Transformation Accountability (TRAC)

Center for Mental Health Services

NOMs Client-Level Measures for Discretionary Programs Providing Direct Services

SERVICES TOOL For Adult Programs

CMHS

Center for Mental Health Services SAMHSA

March 2013 Version 11

Public reporting burden for this collection of information is estimated to average 30 minutes per response if all items are asked of a consumer/participant; to the extent that providers already obtain much of this information as part of their ongoing consumer/participant intake or follow-up, less time will be required. Send comments regarding this burden estimate or any other aspect of this collection of information to SAMHSA Reports Clearance Officer, Room 2-1057, 1 Choke Cherry Road, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The control number for this project is 0930-0285.

RECORD MANAGEMENT						
[RECORD MANAGEMENT IS REPORTED BY GRANTEE STAFF AT BASELINE, REASSESSMENT AND DISCHARGE REGARDLESS OF WHETHER AN INTERVIEW IS CONDUCTED.]						
Consumer ID						
Grant ID (Grant/Contract/Cooperative Agreement)						
Site ID _						
1. Indicate Assessment Type:						
□□Baseline	□□Reassessment	∏∏Clinical Discharge				
THE CONSUMER FIRST RECEIVED SERVICES UNDER THE GRANT FOR THIS EPISODE OF CARE.]	Which 6-month reassessment?					
2. Was the interview conducted?						
☐ Yes	□ No					
When?	Why not? Choose only one.					
[IF THIS IS A BASELINE, GO TO SECTION A.] IFOR ALL REASSESSMENTS:						

IF AN INTERVIEW WAS CONDUCTED, GO TO SECTION B.

IF AN INTERVIEW WAS NOT CONDUCTED, GO TO SECTION H (IF APPLICABLE), THEN SECTION I.]

[FOR A CLINICAL DISCHARGE:

 ${\it IF~AN~INTERVIEW~WAS~CONDUCTED,~GO~TO~SECTION~B.}$

IF AN INTERVIEW WAS NOT CONDUCTED, GO TO SECTION H (IF APPLICABLE), THEN SECTION J.

A. DEMOGRAPHIC DATA

[SECTION A IS ONLY COLLECTED AT BASELINE. IF THIS IS NOT A BASELINE, GO TO SECTION B.]

1.	What is your gender?
	□ MALE □ FEMALE □ TRANSGENDER □ OTHER (SPECIFY) □ REFUSED
2.	Are you Hispanic or Latino?
	☐ YES ☐ NO
	[IF YES] What ethnic group do you consider yourself? Please answer yes or no for each of the following. You may say yes to more than one.
3.	Central American Cuban Dominican Mexican Puerto Rican South American OTHER SPECIFY (SPECIFY) What race do you consider yourself? Please answer yes or no for each of the following. You may say yes to more than one.
	Alaska Native American Indian Asian Black or African American Native Hawaiian or Other Pacific Islander White YES NO REFUSED □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □
4.	What is your month and year of birth?

5.	Which one o	f the following do you consider yourself to be?
		Heterosexual, that is straight; [IF FEMALE THEN "Lesbian or"] Gay Bisexual DON'T KNOW/REFUSED
[IF THE BA	SELINE INTE	RVIEW WAS CONDUCTED CONTINUE TO SECTION B.]
P. S.	RIMARY AND ECTION H;	RVIEW WAS <u>NOT</u> CONDUCTED: BEHAVIORAL HEALTH CARE INTEGRATION (PBHCI) GRANTEES GO TO ALL OTHER PROGRAMS STOP HERE.]

FUNCTIONING

1.	Ho	w would you rate your overall health right now?
		Excellent
		Very Good
		Good
		Fair
		Poor
		REFUSED
	П	DON'T KNOW

2. In order to provide the best possible mental health and related services, we need to know what you think about how well you were able to deal with your everyday life <u>during the past 30 days</u>. Please indicate your disagreement/agreement with each of the following statements.

[READ EACH STATEMENT FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

STATEMENT	RESPONSE OPTIONS						
	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree	REFUSED	NOT APPLICABLE
a. I deal effectively with daily problems.							
b. I am able to control my life.							
c. I am able to deal with crisis.							
d. I am getting along with my family.							
e. I do well in social situations.							
f. I do well in school and/or work.							
g. My housing situation is satisfactory.							
h. My symptoms are not bothering me.							

FUNCTIONING (Continued)

3. The following questions ask about how you have been feeling during the past 30 days. For each question, please indicate how often you had this feeling.

[READ EACH QUESTION FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

QUESTION RESPONSE OPTIONS							
During the past 30 days, about how often did you feel	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time	REFUSED	DON'T KNOW
a. nervous?							
b. hopeless?							
c. restless or fidgety?							
d. so depressed that nothing could cheer you up?							
e. that everything was an effort?							
f. worthless?							

FUNCTIONING (Continued)

4. The following questions relate to your experience with alcohol, cigarettes, and other drugs. Some of the substances we'll talk about are prescribed by a doctor (like pain medications). But I will only record those if you have taken them for reasons or in doses other than prescribed.

[READ EACH QUESTION FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

QUESTION RESPONSE OPTIONS						
In the past 30 days, how often have you used	Never	Once or Twice	Weekly	Daily or Almost	REFUSED	DON'T KNOW
a. tobacco products (cigarettes, chewing tobacco, cigars, etc.)?						
b. alcoholic beverages (beer, wine, liquor, etc.)?						
b1. [IF B >= ONCE OR TWICE, AND RESPONDENT MALE], How many times in the past 30 days have you had five or more drinks in a day? [CLARIFY IF NEEDED: A standard drink (e.g., 12 oz beer, 5 oz wine, 1.5 oz liquor)].						
b2. [IF B >= ONCE OR TWICE, AND RESPONDENT NOT MALE], How many times in the past 30 days have you had four or more drinks in a day? [CLARIFY IF NEEDED: A standard drink (e.g., 12 oz beer, 5 oz wine, 1.5 oz liquor)].						
c. cannabis (marijuana, pot, grass, hash, etc.)?						
d. cocaine (coke, crack, etc.)?						
e. prescription stimulants (Ritalin, Concerta, Dexedrine, Adderall, diet pills, etc.)?						
f. methamphetamine (speed, crystal meth, ice, etc.)?						
g. inhalants (nitrous oxide, glue, gas, paint thinner, etc.)?						
h. sedatives or sleeping pills (Valium, Serepax, Ativan, Librium, Xanax, Rohypnol, GHB, etc.)?						
i. hallucinogens (LSD, acid, mushrooms, PCP, Special K, ecstasy, etc.)?						
j. street opioids (heroin, opium, etc.)?						
k. prescription opioids (fentanyl, oxycodone [OxyContin, Percocet], hydrocodone						

	[Vicodin], methadone, buprenorphine, etc.)?			
l.	other – specify:			

B.

FUNCTIONING (Continued)

[OPTIONAL: GAF SCORE REPORTED BY GRANTEE STAFF AT PROJECT'S DISCRETION.]

DATE GAF WAS ADMINISTERED: |__|_|/|__|/|_|| | MONTH DAY YEAR

WHAT WAS THE CONSUMER'S SCORE? GAF = |___|

MILITARY FAMILY AND DEPLOYMENT

[QUESTIONS 5 THROUGH 8 ARE ONLY ASKED AT BASELINE. IF THIS IS NOT A BASELINE GO TO 9.]

5.	Have you ever served	in the Armed Forces,	the Reserves, or	the National Guard?

0	YES	
0	No	[GO TO 6.]
0	REFUSED	[GO TO 6.]
0	Don't Know	[GO TO 6.]

[IF YES] In which of the following have you ever served? Please answer for each of the following. You may say yes to more than one.

	YES	No	REFUSED	Don't Know
Armed Forces				
Reserves				
National Guard				

5a. Are you currently serving on active duty in the Armed Forces, the Reserves, or the National Guard?

□□YES	
□□No	[GO TO 5b.]
□ REFUSED	[GO TO 5b.]
□□Don't Know	[GO TO 5b.]

[IF YES] In which of the following are you currently serving? Please answer for each of the following. You may say yes to more than one.

ionowing. Tou may say yes to more than one.						
				Don't		
	YES	No	REFUSED	Know		
Armed Forces						
Reserves						
National Guard						

5b. Have you ever been deployed to a combat zone?

∐∐YES	
□□No	[GO TO 6.]
□□□□REFUSED	[GO TO 6.]
∏∏∏Don't Know	[GO TO 6.]

[IF YES] To which of the following combat zones have you been deployed? Please answer for each of the following. You may say yes to more than one.

	YES	No	REFUSED	Don't Know
Iraq or Afghanistan (e.g., Operation Enduring Freedom/Operation Iraqi Freedom/Operation New Dawn)				
Persian Gulf (Operation Desert Shield or Desert Storm)				
Vietnam/Southeast Asia				
Korea				
WWII				
Deployed to a combat zone not listed above (e.g., Somalia, Bosnia, Kosovo)				

AND TRAUMA

7. Have you ever experienced violence or trauma in any setting (including community or school violence; domestic violence; physical, psychological, or sexual maltreatment/assault within or outside of the family; natural disaster; terrorism; neglect; or traumatic grief)?

YES
 NO
 REFUSED
 DON'T KNOW
 [GO TO 9.]

8. Did any of these experiences feel so frightening, horrible, or upsetting that in the past and/or the present you:

	YES	No	REFUSED	Don't Know
8a. Have had nightmares about it or thought about it when you did not want to?				
8b. Tried hard not to think about it or went out of your way to avoid situations that remind you of it?				
8c. Were constantly on guard, watchful, or easily startled?				
8d. Felt numb and detached from others, activities, or your surroundings?				

VIOLENCE

AND TRAUMA (Continued)

9. In the past 30 days, how often have you been hit, kicked, slapped, or otherwise physically hurt?

□□Never
O Once

□□A few times

☐☐More than a few times

□□REFUSED

□□DON'T KNOW

■C.

STABILITY IN HOUSING

	1.	In t	he past 30 days how many	Number of Nights/ Times	REFUSE	ED <mark>DON'T</mark> KNOW
		a.	nights have you been homeless?		_	
		b.	nights have you spent in a hospital for mental health care?			
		c.	nights have you spent in a facility for detox/inpatient or residential substance abuse treatment?			
		d.	nights have you spent in correctional facility including jail, or prison?		<u> </u>	
	HO IN AB	DETO: USE TR	THE TOTAL NUMBER OF NIGHTS SPENT S, IN HOSPITAL FOR MENTAL HEALTH CARE, X/INPATIENT OR RESIDENTIAL SUBSTANCE EATMENT, OR IN A CORRECTIONAL FACILITY. D, CANNOT EXCEED 30 NIGHTS).]	<u> </u>		
		e.	times have you gone to an emergency room for a psychiatric or emotional problem?		🗆	
2.	In th	e past 3	D IS 16 OR MORE NIGHTS, GO TO SECTION D.] d days, where have you been living most of the time? SPONSE OPTIONS TO THE CONSUMER. SELECT O	NLY ONE.]		
		SOMECHOMELGROUPADULTTRANSHOSPITDETOXCORRENURSINVAHOSVETER	FOSTER CARE ITIONAL LIVING FACILITY TAL (MEDICAL) TAL (PSYCHIATRIC) INPATIENT OR RESIDENTIAL SUBSTANCE ABUSE CTIONAL FACILITY (JAIL/PRISON) NG HOME SPITAL AN'S HOME ARY BASE HOUSED (SPECIFY)	E TREATME	ENT FAC	CILITY
		DUN'T	KNUW			

D.	EDUCATION AND EMPLOYMENT
1.	Are you currently enrolled in school or a job training program? [IF ENROLLED] Is that full time or part time?
	□□NOT ENROLLED □□ENROLLED, FULL TIME □□ENROLLED, PART TIME □□OTHER (SPECIFY) □ REFUSED □ DON'T KNOW
2.	What is the highest level of education you have finished, whether or not you received a degree?
	O LESS THAN 12 TH GRADE O 12 TH GRADE/HIGH SCHOOL DIPLOMA/EQUIVALENT (GED) O VOC/TECH DIPLOMA O SOME COLLEGE OR UNIVERSITY O BACHELOR'S DEGREE (BA, BS) O GRADUATE WORK/GRADUATE DEGREE O REFUSED O DON'T KNOW
3.	Are you currently employed? [CLARIFY BY FOCUSING ON STATUS DURING MOST OF THE PREVIOUS WEEK, DETERMINING WHETHER CONSUMER WORKED AT ALL OR HAD A REGULAR JOB BUT WAS OFF WORK.]
	O EMPLOYED FULL TIME (35+ HOURS PER WEEK, OR WOULD HAVE BEEN) O EMPLOYED PART TIME O UNEMPLOYED, LOOKING FOR WORK O UNEMPLOYED, DISABLED O UNEMPLOYED, VOLUNTEER WORK O UNEMPLOYED, RETIRED O UNEMPLOYED, NOT LOOKING FOR WORK O OTHER (SPECIFY) REFUSED O DON'T KNOW
3a.	[IF EMPLOYED]
	Yes No REFUSED DON'T KNOW • Are you paid at or above the minimum wage¹? □ □ □ □ • Are your wages paid directly to you by your employer? □ □ □ □ • Could anyone have applied for this job? □ □ □ □

¹ For information on Federal minimum wage go to http://www.dol.gov/dol/topic/wages/.

Е.	CRIME AND CRIMINAL JUSTICE STATUS							
1.	In the past 30 days, how many times have you been arrested?							
	TIMES	REFUSED	☐ DON'T KNOW					

[IF THIS IS A BASELINE, GO TO SECTION G. OTHERWISE, GO TO SECTION F.]

F. PERCEPTION OF CARE

[SECTION F IS NOT COLLECTED AT BASELINE. FOR BASELINE INTERVIEWS, GO TO SECTION G.]

1. In order to provide the best possible mental health and related services, we need to know what you think about the services you received <u>during the past 30 days</u>, the people who provided it, and the results. Please indicate your disagreement/agreement with each of the following statements.

[READ EACH STATEMENT FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

STATEMENT	RESPONSE OPTIONS						
	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree	REFUSED	NOT APPLICABLE
a. Staff here believe that I can grow, change and recover.							
b. I felt free to complain.							
c. I was given information about my rights.							
d. Staff encouraged me to take responsibility for how I live my life.							
e. Staff told me what side effects to watch out for.							
f. Staff respected my wishes about who is and who is not to be given information about my treatment.							
g. Staff were sensitive to my cultural background (race, religion, language, etc.).							
h. Staff helped me obtain the information I needed so that I could take charge of managing my illness.							
i. I was encouraged to use consumer run programs (support groups, drop-in centers, crisis phone line, etc.).							
j. I felt comfortable asking questions about my treatment and medication.							
k. I, not staff, decided my treatment goals.							
l. I like the services I received here.							
m. If I had other choices, I would still get services from this agency.							
n. I would recommend this agency to a friend or family member.							

- F. PERCEPTION OF CARE (Continued)
- 2. [INDICATE WHO ADMINISTERED SECTION F PERCEPTION OF CARE TO THE RESPONDENT FOR THIS INTERVIEW.]

□□ADMINISTRATIVE STAFF
□□CARE COORDINATOR
□□CASE MANAGER
□□CLINICIAN PROVIDING DIRECT SERVICES
□□CLINICIAN NOT PROVIDING SERVICES
□□CONSUMER PEER
□□DATA COLLECTOR
][EVALUATOR
□□FAMILY ADVOCATE
□□RESEARCH ASSISTANT STAFF
□□SELF-ADMINISTERED
OTHER (SPECIFY)

G. SOCIAL CONNECTEDNESS

1. Please indicate your disagreement/agreement with each of the following statements. Please answer for relationships with persons other than your mental health provider(s) over the past 30 days.

[READ EACH STATEMENT FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

STATEMENT	RESPONSE OPTIONS					
	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree	REFUSED
a. I am happy with the friendships I have.						
b. I have people with whom I can do enjoyable things.						
c. I feel I belong in my community.						
d. In a crisis, I would have the support I need from family or friends.						

[IF YOUR PROGRAM DOES NOT REQUIRE SECTION H:

IF THIS IS A BASELINE INTERVIEW, STOP NOW. THE INTERVIEW IS COMPLETE.]

IF THIS IS A REASSESSMENT INTERVIEW, PLEASE GO TO SECTION I THEN K.]

IF THIS IS A CLINICAL DISCHARGE INTERVIEW, PLEASE GO TO SECTION J THEN K.]

[IF YOUR PROGRAM DOES REQUIRE SECTION H:

IF THIS IS A BASELINE INTERVIEW, PLEASE PROCEED TO SECTION H THEN STOP. THE INTERVIEW WILL BE COMPLETE.]

IF THIS IS A REASSESSMENT INTERVIEW, PROCEED TO SECTION H, THEN I AND K.]

IF THIS IS A CLINICAL DISCHARGE INTERVIEW, PROCEED TO SECTION H, THEN J AND K.]

H. PROGRAM SPECIFIC QUESTIONS

SOME PROGRAMS HAVE PROGRAM SPECIFIC DATA THAT IS SUBMITTED TO TRAC. CMHS WILL LET YOU KNOW IF YOU ARE REQUIRED TO DO SECTION H, AND YOU WILL HAVE A SEPARATE SECTION H FORM.

FOR A LIST OF PROGRAMS THAT HAVE PROGRAM SPECIFIC DATA, SEE APPENDIX A OF THE NOMS CLIENT-LEVEL MEASURES FOR DISCRETIONARY PROGRAMS PROVIDING DIRECT SERVICES QUESTION-BY-QUESTION INSTRUCTION GUIDE FOR ADULT PROGRAMS.

I. REASSESSMENT STATUS

[GO TO SECTION K.]

[SECTION I IS REPORTED BY GRANTEE STAFF AT REASSESSMENT.]

1.	Have you or other grant staff had contact with the consumer within 90 days of the last encounter
	☐ Yes ☐ No
2.	Is the consumer still receiving services from your project?
	☐ Yes ☐ No

J. CLINICAL DISCHARGE STATUS

[SECTION J IS REPORTED BY GRANTEE STAFF ABOUT THE CONSUMER AT CLINICAL DISCHARGE.]

1.	On what date was	the consumer d	lischarged?
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/		_
MONTH	YEAR	

2. What is the consumer's discharge status?

	Mutually agreed cessation of treatment
$\square\square V$	Vithdrew from/refused treatment
	No contact within 90 days of last encounter
0	Clinically referred out
	Death
П	Other (Specify)

[GO TO SECTION K.]

K. SERVICES RECEIVED

On what date did the consumer last receive services?

1.

[SECTION K IS REPORTED BY GRANTEE STAFF AT REASSESSMENT AND DISCHARGE UNLESS THE CONSUMER REFUSED THIS INTERVIEW OR ALL INTERVIEWS, IN WHICH CASE IT IS OPTIONAL.]

	/ MONTH YEAR					
	ENTIFY ALL OF THE SERVICES YOU ST NOMS INTERVIEW; THIS INCLUDE					HIS/HER
Co	re Services		<u>Provided</u>		SERVICE	
2. 3. 4. 5. [IF	Screening Assessment Treatment Planning or Review Psychopharmacological Services Mental Health Services THE ANSWER TO 5 'MENTAL HEALTH SERVICES				NOT AVAILABLE	
r K	Number of times per	Day Week Month Year		unknown		
7. 8.	Co-Occurring Services Case Management Trauma-specific Services Was the Consumer referred to another provider for any of the above core services	Yes	No	UNKNOWN	SERVICE NOT AVAILABLE	
Suj	pport Services	Prov		UNIVALONIA	SERVICE NOT AVAILABLE	
2. 3. 4. 5. 6. 7. 8. 9.	Medical Care Employment Services Family Services Child Care Transportation Education Services Housing Support Social Recreational Activities Consumer Operated Services HIV Testing Was the Consumer referred to another provider for any of the above support services?	Yes	No	UNKNOWN	NOT AVAILABLE	