

# Online Survey<sup>1</sup> of State and Local APS Data Collection Practices in 2015

## Welcome and Informed Consent<sup>2</sup>

*The Urban Institute, a nonprofit research organization in Washington DC, has been funded by the U.S. Department of Justice, Bureau of Justice Statistics (BJS), to examine how Adult Protective Services (APS) agencies respond to and collect data about elder abuse, neglect, and exploitation (elder abuse). The purpose is to assess the feasibility of using existing APS data to help estimate nationwide statistics about the number of characteristics of reported incidents of elder abuse, neglect, and exploitation.*

*The survey should take you approximately 30 minutes to complete. We will ask about how your agency responded to suspected elder abuse cases in 2015 and what kinds of data you recorded about those cases. You can complete the survey in more than one session if you cannot complete the whole survey at one time. You may also choose to provide your username to colleagues within your agency, if you feel they can help you to complete the survey.*

*Your participation in this survey is completely voluntary. You can choose to skip any questions that you are not comfortable answering or stop taking the survey at any time. Reports from this project will help the field's understanding of elder abuse, neglect, and exploitation.*

*Thank you very much for participating in this survey. For technical assistance with the survey, please contact Carla Vasquez-Noriega at [cvasquez@urban.org](mailto:cvasquez@urban.org) (phone: 202-261-5299). For any other questions or concerns, please contact either of the Principal Investigators—Janine Zweig at [jzweig@urban.org](mailto:jzweig@urban.org) (phone: 518-791-1058) or Kamala Mallik-Kane at [kkane@urban.org](mailto:kkane@urban.org) (phone: 202-261-5857).*

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<sup>1</sup> Qualtrics software is being used to administer this survey online. In rare cases, project staff will administer the survey by telephone and record responses in the online instrument.

<sup>2</sup> Signed hard-copy consent will not be obtained. Rather, this informed consent will be the first page of the online survey. Participants will indicate consent by continuing with the content of the survey. The Urban Institute IRB has approved this method for obtaining informed consent.

*Survey completed by:*

Name: \_\_\_\_\_

The agency you work for: \_\_\_\_\_

Job title: \_\_\_\_\_

Telephone number: \_\_\_\_\_

Phone Extension: \_\_\_\_\_

Email address: \_\_\_\_\_

## A. About your agency's recordkeeping and data reporting practices

A1. Did your agency focus exclusively on elder abuse between January 1 and December 31, 2015? Note: for simplicity, we use the term "elder abuse" to encompass all forms of physical, sexual or psychological abuse against an older adult; neglect or abandonment by others; and exploitation of the elder's resources. If your agency went through substantial changes in 2015, please report on your practices during the majority of the year.

- Yes, we focused on elder abuse only
- No, we worked on elder abuse AND the abuse of younger vulnerable adults
- No, we worked on elder abuse AND other types of cases (*please specify the other case types: \_\_\_\_\_*)
- No, we rarely received elder abuse cases (*please specify the case types you focus on: \_\_\_\_\_*)
- No, we never received elder abuse cases [END SURVEY IF RESPONDENT INDICATES NEVER RECEIVING ELDER ABUSE CASES: *Thank you for your time. This survey is about elder abuse so there is no need for you to continue participating. If you have any questions, concerns, or comments about this project, please contact either of the Principal Investigators: Janine Zweig, at [jzweig@urban.org](mailto:jzweig@urban.org) (phone: 518-791-1058) or Kamala Mallik-Kane at [kkane@urban.org](mailto:kkane@urban.org) (phone: 202-261-5857).]*

A2. In 2015, did your agency typically work with elders when there is no suspected abuse?

- Yes
- No, we only worked with elders when there WAS suspected abuse

A2a. What was your agency's role in investigating reports of suspected elder abuse in 2015?

- We conducted investigations
- We had oversight of other agencies that conducted investigations
- We did both

*This survey focuses exclusively on how your agency handled elder abuse cases in 2015.*

*If your agency did not investigate cases directly, please answer questions about the investigation process from the perspective of the local office of your agency that directly investigated cases.*

## Units of count

The next questions ask about how your agency maintained records about elder abuse investigations in 2015 and how your agency reports data or statistics about elder abuse.

A3. Which of the following ID numbers did your agency assign when you received a report of elder abuse in 2015?

	[1] Ask for each item. Check one. Did your agency or data system assign this number?		[2] If yes to [1], check one. Following up, when did your agency assign each of these ID numbers?			[3] If yes to [1], check one. Following up once more, did your agency store these ID numbers electronically or on paper?			[D] If [3]=electronically, check one. Finally, were these ID numbers stored as a structured data field or as "free text"?	
	Yes	No	When report was made	When investigation was opened	At both time-points	Electronically ONLY	Both electronically and on paper	On paper ONLY	Data field	Text
b. Did your agency or data system assign a <b>unique number to each investigation opened</b> ? For example, if abuse against Jane Doe was investigated on 2 separate occasions (say, in February and in November), 2 different numbers were assigned.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Did your agency or data system assign a <b>unique number to each report made to your agency</b> ? For example, if a neighbor and a family member separately report that Jane Doe was being abused, 2 different numbers were assigned.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Did your agency or data system assign a <b>unique number to each victim</b> ? For example, if abuse was reported against Jane Doe, was she assigned a client number? (If response to column [1] is NO, ask this follow up question: "What information did your agency use to identify victims in your recordkeeping system? Please select all that apply. _Name, _Social security number, _Date of birth, _Other (please specify _____)")	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Did your agency or data system assign a unique number to <b>each type of abuse alleged</b> ? For example, if it was alleged that Jane Doe was physically abused and financially exploited, 2 different numbers were assigned.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

A4. How was information organized in your agency's recordkeeping system in 2015? At what "level" were data entered and stored in your system? *Please check all that apply.*

- At a person level (only) – there was one record per client (victim)
- At case or investigation level (only) – there was one record per investigation
- At a report level (only) – there was one record per report
- Multiple levels (i.e., hierarchical or relational tables).

A5. [IF MULTIPLE LEVELS] What levels of data were maintained in your agency's system in 2015? *Please check all that apply.*

- Client (victim) level
- Case or investigation level
- Report level
- Allegation level
- Other level (s) (*please specify: \_\_\_\_\_*)

A6. When your agency reports elder abuse statistics for 2015, would it report on the number of cases or reports **or** on the number of individuals (regardless of how many reports they were associated with)?

- Cases or reports (for example: if Jane Doe was in 3 cases, we would count this as 3)
- Individuals (for example: we would count Jane Doe only once, even if she was in 3 cases)
- We can report both ways

### **Data entry and quality assurance**

A7. Did your agency record elder abuse cases in an electronic database in 2015?

- Yes
- No [SKIP rest of this section]

A8. In 2015, was your agency's electronic database specifically for elder abuse cases, or was it integrated with other case types?

- Elder abuse only
- Elder abuse AND abuse of vulnerable younger adults
- Abuse of younger vulnerable adults only
- Elder and/or vulnerable adult abuse AND other case types

A9. Who conducted the data entry into your electronic system in 2015? *Please select all that apply.*

- The staff person who investigated the case
- Clerical, administrative, or data entry staff
- Other types of staff (*please specify: \_\_\_\_\_*)

A10. In 2015, was there a time lag between when information was collected on paper, and when it was entered electronically?

- Yes (IF YES: how long was the time lag between when information was collected on paper, and when it was entered electronically?  
\_\_\_\_\_ days / weeks / months)
- No, we entered the data immediately
- No, we entered the data directly into the electronic system (paperless system)

A11. Were paper or electronic records your agency's official "system of record" in 2015? For example: if you needed to provide records for a civil proceeding or criminal prosecution, would you draw from your paper or electronic records?

- Paper files were the "system of record"
- Electronic database was the "system of record"

A12. What quality control procedures were used for the electronic database in 2015? *Please check all that apply.* [*>>SKIP IF ANSWERED NO DATA ENTRY*]

- Double data-entry of information from paper forms
- Audits comparing paper and electronic versions of the record

- Assessments of the level of missing data on key elements
- None of the above

A13. Since when have reliable records generally been available from your electronic data system? What is the earliest date (i.e., how long ago)? \_\_\_\_\_ (year) \_\_\_\_\_ (month, if known)

A14. In general, was there a time lag between when data were entered in 2015 and when you could reliably query the database for statistics?

- Yes (if YES: In general, how long was the time lag between when data were entered in 2015 and when your agency could reliably query the database for statistics \_\_\_\_\_ days / weeks / months)
- No

A15. When would reliable records for all completed 2015 investigations be available from your agency's electronic data system? \_\_\_\_\_ (year) \_\_\_\_\_ (month, if known)

## B. Information gathered about elder abuse reports and investigations

In 2015, did your agency maintain any data from the initial report of abuse **before** an investigation was opened?

- Yes
- No [Skip all subsequent column 2 questions in section B]

*The following questions ask about the types of information your agency collected and recorded in the course of responding to suspected elder abuse, neglect, or exploitation in 2015. Questions are grouped into broad categories of information such as client demographics or case outcomes. The first question in each category asks which specific pieces of information your agency gathered, electronically or on paper. Then, for each piece of information you report having gathered, we ask a follow up question about when it was collected.*

*If you personally do not know the answer to some of these questions, please enlist the help of others in your agency. You may share your survey username and password with others in your agency.*

### **Victim (client) information**

B1. What pieces of information **about victims** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.



Case ID and victim demographics  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and is it stored electronically?,					[2] If yes to [1], check one. Following up, were any items about victims collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Name	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Social Security number	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Date of birth	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Victim/Client ID number assigned by agency	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Age	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Sex	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
g. Race	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Hispanic ethnicity (separate from race, so one could be any race but also report Hispanic ethnicity)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B2. What pieces of information **about a victim's vulnerability** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By "gathering," we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond "yes" to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.

Victim's vulnerability  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically??					[2] If yes to [1], check one. Following up, were any items about a victim's vulnerability collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
<b>Disability status</b>							
a. Deaf or has difficulty hearing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Blind or has difficulty seeing, even when wearing glasses	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Difficulty concentrating, remembering or making decisions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Difficulty walking or climbing stairs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Difficulty dressing or bathing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Difficulty doing errands alone, such as visiting a doctor's office or shopping	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
g. Dependent on the care of others	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Lacks capacity to make decisions for oneself	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
i. Meets your agency's definition of vulnerable adult	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Substance use</b>							
j. Has problems with alcohol use	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
k. Has problems with illegal drug use	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
l. Has problems with inappropriate use of prescription drugs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
m. Has problems with substance use in general (unknown substance type)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Guardianship status</b>							
n. Had a legal guardian at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B3. What pieces of information **about a victim's housing and living arrangements** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By "gathering," we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond "yes" to all the items that were available on your data collection forms, even if they were not always consistently filled in.

<b>Victim's Housing and Living Arrangements</b>  <i>Column 1 will be asked for each item.  Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	<b>[1] Ask for each item. Check one.</b> <b>Did your agency record information on this characteristic, and was it stored electronically?</b>					<b>[2] If yes to [1], check one.</b> <b>Following up, were there any items about a victim's housing and living arrangements collected as part of the initial report, before beginning an investigation?</b>	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
<b>Victim's housing type</b>							
a. Housing type at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Housing type at the time of case closing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Which of these specific housing type categories were recorded?							
i. Victim's home	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Family member's home	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Group home (unlicensed)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iv. Group home (licensed)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
v. Assisted living facility	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vi. Skilled nursing facility (e.g., nursing home)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vii. Other institution for persons with mental illness or developmental disabilities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
viii. Hospital	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ix. Other housing type ( <i>please specify: _____</i> )	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Victim's living arrangements</b>							
d. Whether victim lived with alleged perpetrator at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Whether victim lived with alleged perpetrator at the time of case closing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B4. What pieces of information **about a victim's history of abuse** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By "gathering," we mean collecting and recording information in any form, including on paper, in

Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.

narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Victim's Abuse History  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about a victim's history of abuse collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Whether this was the first time this individual was reported to your agency as a victim	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Date of first report to agency as a victim	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

### ***Alleged perpetrator information***

B5. What pieces of information **about alleged perpetrators** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

*Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.*

Case ID and alleged perpetrator demographics  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about alleged perpetrators collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Name	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Social Security number	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Date of birth	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Alleged perpetrator ID number assigned by agency	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Age	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Sex	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
g. Race	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Hispanic ethnicity (separate from race, so one could be any race but also report Hispanic ethnicity)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B6. What pieces of information **about the alleged perpetrator's relationship to the victim** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By "gathering," we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond "yes" to all the items that were available on your data collection forms, even if they were not always consistently filled in.

<b>Victim-Perpetrator Relationship</b>  <i>Column 1 will be asked for each item.  Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	<b>[1] Ask for each item. Check one.</b> <b>Did your agency record information on this characteristic, and was it stored electronically?</b>					<b>[2] If yes to [1], check one.</b> <b>Following up, were any items about the alleged perpetrator's relationship to the victim collected as part of the initial report, before beginning an investigation?</b>	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Regarding how the alleged perpetrator is related to the victim, which of these specific relationship categories were recorded about the alleged perpetrator?							
i. Intimate partner (husband, wife, boyfriend, girlfriend), current or ex- (former)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Adult child	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Adult grandchild	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iv. Other family member	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
v. Non-family friend or acquaintance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vi. Paid caregiver	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vii. Healthcare provider	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
viii. Other employee of caregiving institution	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ix. Financial professional	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
x. Stranger	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
xi. Other	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Whether the alleged perpetrator met your agency's definition of "abuser" (for example: has position of trust)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Whether the alleged perpetrator was a caregiver (family or nonfamily, paid or unpaid)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Whether the alleged perpetrator was a paid caregiver?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Whether the alleged perpetrator had guardianship over or power of attorney for the victim?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B7. What pieces of information **about the alleged perpetrator’s vulnerability** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

<b>Alleged Perpetrator’s Vulnerability or Disability Status</b>  <i>Column 1 will be asked for each item.            Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	<b>[1] Ask for each item. Check one.            Did your agency record information on this characteristic, and was it stored electronically?</b>					<b>[2] If yes to [1], check one.            Following up, were any items about the alleged perpetrator’s vulnerability collected as part of the initial report, before beginning an investigation?</b>	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don’t know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Whether the alleged perpetrator met your agency’s definition of a vulnerable adult (e.g., has a disability, depends on others for care, lacks capacity to make decisions)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B8. What pieces of information **about the alleged perpetrator’s history of committing abuse** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

<b>Alleged Perpetrator's History of Committing Abuse</b>  <i>Column 1 will be asked for each item.  Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	<b>[1] Ask for each item. Check one.</b> <b>Did your agency record information on this characteristic, and was it stored electronically?</b>					<b>[2] If yes to [1], check one.</b> <b>Following up, were any items about the alleged perpetrator's history of committing abuse collected as part of the initial report, before beginning an investigation?</b>	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Whether this was the first time this alleged perpetrator was reported to your agency as an alleged perpetrator	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Date of first report to agency as an alleged perpetrator	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Whether the alleged perpetrator had any criminal history at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Whether there was a protective order against the alleged perpetrator at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Whether the alleged perpetrator was on an abuser registry at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Whether alleged perpetrator was under criminal justice supervision (e.g., parole, probation, pretrial) at the time of the incident	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

## Reporter Characteristics

B9. What pieces of information **about the reporter of suspected elder abuse** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.



Abuse Reporting  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about the reporter of suspected elder abuse collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
Abuse report							
a. Date of report	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Which of these specific reporter types were recorded?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
i. Police	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Prosecutor's office	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Other lawyers or court system representatives	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iv. Guardian	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
v. Victim	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vi. Family member of victim	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vii. Friend or acquaintance of victim	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
viii. Healthcare worker	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ix. Other employees of healthcare or long-term care facility	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
x. Social service provider	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
xi. Bank employee or other financial professional	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Whether your agency decided to open an abuse investigation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Reason your agency did not open an abuse investigation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

## Incident Characteristics

B10. What pieces of information **about the abusive incident** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Time and place of the suspected abuse  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about the abusive incident collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Date of incident that led to investigation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Time of incident that led to investigation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Whether the abuse was a single incident or ongoing pattern	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Regarding the location where the abuse occurred, which of these specific location types were recorded?							
i. Victim's home	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Family member's home	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Group home (unlicensed)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iv. Group home (licensed)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
v. Assisted living facility	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vi. Skilled nursing facility (e.g., nursing home)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vii. Other institution for persons with mental illness or developmental disabilities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
viii. Hospital	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ix. Other location ( <i>please specify: _____</i> )	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B11. What pieces of information **about the abusive incident** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Types of abuse reported or alleged  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about the abusive incident collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Whether specific abuse types were alleged to have occurred. Which of these specific <b>alleged</b> abuse categories were recorded? <sup>3</sup> --							
i. Physical Abuse, meaning “when an elder is injured (e.g., scratched, bitten, slapped, pushed, hit, burned, etc.), assaulted or threatened with a weapon (e.g., knife, gun, or other object), or inappropriately restrained.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Sexual Abuse or Abusive Sexual Contact, meaning “any sexual contact against an elder’s will. This includes acts in which the elder is unable to understand the act or is unable to communicate. Abusive sexual contact is defined as intentional touching (either directly or through the clothing), of the genitalia, anus, groin, breast, mouth, inner thigh, or buttocks.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Psychological or Emotional Abuse, meaning “when an elder experiences trauma after exposure to threatening acts or coercive tactics. Examples include humiliation or embarrassment; controlling behavior (e.g., prohibiting or limiting access to transportation, telephone, money or other resources); social isolation; disregarding or	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

<sup>3</sup> Definitions of abuse are from the Centers for Disease Control and Prevention, 2014.

Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.

Types of abuse reported or alleged  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about the abusive incident collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
trivializing needs; or damaging or destroying property.”							
iv. Neglect (by others), meaning “the failure or refusal of a caregiver or other responsible person to provide for an elder’s basic physical, emotional, or social needs, or failure to protect them from harm. Examples include not providing adequate nutrition, hygiene, clothing, shelter, or access to necessary health care; or failure to prevent exposure to unsafe activities and environments.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
v. Self-neglect, meaning “when vulnerable elders fail or refuse to address their own basic physical, emotional, or social needs. Examples include self-care tasks such as nourishment, clothing, hygiene, and shelter; proper/appropriate use of medications; and managing or administering one’s finances.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vi. Financial Abuse or Exploitation, meaning “the unauthorized or improper use of the resources of an elder for monetary or personal benefit, profit, or gain. Examples include forgery, misuse or theft of money or possessions; use of coercion or deception to surrender finances or property; or improper use of guardianship or power of attorney.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vii. Abandonment, meaning “the willful desertion of an elderly person by caregiver or other responsible person.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B12. What pieces of information **about specific acts during the abusive incident and resulting injuries** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don’t know.

<p>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</p>	<p>[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?</p>					<p>[2] If yes to [1], check one. Following up, were any items about specific acts during the abusive incident and resulting injuries collected as part of the initial report, before beginning an investigation?</p>	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
<b>Weapon use</b>							
a. Whether a gun was used	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Whether another weapon (e.g., knife) was used	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Whether another type of object was used as a weapon	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Inappropriate use of restraints</b>							
d. Whether victim was inappropriately restrained (with ties, ropes, cords, etc.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Whether victim was "chemically restrained," meaning they were inappropriately sedated with medication or drugs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Level of completion</b>							
f. Whether the act was threatened, attempted, or completed	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Injury sustained</b>							
g. Whether an injury resulted in bodily injury or impairment (e.g., cuts or lacerations, bruising, dislocated joints, broken bones)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Whether there was sexual contact against the victim's will	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Elements of neglect</b>							
i. Whether the victim was "actively" neglected, meaning that things were purposefully withheld	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
j. Whether the victim was "passively" neglected, meaning that there was a failure to provide for him or her without intent	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
k. Regarding the types of things victims lacked access to, which of these specific items are recorded?:							
i. Adequate food	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Adequate clothing (e.g., clothing appropriate to the season)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Adequate shelter (e.g., a hygienic and safe environment)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

<p>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</p>	<p>[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?</p>					<p>[2] If yes to [1], check one. Following up, were any items about specific acts during the abusive incident and resulting injuries collected as part of the initial report, before beginning an investigation?</p>	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
iv. Access to medicine or healthcare	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Financial loss or impact							
l. Whether there was a loss of personal property	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
m. Whether there was a loss of financial assets	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
n. Whether victim was forced to alter legal documents or beneficiary designations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
o. Dollar amount of losses sustained (if financial exploitation)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

B13. What pieces of information **about the severity of injuries sustained** did your agency gather in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Injury severity and need for health care  <i>Column 1 will be asked for each item. Columns 2 will be asked as a follow-up, for only those characteristics respondents said they record in [1].</i>	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?					[2] If yes to [1], check one. Following up, were any items about the severity of injuries sustained collected as part of the initial report, before beginning an investigation?	
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded	Yes	No
a. Whether the victim was seen by a health care provider for the injuries sustained	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Whether the victim was seen by a mental health provider for the injuries sustained	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Whether the victim was hospitalized as a result of injuries sustained	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Duration of hospitalization	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Whether the injury was life-threatening	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Whether the client died from the injuries sustained from this incident of abuse	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

### **Investigation Characteristics and Outcomes**

B14. What pieces of information did your agency gather **about interagency collaborations** in the course of an investigation responding to suspected elder abuse in 2015? By “gathering,” we mean collecting and recording information in any form, including on paper, in narrative form, or in a database field. Please respond “yes” to all the items that were available on your data collection forms, even if they were not always consistently filled in.

Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.





Case outcomes	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?				
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded
a. Date investigation was opened	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Date investigation closed	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Reason for case closure	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Whether the overall report of abuse was confirmed or substantiated	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Whether specific abuse types <sup>4</sup> were <b>substantiated</b> . – Which of these specific abuse categories were recorded?					
i. Physical Abuse, meaning “when an elder is injured (e.g., scratched, bitten, slapped, pushed, hit, burned, etc.), assaulted or threatened with a weapon (e.g., knife, gun, or other object), or inappropriately restrained.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Sexual Abuse or Abusive Sexual Contact, meaning “any sexual contact against an elder’s will. This includes acts in which the elder is unable to understand the act or is unable to communicate. Abusive sexual contact is defined as intentional touching (either directly or through the clothing), of the genitalia, anus, groin, breast, mouth, inner thigh, or buttocks.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iii. Psychological or Emotional Abuse, meaning “when an elder experiences trauma after exposure to threatening acts or coercive tactics. Examples include humiliation or embarrassment; controlling behavior (e.g., prohibiting or limiting access to transportation, telephone, money or other resources); social isolation; disregarding or trivializing needs; or damaging or destroying property.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
iv. Neglect (by others), meaning “the failure or refusal of a caregiver or other responsible person to provide for an elder’s basic physical, emotional, or social needs, or failure to protect them from harm. Examples include not providing adequate nutrition, hygiene, clothing, shelter, or access to necessary health care; or failure to prevent exposure to unsafe activities and environments.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
v. Self-neglect, meaning “when vulnerable elders fail or refuse to address their own basic physical, emotional, or social needs. Examples include self-care tasks such as nourishment, clothing, hygiene, and shelter; proper/appropriate use of medications; and managing or administering one’s finances.”	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
vi. Financial Abuse or Exploitation, meaning “the unauthorized or improper use of the resources of an elder for monetary or personal benefit, profit, or gain. Examples	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

<sup>4</sup> Definitions of abuse are from the Centers for Disease Control and Prevention, 2014.

Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.

Case outcomes	[1] Ask for each item. Check one. Did your agency record information on this characteristic, and was it stored electronically?				
	Yes, electronically in a structured data field	Yes, electronically as free text	Yes, electronically, but don't know the field type	Yes, but on paper only	No, this was not recorded
include forgery, misuse or theft of money or possessions; use of coercion or deception to surrender finances or property; or improper use of guardianship or power of attorney."					
vii. Abandonment, meaning "the willful desertion of an elderly person by caregiver or other responsible person."	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Whether the victim changed residence since the incident (e.g., as a result of the investigation, at the conclusion of the case)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
g. Was there a change in guardianship (e.g., initiated guardianship proceedings, place into guardianship, changed guardian) since the incident (e.g., as a result of the investigation, at the conclusion of the case)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Whether there was a protective order or restraining order issued after the incident (e.g., as a result of the investigation, at the conclusion of the case)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
i. Regarding non-criminal disciplinary action against the alleged perpetrator, which of these are recorded?					
i. Lost license,	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
ii. Added to abuser registry	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
j. Whether there was a referral to the police	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
k. Whether an arrest was made	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
l. Whether there was a referral to criminal prosecution	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
m. Whether criminal charges were filed	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
n. Outcome of the criminal case (e.g., convicted or not)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
o. Whether jail or prison time was imposed	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

## C. Elder Abuse Definitions and APS Agency Responsibilities

### *Screening practices*

- C1. Did your agency's investigations in 2015 reflect reports that had been screened-in somehow?
- Yes, another agency or unit conducted initial screening to determine that abuse investigation was needed (*please specify the other agency: \_\_\_\_\_*)
  - Yes, our agency conducted initial screening to determine that abuse investigation was needed.
  - No, our agency opens an abuse investigation on all reports received.
- C2. [IF SCREENED IN] Can you report on the number of reports that were screened out in 2015?
- Yes
  - No
- C3. [IF SCREENED IN] Why were reports screened out? *Please check all that apply*
- Not in need of protective services (for example: referred to social services)
  - Not our agency's jurisdiction (for example: referred elsewhere for investigation)
  - Other reasons (*please specify: \_\_\_\_\_*)
- C3a. [IF SCREENED IN] What was the primary reason why reports were screened out in 2015?
- Not in need of protective services (for example: referred to social services)
  - Not our agency's jurisdiction (for example: referred elsewhere for investigation)
  - Other reasons (*please specify: \_\_\_\_\_*)

### *Types of abuse*

- C4. What types of elder abuse was your agency responsible for investigating in 2015? *Please check all that apply.*

- Physical abuse
- Sexual abuse
- Emotional or psychological abuse
- Neglect (by others)
- Self-Neglect
- Financial or material exploitation
- Abandonment
- Other (*please specify:* \_\_\_\_\_)

C5. How did your agency define neglect by others in 2015? *Please check all that apply.*

- Active neglect (i.e., willful refusal to provide for elder's needs, such as denying food or medications)
- Passive neglect (i.e., failure to provide for elder's needs, but no evidence of malicious or punitive intent)
- Other (*please specify:* \_\_\_\_\_)

C6. a. Did your agency respond to reports of self-neglect in 2015?

- Yes
- No, we didn't respond ourselves (for example: immediate referral to another agency)

b. [IF YES to A] How did your agency respond to reports of self-neglect in 2015? *Please check all that apply.*

- We conducted an initial screening to determine jurisdiction before investigating
- We conducted a formal abuse investigation
- We provided services to the elder
- We sometimes provided services to the elder even though another agency investigated the case
- Some other response (*please specify:* \_\_\_\_\_)

C7. a. In 2015, did your agency respond to reports of financial or material exploitation perpetrated by either an acquaintance or stranger (for example: scams)?

- Yes

No, we didn't respond ourselves (for example: immediate referral to another agency)

b. [IF YES to A] In 2015, how did your agency respond to reports of financial or material exploitation perpetrated by either an acquaintance or stranger (for example: scams)? *Please check all that apply.*

- We conducted an initial screening to determine jurisdiction before investigating
- We conducted a formal abuse investigation
- We provided services to the elder
- We sometimes provided services to the elder even though another agency investigated the case
- Some other response (*please specify: \_\_\_\_\_*)

### ***Vulnerability***

C8. How did your agency define "vulnerable" in 2015? *Please check all that apply.*

- Deaf or has difficulty hearing
- Blind or has difficulty seeing, even when wearing glasses
- Difficulty concentrating, remembering or making decisions
- Difficulty walking or climbing stairs
- Difficulty dressing or bathing
- Difficulty doing errands alone, such as visiting a doctor's office or shopping
- Dependent on the care of others
- Lacks capacity to make decisions for oneself
- Has problems with substance use (alcohol, drugs, or inappropriate use of prescription drugs)
- Has a legal guardian
- Advanced age
- Lives in a long-term care facility
- Receives services from a care agency
- Other (*please specify: \_\_\_\_\_*)

C9. Did your agency distinguish between "elders" and younger vulnerable adults in 2015?

- Yes
- No

C10. At what age was someone considered an “elder” by your agency in 2015?

- Age (please specify: \_\_\_\_\_)
- There was no such definition

C11. In 2015, did elderly persons have to demonstrate a specific vulnerability in order for your agency to open an investigation, or was advanced age sufficient?

- Everyone had to demonstrate a specific vulnerability, regardless of age
- Advanced age was enough to open an investigation

C12. [IF SELECTED ADVANCED AGE ABOVE] At what age was advanced age, without other demonstrated vulnerabilities, sufficient to open an investigation in 2015? \_\_\_\_\_

### ***Jurisdiction: Institutions vs. community***

C13. Which of the following did your agency consider a “facility” or “institution” in 2015? *Please check all that apply.*

- Licensed group home
- Unlicensed group home
- Assisted living facility
- Nursing home
- State mental illness facility
- State developmental disability facility
- Hospital
- Other (please specify: \_\_\_\_\_)

C14. In 2015, under what circumstances was your agency responsible for investigating elder abuse in a facility or institution?

- We investigated all reports of facility abuse
- We investigated some reports of facility abuse
- We didn't investigate reports of abuse in a facility or institution
- Some other response (*please specify: \_\_\_\_\_*)

C15. [IF SOME CHECKED ABOVE] In 2015, what were your agency's guidelines on when to investigate elder abuse in a facility or institution?

*Please check all that apply.*

- We had jurisdiction to investigate some facility types but not others
- We investigated when the alleged perpetrator was not part of the facility staff (for example: relative of the victim)
- Other (please specify: \_\_\_\_\_)

C16. a. Did your agency respond to reports of elder abuse in facilities or institutions in 2015?

- Yes
- No, we didn't respond ourselves (for example: immediate referral to another agency)

b. [IF YES to A] How did your agency respond to reports of elder abuse in facilities or institutions in 2015? *Please check all that apply.*

- We conducted an initial screening to determine jurisdiction before investigating
- We conducted a formal abuse investigation
- We provided or arranged services for the elder in addition to conducting the abuse investigation
- We sometimes provided or arranged services for the elder even if another agency investigated the case
- Some other response (*please specify: \_\_\_\_\_*)

C17. a. In 2015, did your agency respond to reports of elder abuse in the community (for example: elder's home)?

- Yes
- No, we didn't respond ourselves (for example: immediate referral to another agency)

b. [IF YES to A] In 2015, how did your agency respond to reports of elder abuse in the community (for example: elder's home)? *Please check all that apply.*

- We conducted an initial screening to determine jurisdiction before investigating
- We conducted a formal abuse investigation
- We provided or arranged services for the elder in addition to conducting the abuse investigation
- We sometimes provided or arranged services for the elder even if another agency investigated the case
- Some other response (*please specify:* \_\_\_\_\_)

***Alleged perpetrator relationship***

C18. Did the relationship between a victim and alleged perpetrator determine whether your agency could open an abuse investigation in 2015?

- Yes
- No

C19. [IF YES TO ABOVE] How did your agency define an alleged perpetrator of elder abuse in 2015? *Please check all that apply.*

- Abuse if committed by a paid caregiver
- Abuse if committed by a family member
- Abuse if committed by facility staff
- Abuse if committed by a medical professional
- Abuse if committed by a financial professional
- Abuse if committed by an acquaintance
- Abuse if committed by a stranger
- Abuse if committed by someone without knowledge of the victim’s vulnerabilities
- Other (*please specify:* \_\_\_\_\_)

***Suspicious deaths***

C20. Did your agency investigate cases posthumously in 2015? For example, were you called to investigate or co-investigate suspicious deaths?

*Note: All items will include the following response options in addition to those shown: Not applicable, Decline to answer, and Don't know.*



- Yes
- No

C21. [IF YES] – In 2015, did your agency record these cases in the same database as other reports or investigations?

- Yes
- No

### ***Referral to law enforcement***

C22. In 2015, was there a statewide policy to guide when APS should refer or report substantiated cases to law enforcement or to prosecution?

- Yes
- No

C23. [IF YES] In 2015, did local areas in your state have different policies about when APS should refer or report substantiated cases to law enforcement or to prosecution?

- Yes
- No

C24. In 2015, what was your agency's policy on referring or reporting substantiated cases (other than self-neglect) to law enforcement or to prosecution?

- All substantiated cases must be reported to the police or the prosecutor's office
- Substantiated cases that meet certain criteria were referred to police or the prosecutor's office
- Substantiated cases were referred on an "as needed" basis

C25. [IF NOT ALL CASES REFERRED TO POLICE OR PROSECUTOR] In 2015, under what circumstances did your agency refer or report substantiated cases (other than self-neglect) to law enforcement or to prosecution? *Please check all that apply.*

- Cases were referred if criminal activity was suspected

- All cases with substantiated physical abuse were referred
- All cases with substantiated sexual abuse were referred
- All cases with substantiated psychological or emotional abuse were referred
- All cases with substantiated neglect (by others, not self-neglect) were referred
- All cases with substantiated financial exploitation were referred
- All cases with substantiated abandonment were referred
- Other (*please specify:* \_\_\_\_\_)

C26. When your agency referred to cases to the criminal justice system in 2015, who did you contact?

- Police
- Prosecutor's office
- Either, depending on the circumstances
- Both, the police and the prosecutor's office
- Other (*please specify:* \_\_\_\_\_)

## Conclusion

Thank you very much for participating in this survey. We truly appreciate the time you spent. The results from this survey will be used to assess how APS data can be used to augment current knowledge and statistics about elder victimization. If you have any questions, concerns, or comments about this project, please contact either of the Principal Investigators: Janine Zweig, at [jzweig@urban.org](mailto:jzweig@urban.org) (phone: 518-791-1058) or Kamala Mallik-Kane at [kkane@urban.org](mailto:kkane@urban.org) (phone: 202-261-5857).