Expiration Date: 11/30/2018

Alternative Dispute Resolution (ADR) Program Survey: Equal Employment Opportunity (EEO) ADR

Purpose of Survey

The purpose of this survey is to allow employees, contractors, and applicants of the Consumer Financial Protection Bureau (Bureau) who have participated in the Alternative Dispute Resolution (ADR) Program to freely express their views with regard to the effectiveness of this program. This survey is conducted by the ADR Program in an effort to better understand any concerns or questions regarding Equal Employment Opportunity (EEO)-related ADR and how to improve it.

Your participation in this survey is completely voluntary. This survey should take less than 10 minutes.

Your responses and/or comments will remain anonymous. The ADR Program will not try and identify you nor will ADR distribute your individual responses or comments to anyone else (unless required to do so by law). ADR will only analyze all survey responses from all survey participants on a quarterly basis in order to improve the effectiveness of the process. Your responses and/or comments (or lack thereof) will not be made part of the relevant complaint file, or be used or considered in any way in any current or subsequent informal or formal EEO complaint (unless required by law).

Confidentiality is critical to the integrity and success of the ADR Program. All participants in ADR must keep everything said and done during ADR sessions completely confidential. In answering the questions in this survey or providing comments below, please be sure not to include any information about the substantive discussions or anything specific that occurred during any particular ADR session.

If you need a reasonable accommodation to participate in or complete this survey, you can contact Bonita Manago in the Office of Human Capital at <u>bonita.manago@cfpb.gov</u>. If you have questions or concerns about the Bureau's reasonable accommodation policy or procedures, you can also contact the ADR Program at 202-435-9EEO, 1-855-233-0362, 202-435-9742 (TTY) or <u>CFPB_ADR@cfpb.gov</u>.

Privacy Notice

5 U.S.C. 552(a)(e)(3)

The information you provide through your responses as employees of the Consumer Financial Protection Bureau (Bureau) who have participated in the Alternative Dispute Resolution (ADR) Program will allow the ADR Program better understand any concerns or questions regarding Equal Employment Opportunity (EEO)-related ADR and how to improve it.

The CFPB will not obtain or access personally identifiable information.

This collection of information is authorized by Pub. L. No. 111-203, Title X, Sections 1013 and 1022, codified at 12 U.S.C. §§ 5493 and 5512.

Participation in this study is voluntary; you are not required to participate.

Paperwork Reduction Act Statement

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and not withstanding any other provision of law a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The OMB control number for this collection is 3170-0024. It expires on 11/30/2018. Comments regarding this collection of information, including the estimated response time, suggestions for improving the usefulness of the information, or suggestions for reducing the burden to respond to this collection should be submitted to the Consumer Financial Protection Bureau (Attention: PRA Office), 1700 G Street NW, Washington, DC 20552, or by email to <u>CFPB_PRA@cfpb.gov</u>.

ADR Program – Aggrieved Individuals/Complainants

This page will ask you specific questions about your experience as an individual who has participated in the Bureau's informal and/or formal EEO process and who has elected to use the ADR program to help resolve your EEO matter. If you are not the individual who pursued EEO counseling or filed a formal EEO complaint, please skip to the next question.

Confidentiality is critical to the integrity and success of the ADR Program. All participants in EEO-related ADR must keep everything said and done during mediation sessions completely confidential. In answering the questions in this survey or providing comments below, please be sure not to include any information about the substantive discussions or anything specific that occurred during any particular mediation. For each question below, please select the best response.

1. ADR Program – Aggrieved Individuals/Complainants

The ADR Program gave me sufficient information about the ADR process to enable me to make an informed decision about whether to select ADR to try to resolve my EEO matter.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I freely and voluntarily decided to participate in the ADR Program.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Program personnel involved in arranging the ADR session(s) answered all of my questions about the program and process.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I am satisfied with the time it took to schedule the date for the ADR session(s).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Before the mediation, I had a chance to specify the particular matters I wanted addressed during the ADR session.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Before the mediation, I was made aware that I have the right to representation during the ADR process.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Before the mediation, I was assured that I would be free to end the ADR process at any time, and that I would retain the right to proceed with the EEO process instead (if the matter was not resolved).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Before the mediation, I was assured that no one could force a resolution on me (not agency management, EEO officials, or the ADR Professional or Mediator).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I participated in the ADR process in good faith.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The Bureau management representative(s) who participated in the ADR process participated in good faith.

Strongly Agree Agree Neutral Disagree Strongly Disagree Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The Bureau management representative(s) who participated in the ADR process was/were the most appropriate official(s) to participate in the mediation to facilitate a resolution of my EEO matter.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The Bureau management representative(s) who participated in the ADR process came informed and prepared regarding the particular matters to be addressed during the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator came informed and prepared regarding the particular matters to be addressed during the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator was impartial/unbiased.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator was sufficiently knowledgeable about ADR.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator was effective overall.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

During the mediation, I was allowed to fully express my concerns and perspectives.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The particular matters I wanted to address during the mediation were in fact raised and discussed thoroughly (even if they were not resolved).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I did not feel any inappropriate pressure to resolve my EEO matter during or after the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Matters discussed during the mediation will remain confidential.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Part or all of my EEO matter was resolved as a result of the ADR process.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I was satisfied with the result of the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I have confidence in the ADR Program.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

ADR Program/ADR Professional or Mediator adequately responded to my questions or concerns about possible retaliation for participating in EEO-related ADR.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I feel sufficiently protected from retaliation for having engaged in EEO-related ADR.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

If you needed a reasonable accommodation (for disability-related reasons) to participate in the ADR Program: My request for a reasonable accommodation was promptly and adequately handled.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

2. If dissatisfied with the results of ADR, explain why. Check all that apply.

- Management did not offer any remedy to me.
- Management did not offer me the remedy I requested.
- Management offered me only part of the remedy I requested.
- The facilitator did not do a good job controlling the conversation.
- Management was not prepared to respond to the concerns I raised.
- Other: Please Explain:

ADR Program – Bureau Management Representative(s)

This page will ask you specific questions about your experience as a Bureau management representative who has participated in the ADR Program to help resolve an EEO matter. If you were not a Bureau management representative who participated in a recent mediation, please skip to the next question.

Confidentiality is critical to the integrity and success of the ADR Program. All participants in EEO-related ADR must keep everything said and done during mediation sessions completely confidential. In

answering the questions in this survey or providing comments below, please be sure not to include any information about the substantive discussions or anything specific that occurred during any particular mediation.

3. ADR Program-Bureau Management Representative(s)

The ADR Program personnel involved in arranging the ADR session(s) answered all of my questions about the program and process.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I am satisfied with the time it took to schedule the date for the ADR session(s).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Before the mediation, I had a chance to specify the particular matters I wanted addressed during the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I participated in the ADR process in good faith.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The aggrieved individual/complainant who participated in the ADR process participated in good faith.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The representative for the aggrieved individual/complainant (if any) who participated in the ADR process participated in good faith.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator came informed and prepared regarding the particular matters to be addressed during the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator was impartial/unbiased.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator was sufficiently knowledgeable about ADR.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The ADR Professional or Mediator was effective overall.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

During the mediation, I was allowed to fully express my concerns and perspectives.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

The particular matters I wanted to address during the mediation were in fact raised and discussed thoroughly (even if they were not resolved).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I did not feel any inappropriate pressure to settle the EEO matter during or after the mediation.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Matters discussed during the mediation will remain confidential.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Part or all of the EEO matter was resolved as a result of the ADR session(s).

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I was satisfied with the result of the ADR session.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

I have confidence in the ADR program.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

ADR Program/ADR Professional or Mediator adequately explained my need to refrain from retaliating in any way against any individual (including the aggrieved individual/complainant) for their participation in EEO-related ADR.

Strongly Agree Agree Neutral Disagree Strongly Disagree Provide suggestions on how the ADR Program may be more efficient and achieve better results:

If you needed a reasonable accommodation (for disability-related reasons) to participate in the ADR Program: My request for a reasonable accommodation was promptly and adequately handled.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Provide suggestions on how the ADR Program may be more efficient and achieve better results:

Thank you for taking the time to fill out this survey!

The responses that you have provided will be extremely helpful in ensuring that ADR Program is providing the best service possible.

Your responses and/or comments will remain anonymous. ADR Program will not distribute your individual responses or comments to anyone else (unless required to do so by law). Your responses and/or comments (or lack thereof) will not be made part of the relevant complaint file, or be used or considered in any way in a subsequent informal or formal EEO complaint or ADR proceeding (unless required by law).