Q): This column is completed by the EPA project officer/technical contact and provides space for any further details the Region wants to add regarding a particular activity.

EPA Recommendation (Column R): This column is completed by the EPA project officer/technical contact and provides space for any recommendations the Region wants to offer.

Additional Columns: Additional columns can be added at the end of the table to add additional information.

Hidden Columns: These columns are used for creating unique identifiers for the reports function of the template.

- Entity (Column A)
- WPStart (Column B)
- WPEnd (Column C)
- Prog # (Column H): The grant guidance activity number.

D. Adding rows

Each guidance activity is initially only given one row in the template, but it is likely that grantees will have several activities to carry out the guidance and will need additional rows.

To add rows:

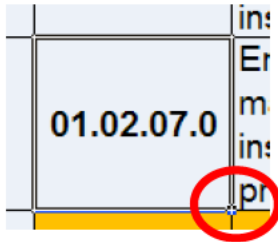
Select the row number where you want to add a new row (circled in red), right-click, and select “Insert.”

13	01.02.07.0	Ensure a minimum of one employee obtains and maintains an EPA inspector’s credential. Conduct FIFRA inspections with EPA credentials, according to EPA procedures and guidances.	Required	
14	01.02.08.0	Refer all inspections conducted with federal credentials to the region.	Required	

The new row will appear with some cells in orange or yellow. The colors are highlighting that a cell is either empty or a duplicate.

01.02.07.0	Ensure a minimum of one employee obtains and maintains an EPA inspector’s credential. Conduct FIFRA inspections with EPA credentials, according to EPA procedures and guidances.	Required	

To complete the data in those two cells, you will select the cell directly above the blank cell and drag the data down using the small square icon in the bottom right-hand corner of the cell.



The new row will look like the surrounding cells, however the Activity Code will be unique.

01.02.07.0	Ensure a minimum of one employee obtains and maintains an EPA inspector's credential. Conduct FIFRA inspections with EPA credentials, according to EPA procedures and guidances.	Required	
01.02.07.1	Ensure a minimum of one employee obtains and maintains an EPA inspector's credential. Conduct FIFRA inspections with EPA credentials, according to EPA procedures and guidances.		

X. Using the Outcomes Worksheet

This chart includes all the program areas listed in the national FY15-17 cooperative agreement guidance, and links them to the potential outcomes from work done in each program area, and to the appropriate goal in EPA's Strategic Plan. The grantee should adjust the outcomes as necessary to match work contained in the grantee's Work Plan. This chart, along with the Work Plan, assists the grantee to comply with Grants Policy Issuance 11-03, which requires all Work Plans and progress reports to prominently display work commitments, a timeframe to complete the commitments and a link to EPA's strategic goal and objective.

XI. Using the 5700 Forms (Projections and Accomplishments)

Guidance for what data to enter into these forms can be found in Appendices 9-11 of the national FY15-17 FIFRA cooperative agreement guidance. Let's talk here about how these forms function in the template.

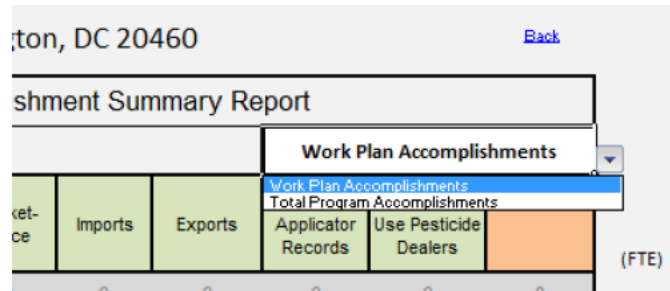
The template includes three different 5700 forms:

5700 Main: This is the standard 5700-33H form to be filled out by the grantee to project inspections and samples and report inspection and enforcement accomplishments.

5700 WPS: This is the form to report worker protection inspections, samples and enforcement actions.

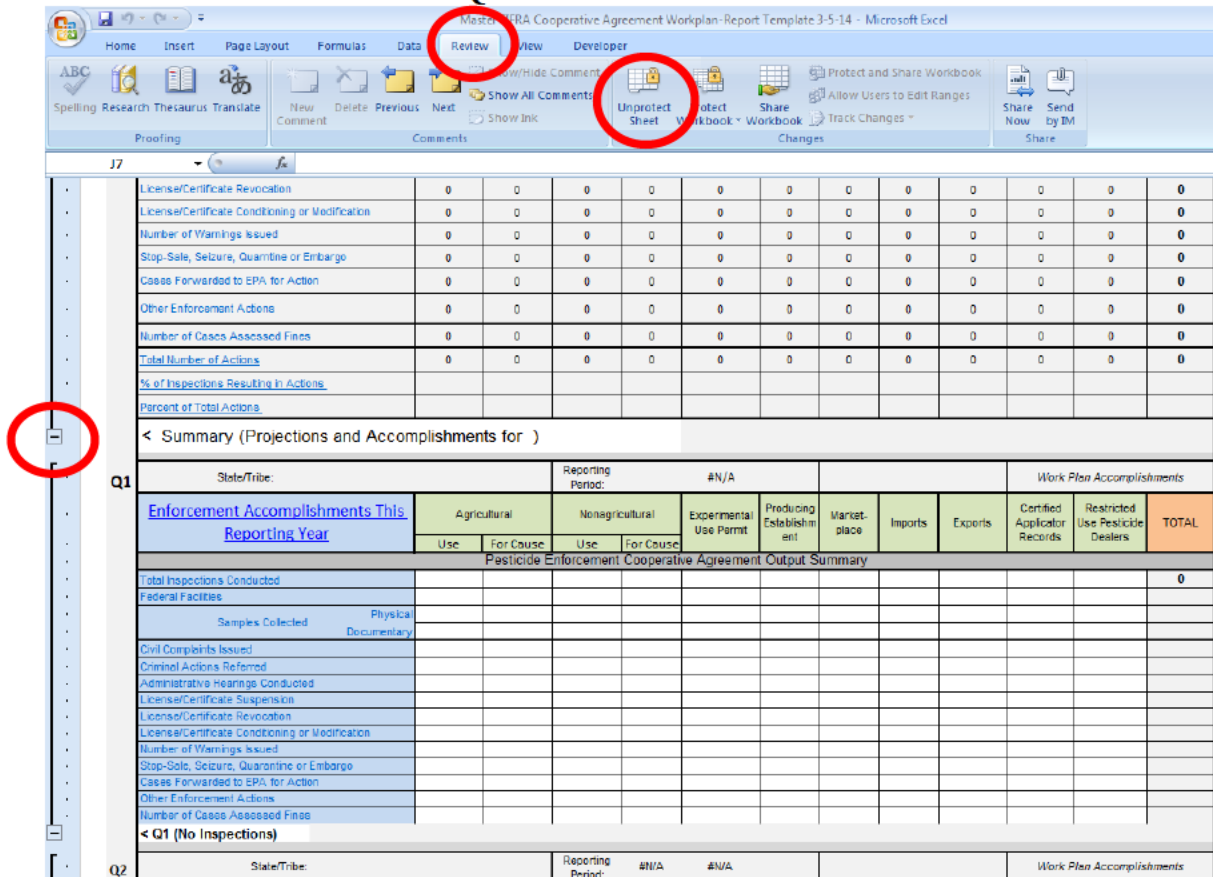
5700 CC: This is the form to report container/containment inspections, samples and enforcement actions.

Each of the 5700 forms contains a cell in the upper right hand corner of the form for the grantee to indicate whether the grantee is reporting “Work Plan Accomplishments” or “Total Program Accomplishments”. Just click in the cell for the drop down arrow to appear, then click on the appropriate selection.



Each of these three forms has a main summary form and 4 quarterly forms appended below the summary. All of the quarterly forms for each 5700 area currently “expanded”, leaving them visible at the bottom of each summary form in sequential order. If you will not use all the quarters or want to “collapse” them, you will first need to “unprotect” the worksheet. To do this, go to Review>(Changes) and select Unprotect Sheet. To collapse (or expand) a particular quarter, click on the minus (or plus) sign to the left of the quarterly forms.

Screen shot of 5700 Main with Q1 visible:



When done, you may protect the sheet again to prevent accidental deletion of information. No password is required to protect the sheet, just select OK. The data entry cells of worksheets remain editable when a sheet is protected.

Any numbers entered in the quarterly report forms will automatically tabulate data in the main form, regardless of the number of quarterly forms used.

Screen shot of the 5700 Main summary form and Quarter 1:

United States **Environmental Protection Agency** Washington, DC 20460 [Exit](#)

Pesticides Enforcement Cooperative Agreement Projections & Accomplishment Summary Report												
State/Tribe:	Reporting Period:				Work Plan Accomplishments							
	Agricultural		Nonagricultural		EUP	FEI	Market- place	Imports	Exports	Certified Applicator Records	Restricted Use Pesticide Dealers	TOTAL
	Use	For Cause	Use	For Cause								
Projected: (Hrs)	0	0	0	0	0	0	0	0	0	0	0	0
Inspections												0
Samples												0
Accomplished:	0	0	0	0	0	0	0	0	0	0	0	0
Inspections ()	0	0	0	0	0	0	0	0	0	0	0	0
Federal Facilities	0	0	0	0	0	0	0	0	0	0	0	0
Samples = 0	Physical	0	0	0	0	0	0	0	0	0	0	0
	Documentary	0	0	0	0	0	0	0	0	0	0	0
(Accomplished) - (Projected)	0	0	0	0	0	0	0	0	0	0	0	0
Inspections	0	0	0	0	0	0	0	0	0	0	0	0
Samples	0	0	0	0	0	0	0	0	0	0	0	0
Pesticide Enforcement Actions Taken												
Civil Complaints Issued	0				0	0	0	0	0	0	0	0
Criminal Actions Referred	0				0	0	0	0	0	0	0	0
Administrative Hearings Conducted	0				0	0	0	0	0	0	0	0
License/Certificate Suspension	0				0	0	0	0	0	0	0	0
License/Certificate Revocation	0				0	0	0	0	0	0	0	0
License/Certificate Conditioning or Modification	0				0	0	0	0	0	0	0	0
Number of Warnings Issued	0				0	0	0	0	0	0	0	0
Stop-Sale, Seizure, Quarantine or Embargo	0				0	0	0	0	0	0	0	0
Cases Forwarded to EPA, for Action	0				0	0	0	0	0	0	0	0
Other Enforcement Actions	0				0	0	0	0	0	0	0	0
Number of Cases Assessed Fines	0				0	0	0	0	0	0	0	0
Total Number of Actions	0				0	0	0	0	0	0	0	0
% of Inspections Resulting in Actions	0				0	0	0	0	0	0	0	0
< Summary (Projections and Accomplishments for)												
Q1 State/Tribe:	Reporting Period:				#N/A				Work Plan Accomplishments			
Enforcement Accomplishments This Reporting Year	Agricultural		Nonagricultural		Experimental Use Permit	Producing Establishment	Market- place	Imports	Exports	Certified Applicator Records	Restricted Use Pesticide Dealers	TOTAL
	Use	For Cause	Use	For Cause								
Pesticide Enforcement Cooperative Agreement Output Summary												
Total Inspections Conducted												0
Federal Facilities												
Samples Collected	Physical											
	Documentary											
Civil Complaints Issued												
Criminal Actions Referred												
Administrative Hearings Conducted												
License/Certificate Suspension												
License/Certificate Revocation												
License/Certificate Conditioning or Modification												
Number of Warnings Issued												
Stop-Sale, Seizure, Quarantine or Embargo												
Cases Forwarded to EPA, for Action												
Other Enforcement Actions												
Number of Cases Assessed Fines												
< Q1 (0 Inspections)												
Q2 State/Tribe:	Reporting Period:				#N/A				Work Plan Accomplishments			
Enforcement Accomplishments This Reporting Year	Agricultural		Nonagricultural		Experimental Use Permit	Producing Establishment	Market- place	Imports	Exports	Certified Applicator Records	Restricted Use Pesticide Dealers	TOTAL
	Use	For Cause	Use	For Cause								
Pesticide Enforcement Cooperative Agreement Output Summary												
Total Inspections Conducted												0

Enter data in the
projection rows or in
the quarterly forms
only

Q1

Q2

Screen shot of the 5700 WPS summary form and Quarters 1 and 2:

[Back](#)

United States Environmental Protection Agency Washington, DC 20460										
Pesticides Enforcement Cooperative Agreement Accomplishment Report (WPS)										
State/Tribe:	Year:	Reporting Period:				Work Plan Accomplishments				
Enforcement Accomplishments This Reporting Year	WPS Tier I		WPS Tier II		Total	Inspections of Facilities Claiming Exemption	Violations During WPS Inspections			
	Use	For Cause	Use	For Cause			# of Violations			
Total Inspections Conducted	0	0	0	0	0	0				
Samples Collected	Physical	0	0	0	0		1 Pesticide Safety Training	0		
	Documentary	0	0	0	0		2 Central Posting	0		
Civil Complaints Issued	0	0	0	0	0		3 Notice of Application	0		
Criminal Actions Referred	0	0	0	0	0		4 Entry Restrictions	0		
Administrative Hearings Conducted	0	0	0	0	0		5 Personal Protective Equipment	0		
License/Certificate Suspension	0	0	0	0	0		6 Mix/Loading, Application Equip & Applications	0		
License/Certificate Revocation	0	0	0	0	0		7 Decontamination	0		
License/Certificate Conditioning or Modification	0	0	0	0	0		8 Emergency Assistance	0		
Number of Warnings Issued	0	0	0	0	0		9 Information Exchange	0		
Stop-Sale, Seizure, Quarantine or Embargo	0	0	0	0	0		10 Retaliation	0		
Cases Forwarded to EPA for Action	0	0	0	0	0		Total Violations	0		
Other Enforcement Actions	0	0	0	0	0					
Number of Cases Assessed Fines	0	0	0	0	0					
* This column is a subset of the WPS Tier I and WPS Tier II Columns combined to collect data on inspections conducted at facilities claiming the Immediate Family Exemption										
< Summary										
Q1	State/Tribe:	Year:	Reporting Period:				#N/A	Work Plan Accomplishments		
Enforcement Accomplishments This Reporting Year	WPS Tier I		WPS Tier II		Total	Inspections of Facilities Claiming Exemption	Violations During WPS Inspections			
	Use	For Cause	Use	For Cause			# of Violations			
Total Inspections Conducted					0					
Samples Collected	Physical				0		1 Pesticide Safety Training			
	Documentary				0		2 Central Posting			
Civil Complaints Issued							3 Notice of Application			
Criminal Actions Referred							4 Entry Restrictions			
Administrative Hearings Conducted							5 Personal Protective Equipment			
License/Certificate Suspension							6 Mix/Loading, Application Equip & Applications			
License/Certificate Revocation							7 Decontamination			
License/Certificate Conditioning or Modification							8 Emergency Assistance			
Number of Warnings Issued							9 Information Exchange			
Stop-Sale, Seizure, Quarantine or Embargo							10 Retaliation			
Cases Forwarded to EPA for Action							Total Violations	0		
Other Enforcement Actions										
Number of Cases Assessed Fines										
< Q1										
Q2	State/Tribe:	Year:	Reporting Period:				#N/A	Work Plan Accomplishments		
Enforcement Accomplishments This Reporting Year	WPS Tier I		WPS Tier II		Total	Inspections of Facilities Claiming Exemption	Violations During WPS Inspections			
	Use	For Cause	Use	For Cause			# of Violations			
Total Inspections Conducted										
Samples Collected	Physical						1 Pesticide Safety Training			
	Documentary						2 Central Posting			
Civil Complaints Issued							3 Notice of Application			
Criminal Actions Referred							4 Entry Restrictions			
Administrative Hearings Conducted							5 Personal Protective Equipment			
License/Certificate Suspension							6 Mix/Loading, Application Equip & Applications			
License/Certificate Revocation							7 Decontamination			
License/Certificate Conditioning or Modification							8 Emergency Assistance			
Number of Warnings Issued							9 Information Exchange			
Stop-Sale, Seizure, Quarantine or Embargo							10 Retaliation			
Cases Forwarded to EPA for Action							Total Violations	0		
Other Enforcement Actions										
Number of Cases Assessed Fines										

Enter data in the quarterly forms only

Ready | Start | Narrative | Budget | Work Plan | Outcomes | 5700 WPS | 5700 WPS | 5700 WPS | 5700 WPS | 5700 WPS | Status | Prog Area | Sig | Act Type | Rec | 90%

Screen shot of 5700 CC summary form with Q1-4 collapsed:

United States
Environmental Protection Agency
Washington, DC 20460

Pesticides Enforcement Cooperative Agreement Accomplishment Report (Container/Containment)

State/Tribe: ADEC Year: FFY17 Reporting Period: 10/1/2016 9/30/2017 Work Plan Accomplishments

Enforcement Accomplishments This Reporting Year	PEI With Containment	Non-PEI Containment	Total
Total Inspections Conducted	0	0	
Samples Collected	Physical	0	0
	Documentary	0	0
Civil Complaints Issued	0	0	
Criminal Actions Referred	0	0	
Administrative Hearings Conducted	0	0	
License/Certificate Suspension	0	0	
License/Certificate Revocation	0	0	
License/Certificate Conditioning or Modification	0	0	
Number of Warnings Issued	0	0	
Stop-Sale, Seizure, Quarantine or Embargo	0	0	
Cases Forwarded to EPA for Action	0	0	
Other Enforcement Actions	0	0	
Number of Cases Assessed Fines	0	0	

Container/Containment Violations

Refillable Containers

- Deficient labeling (i.e. cleaning and disposal instructions)
- Deficient container design (valves, openings)
- Producing establishment registration violations
- No contract manufacturing agreement, residue removal instructions, list of acceptable
- Deficient management procedures & operation
- Record keeping

Containment

- Secondary containment & pads - capacity/design
- Secondary containment & pads - site management
- Secondary containment & pads - record keeping

Total Violations

< Summary
< Q1
< Q2
< Q3
< Q4

Data Entry

Data is only entered in the quarterly forms, and is then automatically summarized in the main form. Do not use the summary form for data entry. There is one exception. The grantee will project inspections and samples in the two rows outlined in red in the 5700 Main form. This is the only data entry that will happen on any 5700 summary form.

State/Tribe: ADEC	FFY 17	Reporting Period: 10/1/2016 9/30/2017		Work Plan Accomplishments								
Enforcement Projections & Accomplishments	Agricultural		Nonagricultural		EUP	PEI	Market-place	Imports	Exports	Certified Applicator Records	Restricted Use Pesticide Dealers	TOTAL
	Use	For Cause	Use	For Cause								
Projected: (Hrs)	0	0	0	0	0	0	0	0	0	0	0	0
Inspections												0
Samples												0
Accomplished:	0	0	0	0	0	0	0	0	0	0	0	0

Example of what a 5700 Main Projections Form might look like as part of the work plan:

United States Environmental Protection Agency Washington, DC 20460													Back
Pesticides Enforcement Cooperative Agreement Projections & Accomplishment Summary Report													
State/Tribe:	VDACS	FFY	16	Reporting Period:		10/1/2015	9/30/2016	Work Plan Accomplishments					
Enforcement Projections & Accomplishments	Agricultural		Nonagricultural		EUP	PEI	Market-place	Imports	Exports	Certified Applicator Records	Restricted Use Pesticide Dealers	TOTAL	
	Use	For Cause	Use	For Cause									
Projected:	(Hrs)	400	400	300	400	300	300	100	200	200	100	100	2800
Inspections		20	20	20	20	20	20	20	20	20	20	20	220
Samples		5	5	5	5	5	5	5	5	5	5	5	55
Accomplished:		0	0	0	0	0	0	0	0	0	0	0	0
Inspections ()		0	0	0	0	0	0	0	0	0	0	0	0
Federal Facilities		0	0	0	0	0	0	0	0	0	0	0	0
Samples = 0	Physical	0	0	0	0	0	0	0	0	0	0	0	0
	Documentary	0	0	0	0	0	0	0	0	0	0	0	0
(Accomplished) - (Projected)		-400	-400	-300	-400	-300	-300	-100	-200	-200	-100	-100	-2800
Inspections		(20)	(20)	(20)	(20)	(20)	(20)	(20)	(20)	(20)	(20)	(20)	(220)
Samples		(5)	(5)	(5)	(5)	(5)	(5)	(5)	(5)	(5)	(5)	(5)	(55)
Pesticide Enforcement Actions Taken													
Civil Complaints Issued		0	0	0	0	0	0	0	0	0	0	0	0
Criminal Actions Referred		0	0	0	0	0	0	0	0	0	0	0	0
Administrative Hearings Conducted		0	0	0	0	0	0	0	0	0	0	0	0
License/Certificate Suspension		0	0	0	0	0	0	0	0	0	0	0	0
License/Certificate Revocation		0	0	0	0	0	0	0	0	0	0	0	0
License/Certificate Conditioning or Modification		0	0	0	0	0	0	0	0	0	0	0	0
Number of Warnings Issued		0	0	0	0	0	0	0	0	0	0	0	0
Stop-Sale, Seizure, Quarantine or Embargo		0	0	0	0	0	0	0	0	0	0	0	0
Cases Forwarded to EPA for Action		0	0	0	0	0	0	0	0	0	0	0	0
Other Enforcement Actions		0	0	0	0	0	0	0	0	0	0	0	0
Number of Cases Assessed Fines		0	0	0	0	0	0	0	0	0	0	0	0
Total Number of Actions		0	0	0	0	0	0	0	0	0	0	0	0
% of Inspections Resulting in Actions													

The two rows immediately above the enforcement action rows will tabulate the difference between projected inspections and samples, and accomplished projection and samples. If the accomplished number is higher than projected, the difference shows up in black font. If the accomplished number is lower than projected, the difference shows up in red font. See example below:

United States Environmental Protection Agency Washington, DC 20460													Back
Pesticides Enforcement Cooperative Agreement Projections & Accomplishment Summary Report													
State/Tribe:	VDACS	FFY	16	Reporting Period:		10/1/2015	9/30/2016	Work Plan Accomplishments					
Enforcement Projections & Accomplishments	Agricultural		Nonagricultural		EUP	PEI	Market-place	Imports	Exports	Certified Applicator Records	Restricted Use Pesticide Dealers	TOTAL	
	Use	For Cause	Use	For Cause									
Projected:	(Hrs)	400	400	300	400	300	300	100	200	200	100	100	2800
Inspections		20	20	20	20	20	20	20	20	20	20	20	220
Samples		5	5	5	5	5	5	5	5	5	5	5	55
Accomplished:		700	500	525	300	200	100	125	0	0	250	175	3475
Inspections ()		35	25	35	45	10	5	25	0	0	50	35	265
Federal Facilities		0	0	0	0	0	0	0	0	0	0	0	0
Samples = 45	Physical	5	5	5	5	5	5	5	0	0	5	5	45
	Documentary	0	0	0	0	0	0	0	0	0	0	0	0
(Accomplished) - (Projected)		300	100	225	-100	-100	-200	25	-200	-200	150	75	675
Inspections		15	5	15	25	(10)	(15)	5	(20)	(20)	30	15	45
Samples		0	0	0	0	0	0	0	(5)	(5)	0	0	(10)
Pesticide Enforcement Actions Taken													

Grantees should report inspection and enforcement accomplishment numbers following an agreed upon schedule between the grantee and the region, but at a minimum, reporting is required annually.

- Quarterly accomplishments are entered into each of the four quarterly forms appended at the bottom left of each main page.
- Midyear accomplishments are reported in each of the second quarter (Q2) 5700 forms.
- Annual accomplishments are reported in each of the fourth quarter (Q4) 5700 forms.

XII. Using the Endangered Species Inspections Report

The **ES** (Endangered Species Inspections Report) tab: This is the form used to collect information during use or misuse inspections on whether applicators are following ES Bulletins. This information is required for all grantees whether or not Endangered Species is selected from the pick-list. For inspections where the applicator is alleged to be in violation of ES labeling requirements or the Bulletin, please provide the name of the pesticide product involved and the provision of the ES Bulletin or label violated in the comment field of the form. This information will help OPP assess the effectiveness of endangered species risk mitigation requirements and Endangered Species bulletins.

XIII. Using the Template for Multi-Year Agreements

The template functions as a Work Plan and Reports for a one year period. For multi-year agreements you will need to prepare and save the entire workbook for each fiscal year in the project period. At the beginning of a multi-year agreement, the grantee may prepare the work plan for the first year, save that file as a Work Plan by indicating it as a Work Plan in box 7 on the **START** page and saving it according to the naming convention below. The grantee can then use that file as the starting point for preparing the subsequent work plans for the remaining years. For example, a grantee with a 3 year agreement will submit 3 Work Plans, one for each year of the agreement, to the regional office.

Once the grantee begins reporting accomplishments on work plan activities for the first year project period, that file is then saved as a report by indicating it as a report in box 7 on the **START** page and saving it according to the naming convention below. Reports are submitted to the regional office according to an agreed upon schedule between the grantee and the region. This pattern repeats itself for all years in the project period.

XIV. File Naming Convention

Use of a consistent naming convention will assist with national data compilation. Please follow the suggested file naming conventions below.

For Work Plans:*For States*

Fiscal year-Two letter state abbreviation-workplan-draft/final-current date

Example: FY13-AL-workplan-draft-020614

For Tribes

Fiscal year-Tribal name abbreviation-workplan-draft/final-current date

Example: FY13-ACIC-workplan-final-030414

For Reports:*For States*

Fiscal year-Two letter state abbreviation-report-midyear/yearend-current date

Example: FY13-VT-report-yearend-110514

For Tribes

Fiscal year-Tribal name abbreviation-report-midyear/yearend-current date

Example: FY13-CRST-report-midyear-043014

For Regional Year End Reports:

Fiscal year-Grantee Abbreviation-EPAreport-yearend-current date

Example: FY13-NYSDEC-EPAreport-yearend-021614

XV. Printing


It is strongly encouraged that users print reports rather than worksheets, but should the need arise to print the individual worksheets follow the instructions below:

-Go to the Office Button

-Select the Print Option and then to go to Print Preview. This view will allow you to see exactly how the worksheet you have highlighted will print. Scroll through the document and ensure pages are formatted as desired. The worksheets within the template have been formatted to fit on an 8½” x 11” sheet of paper. On the Work Plan worksheet, columns E through O will print on one landscape orientated page, with columns P through R on separate pages.

-Then select Page Setup to format the sheet for any specific information you would like to include for your printing needs (headers, footers, page numbers etc.). The Work Plan worksheet is formatted so that the column headings will print at the top of each printed page.

-Once you have made any necessary modifications then select Print. Within the print window go to Print Range>Pages (insert 1 to xx) > OK and the worksheet selected should print clearly with no extraneous pages.

For additional printing resources in Excel, look for the  icon in the upper right corner of the screen. You can type Print in the prompt box after clicking on the question mark and several informative links will provide detailed printing information.

XVI. Using Standard Reports/My Reports

Helpful Information:

It is recommended that you print a Report (using the pivot table function) with the information needed. Use one of the reports provided, or one that you've created. Then, from the report, go to View/Workbook Views and select a view to configure your report. The options that are provided are "page layout" and "page break preview".

The standard reports will be generated once data is filled into the Work Plan.

To create an individualized report, see: <http://office.microsoft.com/en-us/excel-help/create-or-delete-a-pivottable-or-pivotchart-report-HP010342375.aspx?CTT=5&origin=HP010342752>

XVII. Frequently Asked Questions

1) I have discovered an error in or issue with the template. Whom do I contact?

For technical questions about Excel, you can call/email either Allen Demorest of EPA Region 9 (demorest.allen@epa.gov, 415-947-4214) or Jolene Trujillo of OPP (trujillo.jolene@epa.gov, 703-347-0103).

For policy/process questions that impact more broadly than just a single grantee/project officer relationship, you can call/email Dea Zimmerman of OPP (zimmerman.dea@epa.gov, 312-353-6344) or Allen Demorest.

2) Something is *really* missing from this instructions document. Whom do I contact?

See answer to question 2 above.

3) I am confused by some of the language/abbreviations/acronyms used in the template. Where can I go for more information?

Check out the [Glossary](#) in this document, and if we missed something, let us know so we can add it.

4) What do I do with the template once it is completed? Is it automatically submitted to EPA? Who do I submit it to?

You should follow whatever established cooperative agreement application and reporting procedures you already have in place. If you are unsure of a process, contact your project officer/technical contact.

5) How do I submit amendments to my Work Plan? What if my Work Plan changes after it is approved? Do I make revisions or does the Region?

You should follow whatever established procedures you already have in place for amending your Work Plan. Discuss the process with your project officer/technical contact. Any amendments to the grantee's Work Plan must be made by grantees and then approved by the Region. Make sure you note any amendments in the Work Plan/ Report Status field (box 7) located on the right hand side of the top panel on the Start worksheet.

6) Can I attach other documents to the template? How and where do I attach the documents? Is there a specific format or program attached documents have to be in?

You can paste the content of your narrative document into the "Narrative" worksheet using two different methods. 1) To cut and paste narrative: Open the Narrative worksheet and double click on the box labeled "Microsoft Word Document" to open up Word, and then paste the narrative in that Word document, and close the document when you are done. 2) You can embed a document into the Narrative worksheet. Go to Insert> Object>. You can create a new document and make changes there OR you can select an existing file (Word, PPT, PDF, etc.) and embed that into the Narrative worksheet.

For those forms and documents not included within the template, you should submit them along with the template following your established procedures.

7) Will I need to submit the template with the other grant forms (SF424, SF 424A,B, SF LLL, etc.) for a new agreement?

Yes, you will need to submit the template as part of your application package. You should follow whatever established procedures you already have in place. The template incorporates your Work Plan and progress reports and does not replace the need for required grant forms.

8) Where do I obtain the 424 and other grant forms?

See the Office of Grants and Debarment website for grant forms:
<http://www.epa.gov/ogd/forms/forms.htm>.

9) Do I have to separately send in the 5700 and other reporting forms?

No, all the required 5700 forms are included in the template and do not need to be submitted separately.

10) Which 5700-33H worksheet should the grantees use to report the mid-year and year-end numbers?


Grantees should report mid-year numbers using the Q2 5700 worksheet, and report year-end numbers using the Q4 5700 worksheet. If a grantee reports inspection and enforcement action numbers in all four quarters, they will use all four quarterly 5700 worksheets, Q1-Q4.

11) What if I completed additional activities not listed in the template but I want to include them in my EOY?

If these additional activities used discretionary funds, they can be included in the Supplemental/Special Project activity row at the end of the Work Plan worksheet. If these additional activities fit within one of the program areas, use the “Add a Row” function under the appropriate program area in the Work Plan worksheet to describe the activity. If the activity fits neither of these parameters, describe the work in the Narrative worksheet.

12) I cannot get Excel to do what I need it to do. Help?

You can refer to this website for help: [Microsoft Excel Help and How-to](#)

Another resource is the Help function in Excel. Look for the  icon in the upper right corner of the screen. You can type questions in the prompt box after clicking on the question mark.

13) The template print is too small/large to be useable. How to I make the template larger?

Select the Zoom button in the bottom right of your screen. It should look something like the following; either click the percentage or use the scrolling bar to enlarge or shrink your screen’s zoom level.



14) I am trying to print my Work Plan as a report. How do I do that?

From the report (either standard or created), go to View/Workbook Views and select a view to configure your page as you like.

XVIII. Abbreviations and Glossary

CA – Cooperative Agreement

Consolidated – A cooperative agreement having two or more different programs in one agreement (e.g. enforcement, C&T, program implementation)

CPARD – Certification Plan and Reporting Database

FIFRA – Federal Insecticide Fungicide and Rodenticide Act

NPM – National Program Manager (EPA term for different offices, such as OPP or OECA)

OECA – Office of Enforcement and Compliance Assurance

OPP – Office of Pesticide Programs

PPG – Performance Partnership Grant

POINTS – Pesticides of Interest Tracking System