

**SUPPORTING STATEMENT FOR  
PAPERWORK REDUCTION ACT SUBMISSION**

**AFFIDAVIT OF PHYSICAL PRESENCE OR RESIDENCE, PARENTAGE  
AND SUPPORT**

**OMB NUMBER 1405-0187  
DS-5507**

**A. JUSTIFICATION**

- 1.** The information collection is intended to be used by a U.S. citizen(s) or U.S. national(s) to assist in determining whether his or her child born abroad acquired U.S. citizenship at birth. 7 FAM 1445.5-3 requires consular officers abroad to obtain an Affidavit of Physical Presence or Residence, Parentage and Support when necessary to determine citizenship status of a child born abroad. The information collection implements [8 U.S.C. 1401](#), [8 U.S.C. 1408](#), and [8 U.S.C. 1409](#). Further information can be found in the attachments below.
- 2.** The information gathered is necessary to determine whether the U.S. citizen/national parent(s) has met the statutory physical presence or residence requirements to transmit U.S. citizenship to his or her child born abroad or in the United States for U.S. noncitizen nationality; to establish parentage of the child; and to fulfill the requirements of 8 U.S.C. 1409(a), which permits acknowledgment of paternity under oath and requires the U.S. citizen father's written agreement to provide financial support for his child born abroad out of wedlock.
- 3.** The U.S. citizen/national will have two options for filling out the form. The form may be accessed online, completed electronically, printed and signed **or** the form may be downloaded, printed, and filled out manually. The form will be made available on the following website: <http://www.state.gov/m/a/dir/forms/c21447.htm>. This approach provides an electronic option for completing with the form but not for sending the form to the Department.
- 4.** The information collected in the Authorization for the Release of Information under the Privacy Act form is not duplicative of information maintained elsewhere or otherwise available.
- 5.** The information collection does not involve or impact small businesses or other small entities.
- 6.** The information collection provides a mechanism for determining if the U.S. citizen/national parent(s) of the child born abroad met the statutory requirements to transmit U.S. citizenship/nationality to the child at birth. The information collection is a statutory responsibility of the Department of State. If the collection were not conducted, the consequences would be a considerable hardship to children born abroad to a U.S. citizen/national. Without this information collection, the U.S. Consular Offices abroad could lack the necessary information to make the appropriate legal determination on the child's U.S. citizenship status.
- 7.** No such circumstances exist.

**8.** The Department of State (Bureau of Consular Affairs, Directorate of Overseas Citizens Services, Program Management Office published a 60-day notice in the *Federal Register* on June 29, 2015 (80 FR 37034). There were no public comments.

**9.** No payment or gift is provided to respondents.

**10.** The Privacy Act Statement on the form tells individuals who complete it that the information provided may be released only in a manner consistent with the Privacy Act, 5 U.S.C. 552a, and describes the authorities, purposes and routine use recipients relevant to this information collection. There are no promises of confidentiality.

**11.** There have been no questions of this nature.

**12.** The estimated number of respondents that would submit the form annually is 17,716. The estimated burden per respondent is 30 minutes; therefore, the total annual hour burden to complete the form for all respondents is 8,858 hours. The U.S. citizen father of a child or children born abroad out-of-wedlock generally will be asked to complete the form. The information collection is intended for U.S. citizen parents of a child born abroad regardless of whether in or out of wedlock, and regardless of whether the other parent is a U.S. citizen/national or an alien.

To determine an estimate of the possible number of respondents to this form, the Department utilized the Consular Workload Statistical System (CWSS) system. The system provided an estimated total number of consular reports of births abroad. U.S. Consular offices abroad provided estimated percentages of children born abroad out of wedlock to a U.S. citizen parent or parents. U.S. Consular offices abroad also provided an average estimated time of burden per respondent to complete a form of this type.

After testing the DS-5507, the Department found that the average length of time it will take respondents to complete the form, including the time it takes to gather the necessary information, is 30 minutes. The total estimated burden is 8,858 hours per year (17,716 responses x 30 minutes/60 minutes).

The annualized hour burden cost for this information collection, based on appropriate wage rate categories, is \$276,901.00. The hour burden cost was determined by figuring the weighted average hourly wage; \$22.33 is the average mean hourly civilian earnings; this was multiplied by 1.4 to get a weighted hourly wage of \$31.26/hr. \$31.26/hr. was then multiplied by 8,858 burden hours to get a total figure of \$276,901.00. ( $\$22.33/\text{hr} \times 1.4 = \$31.26$ ;  $\$31.26/\text{hr} \times 8,858 = \$276,901.00$ ).

**13.** There are two potential costs associated with this collection that applicants may incur. The first cost would consist of sending in the application via express mail to the U.S. embassy/consulate handling the case. The second cost would consist of the mileage travel costs for submitting the form to the U.S. diplomatic mission in person.

The average cost to applicants to mail the form via international express mail is approximately \$100.00. The average rates were based on data for FedEx express mail shipments.

Approximately 900 applicants will send the form by express mail. Multiply 900 applicants by \$100.00 and the estimated total overall cost for express mail is \$90,000.00.

Mileage costs vary based on how far the person has to travel. If the travel is ten miles, the overall total cost based on 5,606 applicants that drive is \$31,954.00. If the travel is 25 miles, the overall total cost based on 5,605 applicants is \$79,871.00. If the travel is 50 miles, the overall total cost based on 5,605 applicants is \$159,742.00. The grand total mileage cost is \$271,567.00 based on an estimated total of 16,816 applicants that travel by vehicle.

Total # of Respondents		<b>17,716</b>		
Total # of Respondents that Travel by Vehicle		<b>16,816</b>		
# of People	# of Miles	Cost Per Mile	Total cost for mileage listed for one person	Totals
5,606	10	\$0.57	\$5.70	\$31,954.00
5,605	25	\$0.57	\$14.25	\$79,871.00
5,605	50	\$0.57	\$28.50	\$159,742.00
				<b>\$271,567.00</b>

The estimated grand total cost for all applicants is \$361,567.00 (\$90,000.00 + \$271,567.00).

**14.** The average cost to the federal government in projected compensation related to this service is (FY2015 (\$941,736) + FY2016 (\$968,748) + FY2017 (\$996,521) / 3 = **\$969,001**).

The basis of the projected fiscal numbers above is calculated by using the recurring costs in the Bureau of Budget and Planning New Position Cost Model (NPCM) for overseas Foreign Service positions. For the three year span of FY 2015 to FY 2017, this is \$202.73 per hour. Overseas Citizens Services believes it takes someone approximately 15 minutes to process the form. 15 minutes equals \$50.68 of work to review one case based on the NPCM cost of a Foreign Service Officer position.

	<b>FY 15</b>	<b>FY 16</b>	<b>FY 17</b>
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<b>Foreign Service Officer Compensation (Amsals)</b>	\$357,860	\$368,124	\$378,678
<b>Bureau Managed Recurring Costs</b>	\$414,364	\$426,249	\$438,469
<b>IRM Recurring Costs</b>	\$9,417	\$9,687	\$9,965
<b>OBO Recurring Costs (Residential Lease)</b>	\$131,843	\$135,625	\$139,513
<b>DS Recurring Costs (Residential Guards)</b>	\$28,252	\$29,062	\$29,896
	<b>\$941,736</b>	<b>\$968,748</b>	<b>\$996,521</b>
<b>Amount of Time to Review One Case</b>	15 minutes	<b>FY2015 Predicted # of Cases</b>	18,582
<b>Total Consular Charges for 1 Hour</b>	\$202.73	<b>FY2016 Predicted # of Cases</b>	19,115
<b>Total Cost to Review One Case</b>	\$50.68	<b>FY2017 Predicted # of Cases</b>	19,663

15. The number of respondents varies from year to year depending on the number of citizens who may have transmitted U.S. citizenship to their child(ren) born abroad. Based on the average number of respondents in the past three years (FY12-FY14), the number of respondents is estimated to have decreased to 17,716 with an associated decrease in burden to 8,858 hours (17,716 respondents per year x 30 minutes burden per respondent/60 minutes = 8,858 hours).

The costs to the government projected fiscal numbers have increased because the method for determining the cost to the government estimations has changed since the last renewal.

16. No information will be published from this collection.

17. The expiration date will be displayed.

18. No exceptions are requested.

***B. STATISTICAL METHODS***

This collection does not employ statistical methods.