Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; Section 73.3700(b)(5), Post Auction Licensing; Section 73.3700(f), Service Rule Waiver; FCC Form 337

SUPPORTING STATEMENT

A. Justification:

1. This submission is being made to the Office of Management (OMB) for the approval of information collection requirements contained in the Commission's *Incentive Auction Order*, which all adopted rules for holding an Incentive Auction, as required by the Middle Class Tax Relief and Job Creation Act of 2012 (Spectrum Act). The Spectrum Act directs the Commission to hold a "reverse auction" in which broadcasters can voluntarily return some or all of their broadcast spectrum usage rights in exchange for incentive payments. The Spectrum Act also requires the Commission to reorganize the broadcast television band, which will be accomplished by repacking stations, or moving broadcast stations to different channels. The Spectrum Act directs the Commission to hold a forward auction of the ultra-high frequency (UHF) spectrum obtained as a result of the reverse auction and channel repacking. This UHF spectrum will be auctioned as flexible-use licenses suitable for providing mobile broadband service. The Spectrum Act establishes a TV Broadcaster Relocation Fund (Fund) to reimburse reassigned broadcasters and multichannel video programming distributors (MVPDs) that incur expenses associated with continuing to carry relocated stations, for their reasonable expenses resulting from the post-auction channel reassignment.

The rules governing these processes are codified at 47 C.F.R. Parts 0, 1, 27, 73 and 74.

1

-

¹ Expanding the Economic and Innovation Opportunities of Spectrum Through Incentive Auctions, GN Docket 12-268, Report and Order, FCC 14-50, 29 FCC Rcd 6567 (2014) ("Incentive Auction R&O").

² Pub. L. No. 112-96, §§ 6402 (codified at 47 U.S.C. § 309(j)(8)(G)), 6403 (codified at 47 U.S.C. § 1452), 126 Stat. 156 (2012) (Spectrum Act).

³ Spectrum Act § 6403(a)(1) (mandating "a reverse auction to determine the amount of compensation that each broadcast television licensee would accept in return for voluntarily relinquishing some or all of its broadcast television spectrum usage rights in order to make spectrum available for assignment through a system of competitive bidding under subparagraph (G) of section 309(j)(8) of the Communications Act of 1934, as added by section 6402.").

⁴ Spectrum Act §6403(b)(1) (requiring the FCC to "make such reassignments of television channels as the Commission considers appropriate" and "reallocate such portions of such spectrum as the Commission determines are available").

⁵ Spectrum Act §6403(c)(1)(A) (requiring the FCC to conduct a "forward auction" to assign licenses for the use of spectrum reallocated from broadcast television as part of the incentive auction).

⁶ Spectrum Act §6403(b)(4)(A).

Revisions to this Information Collection Which Require OMB Review and Approval:

The Commission is submitting this revision to this information collection, which results from the provisions adopted in the Incentive Auction Order, FCC 14-50. Changes to the substance, burden hours, and costs are described herein.

47 C.F.R. section 73.3700(b)(5) requires licensees of reassigned stations, UHF-to-VHF stations, and High-VHF-to-Low-VHF stations that seek an extension to complete construction of their post-auction channel to apply for an extension demonstrating that failure to meet the construction deadline is due to circumstances that are either unforeseeable or beyond the licensee's control. Applications for additional time to complete construction must be filed electronically using FCC Form 337 (or its successor) no less than 90 days before the expiration of the construction permit. This requirement will not increase the number of filings, burden hours or cost for FCC Form 337.

47 C.F.R. section 73.3700(f) requires reassigned broadcast television station licensees seeking a waiver of the Commission's service rules pursuant to section 6403(b)(4)(B) of the Spectrum Act to file a request for a waiver with the Chief, Media Bureau during a 30-day window commencing upon the date that the Channel Reassignment Public Notice is released. A licensee will have 10 days following a grant of the waiver to notify the Commission whether it accepts the terms of the waiver.

History:

On September 30, 2004, the Commission released the Report and Order, *In the Matter of Amendment of Parts 73 and 74 of the Commission's Rules to Establish Rules for Digital Low Power Television Translator, and Television Booster Stations and to Amend Rules for Digital Class A Television Stations*, MB Docket No. 03-185, FCC 04-220 ("LPTV Digital Transition Report and Order"). The LPTV Digital Transition Report and Order contained rules and policies for low power stations to transition from analog to digital broadcasting and stated that low power television, TV translator, and Class A television station DTV licensees must comply with the requirements for feeable ancillary or supplementary services. The requirement in 47 CFR 74.788(c)(4) provided that new digital low power television, television translator, and Class A permittees may submit FCC Form 337, Application for Extension of Time to Construct a Digital Television Broadcast Station, should an acceptable reason for failing to construct, as set forth in 47 CFR 4.788(c)(1) – (2), apply.

<u>Information Collection Requirements Which Have Not Changed Since Last Approved by OMB:</u>

FCC Form 337. The FCC Form 337, Application for Extension of Time to Construct a Digital Television Broadcast Station, is used by all low power television, TV translator and Class A television digital permittees to apply for extension of time within which to construct their digital facility. This form must be filed at least sixty, but not more than ninety, days prior to the applicable construction deadline. Applicants who file this form based on financial hardships must retain documentation fully detailing and supporting their financial representations as well as any steps taken to overcome the circumstances preventing construction.

Special Temporary Authority (STA) Requests (47 CFR 73.1635). Broadcast stations (AM, FM, TV, Class A TV or LPTV licensees or permittees) may file a request for STA approval to permit a station to operate a broadcast facility for a limited period at a specified variance from the terms of the station's authorization or requirements of the FCC rules. Stations may file a request for STA approval for a variety of reasons. The request must describe the operating modes and facilities to be used. Types of STA requests include Engineering and Legal STAs.

Notifications:

- <u>Change in Official Mailing Address for Broadcast Station (47 CFR 1.5)</u>. Broadcast stations may file this form to report any changes in the station's mailing address, but cannot use this form to correct or change the name of the licensee.
- <u>Consummation Notice</u>. Broadcast stations may file this form to notify the Commission when an assignment of license or transfer of control is consummated. The form also may be used by the station to request an extension of time to consummate.
- Silent Notifications (47 CFR 73.1740). Broadcast stations (AM, FM, TV or Class A TV licensees) may file this form to notify the Commission of the station's suspension of broadcast operations. Broadcast stations may also use this form to request a silent STA or extension thereof. Types of Silent Notifications include Notification of Suspension and Resumption of Operations. Pursuant to Section 73.1740, broadcast station licensees must notify the Commission when events beyond their control make it impossible to continue operation or to adhere to the required operating schedules set forth in this rule. In addition, they must notify the Commission when they resume normal operations. (No further authority is needed for limited operation or discontinued operation for a period not exceeding 30 days.) Should events beyond the licensees control make it impossible for compliance within the required 30-day time period, broadcast station licensees must file an informal letter request for silent operations ("Silent STA," discussed below in informal filings section).
- Notifications (47 CFR 73.1615). Broadcast stations (AM, FM, TV or Class A TV licensees) must file a notification under 47 CFR 73.1615(c) when such a station is in the process of modifying existing facilities as authorized by a construction permit and determines it is necessary to either

discontinue operation or to operate with temporary facilities to continue program service for a period not more than 30 days. Licensees or permittees of directional or nondirectional FM, TV or Class A TV or nondirectional AM must file a notification and comply with 47 CFR 73.1615(a). Licensees or permittees of a directional AM station whose modification does not involve a change in operating frequency must file a notification and comply with 47 CFR 73.1615(b). Licensees or permittees of a directional AM station whose modification does involve a change in frequency and determines it is necessary to discontinue operation for a period not more than 30 days must file a notification and comply with 47 CFR 73.1615(d)(2).

- **Tolling Notifications (47 CFR 73.3598).** Broadcast stations (TV/DTV, ⁷ Class A TV, low power TV, TV translator, TV booster, AM, FM, International Broadcast, FM translator, FM booster, and LPFM permittees) may file this form to notify the Commission of an event that would toll their deadline to construct their TV facility. Section 73.3598 requires a permittee to notify the Commission as promptly as possible and, in any event, within 30 days of a tolling event, i.e., when construction is when construction is prevented due to (1) an act of God (e.g., floods, tornados, hurricanes, earthquakes, etc.); (2) the grant of the permit is the subject of administrative or judicial review (i.e., petitions for reconsideration and applications for review of the grant of a construction permit pending before the Commission and any judicial appeal); (3) construction is delayed by a cause of action pending in court related to requirements for construction or operation of the station (i.e., zoning or environmental requirements); or (4) international coordination where resolution of the international coordination issue is truly beyond the control of the station (e.g., where the failure to obtain coordination will not permit the station to construct facilities sufficient to replicate its analog coverage area). Stations must report progress at six-month intervals, detailing how the tolling event continues to cause delays in construction, any construction progress, and the steps it has taken and proposes to take to resolve any remaining impediments to construction. (Any construction permit for which construction has not been completed and which an application for license has not been filed will be automatically forfeited upon expiration of the construction permit.).
- Low Power Television, TV Translator and Class A TV Digital Transition Notification. Low power television, TV translator, and Class A television stations that have not already transitioned to digital must submit a notification to the Commission (through an informal filing) of their decision to either flash cut on their existing analog channel or to continue operating their digital companion channel and return their analog license.

Informal Filings:

⁷As of June 12, 2009, a TV/DTV station permittee may obtain an extension of time to construct its DTV facility only pursuant to the tolling provision in Section 73.3598.

- Informal letter requests (47 CFR 73.1615). Broadcast stations (AM, FM, TV or Class A TV licensees or permittees) must file an informal letter request under 47 CFR 73.1615(c)(1) when such a station is in the process of modifying existing facilities pursuant to 47 CFR 73.1615(a) or (b) and determines it is necessary to either discontinue operation or to operate with temporary facilities to continue program service for a period of more than 30 days. Licensees or permittees that filed notifications under 47 CFR 73.1615(d)(2) but which determine that it is necessary to discontinue operation for a period more than 30 days must file an informal letter request and comply with 47 CFR 73.1615(d)(1) and (2).
- Silent STAs and Extensions (47 CFR 73.1740). Broadcast stations (AM, FM, TV or Class A TV licensees) that suspended broadcast operations (and filed a silent notification) pursuant to Section 73.1740 and which finds it necessary to continue its suspension of operations for more than 30 days must file an informal letter request ("Silent STA") with the FCC under 47 CFR 73.1740(a)(4) for additional time. If necessary, the station may file a request for an extension of its Silent STA.

Copies of all STA requests, notifications, informal filings and tolling notifications must also be retained in the station's local public inspection file. This recordkeeping requirement is contained in OMB Control No. 3060-0214. Sections 73.3526(e) and 73.3527(e) require broadcast station licensees and permittees to retain in the station's local public inspection file a copy of any application tendered for filing with the FCC, together with all related material.

This information collection does not affect individuals or households; thus, there are no impacts under the Privacy Act.

Statutory authority for this collection of information is contained in Sections 1, 4(i) and (j), 7, 301, 302, 303, 307, 308, 309, 312, 316, 318, 319, 324, 325, 336, and 337 of the Communications Act of 1934, as amended.

- **2.** The data is used by FCC staff to determine whether to grant and/or accept the requested special temporary authority (or other request for FCC action), waiver request, required notification, informal filing, application filings or other non-form submission.⁸ FCC staff will review for compliance with legal and technical regulations, including but not limited to ensuring that impermissible interference will not be caused to other stations.
- **3.** All STA requests, applications, notifications, waiver requests, informal filings and other "non-form" submissions *may* be filed electronically via the FCC's License and Management System ("LMS"), the successor to the Consolidated Database System ("CDBS"). These filings may also be filed by letter, but only if authorized by rule or FCC approval. (We estimate less than 15% of these filings will be made by paper.)
- **4.** No other agency imposes a similar information collection on the respondents. No similar data is available.

⁸ Generally, "non-form" filings are notifications or requests filed with the Commission that are submitted by informal letter as opposed to a specific Commission form.

- **5.** This information collection will not have a significant economic impact on a substantial number of small entities/businesses.
- **6.** The frequency for this collection of information is determined by respondents, as necessary.
- 7. This collection of information is consistent with the guidelines in 5 CFR 1320.5(d)(2).
- **8.** The FCC published a notice in the Federal Register on November 12, 2015 seeking public comment on these information collection requirements, *see* 80 FR 69964. No comments were received from the public.
- **9.** No payment or gift was provided to the respondents.
- **10.** There is no need for confidentiality with this collection of information.
- **11.** This information collection does not address any private matters of a sensitive nature.
- **12.** The burden for this collection is as follows:
- (i) Changes to burden estimates.

Service Rule Waiver.

Following release of the Channel Reassignment Public Notice, pursuant to 47 C.F.R. section 73.3700(f), stations eligible for reimbursement from the TV Broadcaster Relocation Fund will have the option to file a request for waiver of the Commission's service rules in exchange for forgoing reimbursement from the TV Broadcaster Relocation Fund for the station's relocation expenses. We estimate that 100 transitioning stations will seek this waiver. The total average burden for this request is 1.5 hours per application. This estimate is based on FCC staff's knowledge and familiarity with the availability of the data required.

Number of Annual Respondents: 100 transitioning stations

Number of Annual Responses: 100 waiver requests

Annual Burden Hours: 100 FCC waiver requests x 1.5 hours/application = 150

hours

Annual "In-house cost" burden: The respondents will spend one and a half (1.5) hours to complete each application. We estimate the average salary of the station employee tasked with completing

this form to be \$100,000/year or \$48.08/hour.

100 applications x 1.5 hours x \$48.08 = \$7,212.00 **Total Annual "In House" Cost Burden = \$7,212.00**

(ii) The following burden estimates are not impacted by FCC 14-50. They remain the same since it was last approved by OMB:

a) STA Requests:

- **Legal STA Requests.** We estimate receiving 200 Legal STA requests (all broadcast services AM, FM, TV, Class A TV, LPTV and STA extensions) annually. We expect the station licensees/permittees will prepare 20 of the requests (10%) with a burden of four (4) hours and the remaining 180 requests will be made by consulting attorneys. The consultation time is one (1) hour.
- **Engineering STA Requests.** We estimate receiving 1,000 Engineering STA requests (all broadcast services AM, FM, TV, Class A TV, LPTV and STA extensions) annually. We expect the station licensees/permittees will prepare 100 of the requests (10%) will be made by the station licensee/permittee and will require four (4) hours preparation, while 900 filings (90%) will be contracted out to consulting engineers and will require one (1) hour of consultation.

b) Notifications:

- Change in Official Mailing Address for Broadcast Station (47 CFR 1.5). We estimate that 200 notices will be filed with the Commission annually. The station licensee/permittee will need 30 minutes (0.5 hours) to prepare these filings.
- **Consummation Notices.** We estimate 400 notices will be filed with the Commission annually. We the expect station licensee/permittee will file 40 notices (10%) and will require 30 minutes (0.5 hours) for preparation. For the remaining 360 notices (90%), the respondent consults with outside attorneys who will prepare/file notices. One (1) hour of consultation time is required.
- **Silent Notifications (47 CFR 73.1740).** We estimate that 400 notices (including Notification of Suspension and Resumption of Operations) will be filed with the Commission annually. We estimate that 75% (300) of these filings will be made by the station licensee/permittee and will require 30 minutes (0.5 hours) preparation and 25% (100) of these filings will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour of consultation.
- **Section 73.1615 Notifications (47 CFR 73.1615).** We estimate that 300 notices will be filed with the FCC annually. We estimate that about 67% (200) filings will be made by the station licensee/permittee and will require 30 minutes (0.5 hours) preparation and the remaining one-third (100) of these filings will be contracted out to attorneys and/or consulting engineers and will require

one (1) hour of consultation.

- **Tolling Notifications (47 CFR 73.3598).** We estimate that 60 notifications and 60 waivers for additional time to construct will be filed with the Commission annually. We estimate 30 notifications and 30 waivers (50%) will be made by the station licensee/permittee and will require 30 minutes (0.5 hours) preparation and the remaining 30 notifications and 30 waivers will be contracted out to attorneys and/or consulting engineers and will require one (1) hour of consultation..
- **Low Power Television, TV Translator and Class A TV Digital Transition Notification.** We estimate 2,439 LPTV digital transition notifications will be filed with the Commission. We estimate that 2,195 filings (90%) will be made by the station licensee/permittee and will require 30 minutes (0.5 hours) preparation, while 244 filings (10%) will be contracted out to outside attorneys and/or consulting engineers and will require 30 minutes (0.5 hours) of consultation..

c) Informal Filings:

- **Informal letter requests (47 CFR 73.1615).** We estimate that 150 of these informal letter requests will be filed with the FCC annually. We estimate 30 informal letters (20%) will be made by the station licensee/permittee and will require four (4) hours preparation, while 120 letters (80%) will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour consultation.
- **Silent STAs and Extensions (47 CFR 73.1740).** We estimate 300 filings will be filed with the Commission annually. We estimate that 30 filings (10%) will be made by the station licensee/permittee and will require 30 minutes (0.5 hours) preparation and 270 filings (90%) will be contracted out to outside attorneys and/or consulting engineers and will require one (1) hour of consultation.

• d) FCC Form 337 Applications:

• We estimate 1,000 FCC Form 337 applications will be filed annually. The respondents will spend one (1) hour to complete each application and retain financial hardship documentation, if applicable.

Туре	Number of Respondents	Number of Responses	Burden Hours of Respondents	Annual Burden Hours	Hourly Salary of Respondents ⁹	Annual In- House Cost
12.ii.a. STA Requests (47 CFR §	73.1635)	•		•	•	•
Legal STA-Licensee/Permittee	20	20	4 hrs	80 hrs	\$48.08	\$3,846.40
Legal STA filed by outside			1 hr			
attorney via respondent	180	180	consultation	180 hrs	\$48.08	\$8,654.40
Engineering STA filed by						
Licensee/ Permittee	100	100	4 hrs	400 hrs	\$48.08	\$19,232.00
Engineering STA filed by consulting engineer via respondent	900	900	1 hr consultation	900 hrs	\$48.08	\$43,272.00
Total STA Requests	1,200	1,200		1,560		\$75,004.80
		-				
12.ii.b. Notifications (47 CFR Par	rt 73)	_	1	1		
Change in Official Mailing			_	_		
Address for Broadcast Station	200	200	0.5 hrs	100 hrs	\$48.08	\$4,808.00
	1	1	1			1
Consummation Notices filed by		1	,			*******
Licensee/ Permittee	40	40	0.5 hrs	20 hrs	\$48.08	\$961.60
Consummation Notices filed by outside attorney via respondent	360	360	1 hr consultation	360 hrs	\$48.08	\$17,308.80
		•		•	•	•
Silent Notifications filed by						
Licensee/ Permittee	300	300	0.5 hrs	150 hrs	\$48.08	\$7,212.00
Silent Notifications filed by outside attorney via respondent	100	100	1 hr consultation	100 hrs	\$48.08	\$4,808.00
D 1 70 4645 N .: 6: 1 1						
Rule 73.1615 Notifications filed	200	200	0.5 hrs	100 has	¢40.00	¢4 000 00
by Licensee/Permittee Rule 73.1615 Notifications filed	200	200	U.5 IIIS	100 hrs	\$48.08	\$4,808.00
by outside engineer or attorney via respondent	100	100	1 hr consultation	100 hrs	\$48.08	\$4,808.00
Tolling Notifications and Waivers						
- Licensee/Permittee	60	60	0.5 hrs	30 hrs	\$48.08	\$1,442.40
Tolling Notifications and Waivers by engineer/attorney via respondent	60	60	1 hr consultation	60 hrs	\$48.08	\$2,884.80

⁹ This estimate is based on an average salary for a station manager of \$100,000 per year or \$48.08 per hour.

Service Rule Waiver; FCC Form 337

		Respondents		of Respondents	House Cost
2 195	2 195	0.5 hrs	1 098	\$48.08	\$52,791.84
2,133	2,133	0.5 1113	1,030	ψ-10.00	ψ32,731.04
		0.5 hrs			
244	244		122	\$48.08	\$5,865.76
				4 10100	\$107,699.20
-,,,,,	1 0,000				
Part 73)					
/					
30	30	4 hrs	120 hrs	\$48.08	\$5,769.60
		1 hr			
120	120	consultation	120 hrs	\$48.08	\$5,769.60
30	30	0.5 hrs	15 hrs	\$48.08	\$721.20
270	270	1 hr	270 hrs	\$48.08	\$12,981.60
		consultation			
450	450		525 hrs.		\$25,242.00
ns					
1,000	1,000	1 hr.	1,00010	\$48.08	\$48,080.00
6,509	6,509		5,325 hrs.		\$256,026.00
	120 30 270 450 ms 1,000	244 244 3,859 3,859 Part 73) 30 30 120 120 30 30 270 270 450 450 ns 1,000 1,000	244 244 consultation 3,859 3,859 Part 73) 30 30 4 hrs 1 hr consultation 30 30 0.5 hrs 270 270 1 hr consultation 450 450 Iss. 1,000 1,000 1 hr.	244 244 consultation 122 3,859 3,859 2,240 hrs Part 73) 30 30 4 hrs 120 hrs 1 hr consultation 120 hrs 30 30 0.5 hrs 15 hrs 270 270 1 hr consultation 270 hrs 450 450 525 hrs.	244 244 consultation 122 \$48.08 3,859 3,859 2,240 hrs Part 73) 30 30 4 hrs 120 hrs \$48.08 1 hr consultation 120 hrs \$48.08 30 30 0.5 hrs 15 hrs \$48.08 270 270 1 hr consultation 270 hrs \$48.08 450 450 525 hrs.

This burden also accounts for the time needed to retain necessary financial hardship documentation, if applicable

Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; Section 73.3700(b)(5), Post Auction Licensing; Section 73.3700(f), Service Rule Waiver; FCC Form 337

TOTAL BURDEN:

Number of Respondents: 100 + 6,509 = 6,609 Number of Responses: 100 + 6,509 = 6,609 Annual Burden Hours: 150 + 5,325 = 5,475

Annual In-house Cost: \$7,212 + \$256,026 = \$263,238.00

13. ANNUAL COST BURDEN:

(i) Changes to burden estimates.

<u>Service Rule Waiver Requests</u>. We expect the respondents will consult with an attorney before submitting a request for a waiver of the Commission's service rules. The attorney's review will require one hour at \$300/hour.

100 applications x 1 hour x \$300/hour = \$30,000

- (ii) The following cost estimates are not impacted by FCC 14-50. They remain the same since they were last approved by OMB:
- a) <u>STA Requests.</u> The respondent will use a consulting and/or engineer to prepare/file the legal (180) and engineering (900) STAs. The consulting fees are \$300/hour for the attorney and \$250/hour for an engineer. In addition, the respondent must pay a fee of \$170 per STA request. We estimate that 85% of all requests filed (1,200) will be for commercial stations (1,200 x 85% = 1,020 requests).

Annual Cost Burden =	\$1,289,400
1,020 Feeable STA requests x \$170 Fee/ request =	<u>\$173,400</u>
900 Engineering STA requests x 4 hours x \$250/hour =	\$900,000
180 Legal STA requests x 4 hours x \$300/hour =	\$216,000

b) <u>Notifications.</u> The respondent will use a consulting attorney and/or engineer to prepare various types of notifications. The consulting fees are \$300/hour for the attorney and \$250/hour for an engineer. However, we estimate the average salary at \$275/hour because these filings may be reviewed and/or filed by either or both an outside attorney or engineer. No filing fees are associated with these notifications.

360 Consummation Notices x 1 hour x \$275/hour =	\$99,000
360 Silent Notifications x 1 hour x \$275/hour =	\$99,000
100 Rule 73.1615 notifications x 1 hour x \$275/hour =	\$27,500

Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; Section 73.3700(b)(5), Post Auction Licensing; Section 73.3700(f), Service Rule Waiver; FCC Form 337

60 Tolling Notifications and Waivers x 1 hour x \$275/hour = \$16,500 244 Digital Transition Notifications x 1 hours x \$275/hour = \$67,100 Annual Cost Burden = \$309,100

c) <u>Informal Filings.</u> The respondent will use a consulting attorney and/or engineer to prepare/file informal letters. The consulting fees are \$300/hour for the attorney and \$250/hour for an engineer. However, we estimate the average salary at \$275/ because these filings may be reviewed and/or filed by either or both an outside attorney or engineer. In addition, the respondent pay a fee of \$170 per STA request. We estimate that 85% of all requests filed will require the fee payment. ($150 \times 85\% = 128$ requests rounded up).

120 informal letter requests x 4 hours x \$275/hour =	\$132,000
270 Silent STA requests x 1 hour x \$275/hour =	\$ 74,250
128 informal letter requests x \$170 Fee / request =	<u>\$ 21,760</u>
Annual Cost Burden =	\$228,010

d) FCC Form 337 Applications. We expect the respondents will consult with an attorney before completing the FCC Form 337. The attorney's review will require one hour.

1,000 applications x 1 hour x \$300/hour = \$300,000

Total Annual Cost Burden = \$30,000 + \$1,289,400 + \$309,100 + \$228,010 + \$300,000 = **\$2,156,510.00**

14. Cost to the Federal Government:

(i) The following are changes to burden estimates:

<u>Service Rule Waiver Requests</u>. We estimate the government will use professionals at the GS-15, step 5 level (\$68.56/hour) and paraprofessional staff at the GS-11, step 5 level (\$34.60/hour) to process these waiver requests.

```
$68.56/hour x 3 hours x 100 waiver requests = $20,568.00
$34.60/hour x 1 hour x 100 waiver requests = $3,460.00
$24,028.00
```

- (ii) The following burden estimates are not changed by 14-50:
- **a)** <u>STA Requests.</u> The FCC will use legal and engineer staff at the GS-14, step 5 level (\$58.28/hour), paraprofessional staff at the GS-11, step 5 level (\$34.60/hour) and clerical staff at the GS-5,

Title: Special Temporary Authorization (STA) Requests; Notifications; and Informal Filings; Sections 1.5, 73.1615, 73.1635, 73.1740 and 73.3598; CDBS Informal Forms; Section 74.788; Low Power Television, TV Translator and Class A Television Digital Transition Notifications; Section 73.3700(b)(5), Post Auction Licensing; Section 73.3700(f), Service Rule Waiver; FCC Form 337

step 5 level (\$18.88/hour). Staff processing time varies from 0.50 to 2 hours...

Total:	\$234,240.00
1,200 STAs x \$18.88 x 0.50 hour =	<u>\$ 11,328.00</u>
1,200 STAs $x $34.60 x 2 hours =$	\$ 83,040.00
1,200 STAs x \$58.28 x 2 hours =	\$139,872.00

b) <u>Notifications and Waivers.</u> The FCC will use legal and engineering staff at the GS-14, step 5 level (\$58.28/hour), paraprofessional staff at GS-11, step 5 level (\$34.60/hour) and clerical staff at the GS-5, step 5 level (\$18.88/hour). Staff processing time varies from 0.50 to 1 hour to process.

Total:	\$168,913.10
2,439 Digital Transition Notifications x 34.60 x .50 hour =	<u>\$42,194.70</u>
1,220 notifications x \$18.88 x 0.50 hours =	\$11,516.80
1,220 notifications x 34.60 x 1 hour =	\$42,212.00
1,220 notifications x 58.28 x 1 hour =	\$71,101.60
200 change of address notices x \$18.88 x 0.50 hours =	\$ 1,888.00

c) <u>Informal Filings, Silent STAs or Extensions.</u> The FCC will use legal and engineering staff at the GS-14, step 5 level (\$58.28/hour), paraprofessional staff at GS-11, step 5 level (\$34.60/hour) and clerical staff at GS-5, step 5 level (\$18.88/hour). Staff processing time varies from 0.50 to 2 hours to process.

150 Rule 73.1615 Informal Letters x \$58.28 x 2 hours =	\$17,484.00
150 Rule 73.1615 Informal Letters x \$34.60 x 2 hours =	\$10,380.00
150 Rule 73.1615 Informal Letters x \$18.88 x 0.50 hours =	\$ 1,416.00
300 Silent STAs or Extensions x 58.28 x 2 hours =	\$34,968.00
300 Silent STAs or Extensions x \$34.60 x 2 hours =	\$20,760.00
300 Silent STAs or Extensions x \$18.88 x 0.50 hours =	\$ 2,832.00
Total:	\$87,840.00

d) <u>FCC Form 337 Applications.</u> We estimate the government will use professionals at the GS-13, step 5 (\$57.70/hour) to process FCC Form 337 and processing will take four hours per application.

```
4 hours x 57.70/hour x 1,000 applications = $230,800.00
```

Total Cost to the Federal Government:

```
$24,280.00 + $234,240.00 + $168,913.10 + $87,840.00 + $230,800.00 = $746,073.10
```

15. The Commission has the following program changes/increases to this collection which are due to the information collection requirements adopted in FCC 14-50: 100 to the number of respondents, 100 to the

number of annual responses, 150 to the annual burden hours and \$30,000 to the annual cost burden.

There are no adjustments to this information collection.

- **16.** The data will not be published.
- **17.** OMB approval of the expiration of the information collection will be displayed at 47 C.F.R. Section 0.408.
- **18.** There are no exceptions to the Certification Statement.

B. Collections of Information Employing Statistical Methods

No statistical methods are employed.