United States Environmental Protection Agency Office of Chemical Safety and Pollution Prevention

EPA 747-B-99-002 https://www.epa.gov/lead



# Application and Instructions for Individuals

Applying for Certification to Conduct Lead-Based Paint Activities

	Form Approved CARB No. 2070-0155 Expires 08/31/04
CERTIFICATION APPLICATION FOR INDIVIDUALS (CONTINUED)	
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**Important:** Consult the instructions provided for individuals applying for certification to conduct lead-based paint activities to complete this form. Firms should use the Application for Firms instead of this form. **Please type or print responses in black or blue ink only.** 

#### A. General Information (All applicants)

Select one of the following application types:

Initial certification application

- Re-certification application
- Adding jurisdiction[s] to certification/amending certification

Replacement of a certificate

Official Use Only

### For information on EPA and other Lead Programs, see: http://www.epa.gov/lead

Indicate the discipline(s) for which you seek certification or re-certification and all EPA-run jurisdiction(s) in which you intend to perform lead-based paint activities. For discipline-specific responsibilities, please see the instructions. An EPA-run jurisdiction includes an EPA-run state, U.S. territory, or all Indian tribal land(s) in any one EPA Region. You will be eligible for certification to work only in those EPA-run jurisdiction(s) you specify below. Attach additional sheets of paper, as necessary.

The fee you must pay depends on the number of disciplines and EPA-run jurisdiction(s) in which you plan to conduct lead-based paint activities. See the fees schedule in the instructions to determine your fee. The total fee listed below should include fees calculated on any additional sheets.

I=Initial		Inspector		Supervisor		Risk Assessor		Project Designer		tement orker	Fee
R=Refresher		R	1	R		R	1	R	I	R	
1 <sup>st</sup> EPA-run jurisdiction* (pay base certification <u>fee only</u> )											\$
2 <sup>nd</sup> EPA-run iurisdiction*											\$

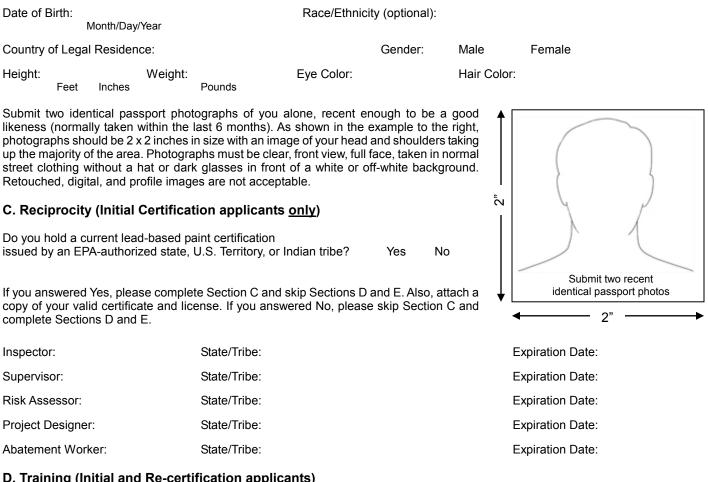
Check here if you are listing additional EPA-run jurisdiction[s]

List each additional	jurisdiction as necessary	y. (Each additional	jurisdiction is \$35	per discipline,	per jurisdiction)	

Certification exam fee (\$70 each) (Does not apply to project designers, abatement workers, or applicants applying under Section C). \$

					fee examples in the epa.gov/lead or cal		AD.		Total Fee:	\$
B. Applica	ant Inforn	nation	(All applie	cants)						
Check	here if yo	u are a	an employe	e of a Feo	lerally-recognize	d Indian Tribe.				
Mr.	Mrs.	Ms.	Name:	La	ast	F	irst		Middle	
Previous a	ind/or Mai	den N	ame(s), if a	applicable	:					
Business I *In the ever		annot re	each you, pl	ease list an	ext. other contact name	and number (or	Home Phone otional):	e #:		
Home Add		eet Add	ress, Suite I	Number (Ple	ease no P.O. Box)		City		State	Zip Code
Company	Name and	d Addr	ess: Nai	me	Street Address, S	uite Number	City		State	Zip Code
Applicant's	s E-mail A	ddress	s (optional)	):						
To which a	iddress sł	nould c	correspond	lence be s	ent?	Home	Company	/ Oth	er (please att	ach)

\$



#### D. Training (Initial and Re-certification applicants)

Answer the following items about the lead-based paint training course you received for each discipline for which you are seeking certification or re-certification. Attach additional sheets of paper as necessary.

	Discipline:						
	Name of Training Program:	Name of orga	nization that taught course				
	Training Program Address:	Street Address, Suite Number		City	State	Zip Code	
	Training Program Phone #:		ext.	Date Training Complete	d: Month/Day/Ye	ar	
If training was conducted in a language other than English, please specify language:							
	Training Certificate Identification Number:						
	Please check the type of test yo	ou took:	Course test(s) and/or hands-on a	ssessment or	Proficiency tes	t	

E. Ex	perience and Educa	ation (Initial C	Certification for Su	perviso	r, Project De	signer, or Risk Ass	sessor <u>only</u> )
If apply	ying for Inspector or W	orker, go to Se	ction F.				
For ea	ch discipline, check the	e combination	you are using below:				
Supe	rvisor: (A or B must	t be checked	.)				
Α.	1 year experience a	s certified lead	abatement worker	В.	2 years exp	erience in building trac	les or related field*
	*Examples of related fi	elds include lead	, asbestos, environmenta	al remediat	ion work, or con	struction.	
Proje	ct Designer (A or B	must be che	cked.)				
Α.	Bachelors degree in engineering, architecture, or related profession, AND 1 year experience in building construction and design or related field*			В.	4 years exp or related fie	erience in building cor eld*	nstruction and design
	*Examples of related fie	lds include lead,	asbestos, environmental	remediatio	on work, or cons	truction.	
-							
Risk /	Assessor: (A, B, C o	or D must be	checked.)				
Α.	Bachelors degree A 1 year experience ir			В.	Associates degree AND 2 years experience in a related field*		
C.	Certification as indu engineer, registered		, professional	D.	High School/GED AND 3 years experience in related field*		
	Certification in relate field (e.g., safety pro						
	*Examples of related fie	lds include lead,	asbestos, environmental	remediatio	on work, or cons	truction.	
For ex	perience combination	ns checked ab	ove, answer each of	the follow	wing (Attach a	additional sheets of p	aper, as necessary):
Reque	sted Discipline:	Curren	t Occupation Title:			Company Nar	me:
Dates	employed:		Documentation att	ached:	Resume	Reference Letter	Summary of work
For ed	lucation checked abo	ove, answer ea	ch of the following (	Attach a	dditional she	ets of paper, as nece	ssary):
Schoo	l:		Major/Course of st	tudy:		Degree:	Year:
Docum	nentation attached:	Diploma	Transcript				
F. Lea	d-Based Paint Acti	vity Violation	s (All applicants)				
Do you	u have any past, prese	nt, or pending I	ead-based paint activ	ity violatio	ons Yes	s No	
	<b>If yes</b> , please attach	n a written expla	anation.				

#### G. Additional Information (All applicants)

Use the following space for any additional information or comments that you feel are relevant and want EPA to consider with your application. Attach additional sheets of paper, as necessary.

# H. Signature (All applicants)

Privacy Act Statement: This statement is provided pursuant to the Privacy Act of 1974, 5 U.S.C. §552a. The authority for collecting this information is 40 C.F.R. Part 745, and 15 U.S.C. §§2682 and 2684. The information collected on this form will be used to establish the applicant's eligibility for certification to conduct lead based paint activities in target housing and child occupied facilities. Disclosure of this information is voluntary, however, the failure to provide this information may delay or prevent an applicant's certification. This information may be disclosed in appropriate and limited circumstances to: EPA employees, contractors, grantees or others when performing duties that are compatible with the purpose for which this information is collected and when this information is necessary to complete the task; a member of Congress in response to a request made with your consent and on your behalf; to appropriate law enforcement agencies responsible for investigating, enforcing, prosecuting or implementing specific statutes, codes or regulations and this information is relevant to that responsibility; an appropriate adjudicative body when such disclosure is compatible with the purpose for which this information is relevant to that responsibility; and appropriate adjudicative body when such disclosure is compatible with the purpose for which this information is relevant to that responsibility; and appropriate adjudicative body when such disclosure is compatible with the purpose for which this information is collected and the EPA or the United States has an interest in the proceeding; and the Department of the Treasury, the General Services Administration, the General Accounting Office and other Federal, State, and Local Agencies for authorized activities related to this information.

Please sign your name and write the date in the blanks below if you understand and agree with the following statement: I hereby attest and affirm that the information included on this application, including any attachments, is true and accurate to the best of my belief and knowledge. I acknowledge that any certification issued pursuant to this application, including any attachments, will be subject to revocation if issuance was based on incorrect or inadequate information that materially affected the decision to issue the certification. I also attest and affirm that I will maintain my certification(s) according to 40 CFR § 745.226, follow work practice standards according to 40 CFR § 745.227, and conduct lead-based paint activities only in those disciplines and geographical areas in which I have received certification.

Date Signed

Applicant's Signature

(Please sign legibly within the boundaries of the box above.)

#### Before you mail your application and certification fee, make sure that you have:

Filled out all applicable sections of the application

Signed and dated the application

Made a copy of your application for your files

Enclosed two identical passport photos of yourself

Enclosed a copy of your course completion certificate(s) Enclosed documentation of your education, experience, and professional certification(s), if necessary

Enclosed any other documentation needed -- see the instructions for more information

Enclosed the appropriate certification fee(s)(check or money order)

Printed "Lead Program User Fees" on the check or money order

Submitted \$70 certification exam fee if applying for initial certification for Inspector, Supervisor, or Risk Assessor

For more information, see the fees section in the instructions

#### Mail the original completed application, supporting materials, and the certification fee to:

U.S. EPA Lead User Fees P.O. Box 979072 St. Louis, MO 63197-9000

# INSTRUCTIONS FOR INDIVIDUALS APPLYING FOR CERTIFICATION TO CONDUCT LEAD-BASED PAINT ACTIVITIES

You may apply to the U.S. Environmental Protection Agency (EPA) to be certified or re-certified to conduct lead-based paint activities as an **Inspector**, **Supervisor**, **Risk Assessor**, **Project Designer**, or **Abatement Worker** in states, U.S. territories, and all Indian tribal land(s) in any one EPA Region where EPA implements the lead-based paint certification program. If EPA does not administer the certification program in an area where you wish to work, you must apply directly to that state, territory, or Indian tribe for certification.

These instructions supplement EPA form 747-B-99-002, *Application for Individuals to Conduct Lead-Based Paint Activities*. Please note that you must use a separate application form for each application type. For example, if you are applying for initial and re-certification in two disciplines, you must use two separate application forms.

Discipline	Responsibilities
Inspector	<ul><li>Inspection</li><li>Post-abatement clearance</li></ul>
Supervisor	<ul> <li>Occupant protection plan</li> <li>Abatement report</li> <li>Oversee abatement activities</li> </ul>
Risk Assessor	<ul> <li>Inspection</li> <li>Lead hazard screen</li> <li>Risk assessment</li> <li>Post-abatement clearance</li> </ul>
Project Designer	<ul><li>Occupant protection plan</li><li>Abatement report</li></ul>
Abatement Worker	Conduct abatement activities

#### **Responsibilities of Certified Disciplines**

# How to Apply for Initial Certification

#### If You Are Currently Certified

To apply for certification from EPA if you are **currently certified by an authorized state**, **U.S. territory**, **or Indian tribe**, you must:

- Complete, sign, and date EPA form 747-B-99-002.
- Enclose a copy of your valid certificate from an authorized state, U.S. territory, or Indian tribe, highlighting the certification expiration date, if applicable.
- Submit two identical passport photographs of you alone. Photographs must be:
  - Recent enough to be a good likeness (taken within the last 6 months);
  - Clear with a front view of your face taking up the majority of the area (hats or dark glasses are not acceptable);
  - Focused on your head and shoulders; and
  - Printed from film with dimensions of 2 x 2 inches. Retouched, digital, and profile images are not acceptable.
- Calculate the appropriate fee using the fees schedule provided.
- Print *"Lead Program User Fees"* on the check or money order for the fee and mail it with your application to the address at the bottom of this page.

#### If You Are Not Currently Certified

To apply for **certification from EPA** if you have successfully completed an EPA-accredited training course, you must:

- Complete, sign, and date EPA form 747-B-99-002.
- Submit two identical passport photographs of you alone. Photographs must be:
  - Recent enough to be a good likeness (taken within the last 6 months);
    - Clear with a front view of your face taking up the majority of the area (hats or dark glasses are not acceptable);
    - Focused on your head and shoulders; and
    - $\circ$  Printed from film with dimensions of 2 x 2 inches.
    - Retouched, digital, and profile images are not acceptable.
- Calculate the appropriate fee using the fees schedule provided.
- Print "Lead Program User Fees" on the check or money order for the fee and mail it with your application to the address below:

U.S. EPA Lead User Fees P.O. Box 979072 St. Louis, MO 63197-9000

# **Certification of Tribal Employees**

Individuals seeking certification or re-certification under the tribal fee allowance must currently be in the employment of a Federally-recognized Indian Tribe. In Section B check the tribal employment box and in the company name and address field provide the name and address of the tribal government you are currently working for to verify employment.

# **Discipline-specific Requirements**

The information you must submit depends on the discipline(s) for which you seek certification. The following table identifies these requirements.

Discipline	Application Sections	Required Documentation
Inspector	A, B, C or D, F, G, and H	<ul> <li>Valid inspector course completion certificate (Section D) or valid certificate and license (Section C)</li> </ul>
Supervisor	A, B, C or D and E, F, G, H	<ul> <li>Valid supervisor course completion certificate (Section D) or valid existing certificate and license (Section C)</li> <li>Resume(s), letters of reference, etc.</li> </ul>
Risk Assessor*	A, B, C or D and E, F, G, H	<ul> <li>Inspector course and valid risk assessor course completion certificates (Section D) or valid certificate and license (Section C)</li> <li>Resume(s), letters of reference, etc.</li> <li>Official high school diploma or college transcript</li> <li>Professional certification(s)</li> </ul>
Project Designer	A, B, C or D and E, F, G, H	<ul> <li>Supervisor course and valid project designer course completion certificates (Section D) or valid certificate and license (Section C)</li> <li>Resume(s), letters of reference, etc.</li> <li>Official college transcript</li> </ul>
Abatement Worker	A, B, C or D, F, G, and H	<ul> <li>Valid worker course completion certificate (Section D) or valid certificate and license (Section C)</li> </ul>

# How to Apply for Re-certification

If you completed an accredited training course with a course test and, if applicable, a hands-on assessment, you must be re-certified every three (3) years. If you completed an accredited course that included a proficiency test, you must be re-certified every five (5) years (all disciplines except Project Designer).

You may take a refresher course accredited by either EPA or an EPA-authorized training program. To ensure that you will be re-certified before your current certification expires, you should submit your re-certification application well before your expiration date.

For re-certification, complete all sections except for sections C (Reciprocity) and E (Experience and Education) of the application and follow the mailing instructions described in the "initial accreditation" section of these instructions. Also submit two recent, identical passport photographs that meet the criteria outlined on the application.

# **Amended Application**

Amended applications must be sent to the following address:

U.S. EPA P.O. Box 14417 Washington, DC 20044-4417

# **Replacement of a Certificate**

To replace a certificate, complete only sections A (General Information), B (Applicant Information), and H (Signature) of the application and follow the mailing instructions described in the "initial accreditation" section of these instructions.

#### **Incomplete Application**

If your application is incomplete, EPA will not process your application. If any components of your application are missing, your application will become inactive for a period not to exceed 30 days until the application is made complete. If you do not complete your application, EPA will return the application package. You may apply again with a complete package. Please call 1-800-424-LEAD to see if your application is complete.

#### Fees

The fee for applying for certification, re-certification, and other requests is listed on the following page. It is important that you:

- Calculate the fee based on the number of disciplines and number of EPA-run jurisdiction(s) in which you plan to work.
- Submit one \$35 fee for all Indian tribal land(s) in any one EPA Region, if you are applying for certification in multiple Indian tribal lands.
- Include a list of the disciplines and EPA-run jurisdiction(s) with your fee, if you are applying for certification in more than one discipline.
- Write your total fee amount in section A of the application, even if you attach additional sheets of paper listing additional EPA-run jurisdictions.
- Include the certification exam fee (if applicable) with the certification fee, for more efficient processing.
- Make the check or money order payable to U.S. Environmental Protection Agency. Other methods
  of payment include wire transfer, electronic funds transfer, and, for government payers only, on-line
  payment agency collection (OPAC).

#### **Fees Schedule**

Description	Initial Certification Fee	Re-certification Fee [every 3 or 5 years, see 40 CFR 745.226(e)(1)]		
Individual, all disciplines except abatement worker (inspector, supervisor, risk assessor, project designer)	\$410	\$410		
Individual Abatement Worker	\$310	\$310		
Tribal Individual, all disciplines	\$10	\$10		
Certification examination Fee*	\$70 for each attempt			
Multi-jurisdictional Certification Fee**	\$35 per discipline for each additional EPA-run jurisdiction***			
Replacement Certificate	\$15			

\*Does not apply to project designers, abatement workers, or applicants applying under section C of the application (reciprocity). \*\*Multi-jurisdictional certification applies to an applicant applying in more than one EPA-run jurisdiction.

\*\*\*An EPA-run jurisdiction includes an EPA-run state, a U.S. territory, or all Indian tribal land(s) in any one EPA Region. (For current listing of EPA-run jurisdictions, see www.epa.gov/lead or call 1-800-424-LEAD.)

#### **Fee Examples**

1) If applying for initial Risk Assessor certification in one state:

Initial Risk Assessor certification fee		\$ 410
Certification exam fee for Risk Assessor		<u>\$ 70</u>
	Total Amount Due:	\$ 480

2) If applying for initial Risk Assessor and initial Abatement Worker certifications in two states:

Initial Risk Assessor certification Fee		\$ 410
Certification exam fee for Risk Assessor		\$ 70
Initial Worker certification fee (no exam fee)		\$ 310
One additional state fee* (2 disciplines)		<u>\$ 70</u>
	Total Amount Due:	\$ 860

3) If applying for initial Project Designer and initial Supervisor certifications in two states and all Indian tribes in one EPA Region:

Initial Project Designer certification fee (no exam fee)		\$	410
Initial Supervisor certification fee		\$	410
Certification exam fee for Supervisor		\$	70
One additional state fee* (2 disciplines)		\$	70
All Indian tribes in one EPA Region fee* (2 disciplines)		<u>\$</u>	70
	Total Amount Due:	\$	1,030

\*Each certification request includes the fee for one EPA-run jurisdiction.

# **EPA's Certification Fees Refund Policy**

Individuals having submitted an application and associated fees for certification or recertification who wish to withdraw their application prior to Agency approval will receive a fee refund based upon the schedule listed below. Individuals who request a refund more than 10 days after the Agency receives the application will not receive a 100 percent refund.

Number of Days Following Agency Receipt of Application	Percent Reimbursable (based upon total fees submitted for a particular discipline)
up to 10 days	100%
11 to 60 days	75%
61 to 120 days	50%
121 or more days	25%

Note: Refunds will only be made after EPA verifies fee receipt and deposit by the U.S. Treasury.

Individuals must notify the Agency in writing in order to qualify for a refund. The date of withdrawal is the date on which the Agency received the withdrawal notification.

EPA will not refund fees after granting an applicant certification or re-certification. Refunds are granted only on a per discipline basis. Therefore, an individual must withdraw an application for a particular discipline in all EPA-run jurisdiction(s) included on the application. If your application is disapproved, EPA will not refund fees.

Refunds are not available for certification examination fees or for the replacement of a certificate.

### **Application Process**

EPA processes applications on a first-come first-served basis. Your course completion certificate will serve as interim certification for six months. If the application process is not completed within 6 months of your course completion, the initial training must be retaken and the application process restarted including paying the certification fee again. To make sure to allow enough time for your application to be processed, you should submit your application for certification to EPA within 30 days of completing your initial training course. If you are applying for supervisor, inspector, or risk assessor certification, you must pass a 3rd party exam and receive certification from EPA before the expiration date on your interim certification. If you do not complete these activities before the expiration date, you cannot conduct lead-based paint activities.

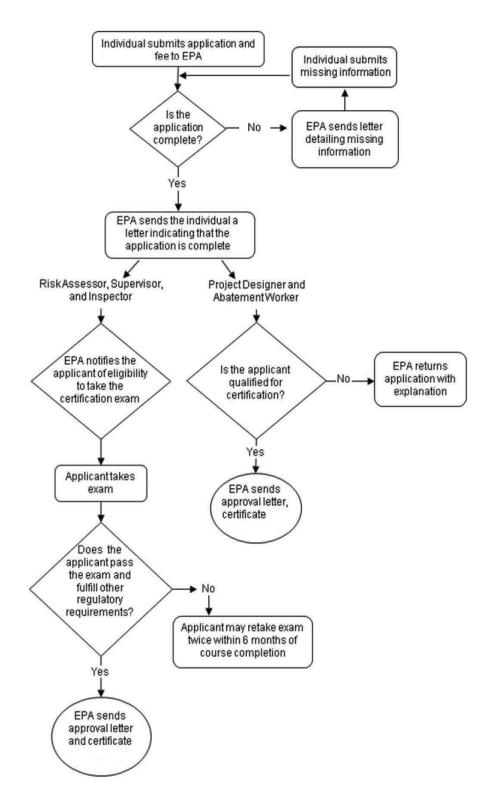
If you are applying for re-certification, your application should be submitted well before your certification expires in order to allow time for processing. You do not need to take the certification exam again to be re-certified.

# If a firm is assisting in completing applications for individuals who are applying for certification, please be aware of the following:

- Individual must have an address and phone number different from the firm's.
- Individual must sign and date the application.
- Individual's name, and the discipline they are applying for should appear on the front of the application fee payment (check or money order), even if it is hand-written, if the firm pays for the individual.
- EPA forwards all correspondence to individuals who have signed the application attesting to their willingness to comply with the work practice standards found at 40 CFR §745.227.
- EPA forwards copies of the individual's approval letter and certificate to the firm upon written request of the applicant; however, the approval letter, and certificate remain the property of the individual.

The flowchart on the following page depicts the application process for individual certification.

#### **Application Process for Individual Certification**



Paperwork Reduction Act Notice: The annual public burden for this collection of information is estimated to be 1 hour for inspectors, risk assessors and supervisors, and 0.5 hours for workers and project designers per response, including the time needed for reading the instructions and completing the necessary information contained in this form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden to: Director, Collection Strategies Division, Office of Environmental Information (OEI), U.S. Environmental Protection Agency (Mail Code 2822), 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20460. Include OMB number 2070-0155 in any correspondence. Do not send the completed form or requested information to this address. The actual information or form should be submitted in accordance with the instructions accompanying the form, or as specified in the corresponding regulations.