

Supporting Statement for Vessel Identification System

OMB No.: 1625-0070
COLLECTION INSTRUMENTS: User Request form & Instruction

A. Justification.

1. Circumstances that make the collection of information necessary.

Title 46 U.S.C. chapter 125 required the establishment of the Vessel Identification System (VIS). The implementing regulations are in 33 CFR Part 187. State and territory participation in VIS is entirely voluntary. However, to participate, States and territories must comply with certain requirements to ensure the integrity and uniformity of the information provided to VIS. A State or territory must sign a Memorandum of Agreement (MOA) with the Coast Guard before participating in the VIS.

This information collection supports the following strategic goals:

Department of Homeland Security

- Prevention
- Service

Coast Guard

- Mobility

Prevention Policy & Response Policy Directorates (CG-5P & CG-5R)

- Reduce the number and/or impact of waterways impediments

2. Purpose of the information collection.

VIS comprises a nationwide information system for identifying recreational, commercial, and public vessels that are numbered¹ or titled under the laws of a State or territory. VIS includes information identifying vessels and vessel owners, and information to assist law enforcement officials in the investigation of stolen vessels or other legal investigation, such as fraud. Furthermore, individuals who borrow money to purchase a vessel in a State or territory that both participates in VIS and holds certification of compliance (with guidelines for State or territory titling systems) are deemed to have preferred mortgage status.

All 50 States and 6 territories have the opportunity to participate in the VIS program. The 6 territories are Guam, Puerto Rico, U.S. Virgin Islands, American Samoa, District of Columbia, and Northern Mariana Islands.

3. Considerations of the use of improved information technology.

We estimate that all of the reporting requirements can be done electronically. At this time, we estimate that 100% are collected electronically.

4. Efforts to identify duplication. .

Only the States and territories that participate in VIS have the information required by this program.

5. Methods used to minimize the burden to small businesses if involved.

This information collection does not have an impact on small businesses or other small entities.

¹ In accordance with 46 U.S.C. Chapter 123.

6. Consequences to the Federal program if collection were conducted less frequently.

Without regular updates to the VIS, the data would not be useful for law enforcement purposes. The primary benefits of VIS come from its ability to serve as a tracking device much like the Vehicle Identification Number found in automobiles. As a tracking device, the benefits of VIS are in:

- the recovery of stolen or missing vessels, which will benefit boat owners, local and State law enforcement agencies and boat insurers;
- the purchase of a vessel, which will make it less likely to unknowingly purchase a stolen vessel, which can be a financial disaster if the rightful owner shows up to claim it; and
- the discovery of liens, unpaid taxes, and claims that are lodged against a vessel, and that can become the unexpected responsibility of the new owner.

Without VIS, these benefits are not realized.

7. Special collection circumstances.

This information collection is conducted in manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

8. Consultation.

A 60-Day Notice (See [USCG-2015-0689], August 13, 2015, 80 FR 48550) and 30-Day Notice (November 19, 2015, 80 FR 72449) were published in the Federal Register to obtain public comment on this collection. The Coast Guard has not received any comments on this information collection.

9. Provide any payment or gift to respondents.

There is no offer of monetary or material value for this information collection.

10. Describe any assurance of confidentiality provided to respondents.

The VIS application is an authorized-user-only website requiring user log in, available to Numbering and Titling, Registration and Law Enforcement personnel of VIS participating States and Federal Agencies.

11. Additional justification for any questions of a sensitive nature.

There are no questions of sensitive language.

12. Estimate of annual hour and cost burden to respondents.

- The estimated number of respondents is 34.
- The estimated number of annual responses is 893.
- The estimated annual hour burden is 5,168 hours.
- The estimated annual cost burden is \$372,560.

The burden to respondents is provided in Appendix A. The wage rates used are in accordance with the current edition of COMDTINST 7310.1(series) for "Out-Government" personnel.

VIS Data Transfers: We estimate that it will take a technical specialist about 6 hours to prepare and submit VIS data. For each participating State and territory, we estimate that this data transfer will occur every 2 weeks (or 26 times per year).² The position of a technical specialist is analogous to a GS-12.

MOA Applications: We estimate that it will take a program specialist about 16 hours to prepare an MOA application. We estimate that we will receive 1 MOA application per year. The position of a program specialist is analogous to a GS-14.

² The CG/State-Territory MOA calls for data transfer at least monthly.

VIS User Requests: We estimate that it will take a technical specialist about 6 minutes (0.1 hours) to prepare and submit a VIS new user request. For each participating State and territory and each new VIS MOA Applicant, we estimate that there is 1 new user request per year. The position of a technical specialist is analogous to a GS-12.

13. Total of annualized capital and start-up costs.

Much of the information to be included in the VIS database is already collected by States and territories when registering vessels. The start-up cost is the cost of developing the VIS interface and conversion software at the State and territory level. The Coast Guard estimates the average start-up cost per State or territory is \$55,000. Thus, the average annual start-up cost is \$55,000 (\$55,000 * 1 entity per year).

14. Estimates of annualized cost to the Federal Government.

The estimated annual Federal Government cost is \$482,350 (see Appendix B). The estimate is comprised of 3 elements. First, we estimate the VIS Database cost of \$480,000 annually. Second, we estimate that it will take a technical specialist about 8 hours to review and process each MOU. Coast Guard program and legal specialists conduct the review. Third, we estimate that it will take a technical specialist about 0.5 hours to review and process each VIS new user request. The position of a technical specialist is analogous to a GS-14. The wage rate shown is in accordance with the current edition of COMDTINST 7310.1(series) for "In-Government" personnel.

15. Explain the reasons for change in the burden.

The change in burden is an ADJUSTMENT due to a change (i.e., decrease) in the estimated annual number of responses. There is no proposed change to the reporting requirements of this collection. The reporting requirements remain unchanged. The methodology for calculating burden was changed to more accurately reflect current practices for VIS Data Transfers and to account for the MOA Application process. Previously, we estimated that all 56 States and territories would send us VIS data 250 times per year, and that additional separate error reconciliation reports (over 100,000) would be sent to the CG. Current practice is for the 33 participating States and territories to regularly³ submit VIS data in such a manner that we no longer receive separate error reconciliation reports.

16. Plans for tabulation, statistical analysis and publication.

This information collection will not be published for statistical purposes.

17. Approval for not explaining the expiration date for OMB approval.

The Coast Guard will display the expiration date for OMB approval of this information collection.

18. Exception to the certification statement.

The Coast Guard does not request an exception to the certification of this information collection.

B. Collection of Information Employing Statistical Methods:

This section does not apply because the collection of information does not employ statistical methods.

³ The MOA calls for at least monthly, we estimate every 2 weeks or 26 times per year.