SUPPORTING STATEMENT OMB No. 1651-0121 Trusted Traveler Programs and U.S. APEC Business Travel Card

A. Justification

Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

This submission is to update the number of estimated respondents in the U.S. Asia-Pacific Economic Cooperation Business Travel Card program (ABTC). The original estimate was made prior to the commencement of this program. Now that this program is active, there have been more respondents than anticipated so CBP is updating the estimated number of respondents for ABTC, plus the estimates for Global Entry and the Global Entry kiosks because the ABTC participants must submit information under these programs as well.

This collection of information is for CBP's Trusted Traveler Programs including the Secure Electronic Network for Travelers Rapid Inspection (SENTRI), which allows expedited entry at specified southwest land border ports of entry; the Free and Secure Trade program (FAST), which provides expedited border processing for known, low-risk commercial drivers; and Global Entry which allows preapproved, low-risk, air travelers expedited clearance upon arrival into the United States.

The purpose of all of these programs is to provide prescreened travelers expedited entry into the United States. The benefit to the traveler is less time spent in line waiting to be processed. These Trusted Traveler programs are provided for in 8 CFR 235.7 and 235.12.

This information collection also includes the U.S. APEC Business Travel Card (ABTC) Program, which is a voluntary program that allows U.S. citizens to use fast-track immigration lanes at airports in the 20 other Asia-Pacific Economic Cooperation (APEC) member countries. This program is mandated by the Asia-Pacific Economic Cooperation Business Travel Cards Act of 2011, Public Law 112-54 and provided for by 8 CFR 235.13.

These collections of information include the data collected on the applications and kiosks for these programs. Applicants may apply to participate in these programs by using the Global On-line Enrollment System (GOES) at <u>https://goes-app.cbp.dhs.gov</u>. Or they may also apply for SENTRI and FAST

using paper forms (CBP Form 823S for SENTRI and CBP Form 823F for FAST) available at <u>http://www.cbp.gov</u> or at Trusted Traveler Enrollment Centers.

After arriving at the Federal Inspection Services area of the airport, participants in Global Entry can undergo a self-serve inspection process using a Global Entry kiosk. During the self-service inspection, participants have their photograph and fingerprints taken, submit identifying information, and answer several questions about items they are bringing into the United States. When using the Global Entry kiosks, participants are required to declare all articles being brought into the United States pursuant to 19 CFR 148.11.

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The purpose of enrolling in SENTRI, FAST and Global Entry is to prescreen applicants and their vehicles in order to expedite travelers seeking admission to the United States. The target participant is any law abiding frequent traveler who is legally allowed entry into the United States, e.g., students and business people. The benefit to the traveler is less time waiting to be processed by CBP. The purpose of enrolling in the U.S. ABTC Program is so U.S. citizens may use fast-track immigration lanes at airports in the 20 other APEC member countries.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

Applicants may enroll in these programs using the Global On-line Enrollment System (GOES) which is a web-based system at <u>https://goes-app.cbp.dhs.gov</u> Currently, about 98 percent of applicants apply on-line.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

The information collected for SENTRI, FAST, Global Entry and ABTC business card is unique and not duplicated elsewhere.

5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

This information collection does not have an impact on small businesses or other small entities.

6. Describe consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently.

If not collected, the DHS would be unable to meet its statutory mandate, and would not be able to determine eligibility for participation in the SENTRI, FAST, Global Entry and ABTC programs.

7. Explain any special circumstances.

This information collection is conducted in a manner consistent with the guidelines of 5 CFR 1320.6.

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Public comments were solicited through two Federal Register notices including a 60-day notice published on August 21, 2014 (Volume 79, Page 49529) on which no comments were received, and a 30-day notice published on January 13, 2015 (Volume 80, Page 1650) on which no comments have been received.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

There is no offer of a monetary or material value for this information collection.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

A SORN dated April 21, 2006 (Volume 71, Page 20708) entitled Global On-line Enrollment System is included in this ICR. No assurances of confidentiality are provided to respondents.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to

persons from whom the information is requested, and any steps to be taken to obtain their consent.

There are no questions of a sensitive nature.

FORM NUMBER/ TITLE	TOTAL ANNUAL BURDEN HOURS	NO. OF RESPONDENTS	NO. OF RESPONSES PER RESPONDENT	TOTAL RESPONSE S	TIME PER RESPONSE
823S/SENTRI	30,820	46,000	1	46,000	40 minutes (0.67 hours)
823F/FAST	19,370	28,910	1	28,910	40 minutes (0.67 hours)
Global Entry*	425,847	635,593	1	635,593	40 minutes (0.67 hours)
ABTC	957	5,628	1	5,628	10 minutes (0.17 hours)
Kiosks**	35,629	2,226,828	1	2,226,828	1 minute (0.016 hours)
TOTAL	512,623	2,942,959		2,942,959	

12. Provide estimates of the hour burden of the collection of information.

*These estimates include 7,593 respondents who will apply for Global Entry solely for the purpose of U.S. ABTC Program membership. These individuals will concurrently apply for the Global Entry and U.S. ABTC programs in the estimated 40-minute Global Entry time burden. Therefore, we exclude these respondents from this table's ABTC figures. However, these individuals will still have to pay an ABTC application fee along with their Global Entry application fee.

**These estimates include 26,828 responses from 13,414 respondents who will become members of Global Entry solely for the purpose of U.S. ABTC Program membership. CBP assumes that these individuals will use Global Entry kiosks twice a year for ABTC-related travel.

CBP adjusted the figures in the table above to reflect estimates based on actual U.S. ABTC Program application and membership data previously unavailable. In comparison to the last supporting statement:

• The number of respondents for Global Entry increased by 5,468 from 630,125 to 635,593. The related burden hours were also adjusted

accordingly. Compared to the number of Global Entry responses before the implementation of the U.S. ABTC Program, the U.S. ABTC has increased the number of Global Entry responses by 7,593 from 628,000 to 635,593.

- The number of respondents for ABTC increased by 1,378 from 4,250 to 5,628. The related burden hours were also adjusted accordingly.
- The number of respondents using kiosks increased by 26,828 from 2,200,000 to 2,226,828. This change reflects the assumption that 13,414 respondents who will become members of Global Entry solely for the purpose of U.S. ABTC Program membership will use Global Entry kiosks twice a year for ABTC-related travel. The related burden hours were also adjusted accordingly.

Public Cost

The estimated cost to the respondents is **\$22,333,147**. This is based on the estimated burden hours for SENTRI (30,820) multiplied (x) by the average hourly rate of allpurpose car travelers (\$19.58) = \$603,456; plus the estimated burden hours for FAST (19,370) multiplied (x) by the average hourly rate of truck drivers (\$26.58) = **\$514,855**; plus the estimated burden hours for Global Entry applicants not joining solely for U.S. ABTC membership (420,760) multiplied (x) by the average hourly rate of all-purpose air travelers (\$45.64) = **\$19,203,486**; plus the estimated burden hours for Global Entry applicants joining solely for U.S. ABTC membership (5,087) multiplied (x) by the average hourly rate of business air travelers (\$62.54) = **\$318,141**; plus the estimated burden hours for ABTC (957) multiplied (x) by the average hourly rate of business air travelers (\$62.54) = **\$59,851**; plus the estimated burden hours for kiosks used solely for Global Entry travel (35,200) multiplied (x) by the average hourly rate of all-purpose air travelers (\$45.64) = **\$1.606.528**; plus the estimated burden hours for kiosks used solely for ABTC-related travel (429) multiplied (x) by the average hourly rate of business air travelers (\$62.54) = **\$26,830** = **\$22,333,147**. CBP bases this wage on hourly value of travel time data for the occupation from the Department of Transportation (DOT), adjusted using DOT's suggested annual growth rate.

13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information.

There are no record keeping, capital, start-up or maintenance costs associated with this information collection. However, there is a fee of \$122.25 for SENTRI, a \$50 fee for FAST; a \$100 enrollment fee for Global Entry; and a \$70 fee for ABTC.

SENTRI Fee:	46,000	respondents	Х	\$122.25	=
\$5,623,500					

\$1,445,500 Global Entry Fee: 635,593 respondents x \$100 = \$63,559,300 US ABTC Fee: 13,221 respondents x \$70 =\$925,470

\$71,553,770

14. Provide estimates of annualized cost to the Federal Government. Also provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.

The cost to the Government is **\$71,553,770.** This is calculated by the following:

SENTRI: 46,000 applications multiplied (x) by the estimated average cost to process each application (\$122.25) = \$5,623,500.

FAST: 28,910 applications multiplied (x) by the estimated average cost to process each application (\$50) = **\$1,445,500.**

Global Entry: 635,593 applications multiplied (x) by the estimated average cost to process each application (\$100) = **\$63,559,300**

US ABTC: 13,221 applications multiplied (x) by the estimated average cost to process each application (\$70) = **\$925,470**

Total \$71,553,770

Explain the reasons for any program changes or adjustments reported in 15. Items 12 or 13.

CBP adjusted the figures in Items 12 and 13 to reflect estimates based on actual U.S. ABTC Program application and membership data previously unavailable. In comparison to the last supporting statement:

• The number of respondents for Global Entry increased by 5,468 from 630,125 to 635,593. The related burden hours were also adjusted

FAST Fee:

28,910 respondents x \$50 =

Total

accordingly. Compared to the number of Global Entry responses before the implementation of the U.S. ABTC Program, the U.S. ABTC has increased the number of Global Entry responses by 7,593 from 628,000 to 635,593.

- The number of respondents for ABTC increased by 1,378 from 4,250 to 5,628. The related burden hours were also adjusted accordingly.
- The number of respondents using kiosks increased by 26,828 from 2,200,000 to 2,226,828. This change reflects the assumption that 13,414 respondents who will become members of Global Entry solely for the purpose of U.S. ABTC Program membership will use Global Entry kiosks twice a year for ABTC-related travel. The related burden hours were also adjusted accordingly.

16. For collection of information whose results will be published, outline plans for tabulation, and publication.

This information collection will not be published for statistical purposes.

17. If seeking approval to not display the expiration date, explain the reasons that displaying the expiration date would be inappropriate.

CBP will display the expiration date for OMB approval of this information collection.

18. Certification for Paperwork Reduction Act Submissions.

CBP does not request an exception to the certification of this information collection

B. Collection of Information Employing Statistical Methods

No statistical methods are used.