



U.S. Department of Labor Employment and Training Administration

Financial Report Instructions

ETA-9130 (F) – Local Dislocated Worker

There are different variations of form ETA-9130, each pertaining to a specific program/funding stream. The instructions for the various ETA-9130 forms are not identical. Please ensure that you are using the correct ETA-9130 form and instructions.

Report Submission

- 1) The U.S. Department of Labor (DOL) Employment and Training Administration (ETA) requires all grant recipients to submit the ETA-9130 Financial Report (FR) electronically through an on-line reporting system.
- 2) Recipients are issued a password and a PIN to enable reporting via the on-line reporting system at www.etareports.doleta.gov. Upon accessing the system, detailed on-line reporting instructions are provided.
- 3) Report submission is a three step process:
 1. **DATA ENTRY** – the *Secondary Contact* person, designated by the [recipient grantee](#) organization, is responsible for entering the required data on the [ETA-9130FR](#), using the password;
 2. **DATA CERTIFICATION** – the *Primary Contact* person, the designated authorized official in the [recipient grantee](#) organization, is responsible for certifying the accuracy of the data by entering the PIN; and
 3. **DATA ACCEPTANCE** – DOL/ETA is responsible for reviewing the certified [ETA-9130FR](#), communicating with [recipientsgrantees](#), as necessary, and accepting the report within 10 working days after report certification. If a modification is required, the certification and acceptance processes must be repeated.

Reporting Requirements

- 1) Submission of the [ETA-9130FR](#) is required on a quarterly basis. **Reporting quarter end dates shall correspond to the following calendar quarter end dates: March 31, June 30, September 30, and December 31.** A final quarter [ETA-9130FR](#) is required at the completion of the quarter, encompassing the grant award end date, or at the completion of the quarter in which all funds have been expended, whichever comes first. The final quarter [ETA-9130FR](#) must be indicated by selecting "YES" in Item 6, Final Report.
- 2) [Quarterly reports, including the final quarter report, are required to be submitted no later than 45 calendar days](#) after the end of each specified reporting period. **The reporting due dates are: May 15, August 14, November 14, and February 14.** [The deadlines for ETA-9130 submissions do not change, even in instances when the reporting due date falls on a weekend or holiday. The e-reporting system is available 24 hours a day, 7 days a week, and reports can be submitted in advance of the due date. However, technical support is available only during normal business hours \(EST\), Monday through Friday.](#)
- 3) A closeout report is required to be submitted **no later than 90 calendar days** after the grant end date. The closeout report is separate from (and additional to) the final quarter report and becomes accessible on-line after submittal of the final quarter report. (The closeout report does not need to be completed until the grant closeout process begins.)
- 4) All financial data is required to be reported **cumulative from grant inception**, through the end of each reporting period. Expenditure data is required to be reported on an **accrual basis**.
- 5) A separate [ETA-9130FR](#) is required for each program and each fund source ([subaccount](#)) awarded to a grant recipient.
- 6) [All sections of the report must be completed unless otherwise noted in the grant agreement](#) Both cash management and financial status information are required for all reports.

[For more information regarding DOL/ETA grants and financial reporting, please visit http://www.doleta.gov/grants/](http://www.doleta.gov/grants/). Additional assistance may be provided through your Federal Project Officer. Technical issues with the on-line reporting system should be directed to: appsupport.egrants@dol.gov.

Please ensure that the certifying official information contained in Items 13a -13d is correct. **Any outdated information will delay transmission of the grant's closeout package and relevant information affecting the grant.** To request a change in the signatory/certifying official, you must send an e-mail to ETApasword.pin@dol.gov.

| FRLine Item Number | Reporting/Line Item | Instructions |
|--------------------------|--|--|
| Cover Information | | |
| 1 | Federal Agency and Organizational Element to Which Report is Submitted | "U.S. Department of Labor Employment & Training Administration." PRE-ENTERED |
| 2 | Federal Grant or Other Identifying Number Assigned by DOL | Grant number assigned for the award by DOL/ETA and contained on the grant award document. <u>Also called Federal Award Identification Number (FAIN) under the Uniform Guidance (2 CFR 200).</u> PRE-ENTERED |
| 3 | Recipient Organization | Name and complete address of recipient organization. PRE-ENTERED |
| 4a | <u>DUNS Number Unique Entity Identifier</u> | Recipient organization's <u>Unique Entity Identifier, currently known as the Data Universal Numbering System (DUNS) number or Central Contractor Registry extended DUNS number until such time a Unique Entity Identifier (UEI) is determined.</u> PRE-ENTERED |
| 4b | EIN | Recipient organization's Employer Identification Number (EIN). <u>Also known as the Federal Employer Identification Number (FEIN), or the Federal Tax Identification Number, the EIN is a unique nine-digit number assigned by the Internal Revenue Service (IRS) to business entities operating in the United States for the purpose of identification.</u> PRE-ENTERED |
| 5 | Recipient Account Number or Identifying Number | Enter the account number or any other identifying number assigned by the recipient to the grant award. This number is strictly for the recipient's use only and is not required by DOL/ETA. |
| 6 | Final Report (Yes/No) | Select appropriate box. Check "Yes" only if this is the final quarter report for subject grant, subaccount. <u>For grants with multiple subaccounts, each subaccount may be indicated "final" at the time all funds in that subaccount are expended. However, the grant closeout will not occur until after the grant end date.</u> |
| 7 | Basis of <u>Accounting Reporting</u> (Accrual) | <p><u>Basis of Accounting is intended to read and be interpreted as "Basis of Reporting".</u></p> <p>ACCRUAL has been pre-entered on all <u>ETA-9130 reports/reporting formats.</u></p> <p>DOL/ETA regulations require that all recipients report expenditures and program income on an accrual basis. For accrual basis reporting, accrued expenditures are recorded when a requirement to pay is established.</p> <p><i>Note/OTE: Recipients are not required to change their accounting systems to accommodate DOL/ETA requirements that differ from their underlying accounting practices. Instead, recipients must furnish the required accrual information based on available documentation and best estimates. Uniform Guidance, 2 CFR 2900.14: "In addition to the guidance set forth in 2 CFR 200.327, for Federal awards from the Department of Labor, the DOL awarding agency will prescribe whether the report will be on a cash or an accrual basis. If the DOL awarding agency requires reporting on an accrual basis and the recipient's accounting system is not on the accrual basis, the recipient will not be required to convert its accounting system, but must develop and report such accrual information through best estimates based on an analysis of the documentation on hand."</i></p> |
| 8 | Project/Grant Period, From: (MM/DD/YYYY) | The beginning date of the grant award as defined in the grant award document. PRE-ENTERED |
| 9 | Project/Grant Period, To: (MM/DD/YYYY) | The ending date of the grant award as defined in the grant award document, and the final date for which any accrued expenditures can be incurred under <u>the</u> subject grant award. PRE-ENTERED: |
| 9 | Reporting Period End Date: (MM/DD/YYYY) | The last date of the quarter for which cumulative data is provided on <u>the</u> subject <u>FR ETA-9130.</u> (Required reporting quarter end dates are contained in <u>the</u> "Reporting Requirements" in <u>the</u> first section of these instructions.) PRE-ENTERED |

| FRLine Item Number | Reporting/Line Item | Instructions |
|---------------------|--|--|
| 10 | <p>Transactions</p> <p>Enter cumulative amounts in the Cumulative Column for each line item requiring data entry, as of the reporting period end date. NOTE: If any line item does NOT require data entry for a particular grant/program, a ZERO must be entered.</p> <p>Line items which will be automatically calculated are grayed out, and no data entry will be permitted by <u>the</u> reporting entity.</p> <p>Cumulative data for <u>the</u> current reporting quarter will become <u>the</u> Previous Period Column in <u>the</u> following quarter. This Period data will be automatically calculated. Use Section 12, Remarks, to provide any information deemed necessary to support/explain data provided in this section.</p> | |
| Federal Cash | | |
| 10a | Cash Receipts | <p>Enter the cumulative amount of actual quarter-end cash received from the <u>Federal agency as of the reporting period end date</u> Payment Management System (PMS) for the Local Dislocated Worker component piece of the subaccount identified in Item 2. Cash received is interpreted as meaning means cash “deposited in your bank account”. Drawdowns initiated on the last business day of a quarter should NOT be reflected in this amount, but in the subsequent quarter’s cash receipts.</p> <p><u>Cumulative drawdowns posted in the Payment Management System (PMS) through the end of the reporting period end date reflect drawdowns for Statewide and Local Dislocated Worker as well as Rapid Response activities. This entry must reflect the Local Dislocated Worker portion only.</u></p> <p>This entry is a component piece of the amount posted in the note above Item 10a, which reads “DOL records reflect total quarter-end cumulative drawdowns of \$_____.” The sum of the 10a entry on this <u>format report</u>, and the 10a entry on the Statewide Dislocated Worker <u>report (ETA-9130 (E))</u>, and <u>the</u> Statewide Rapid Response <u>formats report (ETA-9130 (H))</u> should equal the <u>cumulative PMS DOL</u> record amount posted for this subaccount.</p> <p>Cash receipts reported should reflect the sState’s receipt of cash to be disbursed to local areas to pay for allowable Local Dislocated Worker costs associated with the funding authority identified on 10d (<u>Federal Funds Authorized</u>).</p> <p>NOTE: For grant recipients operating on a reimbursement basis, this amount should NOT reflect cash utilized from other fund sources of the <u>grantee-recipient</u> organization to initially pay for subject grant activities.</p> <p>HARD EDIT – The sum of all 10a entries for a subaccount must equal DOL record amount. (This hard edit will be imposed on the FINAL 10a subaccount entry.)</p> <p>HARD EDIT – Line 10a cannot exceed Line 10d.</p> |
| 10b | Cash Disbursements | <p>Enter the cumulative amount of cash disbursed from the cash receipts identified on 10a (Cash Receipts), as of the reporting period end date. The cash disbursements reported must be “all or a portion of” the cash receipts reflected on Item 10a.</p> <p>This entry should reflect the sum of actual cash disbursements for direct charges for goods and services, the amount of cash paid out for indirect expenses charged to the award, and the amount of cash advances <u>payments</u> and payments made to subrecipients and subcontractors.</p> <p>HARD EDIT – Line 10b cannot exceed Line 10a.</p> <p>SOFT EDIT – Line 10b should not exceed Line 10e.</p> <p>CAUTIONNOTE: Line 10e (Federal Share of Expenditures) will typically be greater than Line 10b due to the inclusion of accruals, for which payment has not been made. If allowable advances made to sub-entities recipients, cause Line 10b to exceed Line 10e, a valid explanation should be provided in <u>Section Item 12, Remarks</u>.</p> <p>SOFT EDIT – Line 10b for “This Period” should not be negative.</p> <p>CAUTION: If <u>the cumulative</u> entry for this line item is less than <u>the</u> previous period</p> |

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| 10c | Cash on Hand <i>(line 10a minus line 10b)</i> | <p><i>cumulative amount, a valid explanation should be provided in Section Item-12, Remarks.</i></p> <p>This is an automatic calculation, which is Line 10a (Cash Receipts) minus Line 10b (Cash Disbursements).</p> <p>The cash on hand amount should represent immediate cash needs. An explanation for the excess cash on hand amount should be provided in Section 12, Remarks.</p> <p>NOTE: In accordance with Department of Treasury regulations, federal cash <u>MUST BE DRAWN SOLELY TO ACCOMMODATE YOUR IMMEDIATE NEEDS ON AN “AS-NEEDED” BASIS ONLY</u> must be drawn solely to accommodate immediate needs.</p> <p>HARD EDIT – Line 10c must be equal to Line 10a minus Line 10b</p> <p>HARD EDIT – Line 10c cannot be negative.</p> |
| Federal Expenditures and Unobligated Balance: | | |
| 10d | Total Federal Funds Authorized | <p>Enter the total amount of Dislocated Worker (DW) funds (from the sState DW funding stream allotment) allocated to the local areas for allowable local DW activities.</p> <p>NOTE: After the first 2 years of a Program Year of funding, any local DW funds recaptured by the sState and returned for statewide activities should be reflected by a decrease in this entry. A corresponding increase <i>should-will</i> be made to Line 10d of the Statewide DW formatreport (ETA-9130 (E)). (Local DW funds recaptured from one local area and allocated to another local area will precipitate no change to this line item.)</p> <p>HARD EDIT – Sum of Lines 10d for all subaccount components must be equal to NCFMS cumulative obligation. (This hard edit will be imposed on the FINAL 10d subaccount entry.)</p> |
| 10e | Federal Share of Expenditures | <p>Enter the cumulative amount of accrued expenditures for allowable costs associated with the funds authorized on Line 10d (Total Federal Funds Authorized).</p> <p>DOL/ETA requires reporting on an accrual basis. If the recipient's accounting system is not on an accrual basis, the recipient will not be required to convert its accounting system, but must develop and report such accrual information through best estimates based on an analysis of the documentation on hand (2 CFR 2900.14).</p> <p>Accrued eExpenditures for reports prepared on an accrual basis are the sum of: are the sum of actual cash disbursements specified in Line 10b for direct charges for goods and services; the amount of indirect expenses incurred; net increase or decrease in the amounts owed by the non-Federal entity for goods and property received; and services performed by employees, contractors, subrecipients, and other payees, and programs for which no current services or performance are required such as annuities, insurance claims, or other benefit payments, charged to the award; minus MINUS any rebates, refunds, or other credits; PLUS the total costs of all goods and property received or services performed, whether or not an invoice has been received or a cash payment has occurred. Accrued expenditures are to be recorded in the reporting quarter in which they occur, regardless of when the related cash receipts and disbursements take place.</p> <p>Unless cash advances have been made to subrecipients or there is a reduction for rebates, refunds, or other credits, this entry will usually be greater than Line 10b; (eCash dDisbursements); because accruals (goods and services received but not yet paid for) must be included on this line item. Non-Federal entities must liquidate existing cash advances before requesting additional advances (2 CFR 2900.7). In addition, recipients operating on a reimbursement basis must report all accrued expenditures (including cash disbursements for allowable grant activities) in the quarter in which they occur (no matter what source initially pays the costs.)</p> <p>HARD EDIT – Line 10e cannot exceed Line 10d.</p> <p>SOFT EDIT – Line 10e for “This Period” should not be negative.</p> <p>NOTECAUTION: If the cumulative entry for this line item is less than the previous period cumulative amount, a valid explanation should be provided in Section Item-12, Remarks.</p> |

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| | | <p>HARD EDIT—Sum of Lines 10e for all subaccount components cannot exceed NCFMScumulative obligation. This hard edit will be imposed on the FINAL 10e subaccount entry.</p> <p>NOTE: During the closeout process, funds not expended during the grant period will be de-obligated. Exception: Funds obligated for pay-for-performance contracts (WIOA Sec. 189 (g)(2)(D)).</p> |
| 10f | Total Administrative Expenditures | <p>Enter the cumulative amount of accrued expenditures charged to the DW local areas subaccountgrants for administrative activities.</p> <p>(This line item is a portion of the amount reported on Line 10e (Federal Share of Expenditures).)</p> <p>NOTE: Because 10% of the total Youth, Adult, and Dislocated Worker funds available for expenditure at the local areas may be expended on administration (without regard to funding source), the funds identified on this line item, do not need to be allocable to the local DW program, but may be allocable to local Youth and Adult activities, as well.</p> <p>According to WIOA Sec. 3 (1), “[t]he term “administrative costs” means expenditures incurred [...] in the performance of administrative functions and in carrying out activities under title I that are not related to the direct provision of workforce investment services (including services to participants and employers). Such costs include both personnel and nonpersonnel costs and both direct and indirect costs.”Administrative costs must be necessary and reasonable costs (direct and indirect) which are not related to the direct provision of services to participants, but relate to overall general administrative functions. Consult the WIA regulations at 20 CFR 667.220 for the specific definition for administrative costs and guidance on the distinction between administrative and program costs.</p> |
| 10g | Federal Share of Unliquidated Obligations | <p>Enter any obligations incurred, for which an accrued expenditure has not yet been recorded incurred, as of the reporting period end date.</p> <p>Unliquidated obligations should include amounts which will become due to subrecipients and subcontractors. On the final report, this line item should be zero.</p> <p>Obligation, as defined in 29 CFR 97.3, means the amount of orders placed, contracts and subgrants awarded, goods and services received, and similar transactions during a given period that will require payment by the grantee during the same or a future period. The OMB Uniform Guidance defines “Obligation” in 2 CFR 200.71 as follows: “When used in connection with a non-Federal entity’s utilization of funds under a Federal award, obligations means orders placed for property and services, contracts and subawards made, and similar transactions during a given period that require payment by the non-Federal entity during the same or a future period.” Obligations are legal requirements—not plans, budgets, or encumbrances. Examples of obligations or legal commitments include subgrant agreements, purchase orders, or cash disbursements. Obligations do not include such actions as projected staff time, future or projected rent payments, future or projected training, or items that are budgeted during the period of the grant award. Please refer to 2 CFR 200 and 2 CFR 2900 for additional guidance.</p> <p>NOTE: For DOL/ETA grants, the only liquidation that can occur during closeout is the liquidation of accrued expenditures (NOT obligations) for goods and/or services received during the grant period.</p> <p>Do not include any amount on Line 10g that has been reported on Line 10e (Federal Share of Expenditures) or 10f (Total Administrative Expenditures). Do not include any amount on Line 10g for a future commitment of funds/encumbrances (e.g., entire lease, budgeted salary costs) for which an obligation has not yet been incurred.</p> <p>Local funds obligated for Pay-for-Performance contracts remain available until expended. See WIOA Sec. 189 (g)(2)(D).</p> |
| 10h | Total Federal Obligations (sum of lines 10e and 10g) | <p>This is an automatic calculation, which is the sum of Lines 10e (Federal Share of Expenditures) and 10g (Federal Share of Unliquidated Obligations).</p> |

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| | | <p>HARD EDIT – Line 10h must be equal to Line 10e plus Line 10g</p> <p>HARD EDIT – Line 10h cannot exceed Line 10d.</p> |
| 10i | <p>Unobligated Balance of Federal Funds <i>(line 10d minus line 10i)</i></p> | <p>This is an automatic calculation, which is Line 10d (Total Federal Funds Authorized) minus Line Item 10h (Total Federal Obligations).</p> <p>HARD EDIT – Line 10i must be equal to Line 10d minus Line 10h</p> |
| Recipient Share: | | |
| 10j | Total Recipient Share Required | <p>Enter the total match requirement, if applicable. A match requirement will be listed in the grant award document and on the SF-424a, Section A, Column F “Non-Federal.”</p> <p>If there is no match requirement, a ZERO must be entered.</p> <p>This line item must include the amount of non-federal share employers are required to provide based on incumbent worker training (IWT) contracts.</p> <p>NOTE: Per WIOA Sec. 134 (d)(4)(C), employers participating in a local area incumbent worker training (IWT) program shall be required to pay for the non-Federal share of the cost of providing the training to incumbent workers of the employers. WIOA Sec. 134 (d)(4)(D)(ii) specifies that such contributions shall not be less than:</p> <ul style="list-style-type: none"> ▪ 10 percent of the cost, for employers with not more than 50 employees; ▪ 25 percent of the cost, for employers with more than 50 employees but not more than 100 employees; and ▪ 50 percent of the cost, for employers with more than 100 employees. |
| 10k | Recipient Share of Expenditures | <p>Enter any non-Federal funds expended, by recipient organization, for the purposes or activities of subject grant.</p> <p><u>This line item must include employers' share of expenditures, as applicable to the IWT employer match requirement in line 10j.</u></p> <p><u>Additionally, Expenditures identified on this line item must:</u></p> <ul style="list-style-type: none"> ▪ Be allowable costs which could otherwise have been paid for out of subject grant funds; ▪ These expenditures should include include both match and other non-Federal leveraged resources; and ▪ Include The value of allowable non-Federal in-kind match contributions should also be included. <p>This entry may (and often will) exceed the required match entered on Line 10j (Total Recipient Share Required).</p> <p>NOTE: Non-Federal funds expended for the purposes or activities of the subject grant, which are allowable under all OMB Circulars the OMB Uniform Guidance (2 CFR 200 and 2 CFR 2900), but which are not completely allowable under the subject grant (due to a program specific restriction), should not be reported on this line item, but should be included in the quarterly progress report. <u>Other federal funds expended for the purpose and benefit of this grant should be included in line item 11a (Other Federal Funds Expended).</u></p> |
| 10l | Recipient Share of Unliquidated Obligations | <p>Enter any obligations of non-Federal funds for which an accrued expenditure has not yet been incurred, as of the reporting period end date. Unliquidated obligations should include amounts which will become due to subrecipients and subcontractors. On the final report, this line item should be zero.</p> <p>Obligations, as defined in 29 CFR 97.3, means the amount of orders placed, contracts and subgrants awarded, goods and services received, and similar transactions during a given period that will require payment by the grantee during the same period.</p> <p>NOTE: Do not include any amount on line 10l that has been reported on line 10k. Do not include any amount on line 10l for a future commitment of funds (e.g., entire lease, budgeted salary costs) for which an obligation has not yet been incurred.</p> |

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| 10m | Total Recipient Share <i>(sum of lines 10k and 10l)</i> | <p>This is an automatic calculation, which is the sum of lines 10k and 10l.</p> <p><i>NOTE: This amount may exceed the required match entered on line 10j.</i></p> <p>HARD EDIT— Line 10m must be equal to line 10k plus line 10l.</p> |
| 10n | Remaining Recipient Share to Be Provided <i>(line 10j minus line 10m)</i> | <p>This is an automatic calculation, which is Line 10j (Total Recipient Share Required) minus Line 10m (Recipient Share of Expenditures). When the match requirement identified on Line 10j has been met, or if Line 10j is zero there is no match requirement, the value on Line 10n will automatically be set to zero.</p> <p>HARD EDIT— Line 10n must be equal to Line 10j minus Line 10m</p> <p>HARD EDIT— Line 10n cannot be negative; when Line 10j and Line 10m are equal, Line 10n will automatically set to 0.</p> |
| Program Income: | | |
| 10em | Total Federal Program Income Earned | <p>Enter the total amount of program income earned as the result of allowable grant activity. The addition method for recording and reporting program income is required for all ETA programs/grants. For additional reference, program income is defined in the applicable program regulations and in 29 CFR 95.24 and 29 CFR 97.25 2 CFR 200.80 and 2 CFR 200.307.</p> <p>Either gross or net program income may be reported. If gross program income is reported, the costs for generating the income should be included on Line 10e (Federal Share of Expenditures). If net program income is reported, the costs for generating the income are subtracted from the total income earned before entering the net amount on 10em.</p> <p>If no program income is earned, a ZERO must be entered.</p> |
| 10en | Program Income Expended in Accordance with the Addition Method | <p>Enter the total cumulative amount of accrued expenditures incurred against the program income earned on Line 10em (Total Program Income Earned).</p> <p>NOTE: Program income is to be expended during the same grant period in which it is earned <i>and must be disbursed before requesting new cash advance payments (2 CFR 200.305 (b)(5)).</i></p> |
| 10eq | Unexpended Program Income <i>(line 10en minus line 10po)</i> | <p>This is an automatic calculation, which is Line 10em (Total Program Income Earned) minus 10en (Program Income Expended in Accordance with the Addition Method).</p> <p>HARD EDIT— Line 10q must be equal to Line 10o minus Line 10p.</p> |
| 11 Additional Obligation and Expenditure Data Required | | |
| 11a | Other Federal Funds Expended | <p>Enter any other Federal funds expended, by the recipient organization and any subrecipient organizations, but not by any non-subrecipient partner organizations, for the same purposes or activities of the subject grant. Expenditures included must be allowable costs which could otherwise have been paid for out of subject grant funds.</p> <p>Other Federal funds expended by any non-subrecipient partner organizations for the benefit of this grant program should be included in the quarterly progress report.</p> <p>This entry should include expenditures of all Federally funded leveraged resources, whether or not such expenditures are the result of a leveraging requirement.</p> |
| 11b | Real Property Proceeds Expended | <p>Enter expenditure of funds resulting from the sale of real property purchased with DOL/ETA –ES or UI funds. Further guidance on this requirement can be found in Training and Employment Guidance Letter No. 3-07, Transfer of Federal Equity in State Real Property to the States, dated August 1, 2007.</p> <p>NOTE: This amount should <i>not</i> be included in the amount reported on Line 10e (Federal Share of Expenditures).</p> |
| 11c | Expenditure of DW-Dislocated Worker funds transferred to on the Adult Program | <p>Enter the amount of DW-Dislocated Worker funds expended on the Adult program.</p> <p>This entry should be included in amount should represent the aggregate amount of Dislocated Worker funds expended on the Adult program for local areas and it is a portion</p> |

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| | | <p>of the amount reported in 10e, Total (Federal Share of Expenditures).</p> <p>Per WIOA Sec. 133 (b)(4), a local board may transfer, if such a transfer is approved by the Governor, up to and including 100 percent of Dislocated Worker funds to the Adult program and vice versa.</p> <p>NOTE: Line 10d, (Total Federal Funds Authorized), DOES NOT CHANGE. The funding source paying for the DW funds expended on the Adult program remains the DW-Dislocated Worker fund source. It is the expenditure of DW-Dislocated Worker funds on the Adult program that becomes allowable within the approved transfer authority.</p> |
| 11d | Federal Share of Unliquidated Obligations for Pay-for-Performance Contracts | Enter any obligations incurred for pay-for-performance contracts for which an expenditure has not yet been recorded, as of the reporting period end date. This amount should represent the aggregate unliquidated obligations for pay-for-performance contracts for local areas and it is a portion of the amount reported in 10g (Federal Share of Unliquidated Obligations). |
| 11e | Pay-for-Performance Contract Expenditures | <p>Enter the cumulative amount of expenditures charged to the Local Dislocated Worker subaccountgrants for pay-for-performance contract costs. This line item should represent the total accrued pay-for-performance contract expenditures for all local areas and it is a portion of the amount reported in 10e (Federal Share of Expenditures).</p> <p>WIOA Sec. 134 (d)(1)(A)(iii) sets a pay-for-performance contract expenditure cap of ten percent of the amount of Federal funds provided allocated to local areas to carry out the Dislocated Worker program in the State for a fiscal program year.</p> |
| 11f | Transitional Jobs Expenditures | <p>Enter the cumulative amount of expenditures charged to the Local Dislocated Worker subaccountgrants for transitional jobs costs. This line item should represent the total accrued transitional jobs contract expenditures for all local areas and it is a portion of the amount reported in 10e (Federal Share of Expenditures).</p> <p>WIOA Sec. 134 (d)(5) sets a transitional jobs expenditure cap of ten percent of the amount of Federal funds provided allocated to local areas to carry out the Dislocated Worker program in the State for a fiscal program year.</p> |
| 11g | Incumbent Worker Training Expenditures | <p>Enter the cumulative amount of expenditures charged to the Local Dislocated Worker subaccountgrants for incumbent worker training costs. This line item should represent the total accrued incumbent worker training contract expenditures for all local areas and it is a portion of the amount reported in 10e (Federal Share of Expenditures).</p> <p>WIOA Sec. 134 (d)(4)(A)(i) sets an incumbent worker training expenditure cap of twenty percent of the amount of Federal funds provided allocated to local areas to carry out the Dislocated Worker program in the State for a fiscal program year.</p> |
| 12 | Remarks | Enter any explanations deemed necessary by the <u>recipient grantee</u> or information required by DOL-/ETA. (This section supports transactions entered on lines identified in <u>sections Sections 10 and 11.</u>) |
| 13a-b | Certification | <p>The authorized official certifies accuracy of reported data by entering assigned PIN.</p> <p>“By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729–3730 and 3801–3812).”</p> |
| 13c | Telephone (Area Code, Number and Extension) | The telephone number of the certifying official is automatically displayed. <u>If the information contained in this field is outdated or incorrect, please contact your Federal Project Officer (FPO) and Grant Officer to inform him/her of the error and the need to request a change.</u> |
| 13d | Email Address | The email address of the certifying individual is automatically displayed. <u>If the information contained in this field is outdated or incorrect, please contact your Federal Project Officer (FPO) and Grant Officer to inform him/her of the error and the need to request a change.</u> |
| 13e | Date Report Submitted (MM/DD/YYYY) | The date the FR-ETA-9130 is certified/submitted to DOL/ETA is automatically displayed. |

| FRLine Item Number | Reporting/Line Item | Instructions |
|--------------------------|---------------------|---|
| 14 | Agency Use Only | This section is reserved for DOL/ETA use. |

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