

This document contains the Eligibility Certification Form and checklist for the 2013 Malcolm Baldrige National Quality Award. Before filling out the form, please see *Is Your Organization Eligible?* (<http://www.nist.gov/baldrige/enter/eligible.cfm>) on our Web site.

The form uses text fields ( ) that expand as you type. To enter text, place your cursor in the field, click to highlight the field, and begin typing. Use the Tab key to navigate to the next field.

In addition to the general eligibility conditions and eligibility category requirements detailed on our Web site (see *Is Your Organization Eligible?* (<http://www.nist.gov/baldrige/enter/eligible.cfm>), your organization must meet ONE of the criteria listed below to apply for the Baldrige Award. If you have questions, please call (877) 237-9064, option 3.

If using criteria 2, 3, or 4, please fill in the information requested below.

1. My organization has won the Baldrige Award.	Yes	Your organization is eligible (five-year rule as stated on page 7 is still in effect).	No	Continue with statement 2.
2. Between 2008 and 2012, my organization received the top award from an award program that is a member of the Alliance for Performance Excellence.	Yes	Your organization is eligible. Award program: Year of top award:	No	Continue with statement 3.
3. Between 2008 and 2012, my organization applied for the national Baldrige Award, and the total of the process and results band numbers assigned in the feedback report was 8 or higher.	Yes	Your organization is eligible. Year: Total of band scores:	No	Continue with statement 4.
4. Between 2008 and 2012, my organization applied for the national Baldrige Award and received a site visit.	Yes	Your organization is eligible. Year of site visit:	No	Continue with statement 5.
5. More than 25% of my organization's workforce is located outside the organization's home state.	Yes	Your organization is eligible.	No	Continue with statement 6.
6. There is no Alliance for Performance Excellence award program available for my organization.	Yes	Your organization is eligible.	No	Call 877-237-9064, option 3.

### 1. Your Organization

Official name		Headquarters address	
Other name			
Prior name	<i>(if changed within the past 5 years)</i>		

### 2. Highest-Ranking Official

Mr.  Mrs.  Ms.  Dr.

Name		Address	<input type="checkbox"/> Same as above
Job title			
E-mail			
Telephone			
Fax			

### 3. Eligibility Contact Point

*Designate a person who can answer inquiries about your organization. Questions from your organization and requests from the Baldrige Program will be limited to this person and the alternate identified below.*

Mr.  Mrs.  Ms.  Dr.

Name		Address	<input type="checkbox"/> Same as above
Job title			
E-mail		Overnight mailing address	<input type="checkbox"/> Same as above <i>(Do not use a P.O. box number.)</i>
Telephone			
Fax			

### 4. Alternate Eligibility Contact Point

Mr.  Mrs.  Ms.  Dr.

Name		Telephone	
E-mail		Fax	



**5. Application History**

a. Has your organization previously submitted an eligibility certification package?

Yes. *Indicate the year(s). Also indicate the organization's name at that time, if different.*

Year(s)	
Name(s)	

No

Don't know

b. Has your organization ever received the Malcolm Baldrige National Quality Award?

Yes. Did your organization receive the award in 2007 or earlier?

Yes. *Your organization is eligible to apply for the award.*

No. *If your organization received an award between 2008 and 2012, it is eligible to apply for feedback only. Contact the Baldrige Program at (877) 237-9064, option 3, if you have questions.*

No

c. *(Optional; for statistical purposes only)* Has your organization participated in a state or local Baldrige-based award process?

Yes. Years:

No

**6. Award Category**

See Is Your Organization Eligible? (<http://www.nist.gov/baldrige/enter/eligible.cfm>) on our Web site.

a. Award category *(Check one.)*

*Your education or health care organization may use the Business/Nonprofit Criteria and apply in the service, small business, or nonprofit category. However, you probably will find the sector-specific Criteria more appropriate.*

**For-Profit**

**Nonprofit**

Manufacturing

Nonprofit

Service

Education

Small business (≤ 500 employees)

Health care

Education

Health care

b. Industrial classifications. List up to three of the most descriptive NAICS codes for your organization (see NAICS list included at the end of this document). *These are used to identify your organizational functions and to assign applications to examiners.*

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**If you are unable to respond to any item, call (877) 237-9064, option 3, before submitting this form.**

**7. Organizational Structure**

- a. For the preceding fiscal year, the organization had in
- |   |   |   |                                  |
|---|---|---|----------------------------------|
| <input type="checkbox"/> up to \$1 million            | <input type="checkbox"/> \$1.1 million–\$10 million     | ⇒ | <input type="checkbox"/> sales   |
| <input type="checkbox"/> \$10.1 million–\$100 million | <input type="checkbox"/> \$100.1 million –\$500 million |   | <input type="checkbox"/> revenue |
| <input type="checkbox"/> \$500.1 million–\$1 billion  | <input type="checkbox"/> more than \$1 billion          |   | <input type="checkbox"/> budget  |
- b. Attach a line-and-box organization chart that includes divisions or unit levels. In each box, include the name of the unit or division and the name of its leader. Do not use shading or color in the boxes.
- The chart is attached.
- c. The organization is \_\_\_\_\_ a larger parent or system. *(Check all that apply.)*
- not a subunit of *(Proceed to item 8.)*

<input type="checkbox"/> a subsidiary of	<input type="checkbox"/> controlled by	<input type="checkbox"/> administered by	<input type="checkbox"/> owned by
<input type="checkbox"/> a division of	<input type="checkbox"/> a unit of	<input type="checkbox"/> a school of	<input type="checkbox"/> other _____

Parent organization	<input style="width: 100%;" type="text"/>	Address	<input style="width: 100%;" type="text"/>
Total number of paid employees*	<input style="width: 100%;" type="text"/>		
Highest-ranking official	<input style="width: 100%;" type="text"/>	Job title	<input style="width: 100%;" type="text"/>
Telep one	<input style="width: 100%;" type="text"/>		

*\*Paid employees include permanent, part-time, temporary, and telecommuting employees, as well as contract employees **supervised by the organization**. Include employees of subunits but not those of joint ventures.*

d. Is your organization the only subunit of the parent intending to apply for the award? *Based on the parent organization's size, the program may accept multiple applications within or across award categories from subunits (see Is Your Organization Eligible? (<http://www.nist.gov/baldrige/enter/eligible.cfm>)).*

Yes    No *(Briefly explain below.)*    Don't know

e. Attach a line-and-box organization chart(s) showing your organization's relationship to the parent's highest management level, including all intervening levels. In each box, include the name of the unit or division and its leader. Do not use shading or color in the boxes.

The chart is attached.

f. Considering the organization chart, briefly describe below how your organization relates to the parent and its other subunits in terms of products, services, and management structure.

- g. Provide the title and date of an official document (e.g., an annual report, organizational literature, a press release) that clearly defines your organization as a discrete entity.

Title

Date

Attach a copy of relevant portions of the document. If you name a Web site as documentation, print and attach the relevant pages, providing the name only (not the URL) of the Web site.

Relevant portions of the document are attached.

- h. Briefly describe the major functions your parent or its other subunits provide to your organization, if appropriate. *Examples are strategic planning, business acquisition, research and development, facilities management, data gathering and analysis, human resource services, legal services, finance or accounting, sales/marketing, supply chain management, global expansion, information and knowledge management, education/training programs, information systems and technology services, curriculum and instruction, and academic program coordination/development.*

## 8. Eligibility Determination

See also Is Your Organization Eligible? (<http://www.nist.gov/baldrige/enter/eligible.cfm>).

- a. Is your organization a distinct organization or business unit headquartered in the United States?

Yes  No *Briefly explain.*

- b. Has your organization officially or legally existed for at least one year, or since April 2, 2012?

Yes  No

- c. Can your organization respond to all seven Baldrige Criteria categories? Specifically, does your organization have processes and related results for its unique operations, products, and/or services? For example, does it have an independent leadership system to set and deploy its vision, values, strategy, and action plans? Does it have approaches for engaging customers and the workforce, as well as for tracking and using data on the effectiveness of these approaches?

Yes  No

- d. If some of your organization's activities are performed outside the United States or its territories and your organization receives a site visit, will you make available sufficient personnel, documentation, and facilities in the United States to allow a full examination of your worldwide organization?

Yes  No  Not applicable

- e. If your organization receives an award, can it make sufficient personnel and documentation available to share its practices at The Quest for Excellence Conference and at your organization's U.S. facilities?

Yes  No

If you checked "No" for 8a, 8b, 8c, 8d, or 8e, call the Baldrige Program at (877) 237-9064, option 3.

**If you are unable to respond to any item,  
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**Questions for Subunits Only**

- f. Is your subunit recognizably different from the parent and its other subunits? For example, do your customers distinguish your products and services from those of the parent and/or other subunits? Are your products or services unique within the parent? Do other units within the parent provide the same products or services to a different customer base?
- Yes. *Continue with 8g.*
- No. *Your subunit probably is not eligible to apply for the award. Call the Baldrige Program at (877) 237-9064, option 3.*
- g. Is your organization a subunit in education or health care? (*Check your eligibility by reading Is Your Organization Eligible? (<http://www.nist.gov/baldrige/enter/eligible.cfm>) on our Web site.*)
- Yes. **Proceed to item 9.**
- No. *Continue with 8h.*
- h. Does your subunit have more than 500 paid employees?
- Yes. *Your organization is eligible to apply for the award. **Proceed to item 9.***
- No. *Continue with 8i.*
- i. Is your subunit in manufacturing or service?
- Yes. Is it separately incorporated and distinct from the parent's other subunits? Or was it independent before being acquired by the parent, and does it continue to operate independently under its own identity?
- Yes. *Your subunit is eligible in the small business category. Attach relevant portions of a supporting official document (e.g., articles of incorporation) to this form. **Proceed to item 9.***
- No. *Continue with 8j.*
- No. *Your subunit probably is not eligible to apply for the award. Call the Baldrige Program at (877) 237-9064, option 3.*
- j. Does your subunit (1) have more than 25 percent of the parent's employees, *and* (2) does your subunit sell or provide 50 percent or more of its products or services directly to customers/users outside your subunit, its parent, and other organizations that own or have financial or organizational control of your subunit or the parent?
- Yes. *Your organization is eligible to apply for the award.*
- No. *Your organization probably is not eligible to apply for the award. Call the Baldrige Program at (877) 237-9064, option 3.*

**9. Supplemental Sections**

The organization has (a) a single performance system that supports all of its product and/or service lines and (b) products or services that are essentially similar in terms of customers/users, technology, workforce or employee types, and planning.

- Yes. *Proceed to item 10.*
- No. *Your organization may need to submit one or more supplemental sections with its application. Call the Baldrige Program at (877) 237-9064, option 3.*

Eligibility package due April 2, 2013 (February 19 if you nominate an examiner)

Award package due May 14, 2013 (April 30 on CD only)

**10. Application Format**

If your organization applies for the 2013 award, in which format will you submit your application?

- 15 paper copies **and** a CD (must be postmarked on or before May 14, 2013)
- CD only (must be postmarked on or before April 30, 2013)

**11. Use of Cell Phones, Cordless Phones, and Voice-over-Internet Protocol (VoIP)**

Do you authorize Baldrige examiners to use cell phones, cordless phones, and VoIP to discuss your application? *Your answer will not affect your organization's eligibility. Examiners will hold all your information in strict confidence and will discuss your application only with other assigned examiners and with Baldrige Program representatives as needed.*

- Yes  No

**12. Site Listing**

You may attach or continue your site listing on a separate page as long as you include all the information requested here. You may group sites by function or location (city, state), as appropriate. Please include the total for **each column** (sites, employees/faculty/staff, and volunteers). *If your organization receives a site visit, the Baldrige Program will request a more detailed listing. Although site visits are not conducted at facilities outside the United States or its territories, these facilities may be contacted by teleconference or videoconference.*

Example				
	Sites (U.S. and Foreign) <i>List the city and the state or country.</i>	Workforce* <i>List the numbers at each site.</i>		<i>List the % at each site, or use "N/A" (not applicable).</i>
		<i>Check one or more.</i> <input type="checkbox"/> Employees <input type="checkbox"/> Faculty <input type="checkbox"/> Staff	Volunteers (or <input type="checkbox"/> N/A)	<i>Check one.</i> % of <input type="checkbox"/> Sales <input type="checkbox"/> Revenue <input type="checkbox"/> Budget
	Coyote Hall Albuquerque, NM	381 Faculty 200 Staff	25	95%
	Cactus Hall Bernalillo, NM	17 Faculty 2 Staff	3	5%
<b>Total</b>	<b>2</b>	<b>600</b>	<b>28</b>	<b>100%</b>

*\*The term "workforce" refers to all people actively involved in accomplishing the work of your organization, including paid employees (e.g., permanent, part-time, temporary, and telecommuting employees, as well as contract employees supervised by the organization) and volunteers, as appropriate. The workforce includes team leaders, supervisors, and managers at all levels.*

Your Organization				
	Sites (U.S. and Foreign) <i>List the city and the state or country.</i>	Workforce* <i>List the numbers at each site.</i>		<i>List the % at each site, or use "N/A" (not applicable).</i>
		<i>Check one or more.</i> <input type="checkbox"/> Employees <input type="checkbox"/> Faculty <input type="checkbox"/> Staff	Volunteers (or <input type="checkbox"/> N/A)	<i>Check one.</i> % of <input type="checkbox"/> Sales <input type="checkbox"/> Revenue <input type="checkbox"/> Budget

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<b>Total</b>			<b>100%</b>

\*The term “workforce” refers to all people actively involved in accomplishing the work of your organization, including paid employees (e.g., permanent, part-time, temporary, and telecommuting employees, as well as contract employees supervised by the organization) and volunteers, as appropriate. The workforce includes team leaders, supervisors, and managers at all levels.

**13. Key Business/Organization Factors**

List or briefly describe the following key business/organization factors. Please be concise, but be as specific as possible. Provide full names of organizations (i.e., do not use acronyms). *The Baldrige Program uses this information to avoid conflicts of interest when assigning examiners to your application. Examiners also use this information in their evaluations.*

- a. Main products and/or services and major markets served (local, regional, national, and international)

- b. Key competitors (those that constitute 5 percent or more of your competitors)

- c. Key customers/users (those that constitute 5 percent or more of your customers/users)

- d. Key suppliers/partners (those that constitute 5 percent or more of your suppliers/partners)

- e. Financial auditor

- f. Fiscal year (e.g., October 1–September 30)

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## 14. Nomination to the Board of Examiners

If you submit your eligibility certification package on or before February 19, 2013, you may nominate one senior member from your organization to the 2013 Board of Examiners.

Nominees are appointed for one year only. Nominees

- **must not have served previously on the Board of Examiners** and
- must be citizens of the United States, be located in the United States or its territories, and be employees of the applicant organization.

The program limits the number of examiners from any one organization. If your organization already has representatives on the board, nominating an additional person may affect their reappointment.

Board appointments provide a significant opportunity for your organization to learn about the Criteria and the evaluation process. The time commitment is also substantial: examiners commit to a minimum of 110 hours from April to December, including approximately 40 hours in April/May to complete self-study, three to four days in May to attend Examiner Preparation, and 50–70 hours from June through August to complete an Independent and Consensus Review. If requested by the program, examiners also participate in a Site Visit Review of approximately nine days. The nominee or the organization must cover travel and housing expenses incurred for Examiner Preparation.

Mr.  Mrs.  Ms.  Dr.


from our organization will serve on the 2013 Board of Examiners.

E-mail address

I understand that the nominee or the organization will cover travel and hotel costs associated with participation in Examiner Preparation.

## 15. Fee

Indicate your method of payment for the \$360 eligibility certification fee.

<input type="checkbox"/> Check (enclosed) <input type="checkbox"/> Money order (enclosed) <i>Make payable to the <b>Malcolm Baldrige National Quality Award</b>.</i>			
<input type="checkbox"/> ACH payment <input type="checkbox"/> Wire transfer		Checking ABA routing number: 075-000-022 Checking account number: 182322730397	
<i>Before sending an ACH payment or wire transfer, notify the American Society for Quality (ASQ; [414] 298-8789, ext. 7205, or <a href="mailto:mbnqa@asq.org">mbnqa@asq.org</a>). Reference the Baldrige Award with your payment.</i>			
<input type="checkbox"/> Visa <input type="checkbox"/> MasterCard <input type="checkbox"/> American Express			
Card number		Authorized signature	
Expiration date		Printed name	
Card billing address		Today's date	

### W-9 Request

If you require an IRS Form W-9 (Request for Taxpayer Identification Number and Certification), contact ASQ at (414) 298-8789, ext. 7205.

## 16. Self-Certification and Signature

I state and attest the following:

- (1) I have reviewed the information provided in this eligibility certification package.
- (2) To the best of my knowledge,
  - this package includes no untrue statement of a material fact, and
  - no material fact has been omitted.
- (3) Based on the information herein and the current eligibility requirements for the Malcolm Baldrige National Quality Award, my organization is eligible to apply.
- (4) I understand that if the information is found not to support eligibility at any time during the 2013 award process, my organization will no longer receive consideration for the award and will receive only a feedback report.

Signature of highest-ranking official	Printed name	Date

## 17. Submission

To be considered for the 2013 award, submit your eligibility certification package

- on or before February 19, 2013, if you include a nomination to the Board of Examiners
- on or before April 2, 2013, without a nomination, to

Malcolm Baldrige National Quality Award  
 c/o ASQ—Baldrige Award Administration  
 600 North Plankinton Avenue  
 Milwaukee, WI 53203  
 (414) 298-8789, ext. 7205

Include proof of the mailing date. Send the package via

- a delivery service (e.g., Airborne Express, Federal Express, United Parcel Service, or the United States Postal Service [USPS] Express Mail) that automatically records the mailing date or
- the USPS (other than Express Mail), with a dated receipt from the post office.

**Eligibility package due April 2, 2013 (February 19 if you nominate an examiner)**  
**Award package due May 14, 2013 (April 30 on CD only)**



## 1. Eligibility Certification Form\*

- I have answered all questions completely.
- I have included a line-and-box organization chart showing all components of the organization and the name of each unit or division and its leader.
- The highest-ranking official has signed the form.

### For Subunits Only

- I have included a line-and-box organization chart(s) showing the subunit's relationship to the parent's highest management level, including all intervening levels.
- I have enclosed copies of relevant portions of an official document clearly defining the subunit as a discrete entity.

*\*Please do not staple the pages of this form.*

## 2. Fee

- I have indicated my method of payment for the nonrefundable \$360 eligibility certification fee.
- If paying by check or money order, I have made it payable to the **Malcolm Baldrige National Quality Award** and included it in the eligibility certification package.

## 3. Submission and Examiner Nomination

- I am nominating a senior member of my organization to the 2013 Board of Examiners, and I am submitting the eligibility certification package on or before February 19, 2013.
- I am not nominating a senior member of my organization to the 2013 Board of Examiners, and I am submitting the eligibility certification package on or before April 2, 2013.
- I have included proof of the mailing date. *(See page E-8.)*
- I am sending the complete eligibility certification package to

Malcolm Baldrige National Quality Award  
c/o ASQ—Baldrige Award Administration  
600 North Plankinton Avenue  
Milwaukee, WI 53203  
(414) 298-8789, ext. 7205

**North American Industry Classification System (NAICS) Codes**

Please insert NAICS codes most relevant to your organization's products and/or services in the Eligibility Certification Form. For more information about the NAICS codes, go to <http://www.census.gov/eos/www/naics/>.

**Code Sector**

111 Crop Production  
112 Animal Production  
113 Forestry and Logging  
114 Fishing, Hunting and Trapping  
115 Support Activities for Agriculture and Forestry  
211 Oil and Gas Extraction  
212 Mining (except Oil and Gas)  
213 Support Activities for Mining  
221 Utilities  
233 Building, Developing and General Contracting  
234 Heavy Construction  
235 Special Trade Contractors  
238 Specialty Trade Contractors  
311 Food Manufacturing  
312 Beverage and Tobacco Product Manufacturing  
313 Textile Mills  
314 Textile Product Mills  
315 Apparel Manufacturing  
316 Leather and Allied Product Manufacturing  
321 Wood Product Manufacturing  
322 Paper Manufacturing  
323 Printing and Related Support Activities  
324 Petroleum and Coal Products Manufacturing  
325 Chemical Manufacturing  
326 Plastics and Rubber Products Manufacturing  
327 Nonmetallic Mineral Product Manufacturing  
331 Primary Metal Manufacturing  
332 Fabricated Metal Product Manufacturing  
333 Machinery Manufacturing  
3331 Agriculture, Construction, and Mining Machinery Manufacturing  
3332 Industrial Machinery Manufacturing  
3333 Commercial and Service Industry Machinery Manufacturing  
3334 Ventilation, Heating, Air-Conditioning and Commercial Equipment Manufacturing  
3335 Metalworking Machinery Manufacturing  
3336 Engine, Turbine, and Power Transmission Equipment  
3339 Other General Purpose Machinery Manufacturing  
334 Computer and Electronic Product Manufacturing  
3341 Computer and Peripheral Equipment Manufacturing  
3342 Communications Equipment Manufacturing  
3344 Semiconductor and Other Electronic Component Manufacturing  
335 Electrical Equipment, Appliance and Component Manufacturing  
3353 Electrical Equipment Manufacturing  
3359 Other Electrical Equipment and Component Manufacturing  
336 Transportation Equipment Manufacturing  
337 Furniture and Related Product Manufacturing

**If you are unable to respond to any item,  
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339 Miscellaneous Manufacturing  
421 Wholesale Trade, Durable Goods  
422 Wholesale Trade, Nondurable Goods  
423 Merchant Wholesalers, Durable Goods  
425 Wholesale Electronic Markets and Agents and Brokers  
441 Motor Vehicle and Parts Dealers  
442 Furniture and Home Furnishings Stores  
443 Electronics and Appliance Stores  
444 Building Material and Garden Equipment and Supplies Stores  
445 Food and Beverage Stores  
446 Health and Personal Care Stores  
447 Gasoline Stations  
448 Clothing and Clothing Accessories Stores  
451 Sporting Goods, Hobby, Book and Music Stores  
452 General Merchandise Stores  
453 Miscellaneous Store Retailers  
454 Nonstore Retailers  
481 Air Transportation  
482 Rail Transportation  
483 Water Transportation  
484 Truck Transportation  
485 Transit and Ground Passenger Transportation  
486 Pipeline Transportation  
487 Scenic and Sightseeing Transportation  
488 Support Activities for Transportation  
491 Postal Service  
492 Couriers and Messengers  
493 Warehousing and Storage Facilities  
511 Publishing Industries  
512 Motion Picture and Sound Recording Industries  
513 Broadcasting and Telecommunications  
514 Information Services and Data Processing Services  
517 Telecommunications  
521 Monetary Authorities - Central Bank  
522 Credit Intermediation and Related Activities  
5221 Depository Credit Intermediation  
5222 Non-Depository Credit Intermediation  
5223 Activities Related to Credit Intermediation  
523 Securities, Commodity Contracts and Other Intermediation  
5231 Securities and Commodity Contracts Intermediation  
5232 Securities and Commodity Exchanges  
5239 Other Financial Investment Activities  
524 Insurance Carriers and Related Activities  
525 Funds, Trusts and Other Financial Vehicles (U.S. Organizations)  
5251 Insurance and Employee Benefit Funds  
5259 Other Investment Pools and Funds  
531 Real Estate  
532 Rental and Leasing Services  
533 Owners and Lessors of Other Non-Financial Assets  
541 Professional, Scientific and Technical Services  
551 Management of Companies and Enterprises  
561 Administrative and Support Services  
562 Waste Management and Remediation Services

**Eligibility package due April 2, 2013 (February 19 if you nominate an examiner)**

**Award package due May 14, 2013 (April 30 on CD only)**

- 611 Educational Services
  - 6111 Elementary and Secondary Schools
  - 6112 Junior Colleges
  - 6113 Colleges, Universities, and Professional Schools
  - 6114 Business Schools and Computer and Management Training
  - 6115 Technical and Trade Schools
  - 6116 Other Schools and Instruction
  - 6117 Educational Support Services
- 621 Ambulatory Health Care Services
  - 6211 Offices of Physicians
  - 6212 Offices of Dentists
  - 6213 Offices of Other Health Practitioners
  - 6214 Outpatient Care Centers
  - 6215 Medical and Diagnostic Laboratories
  - 6216 Home Health Care Services
  - 6219 Other Ambulatory Health Care Services
- 622 Hospitals
- 623 Nursing and Residential Care Facilities
- 624 Social Assistance
- 711 Performing Arts, Spectator Sports and Related Industries
- 712 Museums, Historical Sites and Similar Institutions
- 713 Amusement, Gambling and Recreation Industries
- 721 Accommodation (hotels)
- 722 Food Services and Drinking Places
- 811 Repair and Maintenance
- 812 Personal and Laundry Services
- 813 Religious, Grantmaking, Civic, and Professional and Similar Organizations
- 814 Private Households
- 921 Executive, Legislative, Public Finance and General
- 922 Justice, Public Order, and Safety
- 923 Administration of Human Resource Programs
- 924 Administration of Environmental Quality Programs
- 925 Administration of Housing Programs, Urban Planning
- 926 Administration of Economic Programs
- 927 Space Research and Technology
- 928 National Security and International Affairs
- 999 Unclassified Establishments

**If you are unable to respond to any item,  
call (877) 237-9064, option 3, before submitting this form.**



## Paperwork Reduction Act Statement

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

The reason for collecting this information is to allow organizations to apply for the Malcolm Baldrige National Quality Award (award). The information obtained will assist in determining the award recipients. Responses to the collection of information are required for organizations to be considered for the award. Confidentiality of the submitted information is covered under the Freedom of Information Act to the extent possible under the law.

The public reporting burden for this collection is estimated to average 100 hours for the initial response of the first-time applicant (this includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the relevant data, and completing and reviewing the collection of information). As the organization reapplies for the award in future years, this burden may change, in either direction, based on the feedback the respondent gains from its first application.

Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to

Dr. Harry Hertz, Director  
Baldrige Performance Excellence Program  
National Institute of Standards and Technology  
Administration Building, Room A600  
100 Bureau Drive, Stop 1020  
Gaithersburg, MD 20899-1020

and to

Office of Information and Regulatory Affairs  
Office of Management and Budget  
Washington, DC 20503