Please retain a copy of the completed Quality Improvement Strategy (QIS) Implementation Plan form so that it is available for future use for reporting on activities conducted to implement the QIS. For detailed instructions, please refer to the QIS Technical Guidance and User Guide for the 2017 Coverage Year.

QIS Submission Type

Part A. New or Continuing QIS Submission

This field is required, but will not be scored as part of the QIS evaluation.

1. Type of QIS Submission

Select the option that describes the type of QIS submission, and follow the instructions to complete the submission.

Type of QIS	Instructions
☐ New QIS¹ with No Previous QIS Submission	Complete the Background Information Section (Parts B and C) and the Implementation Plan Section (Parts D and E).
New QIS after Discontinuing a QIS Submitted during the Qualified Health Plan (QHP) Application Period ²	Must complete two forms: 1. Complete a form to close out the discontinued QIS, including the Background Information Section (Parts B and C); Implementation Plan Section (Parts D and E), with the discontinued QIS information; and Progress Report Section (Part F); AND 2. Complete a new/separate form to submit the new QIS, including the Background Information Section (Parts B and C) and the Implementation Plan Section (Parts D and E).
☐ Continuing a QIS with No Modifications	Complete the Background Information Section (Parts B and C), Implementation Plan Section (Parts D and E), and the Progress Report Section (Part F).
☐ Continuing a QIS with Modifications³	Complete the Background Information Section (Parts B and C); Implementation Plan Section (Parts D and E); and the Progress Report Section (Part F).

¹ A "new QIS" is defined as a QIS that has not been previously submitted to a Marketplace, or is a QIS that is based upon a different market-based incentive(s) and/or topic area(s) than the issuer's previous QIS.

² A new QIS is required if an issuer: changes its QIS market-based incentive type or sub-type, changes its QIS topic area, reaches one or more of its QIS performance targets, the QIS is not having the expected impact, or the QIS results in negative outcomes or unintended consequences.

³ An issuer may continue with an existing QIS even if it changes the following: QIS activities, QIS goals, and/or QIS measures.

Background Information

Part B. Issuer Information

These fields are required, but will not be scored as part of the QIS evaluation.

2. Issuer Legal Name	3. Company Legal Name	
4. HIOS Issuer ID	5. Issuer State	
6. QIS Primary Contact's First Name	QIS Primary Contact's Last Name	
,		
7. QIS Primary Contact's Title	8. QIS Primary Contact's Phone	Ext.
9. QIS Primary Contact's Email		
10. QIS Secondary Contact's First Name	QIS Secondary Contact's Last Name	
11. QIS Secondary Contact's Title	12. QIS Secondary Contact's Phone	Ext.
13. QIS Secondary Contact's Email		
14. Date Issuer Began Offering Coverage Th	rough the Marketplace	
	Tough the marketplace	

15. Current Payment Model(s) Description

Select the category(ies)⁴ of payment models that are used by the issuer across its Marketplace product line. If "Fee for Service – Linked to Quality or Value" AND/OR "Alternative Payment Models Built upon Fee for Service Architecture" is checked, provide the percentage of payments tied to quality or value.

Payment Model Type	Payment Model Description
☐ Fee for Service – No Link to Quality or Value	Payments are based on volume of services and not linked to quality or efficiency.
☐ Fee for Service – Linked to Quality or Value	At least a portion of payments vary based on the quality or efficiency of health care delivery.
☐ Alternative Payment Models Built upon Fee for Service Architecture	Some payment is linked to the effective management of a population or an episode of care. Payments still are triggered by delivery of services, but there are opportunities for shared savings or two-sided risk.
☐ Population-based Payment	Payment is not directly triggered by service delivery, so volume is not linked to payment. Clinicians and organizations are paid and responsible for the care of a beneficiary for a long period (e.g., more than one year).
Provide percentage of payments:	
Percentage of Fee for Service payme	nts linked to quality or value: %

Percentage of payments tied to quality or value through alternative payment models:

⁴ Categories of payment models are defined in Rajkumar R, Conway PH, and Tavenner M. CMS— Engaging Multiple Payers in Payment Reform. JAMA. 311:19. See the *QIS Technical Guidance and User Guide for the 2017 Coverage Year* for examples of payment models within each category.

Part C. Data Sources Used for Goal Identification and Monitoring Progress

These fields are required, but will not be scored as part of the QIS evaluation.

16. Data Sources

Indicate the data sources used for identifying QHP enrollee population needs and supporting the QIS rationale (Element 22). Check all that apply.

Data Sources	
☐ Internal issuer enrollee data	
☐ Medical records	
☐ Claim files	
☐ Surveys (enrollee, beneficiary satisfaction, other)	
☐ Plan data (complaints, appeals, customer service, other)	
Registries	
☐ Census data	
Specify Type [e.g., block, tract, ZIP Code]:	
☐ Area Health Resource File (AHRF)	
☐ All-payer claims data	
☐ State health department population data	
Regional collaborative health data	
☐ Other	
If you checked "Other," please describe. Do not include company identifying information in you source description.	r data
(100 character limit)	

QIS Implementation Plan Section

Part D. QIS Summary

	These fields are re	equired, but	will not be scored	as part of the	OIS evaluation.
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17.	QIS Title
	Provide a short title for the QIS.
	(200 character limit)
18.	QIS Description
	Provide a brief summary description of the QIS. The description must include the market-based incentive type and topic area.
	(1,000 character limit)
	Is the QIS described above part of a mandatory state initiative?
	☐ Yes ☐ No
	Is the QIS submission ⁵ a strategy that the issuer currently has in place for its Marketplace product line and/or for other product lines?
	☐ Yes ☐ No

⁵ Issuers may use existing strategies employed in non-Marketplace product lines (e.g., Medicaid, commercial) if the existing strategies are relevant to their QHP enrollee populations and meet the QIS requirements and criteria.

If "yes" was checked for either/both of the above, please describe the state initiative and/or current issuer strategy.
(1,000 character limit)
Describe the overall goal(s) of the QIS (no more than two).
Note: Measures described in Element 24 should be linked to these goals.
QIS Goal 1:
(500 character limit)
QIS Goal 2:
(500 character limit)

Part E. QIS Requirements

The Elements in Part E will be scored as part of the QIS evaluation. All elements must receive a "meets" score during the QIS evaluation. If any elements are scored as "does not meet" in the QIS evaluation, the issuer must revise those elements and resubmit its Implementation Plan for re-review.

19. Market-based Incentive Type(s) (Must Pass)

Select the type and sub-type of market-based incentive(s) the QIS includes. Check all that apply. If either "In-kind incentives" or "Other provider market-based incentives" is selected, provide a brief description in the space provided.

Prov	vider Market-based Incentives:
	Increased reimbursement
	Bonus payment
	In-kind incentives (Provide a description in the space below.) (500 character limit)
	Other provider market-based incentives (Provide a description in the space below.) (500 character limit)
Enro	ollee Market-based Incentives:
	Premium credit
	Co-payment reduction or waiver
	Co-insurance reduction
	Cash or cash equivalents
	Other enrollee market-based incentives (Provide a description in the space below.) (500 character limit)

20. Topic Area Selection (Must Pass)

Select the topic area(s) this QIS addresses, as defined in the Affordable Care Act. 6 Check each topic area that applies.

QIS Topic Area	Example Activities Cited in the Affordable Care Act
☐ Improve health outcomes	 Quality reporting Effective case management Care coordination Chronic disease management Medication and care compliance initiatives
☐ Prevent hospital readmissions	 Comprehensive program for hospital discharge that includes: Patient-centered education and counseling Comprehensive discharge planning Post-discharge reinforcement by an appropriate health care professional
☐ Improve patient safety and reduce medical errors	 Appropriate use of best clinical practices Evidence-based medicine Health information technology
☐ Implement wellness and health promotion activities	 Smoking cessation Weight management Stress management Healthy lifestyle support Diabetes prevention
☐ Reduce health and health care disparities	Language servicesCommunity outreachCultural competency trainings

⁶ Implementation of wellness and health promotion activities are cited in Section 2717(b) of the Affordable Care Act. All other activities are cited in Section 1311(g)(1) of the Affordable Care Act.

22.

21. Targets All Health Plans Offered Through a Marketplace (Must Pass)

21a.	Indicate if this QIS is applicable to <u>all</u> QHPs y Marketplaces, or to a subset of QHPs.	ou offer or are applying to offer through the
	☐ All QHPs	
	☐ Subset of QHPs*	
	* If "Subset of QHPs" was selected above, ar and E of this form) must be submitted for QH	n additional QIS Implementation Plan(s) (Parts D Ps not covered by this QIS.
	If "Subset of QHPs" was selected above, pleasubmitted: This is form of	ase indicate the number of forms that will be
21b.		red by the QIS by listing each plan's unique 14- [SCID]). Indicate if each one is a new or existing s covered by the QIS on page 24.
	HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
	HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
	HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
21c.	Select the relevant product types to which the	e QIS applies. Check all that apply.
	☐ Health Maintenance Organization (HMO)
	☐ Point of Service (POS)	
	☐ Preferred Provider Organization (P	PO)
	☐ Exclusive Provider Organization (E	PO)
	☐ Indemnity	
	onale for QIS (Must Pass)	
	de a rationale for the QIS that describes how t lee population(s).	the QIS will address the needs of the current QHP
(1,00	0 character limit)	

23. Activity(ies) that Will Be Conducted to Implement the QIS (Must Pass) 23a. List the activities that will be implemented to achieve the identified goals. (1,000 character limit) 23b. Describe how the activities relate to the selected market-based incentive (see Element 19). (1,000 character limit) 23c. Describe how the activities relate to the topic area(s) selected (see Element 20). (1,000 character limit) 23d. If health and health care disparities was not chosen as a selected topic area in Element 20, does the OIS include any activities related to addressing health and health care disparities? If yes, describe the activities below. If (1) health and health care disparities is one of the topic areas selected in Element 20; OR (2) health and health care disparities are not addressed in this QIS, check \(\square\) Not Applicable. (1,000 character limit)

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24.	Goal	(s), Measure(s), and Performance Target(s) to Monitor QIS Progress (Must Pass)
	Resta	ate the goal(s) identified in the QIS description (see Element 18).
	QIS (Goal 1:
	(500	character limit)
		nis goal, identify at least one (but no more than two) primary measure(s) used to track progress ust the goal.
	24a.	Measure 1a
		Measure 1a Name:
		Provide a narrative description of the measure numerator and denominator. (500 character limit)
		Is this a National Quality Forum (NQF)-endorsed measure? Yes No
		If yes, provide 4-digit ID number: If no, check I Not Applicable
		Is the NQF-endorsed measure used without modification to the measure specification?
		☐ Yes ☐ No ☐ Not Applicable
	24b.	Describe how [Measure 1a] supports the tracking of performance related to [Goal 1].
		(1,000 character limit)

24c.	Baseline Assessment. Provide the baseline results, including the rate and associated numerator and denominator, if applicable. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.
	Rate or other data point (e.g., count, ratio, proportion):
	Numerator:
	Denominator:
24d.	Performance period (i.e., month and year when data collection began and ended) covered by the baseline data assessment:
24e.	Provide numerical value performance target for this measure:
24a.	Measure 1b
	Measure 1b Name:
	Provide a narrative description of the measure numerator and denominator. (500 character limit)
	Is this a National Quality Forum (NQF)-endorsed measure? Yes No
	If yes, provide 4-digit ID number:
	Is the NQF-endorsed measure used without modification to the measure specification?
	☐ Yes ☐ No ☐ Not Applicable
24b.	Describe how [Measure 1b] supports the tracking of performance related to [Goal 1].
	(1,000 character limit)

	Baseline Assessment. Provide the baseline results, including the rate and associated numerator and denominator, if applicable. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.
	Rate or other data point (e.g., count, ratio, proportion):
	Numerator:
	Denominator:
24d.	Performance period (i.e., month and year when data collection began and ended) covered by the baseline data assessment:
24e.	Provide numerical value performance target for this measure:
QIS (Goal 2:
(500	character limit)
	nis goal, identify at least one (but no more than two) primary measure(s) used to track progress ast the goal.
	nst the goal.
agair	nst the goal.
agair	nst the goal. Measure 2a
agair	Measure 2a Measure 2a Name: Provide a narrative description of the measure numerator and denominator.
agair	Measure 2a Measure 2a Name: Provide a narrative description of the measure numerator and denominator.
agair	Measure 2a Measure 2a Name: Provide a narrative description of the measure numerator and denominator. (500 character limit)
agair	Measure 2a Measure 2a Name: Provide a narrative description of the measure numerator and denominator. (500 character limit) Is this a National Quality Forum (NQF)-endorsed measure?
agair	Measure 2a Measure 2a Name: Provide a narrative description of the measure numerator and denominator. (500 character limit) Is this a National Quality Forum (NQF)-endorsed measure? Yes No If yes, provide 4-digit ID number: If no, check Not Applicable
agair	Measure 2a Measure 2a Name: Provide a narrative description of the measure numerator and denominator. (500 character limit) Is this a National Quality Forum (NQF)-endorsed measure? Yes No If yes, provide 4-digit ID number: If no, check Not Applicable Is the NQF-endorsed measure used without modification to the measure specification?

24b. Describe how [Measure 2b] supports the tracking of performance related to [Goal 2].

(1,000 character limit)

	24C.	numerator and denominator, if applicable. If the measure is not a rate but ano enter the number in the space provided for numerator and enter "1" in the space denominator.	ther data point,
		Rate or other data point (e.g., count, ratio, proportion):	
		Numerator:	
		Denominator:	
		Performance period (i.e., month and year when data collection began and end the baseline data assessment: Provide numerical value performance target for this measure:	ded) covered by
25.	Time	line for Implementing the QIS	
	25a.	QIS Initiation/Start Date: /	
	25b.	Describe the milestone(s) and provide the date(s) for each milestone (e.g., who described in Element 23 will be implemented). At least one milestone is require character limit per milestone)	
		Milestons(e)	Date for
		Milestone(s) 1.	Milestone(s)
		2.	
		3.	
		4.	/
		5.	
		6.	/
		7.	
		8.	
		9.	
		10.	/

26. Risk Assessment

1

QIS Progress Report Section

Part F. Progress Report Summary

The elements in Part F will be scored as part of the QIS evaluation. All elements must receive a "meets" during the QIS evaluation. If any elements are scored as "does not meet" in the QIS evaluation, the issuer must revise its Progress Report and submit it for re-review.

27. Addition of QHPs to the Issuer's QIS	Addition of QHPs to the Issuer's QIS		
27a. Indicate if the issuer is adding any QHPs to the QIS originally listed in 21b.			
☐ Add QHP(s)			
☐ No additional QHP(s)			
27b. If "Add QHP(s)" was selected, list all new QHPs and provide each plan's unique 14-digit HIOS Plan ID (SCID). If no additional QHPs were included, check \square Not Applicable.			
Note: Please list additional health plans cove	red by the QIS on page 25.		
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)		
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)		
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)		
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)		
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)		
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)		
28. QIS Modifications			
28a. If "Continuing a QIS with Modifications" was selected in Part A, Element 1, please indicate what type of modification the issuer is making to its QIS. Check all that apply. Note that modifications only apply to elements in Part D (Implementation Plan). If no modifications are being made, check \subseteq Not Applicable.			
Eleme	Element Being Modified		
☐ Goals			
Performance measure(s)			
☐ Activities			

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If "Continuing a QIS with Modifications" was NOT checked in Part A, Element 1, che Not Applicable.		
		(500 character limit)
29.		vze Progress Using Baseline Data, as Documented in the Implementation Plan t Pass)
	Resta restat	te the goals identified in the Implementation Plan (see Elements 18 and 24). For each goal, e the measure(s) information identified in Element 24, and complete the tables below.
	QIS C	Soal 1:
(500 character limit)		
	Meas	ure 1a:
	29a.	Baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline data assessment:
	29b.	Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress update data assessment:
	29c.	Measure 1a Name:
	29d.	Restate the baseline results, including the rate and associated numerator and denominator, if applicable. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.
		Rate or other data point (e.g., count, ratio, proportion):
		Numerator: Denominator:

29e.	Provide the follow-up results. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.		
	Rate or other data point (e.g., count, ratio, proportion):		
	Numerator:		
	Denominator:		
	Was the performance target (Criterion 24e) achieved?		
	☐ Yes ☐ No		
Meas	sure 1b:		
29a.	Baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline data assessment:		
29b.	Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress update data assessment:		
29c.	Measure 1b Name:		
29d.	Restate the baseline results, including the rate and associated numerator and denominator, if applicable. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.		
	Rate or other data point (e.g., count, ratio, proportion):		
	Numerator:		
	Denominator:		
29e.	Provide the follow-up results. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.		
	Rate or other data point (e.g., count, ratio, proportion):		
	Numerator:		
	Denominator:		
	Was the performance target (Criterion 24e) achieved?		
	☐ Yes ☐ No		

QIS Goal 2:		
(500 character limit)		
Measure 2a:		
29a. Baseline performance period (i.e., month and year when data collection began and ended covered by the baseline data assessment:	i)	
29b. Progress Report performance period (i.e., month and year when data collection began an ended) covered by the progress update data assessment:	d	
29c. Measure 2a Name:		
29d. Restate the baseline results, including the rate and associated numerator and denominate applicable. If the measure is not a rate but another data point, enter the number in the spap provided for numerator and enter "1" in the space for denominator.		
Rate or other data point (e.g., count, ratio, proportion): Numerator: Denominator:		
29e. Provide the follow-up results. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.	;	
Rate or other data point (e.g., count, ratio, proportion): Numerator: Denominator:		
Was the performance target (Criterion 24e) achieved?		
☐ Yes ☐ No		

Measure 2b:

29a.	Baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline data assessment:
29b.	Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress update data assessment:
29c.	Measure 2b Name:
29d.	Restate the baseline results, including the rate and associated numerator and denominator, i applicable. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.
	Rate or other data point (e.g., count, ratio, proportion):
	Numerator: Denominator:
29e.	Provide the follow-up results. If the measure is not a rate but another data point, enter the number in the space provided for numerator and enter "1" in the space for denominator.
	Rate or other data point (e.g., count, ratio, proportion):
	Numerator:
	Denominator:
	Was the performance target (Criterion 24e) achieved?
	☐ Yes ☐ No

if

30. Summary of Progress (Must Pass)

☐ Yes

(1,500 character limit)

☐ No

If "Yes," describe the problems in meeting timelines.

Indicate why progress was or was not made toward the performance target(s) documented in Element 24. Include a description of activities that led to the outcome. If modifications were checked in Criterion 28a, indicate whether the information provided here affects the decision to modify or change the QIS: (1,500 character limit) 31. Barriers 31a. Were barriers encountered in implementing the QIS? Yes □ No If "Yes," describe the barriers. (1,500 character limit) 31b. Were there problems meeting timelines as indicated in Element 25?

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32. Mitigation Activities			
If "Yes" was selected in 31a or 31b, describe the mitigation activities implemented to address each barrier or problem in meeting the timeline. Also, describe the result(s) of the mitigation activities.			
If "No" was selected in 31a and 31b, check 🔲 Not Applicable.			
(1,500 character limit)			

Criterion 21b continued

In the space provided, place specify any additional health plans (outside of those already listed in
Criterion 21b) covered by the QIS by listing each plan's unique 14-digit HIOS Plan ID (Standard
Component ID [SCID]). Indicate if each one is a new or existing health plan.

HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan
HIOS Plan ID (SCID)	☐ New Health Plan ☐ Existing Health Plan

Criterion 27b continued

In the space provided, place specify any additional health plans (outside of those already listed in Criterion 27b) covered by the QIS by listing each plan's unique 14-digit HIOS Plan ID (Standard Component ID [SCID]).

HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	
HIOS Plan ID (SCID)	HIOS Plan ID (SCID)	