

## NON-SUBSTANTIVE CHANGE REQUEST FOR OMB CONTROL NUMBER 3060-1202

The Commission is submitting a non-substantive change request for OMB control number 3060-1202. The reason for the change request is described below:

The Commission adopted on July 29, 2015 and released on July 30, 2015 an Order on Reconsideration, FCC 15-95. The Commission made minor non-substantive changes to 47 C.F.R. § 12.4(c) since the Office of Management and Budget (OMB) first approved the information collection requirements contained in this rule section. The original approval was received from OMB on October 1, 2014. These changes are indicated in red/strikethrough in §12.4(c)(1)(ii) and (c)(3)(ii) below. The purpose of the changes is to clarify that the option of certifying alternative measures is not limited to a particular certification requirement (e.g., eliminated all single points of failure in critical 911 circuits) but is available with respect to any of the elements in each section (e.g., conducted diversity audits of critical 911 circuits). Note that this is consistent with the section numbering and cross references for backup power under section 12.4(c)(2)(ii), so this would just make the structure of the rule more uniform and clarify that service providers always have the option of alternative measures if a given element is not feasible.

### Section 12.4

(c) *Annual reliability certification.* One year after the initial reliability certification described in paragraph (d)(1) of this section and every year thereafter, a certifying official of every covered 911 service provider shall submit a certification to the Commission as follows.

#### (1) *Circuit auditing.*

(i) A covered 911 service provider shall certify whether it has, within the past year:

(A) Conducted diversity audits of critical 911 circuits or equivalent data paths to any PSAP served;

(B) Tagged such critical 911 circuits to reduce the probability of inadvertent loss of diversity in the period between audits; and

(C) Eliminated all single points of failure in critical 911 circuits or equivalent data paths serving each PSAP.

(ii) If a covered 911 service provider does not conform with the elements in paragraph ~~(e)(1)(i)(C)~~ (c)(1)(i) of this section with respect to the 911 service provided to one or more PSAPs, it must certify with respect to each such PSAP:

(A) Whether it has taken alternative measures to mitigate the risk of critical 911 circuits that are not physically diverse or is taking steps to remediate any issues that it has identified with respect to 911 service to the PSAP, in which case it shall provide a brief explanation of such alternative measures or such remediation steps, the date by which it anticipates such remediation will be completed, and why it believes those measures are reasonably sufficient to mitigate such risk; or

(B) Whether it believes that one or more of the requirements of this paragraph are not applicable to its network, in which case it shall provide a brief explanation of why it believes any such requirement does not apply.

(2) *Backup power.*

(i) With respect to any central office it operates that directly serves a PSAP, a covered 911 service provider shall certify whether it:

(A) Provides backup power through fixed generators, portable generators, batteries, fuel cells, or a combination of these or other such sources to maintain full-service functionality, including network monitoring capabilities, for at least 24 hours at full office load or, if the central office hosts a selective router, at least 72 hours at full office load; provided, however, that any such portable generators shall be readily available within the time it takes the batteries to drain, notwithstanding potential demand for such generators elsewhere in the service provider's network.

(B) Tests and maintains all backup power equipment in such central offices in accordance with the manufacturer's specifications;

(C) Designs backup generators in such central offices for fully automatic operation and for ease of manual operation, when required;

(D) Designs, installs, and maintains each generator in any central office that is served by more than one backup generator as a stand-alone unit that does not depend on the operation of another generator for proper functioning.

(ii) If a covered 911 service provider does not conform with all of the elements in paragraph (c)(2)(i) of this section, it must certify with respect to each such central office:

(A) Whether it has taken alternative measures to mitigate the risk of a loss of service in that office due to a loss of power or is taking steps to remediate any issues that it has identified with respect to backup power in that office, in which case it shall provide a brief explanation of such alternative measures or such remediation steps, the date by which it anticipates such remediation will be completed, and why it believes those measures are reasonably sufficient to mitigate such risk; or

(B) Whether it believes that one or more of the requirements of this paragraph are not applicable to its network, in which case it shall provide a brief explanation of why it believes any such requirement does not apply.

(3) *Network monitoring.*

(i) A covered 911 service provider shall certify whether it has, within the past year:

(A) Conducted diversity audits of the aggregation points that it uses to gather network monitoring data in each 911 service area;

(B) Conducted diversity audits of monitoring links between aggregation points and NOCs for each 911 service area in which it operates; and

(C) Implemented physically diverse aggregation points for network monitoring data in each 911 service area and physically diverse monitoring links from such aggregation points to at least one NOC.

(ii) If a Covered 911 service provider does not conform with all of the elements in paragraph ~~(e)(3)(i)(C)~~ (c)(3)(i) of this section, it must certify with respect to each such 911 service area:

(A) Whether it has taken alternative measures to mitigate the risk of network monitoring facilities that are not physically diverse or is taking steps to remediate any issues that it has identified with respect to diverse network monitoring in that 911 service area, in which case it shall provide a brief explanation of such alternative measures or such remediation steps, the date by which it anticipates such remediation will be completed, and why it believes those measures are reasonably sufficient to mitigate such risk; or

(B) Whether it believes that one or more of the requirements of this paragraph are not applicable to its network, in which case it shall provide a brief explanation of why it believes any such requirement does not apply.

These non-substantive changes do not impact the burden hours or cost for this collection.

Lastly, the online system used to collect certification filings is now live at <https://apps2.fcc.gov/rcs911/>. The Commission previously submitted screen shots of the system before it was live.