

**U.S. COAST GUARD  
PORT STATE CONTROL REPORT OF INSPECTION  
FORM A (CG-5437A)**

**INSTRUCTIONS**

The Port State Control Report of Inspection - FORM A is intended to provide documentation to the various parties associated with a foreign vessel and other port States on the outcome of an International Ship & Port Facility Security (ISPS) Code compliance verification examination and/or Port State Control (PSC) safety examination conducted by the U.S. Coast Guard. A Report of Inspection - FORM A shall be completed for all ISPS & PSC exams.

**General**

- 1) All dates should be in the mm/dd/yyyy format and all times are to be local.
- 2) As MISLE Activities should be created before conducting the exam, ensure the MISLE Activity number is indicated in the MISLE Activity number box in the upper right corner of the form.

**Block 2** – List the current name of the ship. If the vessel recently changed its name, add the previous ship's name in parentheses, e.g. (Ex: OLD NAME).

**Block 3** – Spell out Flag Administration, do not use 2 letter country code.

**Block 4** – See list of ship types.

**Block 7** – Use Gross Tons ITC per International Tonnage Convention Certificate. If the vessel also has Gross Register Tonnage or National Tonnage, include this information in parentheses, e.g. (Nat. Tonnage 482) or (GRT 314).

**Block 8** – Only applies to oil tankers, use value shown on IOPP Certificate.

**Block 12** – The Recognized Organization (RO) and/or Recognized Security Organization (RSO) should be listed here. If not enough room to list, make note on bottom of form.

**Block 13** – This section shall be completed regardless if the inspection date is the same as the detention date.

**Block 14** – Include the full address for the ship management information. For ISM Document of Compliance (DOC) Company, see definition in SOLAS Regulation IX/1.2.

**Block 15a** – List ALL certificates that are related to deficiencies that are grounds for detention (e.g. If the vessel is detained for deficiencies with the lifeboat, list the Safety Equipment Certificate, if detained for poor access control or poor control of restricted areas, list the International Ship Security Certificate, if detained for both safety and security, list all relevant certificates).

**Block 15d** – Dates of last survey relative to the listed certificate must be listed as they are critical for CG-5432 to determine whether a RO or RSO should be associated with the detention. This directly impacts vessel targeting. If no annual dates are indicated on the relevant certificate, use the survey date in which the certificate was based on (usually located on the first page).

**Block 16** – If checked “yes”, complete FORM B (CG-5437B). Provide copies to the vessel, flag State and/or RO/RSO only after the Master or vessel representative and the PSCO have signed the forms.

**Block 17** – In the event of a detention, expulsion or denial of entry; provide a copy (fax or email) of Forms A and B to the flag State, owner/operator/charterer, RO and RSO as applicable after the supervisor has reviewed and signed the forms. Include the scanned forms in MISLE under the Documents tab and email them to CG-5432 at [CG543@uscg.mil](mailto:CG543@uscg.mil) with the return receipt option checked. Include a comment in the email if more than one major control action was applied (i.e. detained, expelled, denied entry). Also specify the number of CG detentions the vessel has had over the last 12 months.

## Port State Control Report of Inspection- Form A\*

In accordance with IMO Port State Control Procedures [Resolution A.787(19), as amended by Resolution A.882(21)] and the International Ship & Port Facility Security (ISPS) Code

MISLE Activity Number

Exam Type

<b>1. Reporting Country:</b> United States of America		<b>2. Name of Ship:</b>	
<b>3. Flag of Ship:</b>		<b>4. Ship Type IMO Code:</b>	<b>5. Call Sign:</b>
<b>6. IMO Number:</b>		<b>7. Gross Tonnage:</b>	<b>8. Deadweight</b> (where applicable):
<b>9. Yr. of Build:</b>	<b>10. Date of Inspection:</b>	<b>11. Place of Inspection:</b>	
<b>12. Classification Society:</b>		<b>13. Detention Info **:</b> a) Date/Time Imposed: b) Date/Time Released:	

**14. Ship Management Information (include address):**

**Charterer:**

**ISM DOC Company w/IMO Company #:**

<b>Owner w/IMO Company #:</b>	<b>Operator w/IMO Company #:</b>
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**15. Relevant Certificates/Documents\*\*:**

a) Name	b) Issuing Authority	c) Dates of Issue and Expiry
1) _____	_____	_____
2) _____	_____	_____
3) _____	_____	_____
4) _____	_____	_____
5) _____	_____	_____

  

d) Information on last intermediate or annual survey/audit\*\*

Date	Surveying/Auditing Authority	Place
1) _____	_____	_____
2) _____	_____	_____
3) _____	_____	_____
4) _____	_____	_____
5) _____	_____	_____

Check all applicable for 16-19 below:

<b>16. Deficiencies Identified:</b>	No	Yes (If yes see Form B (CG-5437B))						
<b>17. Major Control Action:</b>	No	Detention	Expulsion	Denial of Entry: For	Safety	Security		
<b>18. Priority:</b>	PI	PII	NPV	ISPS I	ISPS II	ISPS III	Random	MODU
<b>19. Drills Conducted?</b>	No	Fire Drill	Abandon Ship Drill	ISPS/Security Related Drill				

**Copy provided to:** \_\_\_\_\_ (Printed name of Master/Vessel representative) \_\_\_\_\_ (Signature)

**Name of PSCO:** \_\_\_\_\_ (Printed name of duly authorized PSCO of reporting authority) \_\_\_\_\_ (Signature)

<b>Issuing Unit Name and Address:</b>	<b>Copies forwarded to:</b> Check as appropriate	<b>Reviewed by Supervisor:</b> (print name, sign and date)
	Agent Flag State Recognized Organization Recognized Security Organization Ship Management	

\* This inspection report has been issued solely for the purposes of informing the master and other port States that an inspection by the USCG has taken place. This inspection report shall not be construed as a seaworthiness certificate in excess of certificates the ship is required to carry.

\*\* To be completed in the event of a detention.  
U.S. Dept. of Homeland Security, USCG, CG-5437A (Rev. 4/11)

## **Appeal Procedures**

### **(Reference: Title 46, Code of Federal Regulations, Subpart 1.03)**

Any person directly affected by a decision or wishing to dispute the validity of a PSC action or their association with an IMO reportable control action should follow the appeal procedures contained in 46 CFR 1.03 and as outlined below:

#### **Detentions (Safety and/or Security) not related with RO/RSO/Charterer**

- 1) Appeals must be submitted in writing within **30 days** after the decision or action being appealed was made. The appeal must contain a description of the decision or action appealed and the reason why the decision or action should be set aside or revised.
- 2) All IMO reportable control action appeals must be submitted first to the cognizant Officer in Charge of Marine Inspection (OCMI) for reconsideration. The issuing unit's name/address is located in the bottom left corner of the Form A.
- 3) If not satisfied by the decision or action of an OCMI after requesting reconsideration, a formal appeal of that decision or action may be made within **30 days**, via the office of the cognizant OCMI, to the District Commander of the district in which the office of the cognizant OCMI is located.
- 4) If not satisfied by the District Commander's decision or action on appeal, a formal appeal of that decision or action may be made within **30 days**, via the office of the cognizant District Commander to Commandant (CG-543).
- 5) Commandant (CG-543) is final agency action on the appeal.

#### **RO/RSO/Charterer Related Detentions (Safety and Security)**

- 1) Any RO/RSO/Charterer wishing to dispute their association with a detention must make their appeal in writing within 30 days after the last administrative action is taken by the Coast Guard DIRECTLY to Commandant (CG-5432) at the following address:

United States Coast Guard Headquarters  
COMMANDANT (CG-5432)  
Attn: Foreign and Offshore Vessel Compliance Division  
2100 2<sup>nd</sup> Street SW Stop 7581  
Washington, DC 20593-7581

Or

Email: CG543@uscg.mil

## **U.S. COAST GUARD PORT STATE CONTROL**

For information on the U.S. Coast Guard's Port State Control (PSC) Program, you may visit our website at:  
<http://homeport.uscg.mil/psc>