

Attachment J: Institutional Review Board (IRB) Exemption Letter



NOTIFICATION - EXEMPT

From: Jim Tebow

To: Joie Danielle Acosta - PI

Cc: Joie Danielle Acosta - Primary Contact
Mark Hanson - Study Team
Clarissa Sellers - Study Team
Regina Shih - Study Team

ID: [2014-0783](#)

Title: Examining how Local Public Health Departments (LHDs) Can Leverage Age-Friendly Cities Initiatives (AFIs) to Build Resilience in Elderly Populations

Description: The above study is exempt from further HSPC review. This does ***not*** mean the research is exempt from meeting ethical requirements; rather it means the investigators are on their own recognizance to assure that basic ethical principles are met (for clarity on these principles, see <http://www.hhs.gov/ohrp/humansubjects/guidance/belmont.htm>). If there is another organization also "engaged" in the research, that organization may do its own review and may not exempt the study. Please contact the HSPC immediately if the research changes in any ways that may affect human subjects involvement.

Additionally, the HSPC has determined that ISA does not meet the regulatory definition of engagement in human subjects research per ORHP's *Guidance on Engagement of Institutions in Human Subjects Research*, October 16, 2008, §III B(1). As such, ISA is not subject to regulatory review and no deferral is needed. Please note that all contractual obligations and HSPC-approved data safeguarding procedures must be followed by ISA.

Action Required: No action is required of you at this time. If you wish to view the status of your application, please login to RHINO, then click on the study ID above to navigate to the project workspace.

Date of Determination: 11/3/2014

CURRENT STUDY REVIEW STATUS

Project Number: HPH0049

Funding Source: CDC

Prime Recipient: RAND

RAND Unit(s): Health

Human Subjects: Yes

Type of Review: Exempt

Exemption Categories: Category Exemption Category
2 - Adult Interviews and Surveys Exemption

Component Approvals:

	Procedure	Approved	Motion	Category
government partners of AFIs and SVs	interview	Mon Nov 3 00:00:00 PST 2014	Exempt - Determination	Exempt Category: <ul style="list-style-type: none">• 2 - Adult Interviews and Surveys Exemption
older adults	survey	Mon Nov 3 00:00:00 PST 2014	Exempt - Determination	Exempt Category: <ul style="list-style-type: none">• 2 - Adult Interviews and Surveys Exemption

SV and AFI
representatives

Procedure	Approved	Motion	Category
interview	Mon Nov 3 00:00:00 PST 2014	Exempt - Determination	Exempt Category: <ul style="list-style-type: none">• 2 - Adult Interviews and Surveys Exemptio n

Assurance
number: FWA00003425

IRB number: IRB00000051

Administrator: Jim Tebow

The HSPC is RAND's Institutional Review Board to review research involving human subjects, as required by federal regulations. RAND's "Federalwide Assurance for the Protection of Human Subjects" (FWA00003425, effective through July 1, 2018, at <http://intranet.rand.org/groups/hspc/fwa.pdf>) serves as our assurance of compliance with the regulations of 16 federal departments and agencies. According to this assurance, the Committee is responsible for review regardless of source of funding.