Instrument(s) for Navigation Improvement Survey(s)

Questions for carriers and shippers

During the last three years, what is the largest number of towboats that you have <u>operated</u>?

_____TOWBOATS OPERATED

During the last three years, what is the largest number of towboats you have <u>owned</u> at any one time?

_____TOWBOATS OWNED

Please give the name, horsepower, year built, and average number of barges pushed for each of the towboats you own:

	TOWBOAT NAME:	HORSEPOWER:	YEAR BUILT:	AVG. NO. BARGES:
1				
2				
3				

During the past three years, how many total towboat leases have you made to other companies?

_____TOWBOAT LEASES

During the past three years, what is the largest number of barges that you have <u>operated</u> at any one time?

_____BARGES OPERATED

During the past three years, how many of the barges that you have operated that you leased from other companies?

_____BARGES LEASED FROM OTHERS

In the left column, please list the major commodities that your firm transports by water. Then for each commodity, please list the origin, destination, typical annual tonnage, and rate per ton.

COMMODITY	MOST FREQUENT ORIGIN	MOST FREQUENT DESTINATION	ANNUAL TONNAGE	RATE/TON
1.				
2.				
3.				
4.				
5.				
6.				
7.				

1. Does your firm transport commodities which are regulated because they are of a hazardous or environmentally sensitive nature? (CIRCLE)

1. Yes 2. No 2. If yes, please list those regulated commodities, their origin, destination, and tonnage.

COMMODITY	MOST FREQUENT ORIGIN	MOST FREQUENT DESTINATION	ANNUAL TONNAGE
1.			
2.			
3.			
4.			
5.			
6.			
7.			

If you could not ship your commodities by waterway, which of the following would you do? (CIRCLE ALL THAT APPLY)

- 1. SWITCH MODES OF TRANSPORT
- 2. CHANGE ORIGIN`1
- 3. CHANGE DESTINATION
- 4. SUBSTITUTE OTHER PRODUCTS
- 5. GO OUT OF BUSINESS
- 6. OTHER (Please Explain:)_____

What percent of time are your barges:

1. Idle (not including maintenance and repair time)?

____% of TIME

2. Loading and unloading?

____% of TIME

3. Engaged in fleeting operations?	
	% of TIME
4. Used in general towing?	
	% of TIME
5. Used in dedicated towing?	
	% of TIME
What percent of time are your towboats:	
1. Idle (not including maintenance and re	pair time)?
	% of TIME
2. Loading and unloading?	
	% of TIME
3. Engaged in fleeting operations?	
	% of TIME
4. Used in general towing?	
	% of TIME
5. Used in dedicated towing?	
	% of TIME

For what percentage of trips, on average, include backhauls?

____% OF TRIPS

What percentage of the barges you use are involved in fleeting?

____%

Are there any trends in equipment technology, such as bigger or more efficient TOW BOAT engines that may benefit your firm in the future? (CIRCLE)

1 NO 2 YES (Please explain:)_____ Please give your average total operating <u>costs per mile</u>, for each waterway you use:

<u>Waterways You Use</u>	Operating Costs
1	\$/Mile
2	\$/Mile
3	\$/Mile

For each type of work (list below), please give the number of Full Time and Part Time employees in your firm and the average hourly wage for each type:

Type of Work:	Number <u>Full Time</u>	Number <u>Part Time</u>	Average Hourly Wages
1. Captains			\$/Hour
			\$/Hour
2. Pilots			\$/Hour
			\$/Hour
3. Deck Hands			\$/Hour
			\$/Hour
4. Other Employees			
(What Kind?)	
			\$/Hour
			\$/Hour

For each waterway you use, please give your barge rates per ton <u>and</u> the cost to shipper for inventory (storage), for each major commodity you carry, and the major origin and destination combinations between which commodities are shipped.

(Use a separate line for each combination of commodity, origin, and destination.)

A. Waterway Name	e:	 		r ,
Commodity Names:	<u>Origin:</u>	Destination:		Inventory e <u>/Ton</u> Cost/Ton
1		 	\$	\$
2	- <u></u>	 	\$	\$
3		 	\$	\$
4		 	\$	\$
B. Waterway Name <u>Commodity Names:</u>			<u>Rate/To</u>	Inventory on <u>Cost/Ton</u>
1		 	\$	\$
2	. <u>.</u>	 	\$	\$
3		 	\$	\$
4		 	\$	\$
C. Waterway Name: _				

ETC.

•

(Please attach your shipping rate schedule.)

Have you experienced any problems using any particular waterway?

NO
 YES (Please Specify:)

If Yes, how would correcting the problem(s) affect your operations? Do not provide any Personally Identifiable Information (PII).

Please give any recommendations you may have for improvements the Corps of Engineers could make on particular segments of the waterways you use. Do not provide any Personally Identifiable Information (PII)"

Terminal Name:
Is this a public or privately owned terminal? (CIRCLE)
1. PUBLIC 2. PRIVATELY OWNED
Terminal Operator (Firm):
Mailing Address:
State:Zip Code:
Parent Firm/Terminal Owner:
Mailing Address:
State:Zip Code:
Terminal Number: Corps <u>CODE numbers</u> for each dock at the terminal:
River mile location of terminal: MILE NUMBER Latitude and Longitude of Terminal Geographic Location:
Degrees North Latitude
Degrees <u>West</u> Longitude
Standard Industrial Classification (SIC) Code:
What is the total land area in acres for these terminal and dock facilities?
ACRES

How many total feet of waterfront footage is there for these terminal and dock facilities?

_____FEET

Please list the names of all your docks below and give the length, width, and water depth for each dock:

Docks:	Length <u>(Feet)</u>	Width <u>(Feet)</u>	Depth of <u>Water (Ft.)</u>
1	_:FT.	FT.	FT.
2	_:FT.	FT.	FT.
3	_:FT.	FT.	FT.
4	_:FT.	FT.	FT.
5	_:FT.	FT.	FT.

Please list the different types of loading and unloading equipment at this terminal and dock facility, the number of pieces of each type, their average loading and unloading rates, average age, and total replacement costs for all pieces of each type:

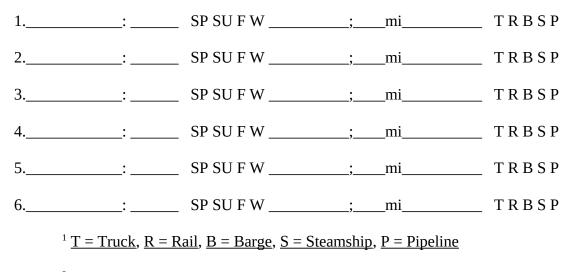
	'otal Iumber Years Cost t <u>eces Old Replac</u> e	0
1::	\$	/HR
2::	\$	/HR
3:	\$	/HR
4::	\$	/HR
5:	\$	/HR
6::	\$	/HR

Please list the different types of storage facilities at this terminal and dock facility <u>and</u> tell whether or not facilities are under cover (e.g. roof), their storage capacity, and the types of commodities stored by each type of facility.

Types of Storage <u>Facilities:</u>	Covered Facilities? <u>(Circle)</u>	Storage Capacity Amount	<u>Units</u>	Types of Commodities <u>Stored</u>
1	Yes No			
2	Yes No			
3	Yes No			

Please list the PRIMARY COMMODITIES below for which you received shipments <u>during the</u> <u>last 12 months</u>. Give the total tons of each commodity received during this time, the seasons of year when received, origin, ultimate destination, and distance shipped.

	Tons in	Seasons				Mode ¹ of
Primary	Last 12	Received	Shipping	Distance	Ultimate	Shipment
Commodities ²	: Months	(Circle)	Origin	Shipped	Destinati	<u>on (Circle)</u>



² Repeat Commodity for different Origins and Destinations.

Which of the following ground transportation services do you have available at your terminal?

(CIRCLE ALL THAT APPLY)

- 1. TRUCK
- 2. RAIL SERVICE
- 3. PIPELINE
- 4. OTHER (Please List:)_____

Please give the total numbers of full-time and part-time employees for each type of work listed below, together with the hourly pay range and hourly fringe benefits for each.

Type of Work:	Hourly	Fringe	-	ıber of loyees
	Pay	Benefits (Hourly Value)	Full Time	Part Time
1. Physical Plant				
2. Dock Workers				
3. Stevedores				
4. Longshoremen				
5. Other Types				

What are your charges (rates per ton) for the primary commodities you handle, for the various unloading and translocation services specified below. If you have a published rate schedule, you may attach that in lieu of completing questions 14-16.

		ge/ Rehandlii		
Commodity Names:	, ₀		0 0	0
1:	\$ \$	\$	\$	\$
2:	\$ \$	\$	\$	\$
3:	\$ \$	\$	\$	\$
4:	\$ \$	\$	\$	\$
5:	\$ \$	\$	\$	\$
6:	\$ \$	\$	\$	\$
7:	\$ \$	\$	\$	\$
8:	\$ \$	\$	\$	\$

Are there any OTHER charges for the primary commodities you handle?

1 NO 2 YES (Please Specify:)

What are the transfer charges on the following intermodal combinations transport?

of

1. Have users of your terminal and/or dock facilities experienced any problems related to the waterway?

1 NO 2 YES (Please Specify:) 2. If Yes, how would correcting the problem(s) affect terminal operations, including effects on costs, throughput levels, and future market or supply sources? Do not provide any Personally Identifiable Information (PII).

Lock Closure Survey Questions

Did your company have sufficient notice of the scheduled (lock name) closure to prepare a response plan?

🗙 Yes

Was your response preplanned or was it designed for this particular closure?

- 1. During the period of closure of the main lock chamber at the (lock name) facility, what was your company's response? (Select all that apply)
- ____a. No change in procedures.
- ____b. Stockpiled product and waited for (lock name) traffic to clear.
- ____c. Switched to all-overland mode for product delivery from existing sources.
- _____d. Switched to different waterway routing for product delivery from existing sources
- ____e. Switched product source to an entirely new source.
- ____f. Ceased operations during the period of closure.
- _____g. Altered production during the period of closure.
- ____h. Switched production to another facility.
- _____i. Purchased intermediate or final product, rather than produced.
- ____j. Other or combinations of the above. (Please explain.)

(1.j. cont'd.)_____

2. If you have checked "c" or "d" in question 2, please complete the following table:

					Delivered	Delivered
		Affected	Origin	Destination	Cost Per Ton	Cost Per Ton
Movement	Commodity	Tonnage	(City, State)	(City, State)	Prior to Closure	During Closure
	-	Ť				-
Examples:						
1	coke	8000	Louisville, KY	Weirton, WV	\$95	\$105
2	scrap iron	4000	Cincinnati, OH	Weirton, WV	\$251	\$271

			Original	New		Delivered	Delivered
		Affected	Origin	Origin	Destination	Cost Per Ton	Cost Per Ton
Movement	Commodity	Tonnage	(City, State)	(City, State)	(City, State)	Prior to Closure	During Closure
Examples:							
1	chemicals	5000	New Orleans, LA	Kenova, WV	Marietta, OH	\$110	\$115
2	steel	1500	Cincinnati, OH	Pittsburgh, PA	Wellsville, OH	\$300	\$335

3. If you checked "e" in question 1, please complete the following table:

4. If you checked response "a" or "b" under question 2, how were your total production costs affected during the period of closure (total increase in cost, if applicable)? Please explain.

5. If you checked response "f" under question 2, what was the total estimated loss to your firm as a result of ceasing production during the closure period?

6. If you checked response "g" under question 2, what was the total estimated loss to your firm as a result of altering production processes during the closure period?

7. If you checked response "h" under question 2, what was the total increase in costs to your firm as a result of changing production locations during the closure period?

8. If you checked "i" under question 2, to what extent did purchasing intermediate or final product increase your company's total costs during the period of closure?

9. If you checked response "j" under question 2, to what extent did the other measures or combination of measures undertaken as a result of the closure increase your company's total production costs during the period of closure?

Has the closure at the (lock name) Locks caused your company to alter its long-term transportation strategy (e.g. switch to all-overland modes, increase stockpiles, etc.)?

\times	Yes
\times	No

If yes, how will this impact your total commodity transportation or other costs (per year)? Please explain. Do not provide any Personally Identifiable Information (PII)

Has the closure at the (lock name) Locks caused your company to take any other long-term permanent measures?

🗙 Yes 🗙 No

If yes, please explain. How will this affect your company's long-term operating costs (per year)? Do not provide any Personally Identifiable Information (PII)

As you may be aware, the original closure period for the main chamber at (lock name) was _____. This closure period was unexpectedly extended an _____. Did your company alter its plans in anticipation of the original closure?

🗙 Yes

🔀 No

Did your company alter its plans in response to the additional delay?

🗙 Yes

🔀 No

If yes, please explain.

Has your company been impacted by other navigation system disruptions?

X Yes

Did they influence your response to the (lock name) closure?

\times	Yes
X	No

If yes, please explain:

Significant navigation system disruptions are defined as disruptions that result in delays to your shipment of more than 48 hours (including scheduled and unscheduled lock outages, accidents, and low and high water events). Has your company experienced significant navigation disruptions prior to the (lock name) closure?



Yes – please complete the following table

No – skip to item #

The following table asks for the event, date, short- and long- term responses for all significant navigation system disruptions experienced by your company. Please read the short and long term definitions provided below the table prior to completing the table.

Event	Date	Short-Term Response (FN2)	Long-Term Response (FN3)
Example:			
McAlpine closure	2 Sep 99-	diverted to overland modes	none
	18-Sep-99		

Short term response refers to the response actions detailed in question 2.

- ____a. No change in procedures.
- ____b. Stockpiled product and waited for (lock name) traffic to clear.
- _____c. Switched to all-overland mode for product delivery from existing sources.
- ____d. Switched to different waterway routing for product delivery from existing sources
- ____e. Switched product source to an entirely new source.
- ____f. Ceased operations during the period of closure.
- _____g. Altered production during the period of closure.
- ____h. Switched production to another facility.

- _____i. Purchased intermediate or final product, rather than produced.
- ____j. Other or combinations of the above. (Please explain.)

Long term response refers to a modification of your long term business plan to include altering your long-term transportation strategy (e.g. switch to all-overland modes, increase stockpiles, etc.). Other long term responses might include sourcing commodities at alternate sites or moving production activities to a different location.

Inland Choice and Needs Survey Questions (Grains)

Q1. What is the primary commodity you ship from this elevator?

_____ name of commodity

Q2. How large was your last single outbound shipment (payload weight)?

_____ payload weight

oleas	e check the	e type of l	unit for this	s weight	
\square_1	Tons	\square_2	Bushels	\square_3	Cwt.

١

Q3. At this location, do you have loading or unloading capabilities for...

		Load Yes ▼	ding	Unloa	ding
		Yes	No	Yes	No
		▼	▼	▼	▼
a.	Trucks	🗖 1	\square_2	\Box_1	\square_2
b.	Barges	ロ1	\square_2	\square_1	\square_2

C. Rail Cars

Q3b. If NO to Barge loading capability, how close is the nearest barge loading facility to this facility?

_____ miles

Q3c. If NO to Rail loading capability, how close is the nearest rail loading facility to this facility?

)

_____ miles

- Q4. What type (or mode) of transportation do you use <u>most often</u> for your freight shipments from this location? (*Check all that apply*)
 - \square_1 Private Truck
 - \square_2 For-Hire Truck
 - \square_3 Barge
 - \square_4 Rail
 - \square_5 Other (Please specify: _____

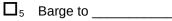
Q5. What commodity was shipped in your very last freight shipment?

_ commodity	shipped
-------------	---------

Q6. We are interested in learning more about your shipment decisions and patterns. When answering the following questions, please think about your <u>very last freight shipment</u>

		Mode and Truck to	d Destina	tion Comb	<u>pinations</u>	
Please answer for each	Truck to (insert location) then	(write in river port*) then		Truck to Railroad then Rail		Other
mode and destination listed	Barge to	Barge to	Rail to	to	Barge to	(please specify)
	▼	▼	▼	▼	▼	. ▼
A. Is this transportation mode physically available at this elevator?	\square_1 Yes \square_2 No	\square_1 Yes \square_2 No	\square_1 Yes \square_2 No	\square_1 Yes \square_2 No		\square_1 Yes \square_2 No
B. What is the trans- portation rate for this shipment? (\$/unit)	Truck		Rail	_		
	Barge					

de		Truck to Truck to	_ then Barge to
briginal Mode	\square_3 \square_4	Rail to Truck to Railroad then Rail to _	
Origin			22



 \square_6 Other (Specify mode and destination):

- Q8. Now suppose your original option for transportation (listed in Q7) was unavailable for six months. During that time period, what mode/destination option would you now utilize? (*Please check ONE box*)
- Alternative Mode
- \square_1 Truck to (*enter location*) then Barge to _____
- Truck to
- \square_3 Rail to _____
- Truck to Railroad then Rail to _____

Q9.	If the transportation rate increased <u><i>«Percent change1»%</i></u> , would you switch to the
	alternative at this location or continue with the original mode and destination?

\square_1	Switch to Alternative mode	\rightarrow	Skip to Q10
-------------	----------------------------	---------------	-------------

- \square_2 Continue to use Original mode
- Q9a. If continue to use Original mode, what <u>percentage increase in the transportation</u> <u>rate</u> would be necessary to cause you to switch to the Alternative transportation mode?

	% increase
	4 TRANSIT TIME
Q10.	If the transit time (including scheduling and wait for equipment) for the original option increased <u>Percent change1</u> »%, would you switch to the alternative at this location or continue with the original mode and destination?
	$□_1 Switch to Alternative mode → Skip to Q11$ $□_2 Continue to use Original mode$
	Q10a. If continue to use Original mode, what <u>percentage increase in the transit time</u> would be necessary to cause you to switch to the Alternative transportation mode?

_____ % increase

RELIABILITY

- Q11. If the reliability (percentage of time shipments arrived on-time) of the original option decreased <u>*«Percent_change1»%*</u>, would you switch to the alternative at this location or continue with the original mode and destination?
 - \square_1 Switch to Alternative mode \rightarrow Skip to Q12
 - \square_2 Continue to use Original mode
 - Q11a. If continue to use Original mode, what <u>percentage decrease in the reliability</u> would be necessary to cause you to switch to the Alternative transportation mode?

_____ % decrease

FACILITY CHARACTERISTICS

Q12. How long has this elevator been at its current location?

_____ years

- Q13. How important were logistic costs in determining your current plant location?
 - \square_1 Very important
 - \square_2 Somewhat important
 - \square_3 Not very important
 - \square_4 Not important at all
 - \square_5 Not applicable
- Q14. If you were offered an alternative plant location that would result in lower logistic and transportation costs, what percentage decrease in logistics and transportation costs would be necessary to induce you to relocate?
 - ____ % decrease in costs

Check here if you would not relocate.

Q15. What modes of transportation do you <u>commonly use</u> for inbound and outbound shipments (check all that apply) and what percentage of your shipments does each mode comprise?

			ound ments			ound ments
	Is th moc used	is le	What percentage of your total shipments come in this way?	Is th moc usec	is le	What percentage of your total shipments go out this way?
	Yes	No		Yes	No	
Private Truck For-Hire Truck Barge Rail	□1 □1 □1	$ \begin{array}{c} $	% % %		$ \begin{array}{c} $	% % %
			6			

Q16. How many rail cars can be loaded or unloaded at your facility at a given time?

_____ # of cars

Check here if you <u>cannot load or unload</u> rail cars at this facility.

Q17. Thinking about the commodity you ship most often, what was the average commodity price over the last three years in your county before it was shipped?

	price per unit			
	please check the type of unit for this commodity \Box_1 Tons \Box_2 Bushels \Box_3 Cwt. \Box_4 Gallons \Box_5 Other (specify:)			
Q18.	How large is this elevator facility?			
	Total Amount of Annual Units Shipped			
	please check the type of unit for this elevator \Box_1 Tons \Box_2 Bushels \Box_3 Cwt. \Box_4 Gallons \Box_5 Other (specify:)			
	Total Amount of Storage Capacity			
	please check the type of unit for this elevator \Box_1 Tons \Box_2 Bushels \Box_3 Cwt. \Box_4 Gallons \Box_5 Other (specify:)			

Question related to Transportation Choice and Satisfaction (Many of these questions are conditioned on prior questions)

1.	-		l: (where does the fir Sta		- /	
2.			firm or facility trans			
	that is the prime	ij cominoaitj jour	in or received card			
Shi	ipment Characterist	ice				
511	phient characterist					
3. and	Consider the very from (in the US)?	last shipment of	_refer to question 2_	you made	. Where did this	shipment travel to
	From: City	S	State	_		
	To: City		State			
4. NO	On this last shipn T ALL NO'S)	ient, what mode(s) o	did you use? (CAN I	HAVE MOR	E THAN ONE	YES ANSWER, BU
	Barge Rail			Yes	No No	
		or your own	_)	Yes Yes	No	
5.	How large was th	e shipment? (just o	ne needed)	tor	15	
				bu	shels	
				CW	rt (hundred weig	hts)
6.	How long did the s	hinment take (to re:	ach its terminal point	tus)?		
0.	_	_ Hours	_	., 00).		
	Days	<u> </u>				
-					X	DI
7.	Did the shipment		_		Yes	No
	If not, how long v	2	Days			
8.	Approximately, h	ow far did the shipr	nent travel?	miles		
9.	What was the rate for What was the uni	barge? \$ t (per ton, per cv	_ wt, per bushel	, other	_specify)
	What was the rate for	rail? \$				

	What was the unit (per ton, per o	wt, per bushel, otherspecify)
	What was the rate for truck? \$, per of What was the unit (per ton, per of the second	_ wt, per bushel, otherspecify)
	Total rates for all modes	Unit of measurement
	If a private (they own the trucks) shi	pment, what is your estimate of per unit cost?
	\$	
	Is that Per ton Per bushel Per cwt (hundredweight) Per mile	
10.		om the same locations or would you choose other locations.
		tyState
	To: City	State
	If they report they have no alternation	ives ask the following as a prompt/question:
	Well, does that mean you v	vould shut down?yesno
	If no, what would you do?	FILL IN 10!
11.	For this alternative shipment, what mod	e or modes would you use?
	Barge	Yes No
	Rail Truck (for hire or your own)	Yes No Yes No
	FOR QUESTION #12, REFER TO QU	ESTION #11 FOR THE MODES TO ASK:
12.	a. What would be an approximate the ra	e for barge? \$
	What was the unit (per ton, per o	wt, per bushel, otherspecify)
	b. What would be an approximate rate f	or rail? \$
	What was the unit (per ton, per o	wt, per bushel, otherspecify)
	c. What would be an approximate rate f	or truck? \$
	What was the unit (per ton, per o	wt, per bushel, otherspecify)

	\$ Unit of measurement
13.	How long would the alternative shipment be expected to take (to reach its terminal point, US)?
14.	DaysHoursHow often do similar shipments arrive on time?%
15.	Approximately, how far would the alternative shipment travel?miles
16.	How large would this alternative shipment be? tons bushels cwt (hundred weights)

We now like you to consider what conditions, if any, might cause you to switch from your original shipment to the alternative. Your last shipment was to/from (*insert question 3 response*) by (*insert question 4 response*). You said your alternative was a shipment was to/from (*insert question 10 response*) by (*insert question 11 response*).

17.	If the rate of the original choice was percent higher than what you paid, would you make the original choice or the alternative?	Original	Alternative			
	If original, by what percentage would rates have to increase to induce a switch to the alternative?					
18.	If the transit time of the original choice was Percent higher than what you paid, would you make the original choice or the alternative?	Original	Alternative			
	If original, by what percentage would times in transit have to increase to induce a switch to the alternative?					

19.	If the reliability of the mode you chose (i.e., the percentage of time shipments arrived on-time) fell by percentage points, would you make the original choice or the alternative?	Original	Alternative
	If original, by how many percentage points would alternative?%	l reliability have t	o increase to induce a switch to the

Location decisions

20. How important are or were logistics costs in determining your plants location? (logistics costs = shipping, handling, inventory)					
(1= very important, 3=somewhat important, 5=not important) (Circle the best choice)	1	2	3 4	. 5	5
21. How long has your plant been at its current location?]	years		
22. If you were offered another plant location at lower logistics and transportation costs, what percentage lower would these costs need to be to cause you to relocate?		9	6 low	ver	
23. Suppose you were a start-up business and you were offered two locations with different logistics costs and different investment costs. Location A has lower logistics costs					
than Location B, but Location A has a higher investment cost.					
Investments have a 25-year life and all other relevant factors are the same. Which location would you choose? (circle either A or B) A			в		
······································					

Perceptions

Now we'd like to ask about the factors that most influence your shipping decisions. Please answer the following with respect to ALL SHIPMENTS YOU MAKE.

24.	In order of importance, what the most important factors influencing your shipping decisio		
	Most important		
	2 nd most important		
	3 rd most important		
25.	If the average transportation rate you pay increased by percent, would your annual volumes decrease?	Yes	No
	If yes, by how much?%		
26.	If the average transit time you incur (by all modes) increased by percent, would your annual volumes be affected?	Yes	No
	If yes, by how much?%		
27.	What do you consider to be the most important issues facing transportation ship	pers toda	y?

Shipper Characteristics

How large is your firm or facility? (THEIR LOCATION ONLY) 28.

	Revenues per year				
	Tons shipped per year				
	Number of employees				
29.	What modes do you use to ship your (i	nsert commodity lis	ted in question	n 2)	
	Barge	Yes	•	No	
	Rail	Yes		No	
	For-Hire Truck Private (your) truck	Yes Yes		No No	
	Thvate (your) tack	105		110	
30.	What percentage of your shipments involve:				
	Barge:%				
	Rail:%				
	For-hire Truck%				
	Private Truck%				
31.	What is the average price or value of the (in	sert commodity fro	m question 2)	you pay or receive?	
	Price Unit of measurement	(ton, bushel	, cwt (hundred	wt.))	
Ic this th	ne value at your location or at the location being trans	ported to or from?	Yours Other		
15 0115 0			rouis Oulei		
32.	How far is the nearest rail loading facility?	mile	26		
52.	now fail is the fieldest fail foading facility :	n	.5		
33.	How far is the nearest barge loading facility?miles				
34.	Do you have loading and unloading equipment for:				
	Barge Shipments	Yes	No		
	Rail Shipments		No		
	Truck Shipments	Yes	No		

35. What fraction of the delivered value of your commodity represents logistics costs (i.e., rate + inventory + handling/landed price)?

Shipper interview questions

1. Name the primary types of commodities you receive and ship. Give the number of tons of each commodity moved during a typical year by each mode of transportation listed on the right.

	Name of	Truck <u>Tons/Year</u>	Rail <u>Tons/Year</u>	Barge <u>Tons/Year</u>	Steamship <u>Tons/Year</u>	Pipeline <u>Tons/yr.</u>
	Commodity	<u>10113/1041</u>	<u>10113/1041</u>	<u>10113/1041</u>	<u>10113/1041</u>	<u>10113/yr.</u>
Receipts:						
	1					
	2					
	3					
	4					
	5.					
	6					
	7.					
	8.					
	9					
	10.					
Shipments:	1					
	2.					
	3					
	4					
	5.					
	6.					
	7					
	8					
	9					
	10.					

Names of Commodities	Form Shipped					
	<u>Drums</u>	<u>Pallets</u>	<u>Packages</u>	<u>Dry Bulk</u>	Liquid <u>Bulk</u>	
1	a	b	С	d	е	
2	a	b	С	d	е	
3	a	b	С	d	е	
4	a	b	С	d	е	
5	a	b	С	d	е	
6	a	b	С	d	е	
7	a	b	С	d	е	
8	a	b	С	d	е	
9	a	b	С	d	е	
10	а	b	С	d	е	

2. How are each of these products shipped? (Circle one)

4. What size barges, railroad cars, and trucks (in terms of tons) do you generally use to receive or ship each of your commodities and what are the specific frequency of shipments of each of these commodities? *Repeat commodity listing for each mode of transportation.

<u>*Names of Commodities</u>	Transport <u>Mode</u>	Mode Size <u>(in tons)</u>	Frequency (Times per mo.)
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

5. For each mode of movement, what are the line haul rates of shipment for each commodity? You may attach a published rate schedule in lieu of filling out the information below.

	Name of	Truck <u>\$ Per Ton</u>	Rail <u>\$ Per Ton</u>	Barge <u>\$ Per Ton</u>	Steamship <u>\$ Per Ton</u>	Pipeline <u>\$ Per Ton</u>
	Commodity	<u> </u>		<u> </u>	<u>\$Per 1011</u>	<u> </u>
Receipts:						
	1					
	2					
	3					
	4					
	5					
	6					
	7					
	8					
	9					
	10					
Shipments:	1					
	2					
	3					
	4					
	5					
	6					
	7					
	8					
	9					
	10					

- 6. Who generally controls the modal choice (routing) of these movements?
- 7. Who generally pays the freight of these movements?
- 8. Who in your organization could I talk to about getting additional line-haul rate information?

Name:	 		
Address:	 	,,,,,,, _	
	 · · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	
Phone:	 		
Location:	 		

9. Are you anticipating a major shift in transportation modes in the near future? ____Yes ____No If yes, please explain:

10. What are the various reasons you ship by each mode of transportation? Are service, cost, or other factors overriding? Do not provide any Personally Identifiable Information (PII)

Location related question.

Name of Firm:					
Nature of Business:					
Name of Parent Firm:					
Mailing Address:					
State: Zip:					
Name of Interviewee:					
Job Title of Interviewee:					
Business Mailing Address:					
State: Zip:					
Business Phone Number: ()					
FAX Number: ()					
Business E-Mail Address:					

1. Please list the names and addresses of all terminals and docks owned by this company, their waterway location, and this port-dock codes.

a. <u>Dock/Terminal Name:</u>	
Address:	
State:	Zip:
Phone Number:	
Waterway:	
River Mile Location:	
Port/Dock Code:	

b. <u>Dock/T</u>	Terminal Name:
А	Address:
S	State: Zip:
Р	Phone Number:
V	Vaterway:
R	River Mile Location:
Р	Port/Dock Code:

2. Are the commodities you ship regulated, unregulated or both regulated and unregulated? (CIRCLE)

- 1. REGULATED ONLY
- 2. UNREGULATED ONLY
- 3. BOTH REGULATED AND UNREGULATED

3. Please give the following information for the primary commodities shipped during the last full calendar year (Year):

Commodities Shipped:	Tons/ <u>% Tons by Season</u> Modes of Terminals
(Description) Code	Year Spring Summer Fall Winter Transport Used
1	
2	
Etc.	

4. Please give the following information for the primary commodities received during the last full calendar year (Year):

5. Please list both the primary commodities (as measured by tons, dollar value) that you <u>shipped</u> during the last full calendar year and the primary commodities <u>received</u> in that year:

Primary Commodities SHIPPED	Primary Commodities RECEIVED
1	1
2	2
3	3
4	4
5	5

6. Please list both the primary commodities (as measured by tons, dollar value) that you <u>ship</u> during a typical year, and the primary commodities that you <u>receive</u> in such a year:

Primary Commodities SHIPPED	Primary Commodities RECEIVED
1	1
2	2
3	3
4	4
5	5

7. In the left column, please list the major commodities that your firm ships by water. Then for each commodity, please list the origin, destination, typical annual tonnage, and rate per ton.

COMMODITY	MOST FREQUENT ORIGIN	MOST FREQUENT DESTINATION	ANNUAL TONNAGE	RATE/TON
1.				
2.				
3.				
4.				
5.				
6.				
7.				

Questions related to commercial fishing

- 1. How long have you been a fisherman? _____years
- 2. Are channel conditions causing you any delays?

_____YES - Answer Sections A and B

____NO - Answer Section B only

Section A

For the following questions, assume channel conditions have been improved as shown on the attached exhibit.

- 3. Would you harvest more per trip? ____YES Approximately _____LBS.. PER TRIP Why? _____ NO Would you make more trips per year? 4. YES MORE TRIPS PER YEAR Why? _____ NO 5. Do you expect to spend more in boat repair and maintenance if you make more trips? YES \$ more per year ____NO Why? _____ 6. Do you expect to spend more in fishing equipment repair and maintenance if you fish more and/or make more trips? YES \$ more per year Why?_____ NO
- 7. Would you save in repairs and maintenance from boat groundings?

____YES \$____PER YEAR

____NO

8.

EXHIBIT TO ACCOMPANY SECTION A

(Show diagram specific to study area.)

Would you take less ice per trip?				
YES	LBS. LESS PER TRIP			
NO	Why?			

9. How much would you save per trip in expenses such as groceries, fuel, etc.? If none, write zero.

\$_____PER YEAR

10. Would you consider buying a larger boat after channel improvements?

_____YES Length ______Width _____ Draft _____

____NO

11. Would you save any time in departures and arrivals?

YES _____PER TRIP (AVERAGE HOURS, DAYS, ETC.)

____NO

12.	To how many fish houses do you sell?				
	Please give name and location below:				
	NAM	IE	LOCATION	I	
	1				
	2.				
	3				
	4				
	5				
	6				
13.	Are the prod YES NO	ceeds from fishii	ng trips shared on	a percentage basis?	
14.	If yes above	e, please give gr	oss percentages a	as follows:	
	BOAT	CAPTAIN	CREW	TOTAL	
				100%	
15.	Do captain YES	and crew share	pay for trip expen	ses?	
	NO	Explain			

16. The following information is needed to describe your commercial fishing fleet.

Boat Number	Length (Ft.)	Width (Ft.)	Draft (Ft.)	Capacity (Lbs.)	Average Length of Trip (No. Days, etc.)	Average Number of Trips Per Year	Crew No. (Including Captain)	Average Expenses Per Trip (\$)	Kind of Catch (By Species)	Average Load Per Trip (By Species) (Lbs.)	Fishing Seasons (Include Months)	Dock Location (Name & Place	Yearly Boat Repair & Maint. Cost (\$)	Yearly Fishing Equip. Repair & Maint. Cost (\$)

Vessel Background Information

Please describe your equipment below.

- 1. Vessel Type: () Outboard () Inboard () Inboard/Outboard
 - () Other (specify)
- 2. What is the name and license or registration number of your vessel?
- 3. Vessel Size: Length (feet) ________ Beam (feet) _______ Draft (light) _______ Draft (loaded) _______ Net Tonnage _______ Engine Size (horsepower) ______ Engine Type (gas, diesel) _______
 4. Vessel Speed (knots): At Sea _______ Minimum maneuvering speed in harbor _______ Maximum maneuvering speed in harbor _______
- 5. What is your crew size (including skipper): _____

Description of Operations

- 6. Where is your boat currently based (homeport)?
- 7. a. Do you rent an exclusive stall? (Circle)Yes No
 - b. If yes, name of harbor? _____
- 8. If you operate from more than one harbor, please give the approximate amount of working time from each:

	Port	<u>Time in Weeks</u>
a		
b		
С		

9. Which waterways do you use?

10. What type of processing plant (shore-based, at-sea, cannery, etc.) do you use and in what proportion?

	<u>Plant</u>	<u>Percent</u>
a		
b		
с		

11. What is your fuel use per hour while:

a.	Traveling at sea?		gallons/hour
	T T T T T T T T T T	-	11 4

- b. Within the harbor area?_____ gallons/hourc. At rest?_____ gallons/hour
- c. At rest: _____ gallons/hou

d. Fishing? _____ gallons/hour

11a. During a typical day of operation, how many gallons of fuel does your vessel use? ______ gallons

12. How much do you pay your crew in wages or shares of the catch?

13. If the crew is paid a percentage of returns, are some costs deducted?

a. yes b. no

13a. If yes, list the type of cost and the amount deducted per employee.

Type of Cost

Amount deducted per employee

 \$
 <u>\$</u>
 \$
 \$
 \$

14. What are your packing and/or processing costs per 100 pounds?_____

Fishery Data

15. Indicate the number of days you spend fishing by month and species (crab, shrimp, halibut, salmon, bottom fish, etc.)

			ort rating											
Species	From	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	
a														
b														
C											·			
d														

16. Indicate the number of roundtrips made and the number of days spent fishing vs. in port for each species.

	Roundtrips	Days	Days in
	Per	Fishing	Port
<u>Species</u>	Year	Per Trip	<u> </u>
a			
b			
С			
d			

17. What is your catch per year of each type of catch?

	<u>Species</u>	<u>Catch per year</u>
a.		
b.		
c.		
d.		
e.		

17a. If your catch includes shrimp, is this figure for heads off or on? (circle one)

- a. heads off
- b. heads on

18. What was the average price per lb. You received for:

	<u>Species</u>	<u>Price</u>
a.		
b.		
с.		
d.		
e.		

Existing Conditions

The purpose of this section is to determine the extent of problems encountered in the ______ harbor system.

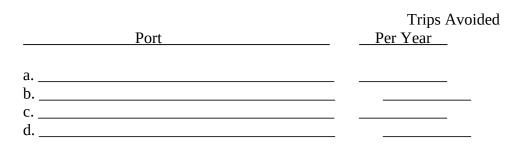
- 19. a. What are your estimated annual vessel maintenance and repair costs? \$_____
 - b. What portion of your vessel maintenance and repair costs are due to the existing conditions in the ______ harbor system? \$_____
 - c. Of that portion, how much is due to each of the following causes:

1)	Wave damage inharbor (hull maintenance) buoy replacement, etc.	e, mooring line repair, \$
2)	Harbor overcrowding and congestion (rafting, colli	sions, etc.) \$
3)	Other (please specify)	
20. Please es	timate the delays you incur per trip due to the follow	ing:
		Hours Number of per Crew <u>Trip on Board</u>
a. Overcrow	ding/congestion in basins and channels	
b. Overcrow	ding/congestion at existing working docks	
c. Moving v	essels from within rafts	
d. Channel d	lepths	
21. What typ	e or types of equipment does your vessel carry?	
22. What typ	oes of nets or lines do you use?	
23. How mar	ny hours per trip do you spend:	
	to your fishing grounds?	
c. At rest?	from your fishing grounds?	
d. With the r	nets or lines working?	

Impact of Improvements

The purpose of this section is to determine how ______Harbor improvements similar to those shown on Exhibit A would affect your operations. The ______Harbor with the planned improvements would have adequate moorage and working space and a wave climate similar to the ______Harbor. Because of the rubble mound breakwater and added floats the wing would be slightly reduced from existing conditions.

24. With ______ Harbor improvements similar to those shown on Exhibit A, how many trips per year traveling to another port such as ______, or _____, or _____ could be avoided?



25. With ______Harbor improvements similar to those shown on Exhibit A, would you check on your boat less?

Number of boat checks per year that would be eliminated_____Amount of time per check______

26. With ______ Harbor improvements similar to those shown on Exhibit A, would you stand watch on your boat less during storms?

 Number of watches eliminated per year

 Amount of time per watch

- 27. Do you ever leave ______ Harbor due to the wave climate? If yes,
 - a. How many times per year?
 - b. Where do you go?_____
 - c. How long to you stay away? _____
 - d. How many crew are on board?_____

28. Describe harbor facilities you feel are needed in ______, e.g., working docks for gear transfers and repairs, stalls for vessels greater than 120 feet, additional parking, etc.

29. What other information do you have concerning the other waterways that you use that would be helpful in determining your use of this waterway? Do not provide any Personally Identifiable Information (PII)

_

LINER SERVICE SURVEY

1.	. Type of service provided by your company: (Check all that apply)				
	Scheduled line	Trip charter			
	Other (specify)				
2.	Type of cargo carried by	your company: (Check all that apply)			
	Container	Dry bulk			
	Breakbulk	Tanker			
	NEO bulk	Other (specify)			

3. Please list the representative ship sizes and types owned or leased by your firm along with the estimated replacement/retirement dates for these vessels.

Cargo Type	Vessel Class (Deadweight Ton(DWT)/Twenty Foot Equivalent Unit (TEU)	Dimensions Length Beam Draf	Number leased/ Number Purchased	Estimated Replacement/ Retirement year	Number of ships on order	Approximate Delivery Dates	Number in Class
Container							
Breakbulk							
NEO bulk							
Dry bulk							
Tanker							
Other (specify types)							

4. For each class of vessel shown in Question 3 above, please list their number of days in service and percentages of them engaged in different activities.

PERCENT	PERCENT OF THE TIME ENGAGED IN THESE ACTIVITIES								
Cargo Type	No. In Vessel Class	Number of days per Year in Service	% Time at Sea	% Time in Port Working	% Time Waiting for Berth	% Time Waiting for Tide	% Time Waiting for Weather	% Time Under Repairs	% Other Nonproductive Time
Container									
Breakbulk									
NEO bulk									
Dry Bulk									
Tanker									
Other									

5. Of the U.S. ports you serve, which three U.S. ports have the <u>least adequate channel</u> <u>dimensions</u>? Also, list the types of cargo <u>usually</u> carried in these ports.

U.S. Port	Type of Cargo Carried
Name of Port #1:	
Name of Port #2:	
Name of Port #3:	

6. In what ways, for the three ports named above, are channels inadequate? Check the appropriate boxes below each port.

	Port #1	Port #2	Port #3
Lack of channel reliability?			
Not sufficiently deep?			
Too much traffic congestion?			
Not sufficiently wide?			
Safety concerns?			
Weather concerns?			
Inadequate turning basins?			
Other ways? (Please specify)	:		

7. For each of the following trade routes or areas served by your company, give the total number of metric tons you ship annually.

	METRIC TONS		METRIC TONS
Northern Europe & Scandinavia		Caribbean & Central America	
Southeast Asia & Japan		West Coast United States	
Mediterranean		East Coast United States	
Australia & New Zealand		Gulf Coast United States	
West, South, & East Africa		Great Lakes	
West Coast South America		Other (specify):	
East Coast South America			<u> </u>

8. Of the trade routes or areas mentioned in question #7 as being served by your company, which three ports have the <u>least adequate channel dimensions</u>? Also, list the types of cargo usually carried in and out of these three ports.

Foreign Ports with Least Adequate Cha	nnel Dimensions	Cargo Usually Carried
Name of Port #1		
Name of Port #2		
Name of Port #3		

9. Give each of the following dollar costs, which your company can specify, per the units specified, below, and/or per TEU.

Costs	\$ per Units Specified	and/or \$ per TEU	
Total land transportation costs PER CARGO TON	\$	\$ per TEU	
Total port to port costs PER CARGO TON	\$	\$ per TEU	
Vessel at sea cost PER HOUR	\$	\$ per TEU	
Vessel in port working cost PER HOUR	\$	\$ per TEU	
Vessel in port waiting cost PER HOUR	\$	\$ per TEU	
Other (specify types of cost and units)	\$	\$ per TEU	

10. What are the criteria your company uses to trade off the increased risk of running aground with the increased risk associated with deeper loadings? Do not provide any Personally Identifiable Information (PII)

11. Please list any expected changes that will significantly affect your shipping patter	ns
(i.e., changes in ships technology, land side technology, marketing strategy,	
etc.). Do not provide any Personally Identifiable Information (PII)	

12. After channel improvements are made, what criteria would your organization use in deciding when it should switch to larger ships? Do not provide any Personally Identifiable Information (PII)

13. Please specify any current plans you may have to switch from your existing routing patterns. Do not provide any Personally Identifiable Information (PII)

14.	If the channels are improved a	t the ports that you use, how do you feel your
	steamship line will benefit?	Check all that apply.

Increased frequency of service Increased loadings per vessel

___Increased speed per vessel ____Change of itinerary

____Other (please specify) _____

15. For what types of commodities are you likely to experience transportat from improvements to waterway channels? Please explain.	ion savings
	<u></u>
	· · · · · · · · · · · · · · · · · · ·
16. Are the depths at the dock you use adequate for your current and expe	ected needs?
NoYes (Please explain)	
17. Are you having trouble docking in YesNo	_?
18. What is causing your docking problems?	
CurrentsInadequate depths	
WindOther (please specify)	
19. Would you like to see improvements in the NAVAIDS?Yes	No
If yes, please specify:	

20. What suggestions do you have for solving the navigation problems that exist in _____? Check all that apply.

 _____More dredging
 _____Using smaller ships

 _____Using Doppler sonar tide gauges for real-time tide measurement
 _____Altering the navigation routes currently used in the inlet

 ____Other (please specify)
 ______Altering the navigation routes currently used in the inlet