Securities and Exchange Commission

Philadelphia Regional Office

Mid-Atlantic Regional Conference Feedback Survey

1. Name of your agency (can be left blank if you prefer).

(Response: TEXT BOX)

For the sessions you attended, please rate the relevance of the topic and quality of information provided. A rating of **“1”** represents the **lowest** level of relevance and information quality and a rating of **“5”** represents the **highest** level of relevance and information quality.

1. Keynote Speaker Andrew J. Bowden

**1 2 3 4 5 Did not attend**

3) Virtual Currencies

**1 2 3 4 5 Did not attend**

4) OCIE Hot Topics

**1 2 3 4 5 Did not attend**

5) Emerging Trends in Criminal Cases

**1 2 3 4 5 Did not attend**

6) Current Developments in State Regulation

**1 2 3 4 5 Did not attend**

7) High Frequency Trading and Dark Pools

**1 2 3 4 5 Did not attend**

8) Senior Fraud/TSP Initiative/Affinity Fraud

**1 2 3 4 5 Did not attend**

9) Keynote Speaker Andrew Ceresney

**1 2 3 4 5 Did not attend**

10) Anti-Money Laundering Initiative

**1 2 3 4 5 Did not attend**

11) Microcap Fraud

**1 2 3 4 5 Did not attend**

12) Overall, what is your opinion of the length of time allotted for the conference?

(Response options: Too long, The right length, Too brief.)

1. Please take a moment to type your suggestions to improve the content, scope or presentations of next year’s conference, or suggestions for additional invitees from other regulatory agencies.

(Response options: TEXT BOX)

Thank you for your feedback.