



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires XX/XX/2017

Federal Fish and Wildlife Permit Application Form

Return to: Division of Management Authority (DMA)
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 212
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:
EXPORT OF ARTIFICIALLY PROPAGATED PLANTS (Single and Multiple Commercial Shipments)
 New Application
 Amendment of Master File # _____
 Renewal of Master file # _____

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name	1.b. First name	1.c. Middle name or initial	1.d. Suffix
2. Date of birth (mm/dd/yyyy)	3. Social Security No.	4. Occupation	5. Affiliation/ Doing business as (see instructions)
6.a. Telephone number	6.b. Alternate telephone number	6.c. Fax number	6.d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information				
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)				
1.b. City	1.c. State	1.d. Zip code/Postal code:	1.e. County/Province	1.f. Country
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code:	2.e. County/Province	2.f. Country

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount identified on page 2. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – <i>attach documentation of fee exempt status as outlined in instructions.</i> (50 CFR 13.11(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No <input type="checkbox"/>
3.	Certification: I hereby certify that I have read and am familiar with the regulations contained in <i>Title 50, Part 13 of the Code of Federal Regulations</i> and the other <i>applicable parts in subchapter B of Chapter I of Title 50</i> , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) _____ Date of signature (mm/dd/yyyy) _____	

Please continue to next page

This form should be used for the commercial export of cultivated specimens (i.e., species, hybrids, cultivars) of CITES-listed species that meet the criteria of artificial propagation, as defined by CITES Resolution Conf. 11.11 (Rev. CoP15; <http://www.cites.org/eng/res/11/11-11R15.php>). All plant taxa must be published in a recognized scientific journal or reference that has been adopted by CITES.

This application may be used to:

Request a single-use permit for commercial export of artificially propagated plants or plant products (processing fee = \$100) that is valid for 6 months from the date of issuance. For re-issuance or amendment of a single use permit, the original permit must be returned with this completed application form.

Establish a Master File (processing fee = \$200 + \$5/single use certificates): If approved, a “master file”, or programmatic export authorization, and an approved plant list will be established that will be valid for up to 3 years. Once the master file is approved, you must obtain partially completed export certificates, valid for 6 months, to export specimens of the approved species, hybrids, and cultivars. There is a \$5 fee for each partially completed certificate. Certificates must be obtained prior to making any shipment and must accompany the shipment. Additional partially completed certificates may be requested by submitting [form 3-200-74](http://www.fws.gov/international/pdf/permit-application-form-3-200-74) (<http://www.fws.gov/international/pdf/permit-application-form-3-200-74-single-use-export-permits-under-a-master-file-or-an-annual-program-file.pdf>).

Amend a current Master File (processing fee = \$100): This form must be used to amend a current master file. A master file must be **amended** when there have been any changes to the information provided for a current master file (e.g., addition and/or deletions of species/hybrids/cultivars, or changes to the source(s) of plant material). Please complete only the questions that are relevant to the amendment (e.g., you do not need to provide information previously submitted when you established the master file if it has not changed).

Renewal a Master File (processing fee = \$100): To renew a master file that has or will soon expire, **you must complete this entire application**, including providing information on any changes to your facility, operations, species, or suppliers for the plant specimens that you wish to export.

I would like to:

- Request a single-use permit (\$100 fee)
- Establish a new Master File (\$200 fee)
- Renew and/or Amend an existing Master File; PRT # _____ (\$100 fee)

How many partially completed certificates are you requesting at this time? (fee - \$5/certificate)

This form should NOT be used for a single use export or re-export of artificially propagated or wild-collected specimens. For such exports, applicants should complete application form 3-200-32 (<http://www.fws.gov/international/pdf/permit-application-form-3-200-32-export-re-export-of-plants.pdf>).

Some plant species are exemptions under CITES from requiring CITES permits. If you are not sure whether an exemption applies to your export, please refer to the CITES Appendices (<http://www.cites.org/eng/resources/species.html>) or contact the Division of Management Authority at 800-358-2104.

Review this application carefully and provide complete answers to all questions. If a question is not applicable, answer with “N.A.” If more space is needed, photocopy or download the form at <http://www.fws.gov/international/pdf/permit-application-form-3-200-33-export-of-artificially-propagated-plants.pdf> . Please **number** all questions and answers, as well as number the pages in the bottom right corner. If you have any questions, please contact the Division of Management Authority at 800-358-2104.

Electronic submission of inventories, photographs, and receipts: Some applications contain long inventories and/or a large number of photographs or receipts. You may provide electronic versions of these documents. Such a submission may assist to expedite the processing of your application since it will eliminate the need for data entry by U.S. Fish and Wildlife Service. You may include a disk containing your information or

___check here to be contacted via e-mail to provide an electronic inventory.

This application is divided into SIX (6) parts.

- To export interspecific and intergeneric hybrids of CATTLEYA, CYMBIDIUM, DENDROBIUM (*D. phalaenopsis* and *D. nobile* only), ONCIDIUM (non-native only), PHALAENOPSIS, and/or VANDA, complete Part II.
- To export specimens other than above-mentioned orchid hybrids, complete Parts III and IV.
- All applicants should complete Parts I and V.

Part I: Facility information (separate sheets of paper may be required to fully answer these questions)

1. Briefly describe your business. Do you propagate all plants or plant material exported by your operation? Do you propagate some species/subspecies/cultivars/hybrids, while buying other specimens from a single or multiple propagators? Do you only export specimens obtained directly from a different facility?
2. If you propagate some or all of the specimens to be exported:
 - a. Please provide a brief description of your facilities and its capacity to propagate specimens.
 - b. How long have you been in business?
3. If you purchase specimens from other propagators:
 - a. Do you purchase from a single source or multiple sources?
 - b. How often do you purchase specimens?
4. If you propagate plants, provide labeled photographs of your growing facilities, parental stock, and specimens included in your application. If you purchase from another facility, please provide such photographs of that facility(s).
5. Provide the following documentation:
 - Provide a copy of your current State nursery license.
 - Provide your current U.S. Department of Agriculture Protected Plant Permit (formerly known as General Permit).
 - For all plant material imported to the United States, submit copies of validated CITES permits and Phytosanitary Certificates (include all inventory lists if not part of CITES document or certificate) for those specimens and **cross-reference** the document to the item number on Table 3 at the top of the document.
 - For any wild plant material identified in this application, submit copies of authorizations (e.g. collection permits, land-owner permission, salvage permits) for those specimens and **cross-reference** the document to the item number on Table 3 at the top of the document.
 - For all material obtained from suppliers identified in this application, submit receipts showing the name, address and telephone number of the person from whom you purchased the plants and the date of purchase for each specimen or, if not available, signed propagator's statements (see below) and **cross-reference** the document to the item number on Table 3 at the top of the document.

SAMPLE PROPAGATOR'S STATEMENT:

I, _____ [state name] declare that the plant material I gave or sold to _____ on _____ [date] was not obtained from the wild, but was propagated from parental stock plants maintained at _____ [indicate location of parental stock] since _____ [indicate the year that the parental stock was established].

_____ [Signature] _____ [Date]

PART II. Cattleya, Cymbidium, Dendrobium (D. phalaenopsis and D. nobile only), Oncidium (non-native only), Phalaenopsis, and Vanda Hybrids. Provide the information requested in Table 1 for interspecific and intergeneric hybrids derived only from *Cattleya*, *Cymbidium*, *Dendrobium (D. phalaenopsis and D. nobile only)*, *Oncidium* (non-native species only), *Phalaenopsis*, or *Vanda* orchids. Both/all parents must come from within this group; please use Table II for hybrids that include any parental species that are not in this group. Refer to Codes below for sections b-e. **For all other specimens, including other orchid hybrids, proceed to Part II.**

TABLE 1. CATTLEYA, CYMBIDIUM, DENDROBIUM (D. phalaenopsis and nobile), ONCIDIUM (non-native), PHALAENOPSIS, or VANDA HYBRIDS

Item No.	a. Hybrid Name (Include parental genera or grex)	b. Specimen Description at the time of export <i>specify code</i>	c. Growing Environment <i>specify code</i>	d. Propagation Method(s) <i>specify code</i>	e. Name of Propagating Facility

CODES b. Specimen Description: 1=Seedlings; 2=Juveniles; 3=Mature/blooming size; 4=Cuttings/divisions; 5=Roots/rhizomes; 10=Other (specify).
c. Growing Environment: 1 = Greenhouse; 2 = Lathhouse; 3 = Lab; 4 = Field; 5 = Other (specify).
d. Propagation Method to produce the specimens to be exported: 1 = Seeds; 2 = Stem or root/rhizome cuttings/divisions; 3 = *In vitro*; 4 = Other (specify).

⇒ If you are NOT requesting authorization to export any other specimens, proceed to Part V.
⇒ For all other plants, including orchid hybrids derived from any parental species that are not in the above group, proceed to Part II.

PART III. Other specimens to be exported (excluding hybrids in Part I). For each species/subspecies/cultivar/hybrid you are requesting to include on your master file, you will need to provide the following information:

A) Scientific name; B) description of the specimen at the time of export; C) for extracts, powders or specimens in some processed format, the amount (weight or volume) of specimens to be exported and the estimated number of plants needed to produce the extract/powder/etc.; D) the growing environment used to produce the specimens; E) the form of propagation used to grow the specimens; and F) the name of the propagating facility. If you obtain specimens of the same species/subspecies/cultivar/hybrid from multiple sources, you will need to provide all of the above information for each source. The following table is provided for your convenience. Refer to the Codes below for sections c-f. If you obtain specimens from multiple sources, please complete a separate line for each source.

TABLE 2. SPECIMEN INVENTORY

Item No.	a. Scientific Name (Genus, species, sub-species, cultivar) If hybrid, list parental species	b. Common Name	c. Specimen Description at the time of export <i>specify code</i>	d. For extracts, powders, or other		e. Growing Environment <i>specify code</i>	f. Propagation Method <i>specify code</i>	g. Name of Propagating Facility
				Unit	Plants/Unit			

CODES

c. Specimen Description: 1=Seeds; 2=Flasks; 3=Seedlings; 4=Juveniles; 5=Mature/blooming size; 6=Cuttings/divisions; 7=Roots/rhizomes; 8=Extracts; 9=Powders; 10=Other (specify).
d. Unit: Provide the total weight or volume of the item; **Plants / Unit:** Provide the total number of plants used to produce the total weight or volume.
e. Growing Environment: 1=Greenhouse; 2=Lathhouse; 3=Lab; 4=Field; 5=Other (specify).
f. Propagation Method to produce the specimens to be exported: 1=Seeds; 2=Stem or root/rhizome cuttings/divisions; 3=*In vitro*; 4=Other (specify).

⇒ **Proceed to Part IV.**

PART V. General Information (To be completed by all applicants).

1. Describe the type of shipping containers and the arrangements for LIVE plant care during shipping.

2. Name and address where you wish permit mailed, **if** different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

3. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please **DO NOT** include credit card number or other information; you will be contacted for this information.

 If a permit is issued, please send it via a courier service to the address on page 1 or question 2. I understand that you will contact me for my credit card information once the application has been processed.

4. Who should we contact if we have questions about the application? (Include name, phone number, and email):

5. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

___ Yes ___ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

APPLICATION FORM INSTRUCTIONS

The following instructions pertain to an U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in [50 CFR 13](#) address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all relevant questions in Sections A or B, C, D, and E.
- **An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.**
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in **blue** ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the applications or, if applicable, on the attached address list.
- **Keep a copy of your completed application.**
- **Please plan ahead. Allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11).**
- Applications are processed in the order they are received.

SECTION A OR SECTION B:

Section A. **Complete if applying as an individual [do not complete this section if applying for Import/Export License (3-200-3)]:**

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. **Fax and e-mail, while helpful in processing, are not required.**
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing **power of attorney** must be included with the application.
- **Affiliation/ Doing business as (dba):** business, agency, organizational, Tribe, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity). The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals (complete Section B)..

Section B. **Complete if applying as a business, corporation, public agency, Tribe, or institution:**

- Enter the complete name of the business, agency, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA) and Office of Law Enforcement (OLE), a physical U.S. address is **required**. Province and Country blocks are provided for those USFWS programs that use foreign addresses and are not required by DMA.
- **Mailing address** is the address to which communications from USFWS should be mailed if different from applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 **Application processing fee:**

- An application processing fee is required at the time of application, unless exempted under 50 CFR 13. The application processing fee is assessed to partially cover the cost of processing a request. **The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun.**
- **Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies.** Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 **Federal Fish and Wildlife permits:**

- List the number(s) of your most recent issued USFWS permit. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

Section D.3 **CERTIFICATION:**

- **The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink.** This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT
Paperwork Reduction Act, Privacy Act, and Freedom of Information Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

1. The gathering of information on fish and wildlife is authorized by:
(Authorizing statutes can be found at: <http://www.gpoaccess.gov/cfr/index.html> and <http://www.fws.gov/permits/ltr/ltr.html>)
 - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
 - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
 - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
 - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, *et. seq.*), 50 CFR 18;
 - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
 - f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
 - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), <http://www.cites.org/>, 50 CFR 23;
 - h. General Provisions, 50 CFR 10;
 - i. General Permit Procedures, 50 CFR 13; and
 - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. We may not conduct or sponsor and you are not required to respond to collection of information unless it displays a currently valid OMB control number.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - a. Routine disclosure to subject matter experts, and Federal, Tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. Routine disclosure to Federal, Tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. Routine disclosure to Federal, Tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - g. Routine disclosure to the appropriate Federal, Tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - i. Routine disclosure to the Government Accountability Office or Congress when the information is required for the evaluation of the permit programs.
 - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for an Export of Artificially Propagated Plants application is 120 minutes. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 2042-PDM, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

DO NOT RETURN THIS PAGE WITH THE APPLICATION

PART II. *Cattleya*, *Cymbidium*, *Dendrobium* (*D. phalaenopsis* and *D. nobile* only), *Oncidium* (non-native only), *Phalaenopsis*, and *Vanda* Hybrids. Provide the information requested in Table 1 for interspecific and intergeneric hybrids derived only from *Cattleya*, *Cymbidium*, *Dendrobium* (*D. phalaenopsis* and *D. nobile* only), *Oncidium* (non-native species only), *Phalaenopsis*, or *Vanda* orchids. Both/all parents must come from within this group; please use Table II for hybrids that include any parental species that are not in this group. Refer to Codes below for sections b-e. **For all other specimens, including other orchid hybrids, proceed to Part II.**

TABLE 1. *CATTLEYA*, *CYMBIDIUM*, *DENDROBIUM* (*D. phalaenopsis* and *nobile*), *ONCIDIUM* (non-native), *PHALAEOPSIS*, or *VANDA* HYBRIDS

Item No.	a. Hybrid Name (Include parental genera or grex)	b. Specimen Description at the time of export <i>specify code</i>	c. Growing Environment <i>specify code</i>	d. Propagation Method(s) <i>specify code</i>	e. Name of Propagating Facility

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b. Specimen Description: 1=Seedlings; 2=Juveniles; 3=Mature/blooming size; 4=Cuttings/divisions; 5=Roots/rhizomes; 10=Other (specify).
c. Growing Environment: 1 = Greenhouse; 2 = Lathhouse; 3 = Lab; 4 = Field; 5 = Other (specify).
d. Propagation Method to produce the specimens to be exported: 1 = Seeds; 2 = Stem or root/rhizome cuttings/divisions; 3 = *In vitro*; 4 = Other (specify).

⇒ If you are NOT requesting authorization to export any other specimens, proceed to Part V.
⇒ For all other plants, including orchid hybrids derived from any parental species that are not in the above group, proceed to Part II.

PART III. Other specimens to be exported (excluding hybrids in Part I). For each species/subspecies/cultivar/hybrid you are requesting to include on your master file, you will need to provide the following information:

A) Scientific name; B) description of the specimen at the time of export; C) for extracts, powders or specimens in some processed format, the amount (weight or volume) of specimens to be exported and the estimated number of plants needed to produce the extract/powder/etc.; D) the growing environment used to produce the specimens; E) the form of propagation used to grow the specimens; and F) the name of the propagating facility. If you obtain specimens of the same species/subspecies/cultivar/hybrid from multiple sources, you will need to provide all of the above information for each source. The following table is provided for your convenience. Refer to the Codes below for sections c-f. If you obtain specimens from multiple sources, please complete a separate line for each source.

TABLE 2. SPECIMEN INVENTORY

Item No.	a. Scientific Name (Genus, species, sub-species, cultivar) If hybrid, list parental species	b. Common Name	c. Specimen Description at the time of export <i>specify code</i>	d. For extracts, powders, or other		e. Growing Environment <i>specify code</i>	f. Propagation Method <i>specify code</i>	g. Name of Propagating Facility
				Unit	Plants/Unit			

CODES

c. Specimen Description: 1=Seeds; 2=Flasks; 3=Seedlings; 4=Juveniles; 5=Mature/blooming size; 6=Cuttings/divisions; 7=Roots/rhizomes; 8=Extracts; 9=Powders; 10=Other (specify).

d. Unit: Provide the total weight or volume of the item; **Plants / Unit:** Provide the total number of plants used to produce the total weight or volume.

e. Growing Environment: 1=Greenhouse; 2=Lathhouse; 3=Lab; 4=Field; 5=Other (specify).

f. Propagation Method to produce the specimens to be exported: 1=Seeds; 2=Stem or root/rhizome cuttings/divisions; 3=*In vitro*; 4=Other (specify).

PART IV. Maintenance and Origin of Parental Stock (for all specimens in Part III). Table 3 describes the plants used to **propagate** specimens (i.e., parental stock) identified in Table 2 (this information does not need to be provided for hybrids included in Table 1). If you do not maintain the parental stock, please obtain the requested information from the propagator of the specimens. Refer to each specimen using the **Item number** from Table 2. In question 5, you were asked to provide certain documentation to support your application – please use the Item number below to cross-reference those documents. Refer to the Codes below for sections b, c, and h.

TABLE 3. PARENTAL STOCK (for specimens in Part II).

Item No. <i>from Table 2</i>	a. No. of parental plants maintained	b. Growing Environment <i>specify code</i>	c. Origin of Parental Stock <i>specify code</i>	d. Supplier NAME if you do not maintain parental stock Provide contact information in Table 4	e. If wild origin, location of collection (indicate the country or, if U.S., the nearest city, county, state) Please attach copy of CITES export document or phytosanitary certificate	f. Date(s) Parental stock was Acquired	g. Quantity Acquired	h. Material Acquired <i>specify code</i>	i. If you maintain parental stock, how often do you obtain additional stock from a supplier or from the wild? Explain.

CODES
b. Growing Environment for parental plants: 1=Greenhouse; 2=Lathouse; 3=Lab; 4=Field; 5=Other (specify).
c. Origin of Parental Stock: 1=Cultivated; 2=Wild
h. Material Acquired (purchased or collected): 1=Seeds; 2=Flasks; 3=Seedlings; 4=Juveniles; 5=Mature/blooming size; 6=Cuttings/divisions; 7=Roots/rhizomes; 8=Other (specify).

