

<b>Annual or One-Time</b>	<b>Information Collection Type</b>	<b>Requirement</b>
One-time	Reporting	37.21(a)(3)
One-time	Reporting	37.41(d)
One-time	Reporting	37.23(b)(1) in PR, 37.23(b)(2) in FR
One-time	Reporting	37.41(a)(3)
Annual	Reporting	37.11(a)
Annual	Reporting	37.23(b)(1), modified to 37.23(b)(2) in FR
Annual	Reporting	37.23(d)
Annual	Reporting	37.25(c)
Annual	Reporting	37.27 (a)(1)
Annual	Reporting	37.27(c)
Annual	Reporting	37.41(a)(2)
Annual	Reporting	37.43(d)(3)(ii),
Annual	Reporting	37.45(a)(2)&(5) in PR, 37.45(b)(1)&(2) in FR
Annual	Reporting	37.57(a)
Annual	Reporting	37.57(b)
Annual	Reporting	37.57(c)
Annual	Reporting	37.77(a)&(b)
Annual	Reporting	37.77 (c),
Annual	Reporting	37.77 (d)
Annual	Reporting	37.81(a), (b), (c), (d), (e), &(f)
Annual	Reporting	37.81(g)&(h)
One-time	3rd Party Disclosure	37.43(c)
One-time	3rd Party Disclosure	37.45 (a)(b)
Annual	3rd Party Disclosure	37.11(c)(4)
Annual	3rd Party Disclosure	37.23(c)
Annual	3rd Party Disclosure	37.23(d)
Annual	3rd Party Disclosure	37.23(g)(1)
Annual	3rd Party Disclosure	37.27(a)(2)
Annual	3rd Party Disclosure	37.43(c)
Annual	3rd Party Disclosure	37.45(a)(1)&(2)
Annual	3rd Party Disclosure	37.45(b)(1) & (2)
Annual	3rd Party Disclosure	37.49(d)
Annual	3rd Party Disclosure	37.57(a)
Annual	3rd Party Disclosure	37.57(b)
Annual	3rd Party Disclosure	37.75(a)(1)
Annual	3rd Party Disclosure	37.75(a)(2)
Annual	3rd Party Disclosure	37.75(b)
Annual	3rd Party Disclosure	37.75(c)
Annual	3rd Party Disclosure	37.75(c)
Annual	3rd Party Disclosure	37.75(d)
Annual	3rd Party Disclosure	37.77(a)&(b)
Annual	3rd Party Disclosure	37.77 (c),
Annual	3rd Party Disclosure	37.77 (d)
Annual	3rd Party Disclosure	37.79(b)(1)(iii) in PR, 37.79(b)(1)(ii) in FR
Annual	3rd Party Disclosure	37.81(a),(c)&(e)
One-time	Recordkeeping	37.23(h)(2)
One-time	Recordkeeping	37.43(b)
Annual	Recordkeeping	37.23(e)(3) in PR, 37.23(e)(5) in FR
Annual	Recordkeeping	37.23(f)
Annual	Recordkeeping	37.23(g)(1)
Annual	Recordkeeping	37.23(h)(1)

Annual	Recordkeeping	37.23(h)(2)
Annual	Recordkeeping	37.23(h)(3)
Annual	Recordkeeping	37.25(a)(2)
Annual	Recordkeeping	37.25(a)(10)(i) in PR, 37.25(a)(7) in FR
Annual	Recordkeeping	37.25(b) in PR, 37.25(b)(2) in FR
Annual	Recordkeeping	37.29(l-m) in PR, 37.29(a) (12)&(13) and 37.29(b) in FR
Annual	Recordkeeping	37.31(a)
Annual	Recordkeeping	37.31(e)
Annual	Recordkeeping	37.33(b)&(c)
Annual	Recordkeeping	37.43(a)(4)
Annual	Recordkeeping	37.43(b)(3)
Annual	Recordkeeping	37.43(c)(4)
Annual	Recordkeeping	37.43(d)(5) in PR, 37.43(d) (5) & (6) in FR
Annual	Recordkeeping	37.43(d)(7)(i) in PR, 37.43(d)(8)(i) and (ii) in FR
Annual	Recordkeeping	37.43(d)(7)(ii) in PR, 37.43(d)(8)(i) and (ii) in FR
Annual	Recordkeeping	37.45(a)(2)
Annual	Recordkeeping	37.45(b)(3)
Annual	Recordkeeping	37.45(c)
Annual	Recordkeeping	37.51(b)
Annual	Recordkeeping	37.55(c)
Annual	Recordkeeping	37.71(c) in PR, 37.71(a)-(d) in FR
Annual	Recordkeeping	37.75(a)(3), (b), & (e)
Annual	Recordkeeping	37.77(e)
Annual	Recordkeeping	37.79(c) in PR, 37.79(a)(1) (iii) &(v) in FR
Annual	Recordkeeping	37.79(b)(1)(i)

Final Rule Burden	2015 Renewal Burden	Burden change
0.0	0	0
0.0	0	0
350.0	300	-50.0
0	0.3	0.0
0	0	0
0	0	0
0	0	0
0	0	0
1050	1125	75
0	0	0
0	0	0
0	0	0
0	0	0
0.75	0.75	0
25	27.5	2.5
60	60	0
350	350.08	0.08
8	8	0
0	0	0
0.75	0.75	0
60	60	0
933.333333333333	800.0	-133.3
0	66.6666666667	66.666666667
0	0	0
0	0	0
16800	18000	1200
0	0	0
420	450	30
1400	1500	100
4200	4500	300
0	0	0
0	0	0
0.75	0.75	0
100	110	10
175	175.04	0.04
875	875	0
15000	19875	4875
0	0	0
2,400	3180	780
48	52.8	4.8
1400	1400.32	0.32
8	8	0
0	0	0
0	0	0
0	0	0
23333.3333333333	20000.0	-3333.3
46666.6666666667	40000.0	-6666.7
1400	1,500	100
0	0	0
0	375	375
3150	3,375	225

700	750	50
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
1050	1,125	75
1050	1,125	75
2100	2,250	150
4620	4,950	330
350	375	25
4200	4,500	300
0	0	0
0	0	0
350	375	25
0	0	0
0	0	0
1400	1,500	100
350	375	25
2100	2,250	150
300	398	97.5
312	410	97.5
0.16	0	0
12	12	0
0	0	0
<b>139,109.1</b>	<b>138,570.2</b>	<b>(538.9)</b>

Part 37 Final rule					
Section	Respondents	Responses per Respondent	Responses	Burden per Response	Total Burden Hours
37.21(a)(3)					
37.41(d)					
37.23(b)(1) in PR, 37.23(b)(2) in FR	1,400	3	4,200	0.25	1,050
37.41(a)(3)	1	1	1	1	1
TOTAL	1,400		4,201		1,051
<b>ANNUALIZED TOTAL</b>			<b>1,400.3</b>		<b>350.3</b>

**Explanation:** Decrease in burden due to one-time reporting requirements. Only new lice fulfilled this requirement, are required to develop access authorization and security proc this requirement.

2015 Renewal					B	
Respondents	Responses per Respondent	Responses	Burden per Response	Total Burden Hours	Respondents	Responses per Respondent
					0	0
					0	0
1,200	3	3,600	0.25	900	(200)	0
1	1	1	1	1	0	0
1,200		3,601		901		
		<b>1,200.3</b>		<b>300.3</b>	<b>0</b>	<b>0</b>

licensees and remaining Agreement State licensees, who have not yet  
 burdens since existing NRC licensees were previously required to fulfill

BURDEN CHANGE			NOTES
Responses	Burden per Response	Total Burden Hours	Notes
0	0	0	
0	0	0	
(600)	0	(150)	Initial submittal of the reviewing official(s) names for the fingerprints requirement.
0	0	0	Requires any licensee that has not previously implemented the Security Orders or been subject to the provisions of subpart C to provide written notification to the NRC regional office at least 90 days before aggregating radioactive material to a quantity that equals or exceeds the 0.1category 2 threshold.
(200)	0	(50)	

320108  
83700  
403808

Part 37 final rule						
Section	Number of Respondents	Responses per Respondnt	Responses	Burden per Response	Total Burden Hours	Number of Respondents
37.11(a)	1,400	0	0	1	0	1,500
37.23(b)(1), modified to 37.23(b)(2) in FR	Burden included in 37.27(a)(1)					Burden included in
37.23(d)						
37.25(c)	1,400	0	0	1	0	1,500
37.27 (a)(1)	1,400	3	4,200	0.25	1,050	1,500
37.27(c)	Burden included in 37.27(a)(1)					Burden included in
37.41(a)(2)						
37.43(d)(3)(ii),	Burden included in 37.27(a)(1)					Burden included in
37.45(a)(2)&(5) in PR, 37.45(b)(1)&(2) in FR	0	0	0	0.25	0	0
37.57(a)	3	1	3	0.25	0.75	3
37.57(b)	100	1	100	0.25	25	110
37.57(c)	3	1	3	20	60	3
37.77(a)&(b)	16	21.88	350	1	350	16
37.77 (c),	16	2	32	0.25	8	16
37.77 (d)	16	0	0	0.25	0	16
37.81(a), (b), (c), (d), (e), &(f)	3	1	3	0.25	0.75	3
37.81(g)&(h)	3	1	3	20	60	3
<b>TOTAL</b>			<b>4,694</b>		<b>1,554.50</b>	



2015 renewal				E	
Responses per Respondent	Responses	Burden per Response	Total Burden Hours	Number of Respondents	Responses per Respondent
0	0	1	0	100	0
37.27(a)(1)				0	0
				0	0
0	0	1	0	100	0
3	4500	0.25	1125	100	0
37.27(a)(1)				0	0
				0	0
37.27(a)(1)				0	0
0	0	0.25	0	0	0
1	3	0.25	0.75	0	0
1	110	0.25	27.5	10	0
1	3	20	60	0	0
21.88	350.08	1	350.08	0	0
2	32	0.25	8	0	0
0	0	0.25	0	0	0
1	3	0.25	0.75	0	0
1	3	20	60	0	0
	<b>5,004.1</b>		<b>1,632.1</b>		

BURDEN CHANGE			NOTES
Responses	Burden per Response	Total Burden Hours	Notes
0	0	0	No exemption applications anticipated
0	0	0	Burden included in 37.27(a)(1)
0	0	0	
0	0	0	Reinvestigations are conducted every 10 years. Based on the date of issuance of orders, no submission will until 2017 (next clearance period), at which time the NRC estimates it will receive 68 responses per licensee annually.
300	0	75	Fingerprinting requirement, burden captures reviewing officials & estimated 3 new employees per licensee annually.
0	0	0	Burden included in 37.27(a)(1)
0	0	0	
0	0	0	Burden included in 37.27(a)(1)
0	0	0	Notify regional office on LLEA none response, none estimated
0	0	0	Event notification
10	0	3	Phone call of suspicious activity
0	0	0	Written follow up report for notifications within 30 days
0	0	0	Advance written notification of Category 1 shipments
0	0	0	Revision notification
0	0	0	Cancel notification
0	0	0	Notification of lost/missing cat 1 & 2 materials and recovery
0	0	0	Written report following 37.81(a)-(f)
310		78	

Part 37 final rule						
Section	Number of Respondents	Responses per Respondent	Responses	Burden per Response	Total Burden Hours	Number of Respondents
37.43(c)	1,400	1	1,400	2	2,800	1,200
37.45 (a)(b)	1,400	0	0	20	0	10
TOTAL	1,400		1,400		2,800	1,200
<b>ANNUALIZED TOTAL</b>			<b>466.7</b>		<b>933.3</b>	

**Explanation:** Burden change is due to one-time third-party notification requirements. New licensees, who have not yet fulfilled this requirement, are required to develop access authentication. Existing NRC licensees were previously required to fulfill this requirement.

2015 Renewal				BURDEN CHANGE			
Responses per Respondent	Responses	Burden per Response	Total Burden Hours	Number of Respondents	Responses per Respondent	Responses	Burden per Response
1	1,200	2	2,400	-200	0	-200	0
1	10	20	200	-1,390	1	10	0
0	1,210		2,600	-200	0	-190	0
	<b>403.3</b>		<b>866.7</b>			<b>-63.3</b>	

licensees, and remaining Agreement State  
orization and security procedures since

NOTES	
Total Burden Hours	Notes
-400	Training
200	Initial preparation and coordination with LLEA on security plan, this was already done under Orders (action complete). Remaining respondents account for new licensees who did not receive Orders.
-200	
<b>-66.7</b>	

Part 37 final rule					
Section	Rspndnts	Rsp. Per Respndt	Responses	Burden per Response	Total Burden Hours
37.11(c)(4)	Burden captured in 37.57(a)				
37.23(c)	Burden captured in 37.27(a)(2)				
37.23(d)	4,200	1	4,200	4	16,800
37.23(g)(1)	0	0	0	1	0
37.27(a)(2)	1,400	3	4,200	0.1	420
37.43(c)	1,400	1	1,400	1	1,400
37.45(a)(1)&(2), (d)	1,400	1	1,400	3	4,200
37.45(b)(1) & (2)					
37.49(d)	0	0	0	0.1	0
37.57(a)	3	1	3	0.25	0.75
37.57(b)	100	1	100	1	100
37.75(a)(1)	16	21.88	350	0.5	175
37.75(a)(2)	16	218.75	3,500	0.25	875
37.75(b)	400	75	30,000	0.5	15,000
37.75(c)	400	75	30,000	0.08	2,400
37.75(d)	100	3	300	0.16	48
37.77(a)&(b)	16	21.88	350	4	1,400
37.77 (c),	16	2	32	0.25	8
37.77 (d)	16	0	0	0.25	0
37.79(b)(1)(iii) in PR, 37.79(b)(1)(ii) in FR	0	0	0	0.03	0
37.81(a),(c)&(e)	0	0	0	0.25	0
<b>TOTAL</b>			<b>75,835</b>		<b>42,826.75</b>

2015 renewal					B	
Rspndnts	Rsp. Per Rspndt	Responses	Burden per Response	Total Burden Hours	Number of Respondents	Responses per Respondent
Burden captured in 37.57(a)					0	0
Burden captured in 37.27(a)(2)					0	0
4,500	1	4,500	4	18,000	300	0
0	0	0	1	0	0	0
1,500	3	4,500	0.1	450	100	0
1,500	1	1,500	1	1,500	100	0
1,500	1	1,500	3	4,500	100	0
		0		0	0	0
0	0	0	0.1	0	0	0
3	1	3	0.25	1	0	0
110	1	110	1	110	10	0
16	21.88	350	0.5	175	0	0
16	218.75	3,500	0.25	875	0	0
530	75	39,750	0.5	19,875	130	0
530	75	39,750	0.08	3,180	130	0
110	3	330	0.16	53	10	0
16	21.88	350	4	1,400	0	0
16	2	32	0.25	8	0	0
16	0	0	0.25	0	0	0
0	0	0	0.03	0	0	0
0	0	0	0.25	0	0	0
		<b>96,175.16</b>		<b>50,126.91</b>		

BURDEN CHANGE			NOTES
Responses	Burden per Response	Total Burden Hours	Notes
0	0	0	Burden captured in 37.57(a)
0	0	0	Burden captured in 37.27(a)(2)
300	0	1,200	Employees providing personal history information
0	0	0	Notification of employees that they have the right to correct and complete information. No responses anticipated
300	0	30	Notify each individual how their fingerprints will be used.
100	0	100	Training
100	0	300	Annual LLEA coordination
0	0	0	
0	0	0	Request armed response from LLEA- burden in 37.45(a)(10). none anticipated
0	0	0	Event notification
10	0	10	Notify ops center with 4 hrs of suspicious activity
0	0	0	Pre-planning and coordination of Category 1 shipping with receiving licensee
0	0	0	Pre-planning and coordination with States thru which shipment passes of Category 1 Shipments
9,750	0	4,875	Pre-planning and coordination of Category 2 shipping with receiving licensee
9,750	0	780	Notification of shipping licensee upon receipt on Category 2 shipments
30	0	5	Change in arrival time
0	0	0	Advance written notification to the states of Category 1 shipments
0	0	0	Revision notification to state
0	0	0	Cancel notification to state
0	0	0	Periodic reports to communication center
0	0	0	Category 1, zero estimated
<b>20,340.16</b>		<b>7,300.16</b>	



Section	Part 37 final rule			2015 Renewal	
	Number of Recordkeepers	Burden per Recordkeeper	Total Annual Burden Hours	Number of Recordkeepers	Burden per Recordkeeper
37.23(h)(2)	1,400	50	70,000	1,200	50
37.43(b)	1,400	100	140,000	1,200	100
TOTAL	1,400		210,000	1,200	
<b>ANNUALIZED TOTAL</b>	<b>1,400</b>		<b>70,000</b>	<b>1,200</b>	

**Explanation:** Decrease in burden due to one-time record keeping requirements. Only new licenses fulfilled this requirement, are required to develop access authorization and security procedure requirement.

	BURDEN CHANGE			I
Total Annual Burden Hours	Number of Recordkeepers	Burden per Recordkeeper	Total Annual Burden Hours	Notes
60,000	-200	0	-10,000	One time burden to develop access authorization procedures
120,000	-200	0	-20,000	One time burden to develop security procedures
180,000	-200	0	-30,000	
<b>60,000</b>	-200	0	-10,000	

ensees and remaining Agreement State licensees, who have not yet  
s since existing NRC licensees were previously required to fulfill this

**NOTES**

<b>Retention Period</b>
3 yrs after procedure no longer need, or license termination
3 yrs after procedure is not longer needed, or 3 yrs after procedure is superceded

Section	Part 37 final rule			2015 renewal			BURDEN CHANGE			NOTES	
	Number of Recordkeepers	Burden per Recordkeeper	Total Annual Burden Hours	Number of Recordkeepers	Burden per Recordkeeper	Total Annual Burden Hours	Number of Recordkeepers	Burden per Recordkeeper	Total Annual Burden Hours	Notes	Retention Period
37.23(e)(3) in PR, 37.23(e)(5) in FR	1,400	1	1,400	1,500	1	1,500	100	0	100	List of persons approved access	3 years from the date the individual no longer requires unescorted access
37.23(f)	Burden included in 37.23(h)(2)			Burden included in 37.23(h)(2)			0	0	0	Burden included in 37.23(h)(2)	
37.23(g)(1)	0	0.25	0	1,500	0.25	375	1,500	0	375	Notification records	1 year
37.23(h)(1)	1,400	2.25	3150	1,500	2.25	3375	100	0	225	Record of procedure and trustworthiness	3 years from the date the individual no longer requires unescorted access
37.23(h)(2)	1,400	0.5	700	1,500	0.5	750	100	0	50	Current procedures for conducting background investigations	3 yrs after procedure no longer need, or license termination
37.23(h)(3)	Burden included in 37.23(e)(5)			Burden included in 37.23(e)(5)			0	0	0	Burden included in 37.23(e)(5)	
37.25(a)(2)	Burden included in 37.23(h)(1)			Burden included in 37.23(h)(1)			0	1	0	Burden included in 37.23(h)(1)	
37.25(a)(10)(i) in PR, 37.25(a)(7) in FR	Burden included in 37.23(h)(1)			Burden included in 37.23(h)(1)			0	0	0	Burden included in 37.23(h)(1)	
37.25(b) in PR, 37.25(b)(2) in FR	Burden included in 37.23(h)(1)			Burden included in 37.23(h)(1)			0	0	0	Burden included in 37.23(h)(1)	
37.29(l-m) in PR, 37.29(a)(12)&(13) and 37.29(b) in FR	Burden included in 37.23(h)(1)			Burden included in 37.23(h)(1)			0	2	0	Burden included in 37.23(h)(1)	
37.31(a)	1,400	0.75	1,050	1,500	0.75	1,125	100	0	75	Written procedures for protection of the record	3 yrs from date no longer requires access
37.31(e)	1,400	0.75	1,050	1,500	0.75	1,125	100	0	75	Fingerprint and criminal history records	3 yrs from date no longer requires access
37.33(b)&(c)	1,400	1.5	2,100	1,500	1.5	2,250	100	0	150	Document results of program review -- 3 years	3 years
37.43(a)(4)	1,400	3.3	4,620	1,500	3.3	4,950	100	0	330	Security plan	3 yrs after plan is superseded or until license termination
37.43(b)(3)	1,400	0.25	350	1,500	0.25	375	100	0	25	Security plan implementing procedures	3 years after the record is superseded.
37.43(c)(4)	1,400	3	4,200	1,500	3	4,500	100	0	300	Training records for security plan	3 years
37.43(d)(5) in PR, 37.43(d)(5) & (6) in FR	Burden included in 37.43(d)(8)			Burden included in 37.43(d)(8)			0	0	0	Burden included in 37.43(d)(8)	
37.43(d)(7)(i) in PR, 37.43(d)(8)(i) and (ii) in FR	Information protection procedures - included in 37.43(b)(3)			Information protection procedures - included in 37.43(b)(3)			0	0	0	Information protection procedures - included in 37.43(b)(3)	
37.43(d)(7)(ii) in PR, 37.43(d)(8)(i) and (ii) in FR	1,400	0.25	350	1,500	0.25	375	0	0	25	List of trust worthy person	3 years
37.45(a)(2)							0	0	0		
37.45(b)(3)							0	0	0		
37.45(c)	1,400	1	1400	1,500	1	1500	100	0	100	Records of coordination with LLEA	3 years
37.51(b)	1,400	0.25	350	1,500	0.25	375	100	0	25	Records of maintenance and testing	3 years
37.55(c)	1,400	1.5	2100	1,500	1.5	2250	100	0	150	Records of security plan review	3 years
37.71(c) in PR, 37.71(a)-(d) in FR	400	0.75	300	530	0.75	397.5	130	0	98	Copy of document verification before transfer	3 years
37.75(a)(3), (b), & (e)	416	0.75	312	546	0.75	409.5	130	0	98	Copy of preplanning info for shipments	3 years
37.77(e)	16	0.01	0.16	16	0.01	0.16	0	0	0	Copy of advance notification, revision, cancellation notices	3 years
37.79(c) in PR, 37.79(a)(1)(iii) & (v) in FR	16	0.75	12	16	0.75	12	0	0	0	Written procedures for road shipment of category 1 material	3 years
37.79(b)(1)(i)	0	0.75	0	0	0.75	0	0	0	0	Procedures for rail shipments	3 years
<b>TOTAL</b>			<b>23,444.2</b>			<b>25,644.2</b>	<b>0</b>	<b>0</b>	<b>2,200</b>		

<b>2015 Renewal Summary: Part 37 Totals</b>		
	Hours	Responses
Reporting	1,932.4	6,204.4
Recordkeeping	85,644.2	1,200.0
Third-Party Disclosure	50,993.6	96,578.5
<b>Total</b>	<b>138,570.2</b>	<b>103,982.9</b>

<b>Respondents</b>		
	Final Rule	2015 renewal
Agreement State Licensees	1,100	1,200
NRC Licensees	300	300
Individuals making personal history disclosures under 37.23(d)	4,200	4,500
<b>Total</b>	<b>5,600</b>	<b>6,000</b>