Revised: 10/13/2015 OMB Control No. 0648-0514 Expiration Date: 07/31/2017

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|  | **Application For**  **Annual Crab Individual**  **Processing Quota (IPQ) Permit** | **http://home.nmfs.noaa.gov/ocioweb/webguide/cdprint/images/logo-noaa.gif**U.S. Dept. of Commerce/NOAA  National Marine Fisheries Service  Restricted Access Management  P.O. Box 21668  Juneau, AK 99802-1668  (800) 304-4846 toll free / 586-7202 in Juneau  (907) 586-7354 fax |
| **Annual Application Deadline – June 15**  Applications received after June 15 may not be processed and Individual Processing Quota (IPQ)  may not be issued to the applicant. | | |

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| ***BLOCK A –APPLICANT INFORMATION*** | | | |
| 1. Name of Applicant: | | 2. Applicant’s NMFS Person ID: | |
| 3. Business Mailing Address [ ] Permanent [ ] Temporary | | | |
| 4. Business Telephone Number: | 5. Business Fax Number: | | 6. Business E-mail Address: |

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| *BLOCK B – TYPE OF ANNUAL IPQ FOR WHICH APPLICATION IS MADE* |
| Indicate the type of annual IPQ requested. If selecting fisheries, check those boxes that apply. If selecting all fisheries, check the ALL FISHERIES box.  [ ] ALL FISHERIES for which applicant holds PQS  Only those fisheries checked below:  [ ] BBR [ ] BSS [ ] EAG [ ] EBT [ ] WBT  [ ] PIK [ ] SMB [ ] WAG [ ] WAI |

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| ***BLOCK C – IDENTIFICATION OF OWNERSHIP INTEREST***  ***(to be completed by Applicants who are not individuals (i.e., corporations, partnerships, etc.)*** |
| If the Applicant identified in Block A is NOT an individual (i.e. is a corporation, partnership or some other entity) the name(s) of all owners of the Applicant must be provided, together with the percent of ownership. If a listed owner is not an individual, provide the same information for each owner until all owners and their percent of ownership are revealed to the individual level.   |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Name of Owner** | **%**  **Interest** |  | **Name of Owner** | **%**  **Interest** | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  |   Duplicate this form as necessary to display all of the Applicant’s owners (and owners of owners) |
| ***BLOCK D – ROFR CONTRACT*** |
| Is any of the PQS you hold subject to right of first refusal (ROFR) with an Eligible Crab Community (ECC)?  YES [ ] NO [ ]  **If YES**, provide the name of the ECC entity associated with the PQS: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **IF YES**, do the PQS holder and the ECC entity identified above , have in place at the time of this application a current ROFR contract that includes all of the ROFR contract terms specified in Chapter 11 section 3.4.4.1.2 of the Fishery Management Plan for Bering Sea/Aleutian Islands King and Tanner Crabs?  YES [ ] NO [ ] |

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| ***BLOCK E – APPLICANT SIGNATURE*** | |
| *Under penalty of perjury, I certify by my signature below that I have examined the information and the claims provided on this application and, to the best of my knowledge and belief, the information presented here is true, correct, and complete.* | |
| 1. Signature of Applicant: | 2. Date: |
| 3. Printed Name of Applicant: **(Note:** If completed by an authorized representative, **attach** authorization.): | |

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| Instructions  **APPLICATION FOR ANNUAL CRAB**  **INDIVIDUAL PROCESSING QUOTA (IPQ) PERMIT** |

IPQ permits are issued annually to eligible persons who hold Processing Quota (PQS). These permits authorize their holders to process a specific amount of crab, under the terms and conditions set out on the permit. Individual Processing Quota (IPQ) permits are valid for one year -- the crab year for which they are issued.

Issuance of the correct amount and type of IPQ is entirely dependent on information provided by PQS holders on their annual IPQ applications. The completed application must be received by NMFS **no later than June 15**. An application that is received after June 15 may not be processed and may not yield annual IPQ.

Submit the completed application:

By mail to**: NMFS Alaska Region**

**Restricted Access Management (RAM)**

**P.O. Box 21668**

**Juneau, AK 99802-1668**

By delivery to: **Room 713, Federal Building**

**709 West 9th Street**

**Juneau, AK 99801**

By fax to: **907-586-7354**

Online to: <http://alaskafisheries.noaa.gov/ram/crab/>

If you need assistance in completing this application or need additional information, call Restricted Access Management at **(800) 304-4846 (Option 2)** or **(907) 586-7202 (Option 2)**.

RAM’s program information, applications, and reports can also be located on the Alaska Region Internet site at **http://alaskafisheries.noaa.gov.**

***COMPLETING THE APPLICATION***

**BLOCK A – APPLICANT INFORMATION**

1. Provide the Applicant’s name.

2. Provide the Applicant’s NMFS Person ID.

3. Enter the business mailing address for use with this transaction. Indicate if this is a permanent change to your business mailing address or if this is a temporary business mailing address for this transaction only.

4-6. Provide the business telephone number, business fax number, and business e-mail address for the Applicant or the Applicant’s designated representative.

**BLOCK B – TYPE OF ANNUAL QUOTA FOR WHICH APPLICATION IS MADE**

Indicate the type of annual IPQ requested. If selecting fisheries, check those boxes that apply. If selecting all fisheries, check the ALL FISHERIES box.

**BLOCK C – IDENTIFICATION OF OWNERSHIP INTEREST**

If the Applicant identified in Block A is NOT an individual (i.e. is a corporation, partnership or some other entity) the name(s) of all owners of the Applicant must be provided, together with the percent of ownership. Provide the same information for each owner until all owners and their percent of ownership are revealed to the individual level. See example below:

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| **Name of Owner** | **% Interest** |
| Joe Potpuller | 25% |
| Alice Potpuller | 25% |
| Quotaholder Family Holdings, Inc. | 50% |
| C. Quotaholder | 25% (of 50%) |
| R. Quotaholder | 25% (of 50%) |
| A. Quotaholder | 25% (of 50%) |
| B. Quotaholder | 25% (of 50%) |

Duplicate this form, or attach a separate sheet of paper if necessary to display all of the Applicant’s owners *(and owners of the Applicant’s owners to the individual level).*

**BLOCK D – CERTIFICATION OF ROFR CONTRACT**

***NOTE: An annual IPQ Permit will not be issued if this block is not completed.***

Indicate whether any of the PQS you hold is associated with an eligible crab community (ECC).

**IF YES**, provide the name of the ECC entity associated with the PQS and indicate whether the PQS holder and the ECC entity, at the time of this application, have in place a current ROFR contract. The contract in place between the ECC entity and the PQS holder must include the terms specified in Chapter 11 section 3.4.4.1.2 of the Fishery Management Plan for Bering Sea/Aleutian Islands King and Tanner Crabs and at http://alaskafisheries.noaa.gov/sustainablefisheries/crab/crfaq.htm.

**BLOCK E – APPLICANT SIGNATURE**

Applicant must print and sign his or her name and enter the date the application was signed.

If the application is completed by the Applicant’s authorized representative, **attach** proof of authorization.

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***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average 2 hours per non-electronic response and 1 hour per electronic response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden estimate or any other aspect of this collection of information, to Assistant Regional Administrator, Sustainable Fisheries Division, NMFS, Alaska Region, P.O. Box 21668, Juneau, AK 99802-1668.

***ADDITIONAL INFORMATION***

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 680, under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq*.) and under 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

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