

**ATTACHMENT A: YPDP PARTICIPANT TRACKING SYSTEM
SPECIFICATIONS**

Young Parents Demonstration Project Participant Tracking System Security Plan

To conduct random assignment of individuals for the evaluation, information on participants in YPD programs will be entered into the internet-based Participant Tracking System (PTS) designed by the evaluation team (Urban Institute) and Capital Research Corporation. Data entered into the PTS will be subject to the highest standards of privacy. The data system and security provisions have been reviewed and approved by the Urban Institute's IRB.

Database Platform. All data will be stored on The Urban Institutes' (UI) secure firewall protected server. The server will be physically located in UI's server room in Washington, DC. YPD files with sensitive information (files with private data) will be physically segregated from other files with a separate backup procedure for the sensitive YPD data. Backup media will be stored at The Urban Institute's Washington, DC office and access to backups will be restricted to the authorized Urban Institute server administrator. All backup media (cartridge/diskette/tapes etc.) containing sensitive YPD data will be stored in a locked file cabinet in the Institute's secure server room.

The database software will be MySQL (current version 5.1.36). When stored, all project files containing sensitive data will be encrypted using PGP software, an encryption tool for encrypting storage media and for creating encrypted compressed files.

Application Server Platform. The web application will reside on a standard Windows server running a web service such as IIS or Apache that will be physically located in a monitored access controlled secure server room at The Urban Institute in Washington DC. The web server has been hardened using a best practice security hardening checklist. The web server will be dedicated to the YPD MIS application such that no applications, information, or data pertaining to other projects will be stored on this server. Administrator access to the database server will be restricted to an authorized Urban Institute server administrator. Accounts on the web server will be password protected. Passwords will be at least 8 characters long and contain at least 1 special character and number and will not contain dictionary words. These requirements are enforced upon account creation. Passwords will be expired every 90 days and users will have to create new passwords that fulfill the requirement of the password policy. A dedicated Secure Site License (SSL) will be employed for this project and all non-encrypted access to the MIS application will be restricted. Any logging or output files will not contain sensitive data and will be limited to generic system and error data.

A dedicated Secure Site License (SSL) will be employed for this project and all non-encrypted access to the MIS application will be restricted. All data transmitted from the grantee sites to the application server will take place over the encrypted SSL connection. Data extracts for use by the project team will be available in files encrypted with the PGP software and available to project team members on the Urban Institute's SecureFTP (SFTP) site.

The system will segregate user data into sensitive data, user identifiable data and project specific data. Sensitive data such as social security numbers will be stored in a separate database table containing a system generated ID number with the social security number stored in encrypted form. Sensitive data will be entered into the system but will at no point be displayed or downloadable by users of the system. User identifiable data will be stored separately from project specific data and will be available for updating only by the grantee that originally entered the data. Project specific data will be available to the project team in specific extracts and reports.

Data Transfer. All storage media (cartridge/diskette/tapes etc.) containing sensitive YPD data will be stored in a locked file cabinet in the locked office of the Urban Institute project staff. All storage media containing sensitive data will be labeled accordingly.

Before data transfer, sensitive data elements will be removed from the data files and put on a separate file that will include an internally created identifier. The YPD evaluation data can be linked to the sensitive data using this identifier. The sensitive data elements and internally created identifier will be stored separate from the data in a restricted access secure location. Access to the sensitive data extracts will be restricted to The Urban Institute database administrator.

No single data transfer will include both evaluation data and sensitive data linking file. All transferred data files will be password protected and at no time will the password and data be transferred at the same time. Password protection will be implemented through compressing the file, encrypting the data, or both. Passwords will be transmitted by phone. Any data transfers that include sensitive data will be sent via courier or via secure mail (such as FedEx or other tracked mail) and a signature of a designated party will be required on receipt.

YPD project staff will be asked to sign a data security form before access to the system or the data can be granted. No project staff will be allowed to print out or display sensitive data elements; however, designated grantee program staff will be able to access all the information on their program participants.

YPD PARTICIPANT TRACKING SYSTEM (PTS)
Pre-Test and Grantee Training Version

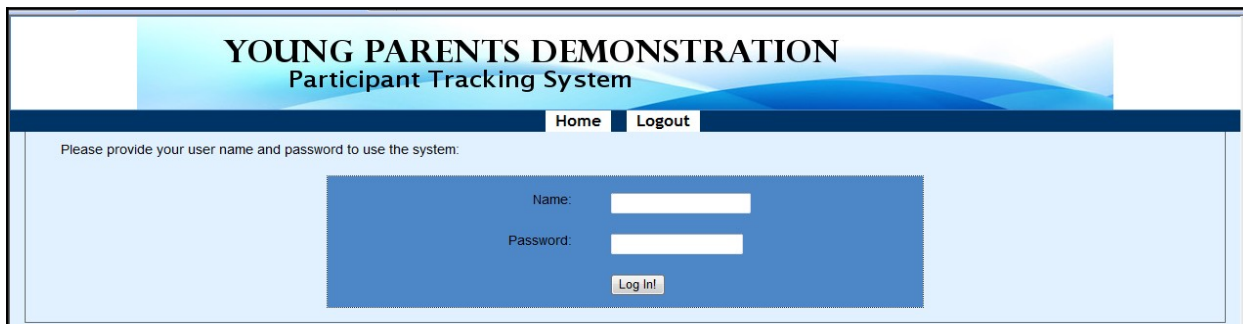
The YPD Participant Tracking System (PTS) is a web-based data management tool that facilitates the overall evaluation of YPD. The PTS is tailored specifically to assist you to collect data during all phases of the YPD demonstration. It will allow you to:

- Enter participants' information into the system;
- Edit participants' records;
- Randomly assign participants into "bump-up" and control groups;
- Track participants' receipt of services;
- Record participants' outcomes at program exit; and
- Record participants' follow-up outcomes.

This section of the random assignment manual will provide you with step-by-step instructions for using each of the features of the YPD PTS.

Logging in to the PTS

In order to use the PTS, you must have access to the internet. To login, open your web browser using Internet Explorer or Mozilla Firefox and go to <https://ypdpts.lewin.com>. It may be helpful to bookmark this website in your "Favorites" or create a desktop icon to use as a shortcut. Either of these methods will allow you to readily access the website at any time. At the login screen, shown below, please enter the username and password provided to you by the research team and click the "login" button.



The screenshot shows the login interface for the YPD Participant Tracking System. At the top, the title "YOUNG PARENTS DEMONSTRATION Participant Tracking System" is displayed in a blue header. Below the title, there are two navigation links: "Home" and "Logout". A message prompts the user: "Please provide your user name and password to use the system:". The login form consists of two input fields: "Name:" and "Password:", each with a white text box. Below the password field is a "Log In!" button.

After log in, you can change the password by clicking **Change My Password**.

YOUNG PARENTS DEMONSTRATION Participant Tracking System

[Home](#) [Participant Information](#) [Random Assignment](#) [Services](#) [Exit Outcomes](#) [Follow Up Outcomes](#)

System Status Report

Grantee	Unassigned	Control	Bump Up	Control (NS)	Bump Up (NS)	Total
Lancaster YPD	1	12	6	3	2	24

[Reports](#)

[Change My Password](#)

After you have entered your new password, click **Change My Password** button, then you can use your new password to log in the system.

[Home](#) [Participant Information](#) [Random Assignment](#) [Services](#) [Exit Outcomes](#) [Follow Up Outcomes](#) [Logout](#)

Change My Password

Please Enter Your Current Password:

Please enter your new password:

Minimum number of characters is 8

Please re-enter your new password:

Minimum number of characters is 8

Creating New Participant Profiles

Once you have logged on to the PTS, you will be immediately taken to the screen below:

YOUNG PARENTS DEMONSTRATION
Participant Tracking System

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

Wednesday 02nd of December 2
Welcome! You are currently logge

System Status Report

Grantee	Unassigned	Control	Bump Up	Control (NS)	Bump Up (NS)	Total
Grantee 1	7	12	9	3	4	35

Reports
Change My Password

Using the information provided in the registration and intake forms, you will need to create a profile for each participant. Here is how to do it:

- In the dark blue header at the top of the screen, place your mouse over **"Participant Information,"** and click on it.

YOUNG PARENTS DEMONSTRATION
Participant Tracking System

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

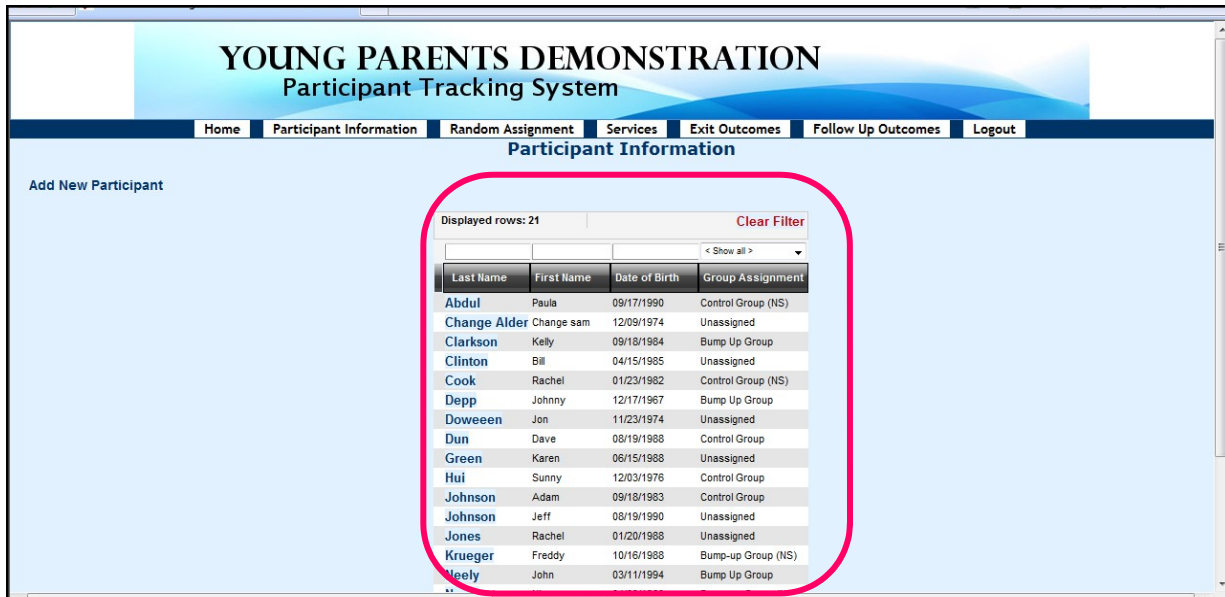
Wednesday 02nd of December 2
Welcome! You are currently logge

System Status Report

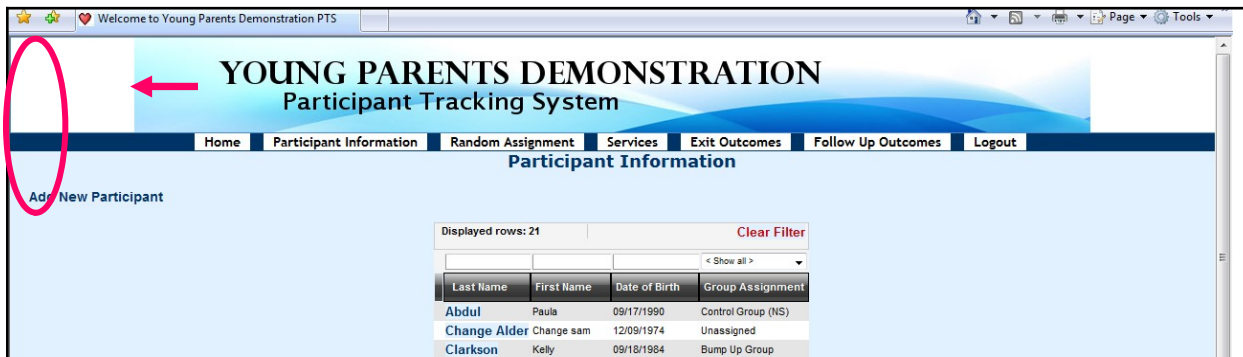
Grantee	Unassigned	Control	Bump Up	Control (NS)	Bump Up (NS)	Total
Grantee 1	7	12	9	3	4	35

Reports
Change My Password

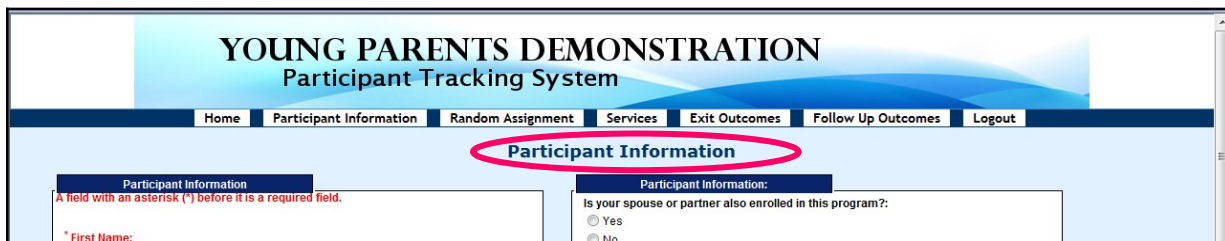
- At the center of the screen, you will see all the participants that have already been enrolled into the system, including their last name, first name, date of birth, and the group they have been assigned to. If they have not been assigned to any group yet, it will show as "unassigned".



- To enroll a new participant, click the **“Add New Participant”** button located in the left corner of the screen.



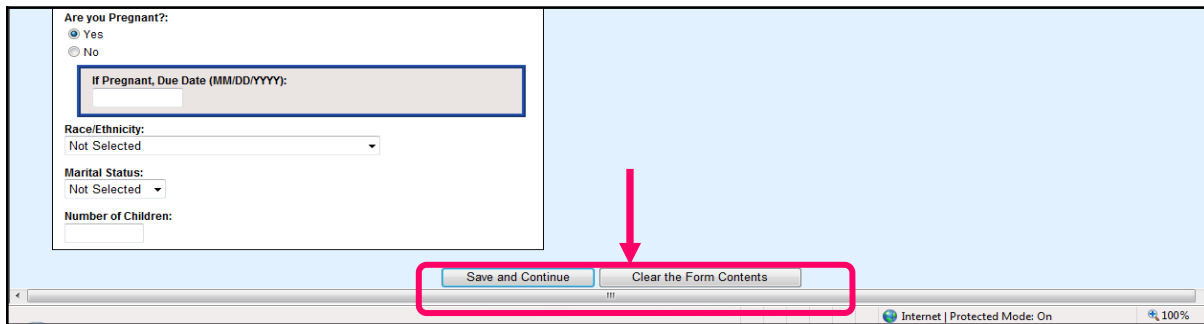
- Then, you will see the screen called **Participant Information**.



- You will be asked to enter (fields with **“*”** are required for random assignment):
 - o The participant’s first and last name*
 - o The participant’s date of birth*
 - o The participant’s social security number *
 - o The date the intake form was completed

- o The site at which the participant was enrolled (Little Havana or Homestead)
- o The participant's address, including street address, apartment number, city, state (choose from the drop-down menu), and zip code
- o The participant's phone numbers (home, work, cell or other phone) and e-mail address
- o The participant's gender
- o If the participant is an expectant parent at the time of enrollment into the YPD program
- o If the participant is an expectant parent, the due date (enter in the pop-up box)
- o The participant's ethnicity (select whether the participant is Hispanic/Latino by choosing 'yes' or 'no')
- o The participant's race (check all that apply)
- o The participant's marital status (choose from the drop-down menu)
- o The participant's number of children
- o Whether the spouse or partner of the participant is also enrolled in the program (spouses and partners will be assigned to the same group)*
- o If the participant's spouse or partner is also enrolled in the program, his/her name (choose from the drop-down menu).
- o Whether the participant is currently receiving TANF
- o Whether the participant is currently receiving SNAP/Food Stamps
- o The participant's employment status at the time of enrollment into the YPD program (choose from the drop-down menu)
- o If the participant is employed, the number of hours per week he/she works
- o If the participant is employed, the hourly wage he/she receives
- o The participant's school status at the time of enrollment into the YPD program

- o Highest level of education the participant has completed at the time of enrollment into the YPD program (choose from the drop-down menu)
- o Degrees or certificates the participant has received at the time of enrollment into the YPD program (check all that apply)
- After you have completed the participant information, you can click **Save and Continue** button at the bottom of the page to save all the information entered or click **Clear the Form Contents** to clear and reenter the participant information, and then click the **Save and Continue** button.



The screenshot shows a web form with the following fields: 'Are you Pregnant?' with radio buttons for 'Yes' (selected) and 'No'; 'If Pregnant, Due Date (MM/DD/YYYY):' with a text input field; 'Race/Ethnicity:' with a dropdown menu showing 'Not Selected'; 'Marital Status:' with a dropdown menu showing 'Not Selected'; and 'Number of Children:' with a text input field. At the bottom of the form, two buttons are visible: 'Save and Continue' and 'Clear the Form Contents'. A red arrow points to the 'Clear the Form Contents' button, and a red box highlights both buttons. The browser's address bar shows 'Internet | Protected Mode: On' and the zoom level is '100%'.

NOTE: Please fill in all of the fields you have information for. However, only the fields marked with a red asterisk are required fields, which must be completed in order to save the record or to proceed to the next section.

- Next, you will see a screen called **Alternative Contacts**, you will be asked to provide up to three alternative contacts for the participant. These alternative contacts are intended to facilitate locating YPD participants for follow-up data to be collected after the time of exit. For each alternative contact, you will be asked to provide their first and last names, their relationships to the participant, their addresses, e-mail addresses, and up to three phone numbers.

YOUNG PARENTS DEMONSTRATION Participant Tracking System

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

Alternative Contact

Alternative Contact

First Name :

Last Name:

Relationship to Participant:

Street Address:

Apt. #/Address 2:

City:

State:

Zip Code:

Alternative Contact Two:

First Name :

Last Name:

Relationship to Participant:

Street Address:

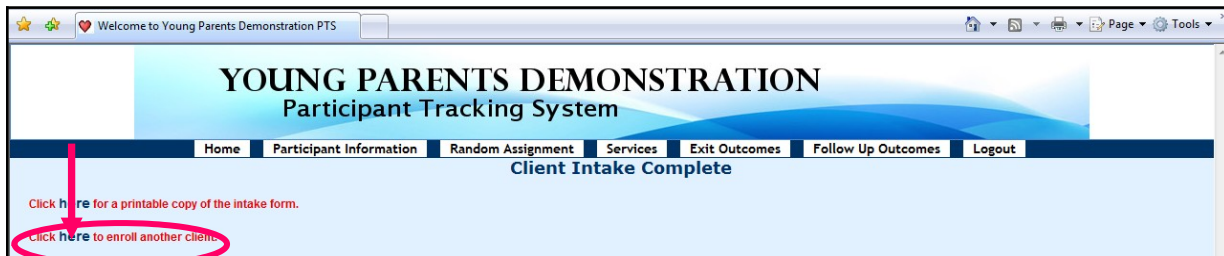
Apt. #/Address 2:

City:

State:

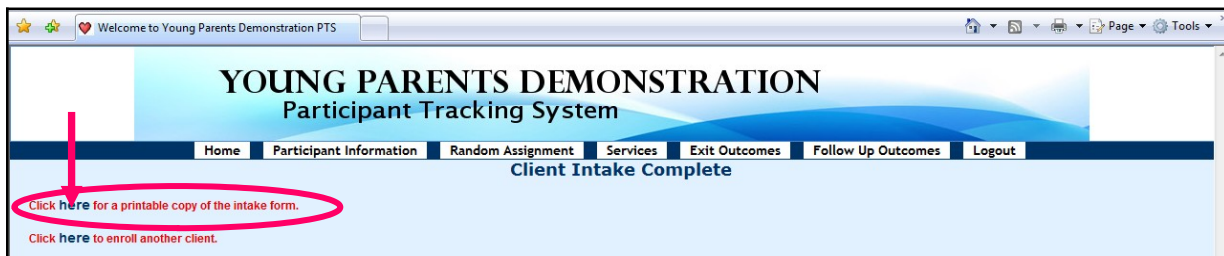
Zip Code:

- After you have finished entering the Alternative Contacts, click the **Save and Continue** button at the bottom of the page, or click the **Clear the Form Contents** to clear and re-enter the alternative contact information, and then click the **Save and Continue** button.
- When the intake process is completed, on the screen called **Client Intake Complete**, you can also click on the blue-colored “**here**” on the second red line (i.e., “Click here to enroll another client”) to enroll another participant. You may also enroll another participant by clicking on the Participant Information tab in the header to repeat the same process described in this section.



Print Participant’s Intake Form

Any intake information that is entered into the PTS for a participant can be printed. When the intake process is completed, on the screen called **Client Intake Complete**, click on the blue-colored “**here**” on the top red line (i.e., “click here for a printable copy of the intake form”).



Then, print the page titled **Client Details Entry Form** for your record. The social security number is masked for security purpose.

Client Details Entry Form

Participant Information

First Name: Bill
Last Name: Clinton
Birthday (mm/dd/yyyy): Apr 15, 1965
Social Security Number: ###-##-####
Date Completed:
Street Address:
Apt. #/Address 2:
City: Falls Church

Incomplete Records

If the participant's intake process is not completed, the record would show up on a listing at the left corner side of the home page, which indicates that the participant has not yet been enrolled in YPD.

YOUNG PARENTS DEMONSTRATION
Participant Tracking System

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

Thursday 05th of November 2009 10:37:19 AM
Welcome! You are currently logged in as lewin_admin.

System Status Report

Grantee	Unassigned	Control	Bump Up	Control (NS)	Bump Up (NS)	Total
Grantee 1	7	4	4	3	1	19
Grantee 2	1	0	2	0	1	4
Program Total	8	4	6	3	2	23

Grantee Details
Reports
System Status
Administer the MIS
User Administration Section
Change My Password

The following participants have not completed the intake process and need further information.

Incomplete Records		
Joe Como	Delete This Incomplete Record	(lewin_admin)
Bethanie Jones	Delete This Incomplete Record	(lewin_admin)

- To complete the participant's intake process, place your mouse over the name of the participant on the left side and click on it.

The following participants have not completed the intake process and need further information.

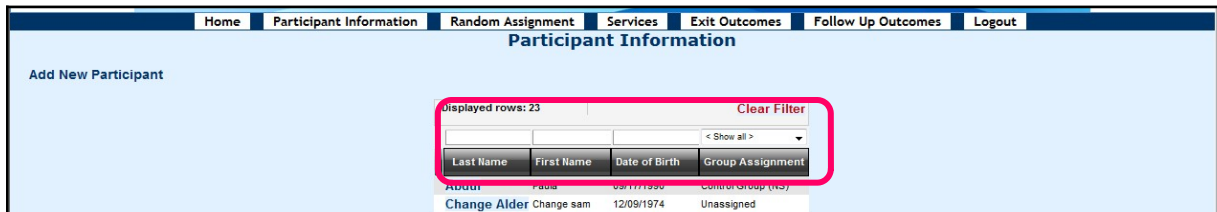
Incomplete Records		
Joe Como	Delete This Incomplete Record	(lewin_admin)
Bethanie Jones	Delete This Incomplete Record	(lewin_admin)

- Then, you will be taken to the participant's intake record and you can add and/or edit data in the participant's record. When the intake process is completed, the participant can be enrolled by following the same procedure as above.

Searching for Participants

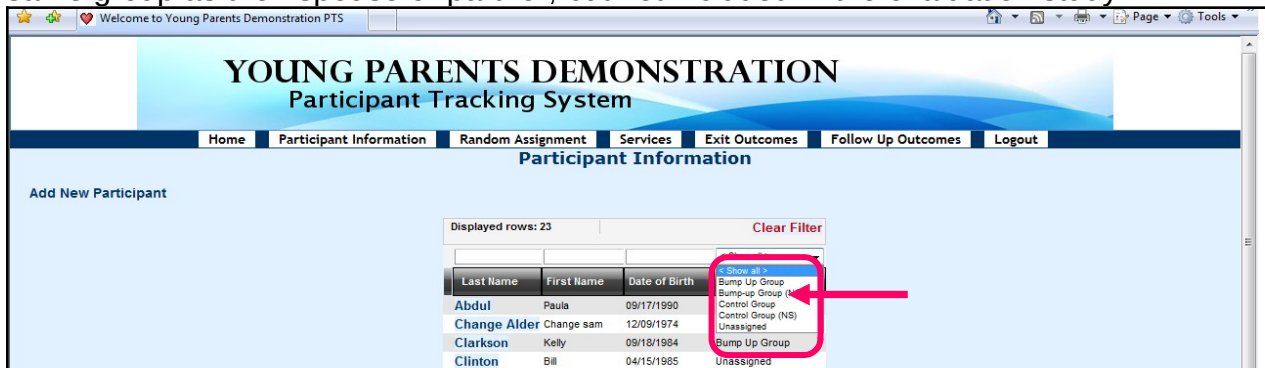
In the PTS, you can also use the search/filter function to check into which group (i.e., bump-up or control group) participants have been enrolled or to find participants. Here is how to do this:

- Go to the “Participant Information” screen (as discussed above)
- At the center of this screen, on top of “Last Name”, “First Name”, and “Date of Birth,” there is a space for each category, where you can put words to search accordingly, and it will display all the participants according to that category.

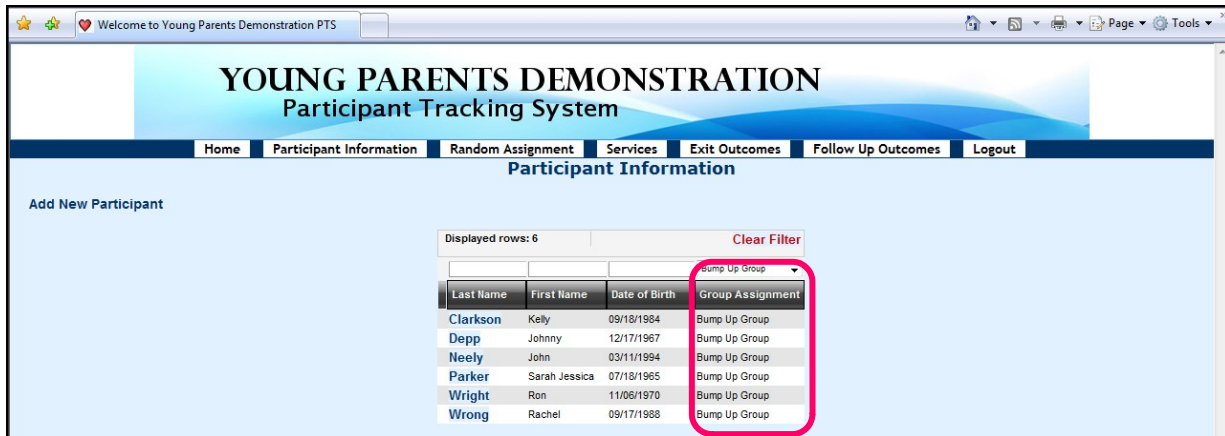


- To list all the participants randomly assigned to different groups, in the space on top of the “Group Assignment,” you can choose any one of the categories in the drop-down box, including “Bump-up Group”, “Bump-up Group (NS)”, “Control Group”, “Control Group (NS)”, and “Unassigned”.

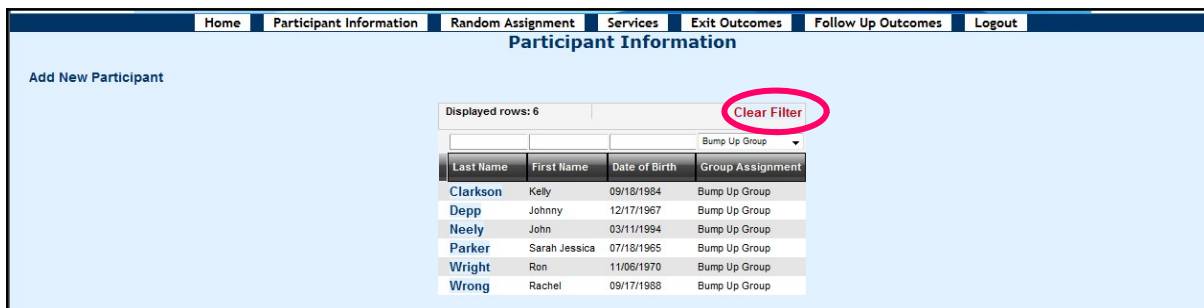
Note: Participants in “Bump-up Group (NS)” or “Control Group (NS)” refer to the spouse or partner of other participants already enrolled in the study. They are assigned to the same group as their spouse or partner, but not included in the evaluation study.



- Then, it will display all the participants in the group you have selected. You can choose to print all these participants for record.



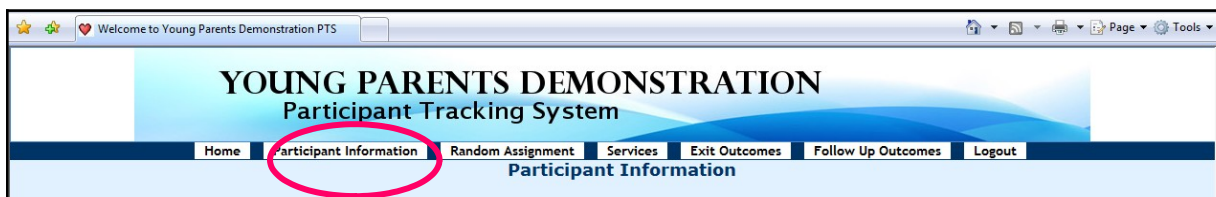
- To remove the filter, click the red-colored “Clear Filter” on the top.



Editing Participant's Information

Any information that is entered into the PTS for a participant can be edited (except for social security number and the partner name the participant is linked to). Specifically, you may modify data on any participant information item, alternative contact and case narration.

- To modify any of these things, click your mouse on the “Participant Information” tab in the header and click on it.



- At the center of the screen, find the participant you want to edit from the list, and click on his/her last name.

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

Participant Information

Add New Participant

Displayed rows: 23 Clear Filter

< Show all >

Last Name	First Name	Date of Birth	Group Assignment
Abdul	Paula	09/17/1990	Control Group (NS)
Change Alder	Change sam	12/09/1974	Unassigned
Clarkson	Kelly	09/18/1984	Bump Up Group
Clinton	Bill	04/15/1985	Unassigned
Cook	Rachel	01/23/1982	Control Group (NS)
Depp	Johnny	12/17/1967	Bump Up Group
Doween	Jon	11/23/1974	Unassigned

- After reviewing the participant's record, if needed, click the **Edit Participant Information** button to edit data items in the participant's record, or click the **Edit Alternative Contacts** button to edit alternative contacts information.

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

Bill Clinton's Record

Print Client Information

Edit Participant Information **Edit Alternative Contacts**

Participant Information	Alternative Contact
First Name: Bill	First Name:
Last Name: Clinton	Last Name:
Birthday (mm/dd/yyyy): Apr 15, 1985 (24 years old)	Relationship to Participant:
Social Security Number: ###-##-####	Street Address:

- When you are done, be sure to click **"Edit This Information"** to save the modified information.

⊙ No

Race/Ethnicity:
Not Selected

Marital Status:
Not Selected

Number of Children:
0

Edit This Information

Done. Internet | Protected Mode: On 100%

- In the box called **Case Narration** at the left bottom part of the screen, you can write any information in narrative form about this participant and click **Update Participant Info** button to save the edit the information.

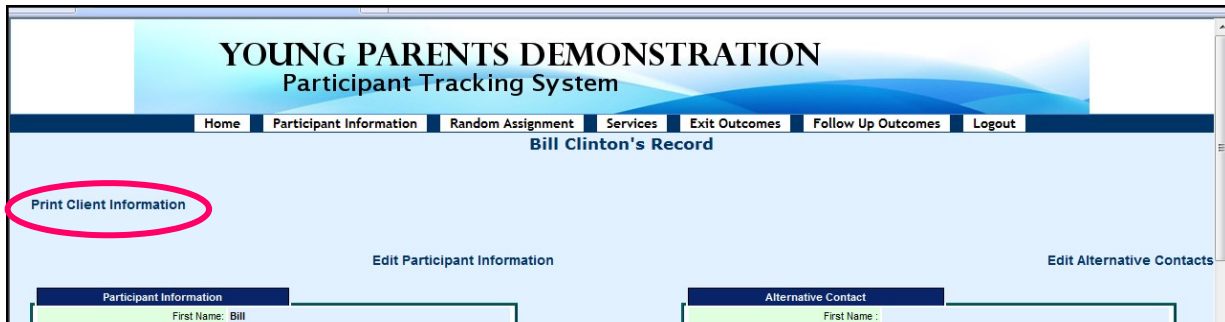
School Status at Program Entry: Highest Level of Education Completed: Not Selected	Street Address:
Degrees or Certificates Received (Please Check All That Apply):	Apt. #/Address 2:
	City:
	State: Not Selected
	Zip Code:
	Home Phone: (224) 547-6869
	Work Phone: No Phone Number.
	Cell or Other Phone: No Phone Number.
	Email:

Case Narration:

This is a unique case. (October 29, 2009, 3:48 pm by lewin_admin)

Update Participant Info

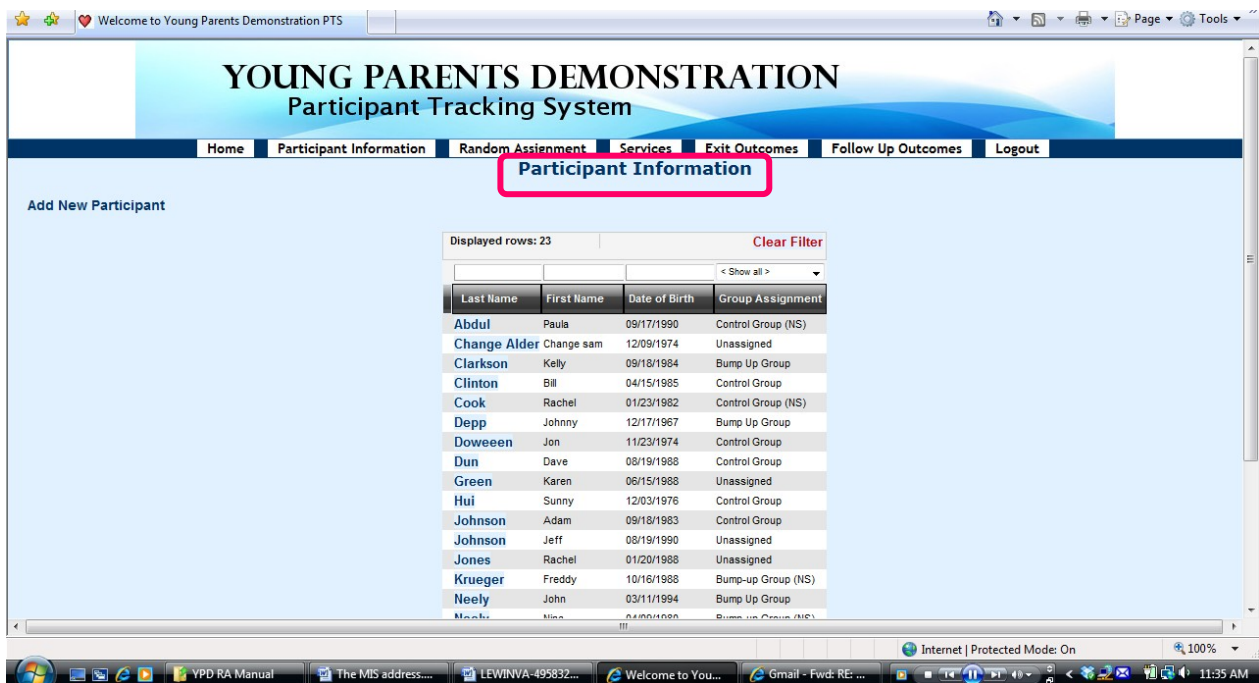
- You can print the participant's intake information from this screen by clicking **Print Client Information** button on the left top corner of the screen.



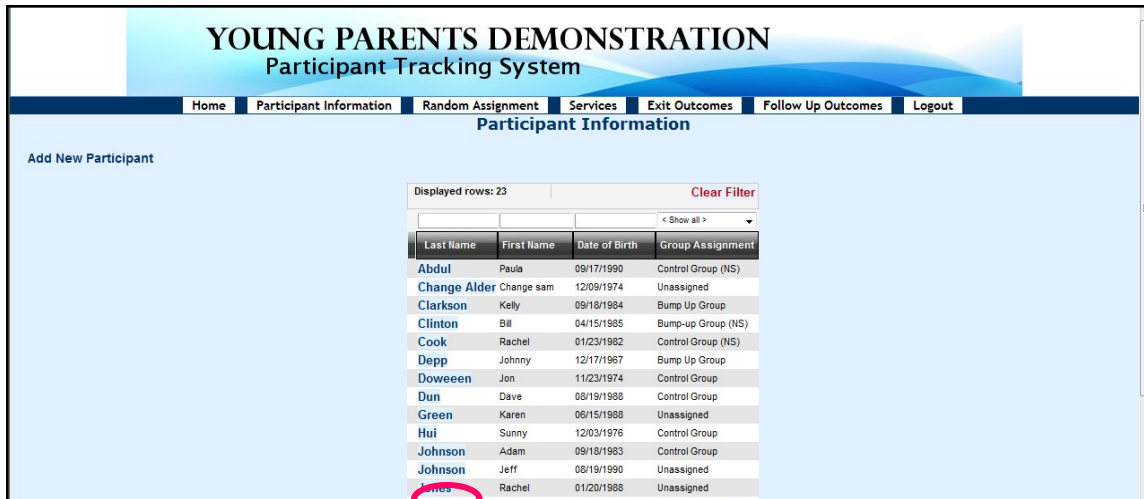
Linking Participants

So that spouses or partners do not end up in different groups, you can link them together in the PTS so that they will be in the same group. If a participant's spouse or partner has already been enrolled in the program when he/she is enrolled, you can link this new participant with his/her spouse or partner in the PTS, and the system will assign them to the same group. You can also link them together and randomly assign them at the same time—and they will both be in the same group. Here is how to do it:

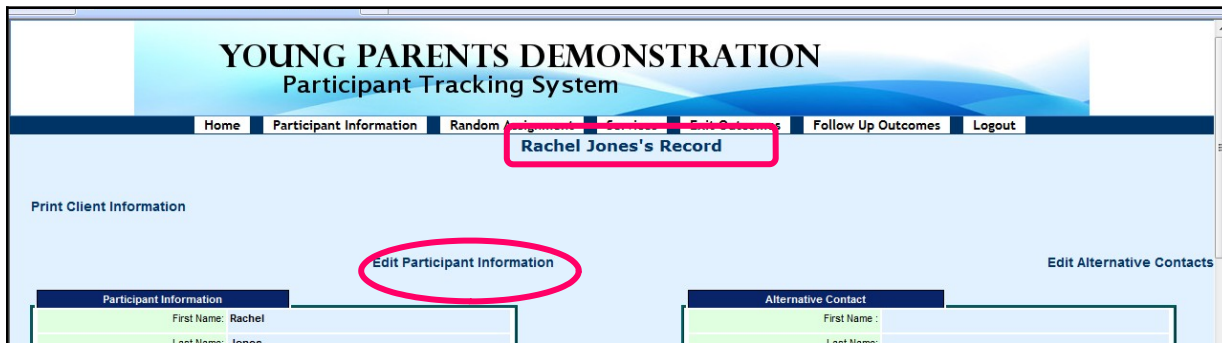
- Go to **Participant Information** screen by clicking on the “**Participant Information**” button in the header, and you will see the list of enrolled participants.



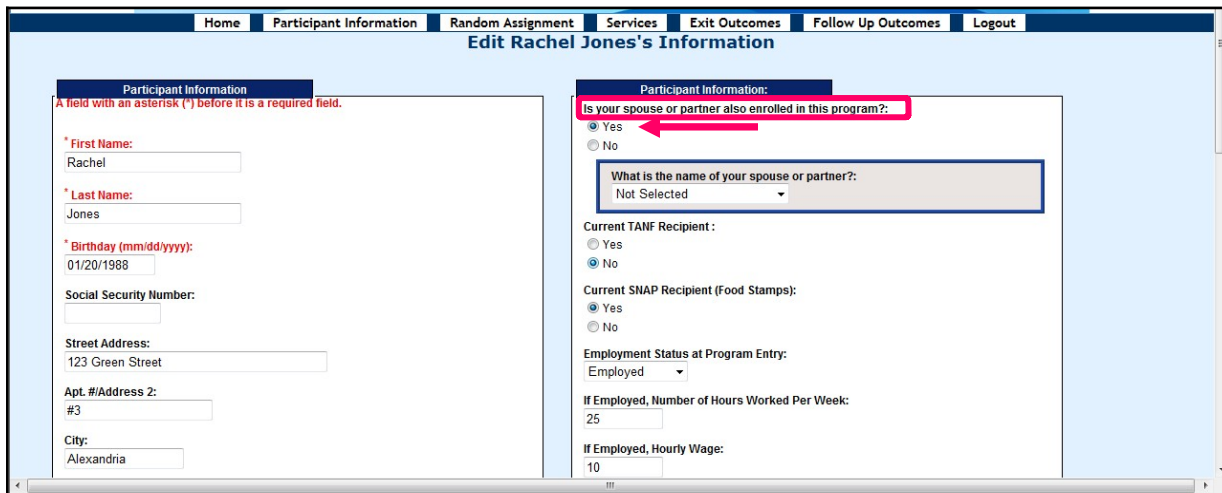
- Find the last name of that participant you want to link to someone else and click on it.



- You will see the record of this participant. Click on **Edit Participant Information** on the left top side of the screen.



- Then you will see the edit the participant's information screen. On the right side of this screen, click "yes" under the question "Is your spouse or partner also enrolled in this program?"



- Then a box will pop up, and ask “What is the name of your spouse or partner?”

- Click on the drop-down box, and all the names of participants entered into the system will show up. Find the name of the participant’s spouse or partner from the drop-down box.

- Click on the participant’s spouse or partner’s name, his/her name will be selected.

- Then click the Edit This Information button at the bottom of the screen to save the information.

- Then go to the “Randomly Assign Participants” screen by clicking the **Random Assignment** header. If the spouse or partner of the participant has already been assigned to a group (“control” or “bump-up”), you will not see either of their names on the list. To find out the group assignment, go to the “Participant Information” screen, you can see this participant is assigned to the same group as his/her spouse or partner, but noted as “(NS)”, which means this participant will not be included in the evaluation study sample, even though he or she will be in the same group and will receive the same services as his/her spouse or partner.

Displayed rows: 23 Clear Filter

Last Name	First Name	Date of Birth	Group Assignment
Abdul	Paula	09/17/1990	Control Group (NS)
Change Alder	Change sam	12/09/1974	Unassigned
Clarkson	Kelly	09/18/1984	Bump Up Group
Clinton	Bill	04/15/1985	Bump-up Group (NS)
Cook	Rachel	01/23/1982	Control Group (NS)
Depp	Johnny	12/17/1967	Bump Up Group
Doweeen	Jon	11/23/1974	Control Group
Dun	Dave	08/19/1988	Control Group
Green	Karen	06/15/1988	Unassigned
Hui	Sunny	12/03/1976	Control Group
Johnson	Adam	09/18/1983	Control Group
Johnson	Jeff	08/19/1990	Control Group
Jones	Rachel	01/20/1988	Bump-up Group (NS)
Krueger	Freddy	10/16/1988	Bump-up Group (NS)
Neely	John	03/11/1994	Bump Up Group
Neely	Nina	04/09/1980	Bump-up Group (NS)
Parker	Sarah Jessica	07/18/1965	Bump Up Group
Wang	Melisa	11/22/1976	Unassigned
Washington	George	01/01/1980	Control Group
Washington	Martha	01/01/1981	Control Group (NS)
Wong	Joe	11/22/1968	Unassigned
Wright	Ron	11/06/1970	Bump Up Group
Wright	Rachael	08/11/1968	Bump-up Group

- On the “Randomly Assign Participants” screen, if the participant and/or his/her spouse or partner are on the list to be assigned, follow the same process of random assignment. You could randomly assign this participant alone or both of them at the same time. They will be assigned to the same group, but one of them will be noted as “NS”, and won’t be included in the evaluation study (but will still receive the same services).

Home | Participant Information | **Random Assignment** | Services | Exit Outcomes | Follow Up Outcomes | Logout

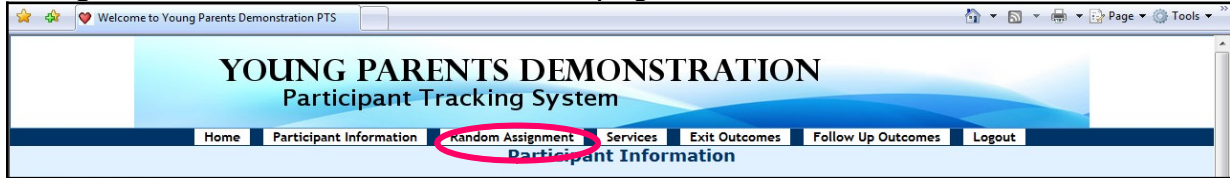
Randomly Assign Participants

Displayed rows: 8 Clear Filter

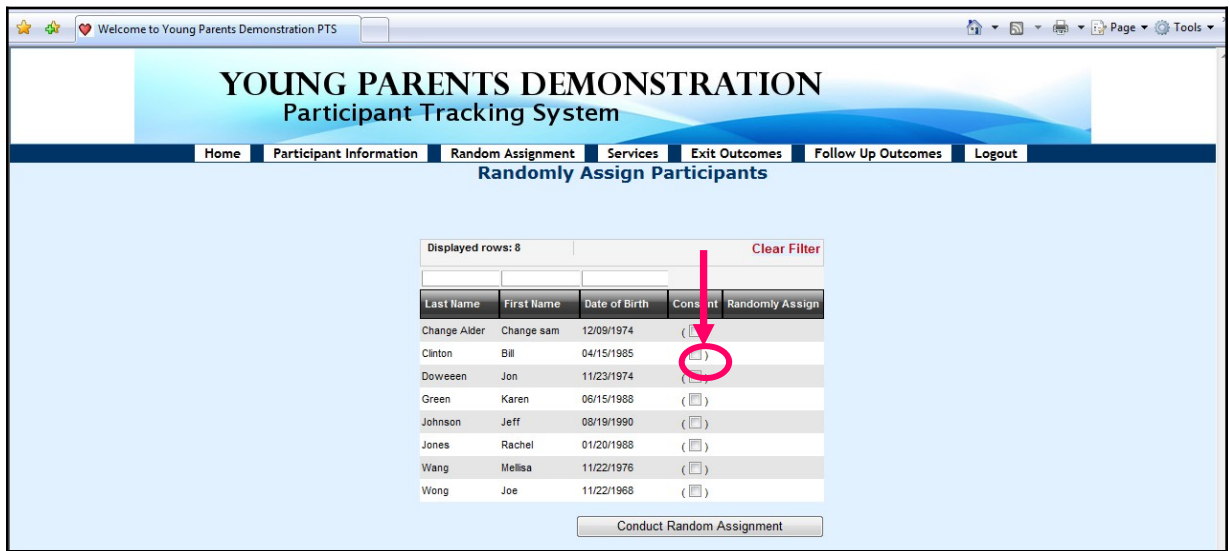
Last Name	First Name	Date of Birth	Consent	Randomly Assign
Change Alder	Change sam	12/09/1974	<input type="checkbox"/>	
Clinton	Bill	04/15/1985	<input type="checkbox"/>	
Doweeen	Jon	11/23/1974	<input type="checkbox"/>	
Green	Karen	06/15/1988	<input type="checkbox"/>	
Johnson	Jeff	08/19/1990	<input type="checkbox"/>	
Jones	Rachel	01/20/1988	<input type="checkbox"/>	
Wang	Melisa	11/22/1976	<input type="checkbox"/>	
Wong	Joe	11/22/1968	<input type="checkbox"/>	

Random Assignment

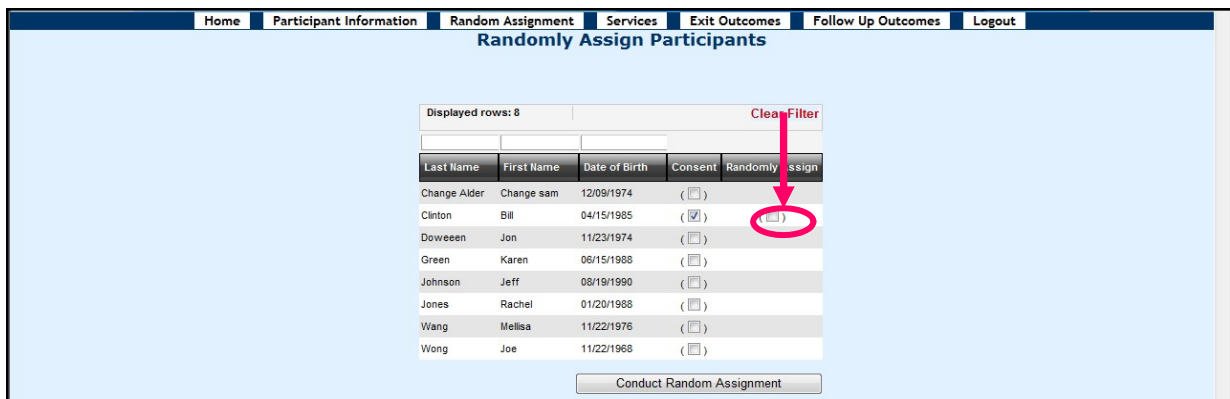
When you are ready to randomly assign participants to a group, click “**Random Assignment**” in the header on the home page.



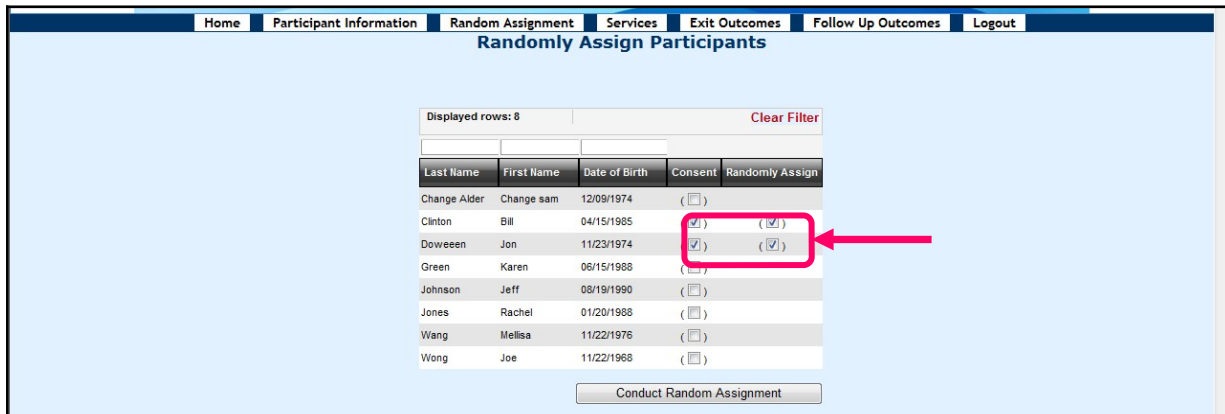
- On this screen, it lists all the participants who have not yet been assigned to any group. Choose a participant who has signed the Consent Form to participate in the study and check the box for that participant under the header “**Consent.**”



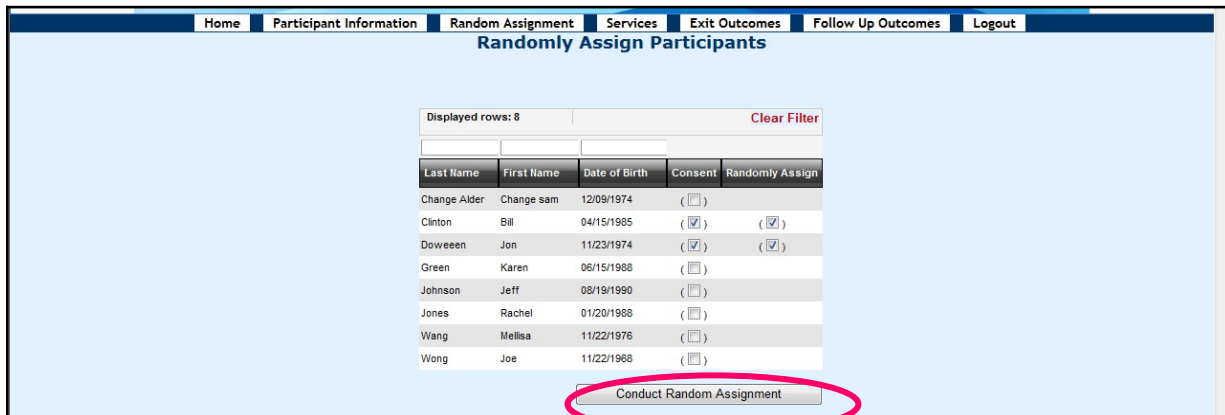
- Then check the “**Randomly Assign**” box that pops out after clicking the consent box



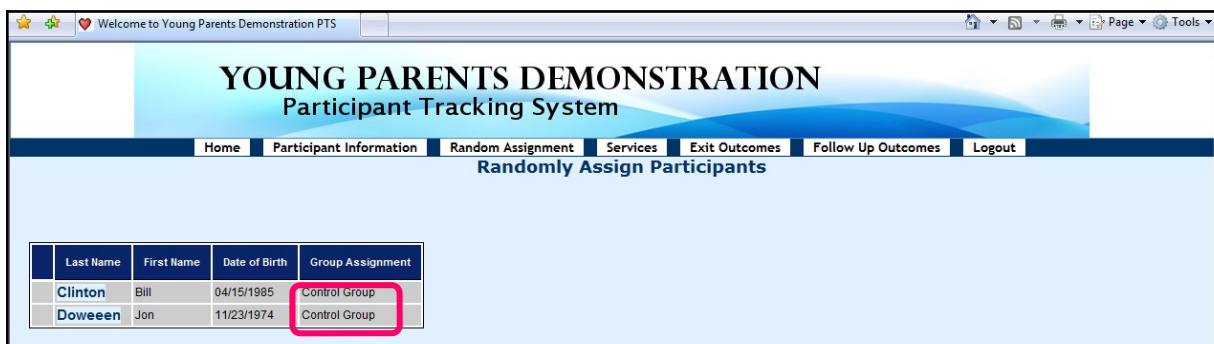
- Do the above two steps for all the participants you would like to randomly assign. You could assign one person at a time, or assign many participants at the same time.



- Click **Conduct Random Assignment** button at the bottom to randomly assign all the selected participants



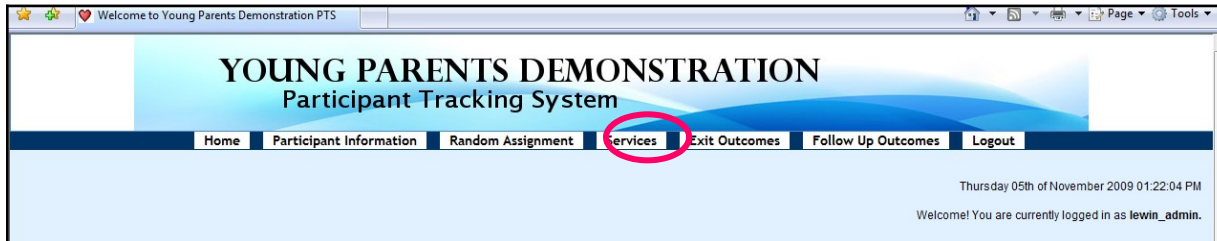
- Then, the next screen will show which group these participants have been randomly assigned to.



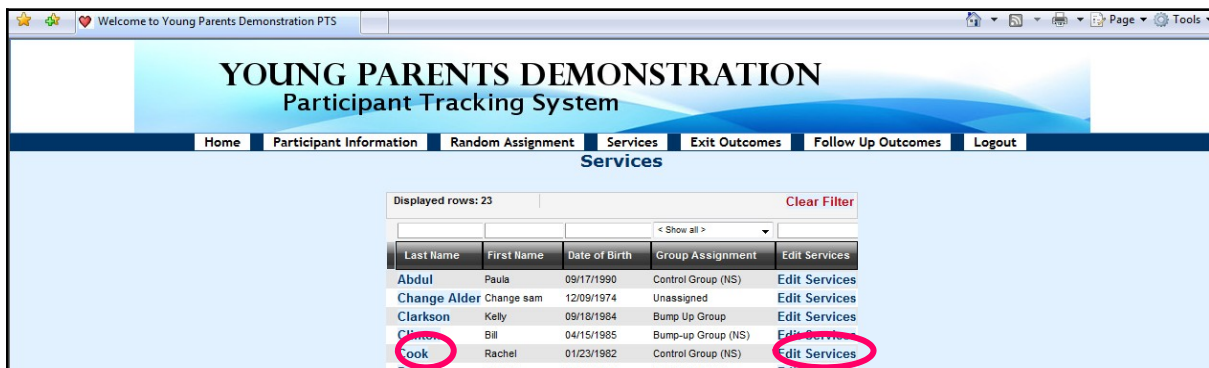
Entering/Editing Services Participation

On PTS, you can also enter and edit the information about the services participants have received. Here is how to do this:

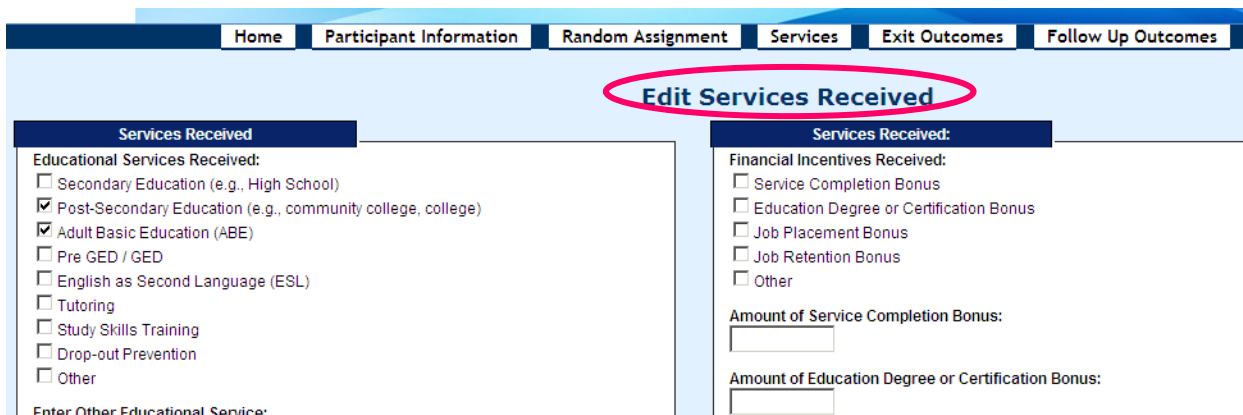
- Click the **Services** button in the header.



- To enter or edit a participant's receipt of services information, find the last name of the participant, then click **Edit Services** for that participant.



- Then, you will see the screen called **Edit Services Received**



- You will be asked to indicate specific services received by the participant from the time of enrollment into YPD through the date of exit, as follows:

- o Check off educational services received by the participant (check from the list; if "Other," check other and enter the name of other educational service received in the "Enter Other Educational Services" textbox.)

The list of educational services includes:

- Secondary education (e.g., high school)
 - Post-secondary education (e.g., community college, college)
 - Adult basic education (ABE)
 - Pre-GED/GED
 - English as secondary languages (ESL)
 - Tutoring
 - Study skills training; drop-out prevention
 - Other
- o Indicate “yes” or “no” for whether the individual received on-the-job training (OJT)/employer wage subsidies; if yes, then a “pop” up will appear that allows you to enter the total number of on-the-job training hours received and average hourly wage paid to the participant.
 - o Indicate “yes” or “no” for whether the individual received occupational skills training; if yes, then a “pop” up will appear that allows you to enter the total number of occupational skills training hours.
 - o Indicate “yes” or “no” for whether the individual received job readiness/life skills workshop(s)/assistance; if yes, then a “pop” up will appear that allows you to enter the total number of job readiness/life skills workshop(s)/assistance hours.
 - o Indicate “yes” or “no” for whether the individual received work experience/internship/transitional job; if yes, then a “pop” up will appear that allows you to enter the total number of work experience/internship hours; whether or not the work experience/internship is paid and hourly wage if it’s paid.
 - o Indicate “yes” or “no” for whether the individual received job development/placement services.
 - o Indicate “yes” or “no” for whether the individual was placed in a job.
 - o Indicate “yes” or “no” for whether the individual received job retention services.
 - o Indicate “yes” or “no” for whether the individual received other training services; if yes, then a “pop” up will appear that allows you to enter the information of the other training service received by the participant.

- o Indicate “yes” or “no” for whether the individual attended parenting workshops; if yes, then a “pop” up will appear that allows you to enter the number of parenting workshop hours.
- o Indicate “yes” or “no” for whether the individual received other parenting services; if yes, then a “pop” up will appear that allows you to enter the information of other parenting services received.

If received other parenting services, whether the participant has completed it or not.

- o Indicate “yes” or “no” for whether the individual received mentoring services; if yes, then a “pop” up will appear that allows you to enter the total number of mentoring services hours received by the participant and total number of contacts.
- o Financial incentives received by the participant (type and amount of the bonus)

- After entering/editing all the information, click **Save and Continue** button at the bottom to save the information. You can also click **Clear the Form Contents** to clear and re-enter the data, then save and continue.

Attended Parenting Workshops:
 Yes
 No

Received Other Parenting Services:
 Yes
 No

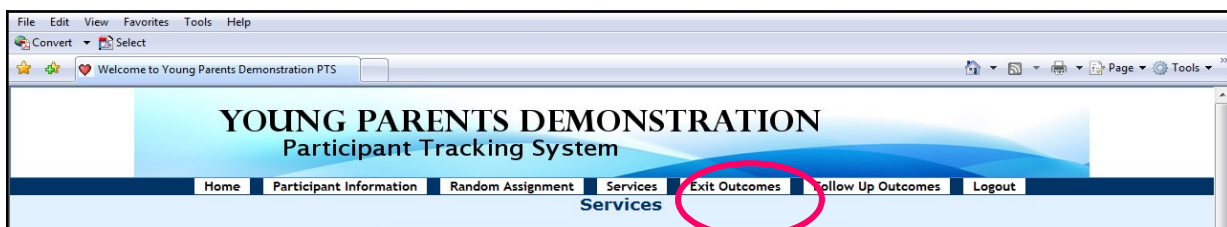
Mentoring Services Received:
 Yes
 No

Save and Continue Clear the Form Contents

- Then you will be brought back to the **Services** page, and you can continue to entering/editing services data for another participant, or go to the next section.

Entering/Editing Outcome Data at the Exit of Program

- Click the **Exit Outcomes** button in the header.



- To enter or edit a participant's outcome data at the exit of program, find the last name of the participant, then hover over and click the **Edit Exit Outcomes** button for that participant.

Last Name	First Name	Date of Birth	Group Assignment	Edit Exit Outcomes
Abdul	Paula	09/17/1990	Control Group (NS)	Edit Exit Outcomes
Change Alder	Change sam	12/09/1974	Unassigned	Edit Exit Outcomes
Clarkson	Kelly	09/18/1984	Bump Up Group	Edit Exit Outcomes
Clinton	Bill	04/15/1985	Bump-up Group (NS)	Edit Exit Outcomes
Cost	Rachel	01/23/1982	Control Group (NS)	Edit Exit Outcomes
Depp	Johnny	12/17/1967	Bump Up Group	Edit Exit Outcomes
Doweeen	Jon	11/23/1974	Control Group	Edit Exit Outcomes
Dun	Dave	09/19/1988	Control Group	Edit Exit Outcomes
Green	Karen	06/15/1988	Unassigned	Edit Exit Outcomes
Hui	Sunny	12/03/1976	Control Group	Edit Exit Outcomes
Johnson	Adam	09/18/1983	Control Group	Edit Exit Outcomes

- Then, you will see a screen called **Edit Outcomes at Program Exit**.

Edit Outcomes at Program Exit

Outcomes at Program Exit

Degrees or Certificates Obtained During Participation (Check All That Apply):

- Attained High School Diploma
- Attained a GED or Equivalent
- Attained Certificate of Attendance/Completion
- Attained Other Post-Secondary Degree or Certification
- Attained Associates Diploma or Degree
- Attained (4-year) Bachelor's Degree
- Unknown

Date of Exit (MM/DD/YYYY):
10/15/2010

Exit Reason (Choose One):

- Completed Services
- Dropped Out Before Completing Services

Save and Continue Clear the Form Contents

- You will be asked to enter:
 - o Degrees or certificates obtained during participation (check all that apply)
 - Attained high school diploma
 - Attained a GED or equivalent
 - Attained certificate of attendance/completion
 - Attained other post-secondary degree or certification
 - Attained Associates Diploma or Degree
 - Attained (4-year) bachelor's degree
 - Unknown

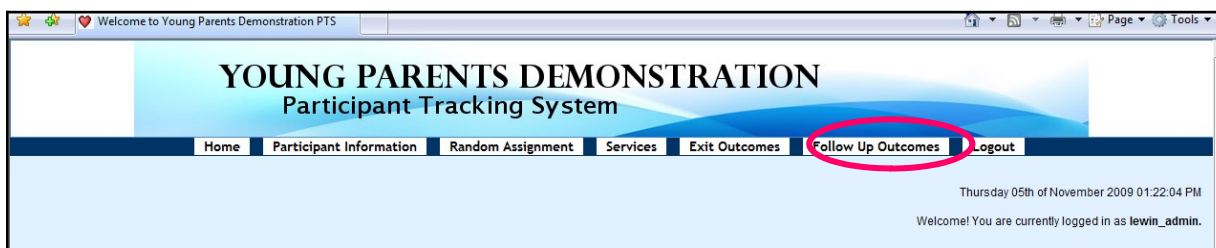
- o Date of exit the program
 - o Exit reason (choose one, either completed services or dropped out before completion)
- After entering/editing all the information, click Save and Continue button to save the information.

Then you will be brought back to the **Exit Outcomes** page, and you can continue to entering/editing outcome data at the exit of program data for another participant, or go to the next section.

- If an individual exits the program, but then returns you do not need to record an exit date. Only the “final” exit date and reason should be recorded.

Entering/Editing Follow-up Outcome Data

- Click the **Follow Up Outcomes** button in the header.



- To enter or edit a participant’s outcome data at follow-up, find the last name of the participant, then hover over and click the **Edit Follow Up Outcomes** button for that participant

Last Name	First Name	Date of Birth	Group Assignment	Edit Follow Up Outcomes
Abdul	Paula	09/17/1990	Control Group (NS)	Edit Follow Up Outcomes
Change Alder	Change sam	12/09/1974	Unassigned	Edit Follow Up Outcomes
Clarkson	Kelly	09/18/1984	Bump Up Group	Edit Follow Up Outcomes
Clinton	Bill	04/15/1985	Bump-up Group (NS)	Edit Follow Up Outcomes
...	Rachel	01/23/1982	Control Group (NS)	Edit Follow Up Outcomes
Depp	Johnny	12/17/1967	Bump Up Group	Edit Follow Up Outcomes
Doweeneen	Jon	11/23/1974	Control Group	Edit Follow Up Outcomes
Dun	Dave	08/19/1988	Control Group	Edit Follow Up Outcomes

- Then, you will see a screen called **Edit Outcomes at Follow-up**.

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes Logout

Edit Outcomes at Follow-up

Outcomes at Follow-Up

Employment Status at 6 Months After Random Assignment (Choose One):

Employed

Not Employed

Unknown

Number of Hours Worked Per Week:

Hourly Wage:

One Year Followup:

Employment Status at 1 Year After Random Assignment (Choose One):

Employed

Not Employed

Unknown

Number of Hours Worked Per Week:

Hourly Wage:

- You will be asked to enter:
 - o Employment status at 6 months after exit (choose one)
 - If employed, number of hours worked per week and hourly wage
 - o Employment status at 1 year after exit (choose one)
 - If employed, number of hours worked per week and hourly wage
 - o Employment status at 18 months after exit (choose one)
 - If employed, number of hours worked per week and hourly wage
- After entering/editing all the information, click **Save and Continue** button to save the information.

Edit Outcomes at Follow-Up

Outcomes at Follow-Up

Employment Status at 6 Months After Random Assignment (Choose One):

Employed

Not Employed

Unknown

Number of Hours Worked Per Week:

Hourly Wage:

One Year Followup:

Employment Status at 1 Year After Random Assignment (Choose One):

Employed

Not Employed

Unknown

Number of Hours Worked Per Week:

Hourly Wage:

18 Month Followup:

Employment Status at 18 Months After Random Assignment (Choose One):

Employed

Not Employed

Unknown

Number of Hours Worked Per Week:

Hourly Wage:

- After saving the outcomes at follow-up data, you will be brought back to the **Follow up Outcomes** page. You can enter/edit outcome data for another participant, or use the top navigation menu to navigate to another page.

- To log out of the system, click on the “log out” tab on the top navigation pane.

YOUNG PARENTS DEMONSTRATION
Participant Tracking System

Home Participant Information Random Assignment Services Exit Outcomes Follow Up Outcomes **Logout**

Follow Up Outcomes

Displayed rows: 23 Clear Filter

Last Name	First Name	Date of Birth	Group Assignment	Edit Follow Up Outcomes
Abdul	Paula	09/17/1990	Control Group (NS)	Edit Follow Up Outcomes
Change Alder	Change sam	12/09/1974	Unassigned	Edit Follow Up Outcomes
Clarkson	Kelly	09/18/1984	Bump Up Group	Edit Follow Up Outcomes
Clinton	Bill	04/15/1985	Bump-up Group (NS)	Edit Follow Up Outcomes

Young Parents Demonstration (YPD) Program

OMB No.: 1205-0494 OMB Expiration Date: 5/31/15 Estimated Average Response Time: 20 Minutes

According to the Paperwork Reduction Act of 1995, persons are not required to respond to this collection of information unless it displays a currently valid OMB control number and expiration date. Responding to this questionnaire is voluntary. Public reporting burden for this collection of information is estimated to average 20 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to the U.S. Department of Labor, Employment and Training Administration, Office of Policy Development and Research, Room N5641, Attention: Michelle Ennis, 200 Constitution Avenue, NW, Washington, D.C. 20210. **Do NOT send the completed questionnaire to this address.**

Intake Form (Page 1 of 2)

*First Name	*Last Name	*Birthday (MM/DD/YYYY) ____/____/____	*Social Security Number ____-____-____
Street Address		City	State Zip Code
Home Phone (____) ____-____		Work Phone (____) ____-____	Cell or Other Phone (____) ____-____
Email Address:	Gender ____ Male ____ Female	Pregnant or Expectant Parent? ____ Yes ____ No	If Expectant, Due Date (MM/DD/YYYY): ____/____/____
Ethnicity – Are you Hispanic/Latino? ____ Yes ____ No			
Race – Do you consider yourself to be one or more of the following (check all that apply): ____ White ____ Black/African American ____ American Indian/Alaska Native ____ Asian ____ Native Hawaiian or Other Pacific Islander			
Marital Status (Please Check One): ____ Married ____ Widowed ____ Divorced ____ Separated ____ Never Married			Number of Children: ____
*Is your spouse or partner also enrolled in this program? ____ Yes ____ No If yes, what is the name of your spouse or partner? _____			
Special Client Characteristics (at Time of Enrollment): ____ Yes ____ No Individual with Disability ____ Yes ____ No Individual with Limited English Proficiency ____ Yes ____ No Ex-Offender ____ Yes ____ No Homeless Individual ____ Yes ____ No Foster Care Youth			
Unemployment Insurance Compensation Recipient: ____ UI Claimant ____ UI Exhaustee ____ Not Claimant/Exhaustee Past participation in mentoring program: ____ Yes ____ No If yes, length of participation in months: ____			

Public Assistance Received

Current TANF Recipient: ____ Yes ____ No	Current SNAP Recipient (Food Stamps): ____ Yes ____ No
Current Medicaid Recipient: ____ Yes ____ No	Current Subsidized Child Care Voucher Recipient: ____ Yes ____ No
	Current Section 8 or Public Housing Recipient: ____ Yes ____ No

Employment and Earnings Information

Employment Status at Program Entry (Please Check One) ____ Employed ____ Not Employed	If employed at program entry: Number of Hours Worked per Week: ____ Hourly Wage: \$_____
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Form Completed by (Staff Name/Initials): _____ Date: _____

Young Parents Demonstration (YPD) Program

Intake Form (Page 2 of 2)

*First Name	*Last Name
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Education Information

School Status at Program Entry (Please check One) <input type="checkbox"/> Currently In School <input type="checkbox"/> Currently Not In School	Highest Level of Education Completed (Please Enter One) <input type="checkbox"/> No Education <input type="checkbox"/> Grade (<i>enter</i> 1 to 12) <input type="checkbox"/> Years of college/full-time technical/vocational school (<i>enter</i> 1 to 4) <input type="checkbox"/> Education beyond Bachelor's Degree
Degrees or Certificates Received (Please Check All That Apply) <input type="checkbox"/> Attained High School Diploma <input type="checkbox"/> Attained Associates Diploma or Degree <input type="checkbox"/> Attained (4-year) Baccalaureate Degree <input type="checkbox"/> Attained a GED or Equivalent <input type="checkbox"/> Attained Other Post-Secondary Degree or Certification <input type="checkbox"/> No Education or Certificate	

Alternative Contact Information

Please List Three People Who Can Help Locate You

Alternative Contact 1

First Name	Last Name	Relationship to Participant	
Street Address	City	State	Zip Code
Home Phone (____) ____-____	Work Phone (____) ____-____	Cell or Other Phone (____) ____-____	
Email			

Alternative Contact 2

First Name	Last Name	Relationship to Participant	
Street Address	City	State	Zip Code
Home Phone (____) ____-____	Work Phone (____) ____-____	Cell/Other Phone (____) ____-____	
Email			

Alternative Contact 3

First Name	Last Name	Relationship to Participant	
Street Address	City	State	Zip Code
Home Phone (____) ____-____	Work Phone (____) ____-____	Cell/Other Phone (____) ____-____	
Email			

Young Parents Demonstration (YPD) Program

Services Received, Exit, and Follow-up Form

Participant Identifiers and Characteristics

First Name:	Last Name:
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Services Received

(Update Service Receipt in the PTS at 6, 12, and 18 Months After Random Assignment)

<p>Educational Services: ___ Service Never Received</p> <p>___ Secondary Education (e.g., High School)</p> <p>___ Post-Secondary Education (e.g., community college, college)</p> <p>___ Adult Basic Education (ABE) ___ Pre-GED/GED</p> <p>___ English as Second Language (ESL) ___ Tutoring</p> <p>___ Study Skills Training ___ Drop-out Prevention</p> <p>___ Other: _____</p> <p>Employment or Training Services: ___ Service Never Received</p> <p>___ On-the-Job Training/Employer Wage Subsidies – # of total hours: _____ Avg. hourly wage paid to participant: \$ _____</p> <p>___ Occupational Skills Training – # of total hours: _____</p> <p>___ Job Readiness/Life Skills Workshop(s)/Assistance – # of total hours: _____</p> <p>___ Work Experience/Internship/Transitional Job – # of total hours: _____ Paid? ___ Yes ___ No If paid, avg. hourly wage: \$ _____</p> <p>___ Job Development/Placement Services Was participant placed in a job? ___ Yes ___ No</p> <p>___ Job Retention Services</p> <p>___ Other: _____</p>	<p>Parenting Services: ___ Service Never Received</p> <p>___ Parenting Workshops – # of total hours: _____</p> <p>___ Other: _____</p> <p>Individual Mentoring Services: ___ Service Never Received</p> <p># of total hours: _____ # of total contacts: _____</p> <p>Group Mentoring Activities: ___ Service Never Received</p> <p># of total hours: _____ # of total sessions: _____</p> <p>Other Support Services: ___ Service Never Received</p> <p>___ Subsidized Child Care:</p> <p>___ Section 8 or Public Housing</p> <p>___ Transportation Assistance</p> <p>___ Family Preservation/Engagement Services</p> <p>___ Other: _____</p>
---	--

Outcome and Exit Information

<p>Degrees or Certificates Obtained During Participation (Check All That Apply):</p> <p>___ Attained High School Diploma ___ Attained Associates Diploma or Degree</p> <p>___ Attained a GED or Equivalent ___ Attained (4-year) Baccalaureate Degree</p> <p>___ Attained Certificate of Attendance/Completion ___ Unknown</p> <p>___ Attained Other Post-Secondary Degree or Certification</p>	
<p>Employment Status 6 Months After Random Assignment:</p> <p>___ Employed ___ Not Employed ___ Unknown</p> <p>If employed at 6 Months After Random Assignment:</p> <p># of hours worked per week: _____</p> <p>Hourly Wage: \$ _____</p> <p>Enrolled in education/training 6 months after Random Assignment?</p> <p>___ Yes ___ No</p>	<p>Employment Status 12 Months After Random Assignment:</p> <p>___ Employed ___ Not Employed ___ Unknown</p> <p>If employed at 12 Months After Random Assignment:</p> <p># of hours worked per week: _____</p> <p>Hourly Wage: \$ _____</p> <p>Enrolled in education/training 6 months after Random Assignment?</p> <p>___ Yes ___ No</p>
<p>Employment Status 18 Months After Random Assignment:</p> <p>___ Employed ___ Not Employed ___ Unknown</p> <p>If employed at 18 Months After Random Assignment:</p> <p># of hours worked per week: _____</p> <p>Hourly Wage: \$ _____</p> <p>Enrolled in education/training 6 months after Random Assignment?</p> <p>___ Yes ___ No</p>	<p>Date of Exit (MM/DD/YY): ____/____/____</p> <p>Exit Reason (Check One):</p> <p>___ Completed services</p> <p>___ Dropped out before completing services</p> <p>Form Completed by (Staff Name/Initials): _____</p>

Case Notes/Comments:

Form Completed by (Staff Name/Initials): _____

Young Parents Demonstration (YPD) Program
Monthly Mentor Log

Month/Year:		Mentor's Name			
Participant's First Name			Participant's Last Name		PTS #
Date of Interaction	Nature of Interaction (Circle)	Type of In-Person Activity (Use Code)	# of Minutes for Mentor	# of Minutes with Mentee	Comment
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				
	I T E/T				

Nature of Interaction: I-In-person T-Telephone E/T- E-mail/Text Message

Codes for In-Person Mentoring Activities (Select only one that was most important focus of mentoring activity):

- 1-Social event or activity (e.g., meal, movie, walk, etc.)
- 2-Cultural/sporting activity (e.g., museum trip, sporting event, etc.)
- 3-Health-related activity (e.g., doctors' visit, counseling on accessing health services)
- 4-Education or training-related activity (e.g., help planning to return to school, help with identifying or applying to education/training programs, help applying for financial aid)
- 5-Employment/job/career-related activity (e.g., job search/placement assistance, career counseling, etc.)
- 6-Help with obtaining housing and other supports (e.g., counseling or help obtaining housing, cash assistance, child care, public transportation voucher/bus passes, driver's license, etc.)
- 7-Parenting support
- 8-Budgeting/financial counseling
- 9-Crisis intervention/management or behavioral support
- 10-Transportation assistance (e.g., driving participant to an appointment)
- 11- Other

Agreement To Take Part In the Young Parents Demonstration Study

You are invited to take part in an important study of the services for young parents. The study is funded by the U.S. Department of Labor and will test how well our program works in helping young parents improve their skills and find and keep a job. A research organization called The Urban Institute is doing the study for the U.S. Department of labor.

We are trying some new approaches to help young parents. Young parents will be assigned to one of two different program models that we are running - either to a group that will receive Young Parents Demonstration program services in addition to regular services or to a group that will only receive the program's regular services. A process called "random assignment" is being used to make sure people are assigned to the two groups in a fair way. Because there are only limited slots in the new program, assigning people to the groups randomly ensures it is fair. Random assignment is like a lottery or picking names out of a hat. The decision about who goes to which group has nothing to do with personal traits like your age or race.

What does it mean to be in the study?

If you agree to be in the study, the Urban Institute will collect several kinds of data about you to help understand how well the services are working: (1) information about your participation in the program will be shared with the Urban Institute; (2) you may be contacted to answer questions about your education, work, family, and other topics (and you can refuse to answer any of the questions); and (3) the Urban Institute will use your Social Security Number to collect data about dates of employment and earnings.

The Urban Institute is strongly committed to keeping all of the study data private to the maximum extent allowed by the law. Any paper information that includes your name will be kept in a locked storage area, and any computer files with your name will be protected by a password. Your name will never appear in any public document produced as part of the study.

By participating in the study, you will help us and programs around the country learn about the best way to help young parents improve their skills and find jobs. You can refuse to answer any question that is asked, and you do not have to do anything to help the Urban Institute obtain the other data mentioned above.

Participation in the study is voluntary. You may withdraw from the study at any time. Refusing to be in the study or withdrawing from the study later will not affect your eligibility for any services here or elsewhere. If you withdraw, the Urban Institute may continue to use information that was collected about you during the period you were in the study. This agreement is effective from the date you sign it (shown below) until the end of the study.

OMB No.: 1205-0494 OMB Expiration Date: 5/31/15 Estimated Average Response Time: 10 Minutes

*According to the Paperwork Reduction Act of 1995, persons are not required to respond to this collection of information unless it displays a currently valid OMB control number and expiration date. Responding to this questionnaire is voluntary. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to the U.S. Department of Labor, Employment and Training Administration, Office of Policy Development and Research, Room N5641, Attention: Michelle Ennis, 200 Constitution Avenue, NW, Washington, D.C. 20210. **Do NOT send the completed questionnaire to this address.***

Statement

I have read this form and agree to be in the Young Parents Demonstration study. I understand that I will be put into one of two groups - either a group that will receive Young Parents Demonstration program services or a group that will receive the Program's regular services. The group to which I am assigned will be picked at random. I know that my participation is voluntary, that the Urban Institute is strongly committed to keeping all of the study information private to the maximum extent allowed by the law, and that my name will never appear in any public document. I know that I can refuse to answer any questions in the study's interviews, or stop being in the study at any time without penalty. I understand that the Urban Institute will get information about me, as described above."

PRINT NAME OF STUDY PARTICIPANT

SIGNATURE OF STUDY PARTICIPANT

Date: _____