CURRENT

FORM APPROVED O.M.B. No. 3220-0030

Application for Parent's Annuity

MONTH	DAY	YEA	R	OFFICE NUMBER		
APPROVED						
AFFROVED						
AFFROVED						
	NUMBER		DATE CODE	:D		
APPLICATION	NUMBER		DATE CODE	D DAY	YEAR	
	NUMBER			1	YEAR	

Section 1 General Instructions

Before you complete this application, be sure to read booklet RB-17, Survivor Annuities, which explains information you will need to answer many of the questions in this application. Also be sure to read the important notices at the end of the booklet.

Type or print legibly in ink. If you need more space than is provided to answer a question, use Section 10 for this purpose. If you do not know the answer to a question, print "Unknown" in the space provided for the answer.

When entering dates, always use numbers. Also, be sure there is one number in each box. For example, you would enter June 6, 2015, as:

MONTH DAY YEAR 0 6 0 6 2 0 1 5

Some items in this application will not apply to you so you will not need to answer them. Based on your answer to a question, you may be told to skip to another item number, or even another section. Follow the instructions that tell you to "Go to" another item. These are designed to save you time and help you move through the application form quickly filling in only necessary information. If no "Go to" instructions are given, answer the next item in order. Do not skip any items unless directed to do so.

If you are completing this application on behalf of someone else, you must answer each question as it applies to the applicant.

Section 2 Identifying Information

Check the information entered by the Railroad Retirement Board (RRB) for Items 1 through 6 for accuracy.

- ➤ If the information is correct, **go to Section 3.**
- ▶ If the information is not correct, cross out the incorrect information and enter the correct information above it.
- ➤ If the information is missing, fill it in.

Employee Identification	1	EM	IPLOYEE'S NAME ——→
	2	EM	IPLOYEE'S SOCIAL SECURITY NUMBER →
	3	EM	IPLOYEE'S RAILROAD RETIREMENT CLAIM NUMBER →
Applicant Identification	4	AP	PLICANT'S NAME
	5	а	STREET ADDRESS
		b	CITY AND STATE
		С	ZIP CODE
		d	COUNTY —
	6	DA	YTIME TELEPHONE NUMBER

Secti	on :	3	Information About The Employee											
If a railroa	ad re	tire	ment survivor benefit was previously received by someone, go to Se	ectio	n 4 ; c	therwise (go to Ite	m 7.						
Birth Date	7	En	ter the employee's date of birth.	Month		Day	Year							
Residence	8		ter the state (or country if other than United States) which s the employee's permanent home at the time of death.	→										
	If th	the employee was age 62 or older when he or she died, go to Item 10.												
Disability	9	Th	ter an "X" in the appropriate box: e employee was unable to work at the time of death because of ess or accident which occurred at least five months before death.			☐ Yes ☐ No								
Military Service		ease read the section "Credit for Employee's Military Service" in the RB-17 booklet to find out how active litary service is determined.												
	10	Th	ter an "X" in the appropriate box: e employee was in active military service after eptember 7, 1939.	1	No –	→ Go t	→ Go to Note and Item 11 → Go to Item 13							
		Note: If answered "Yes," you will have to submit proof of the employee's military service. If you cannot submit proof show, in Section 10, the branch of the service and the beginning and ending dates for each period of service.												
	11	Th	ter an "X" in the appropriate box: e employee had voluntary military service during the period ne 15, 1948, through December 15, 1950.	☐ Yes → Go to Item 12 ☐ No → Go to Item 13										
	12	Th	ter an "X" in the appropriate box: e employee had nonrailroad earnings after leaving the military rvice and before returning to the railroad.	☐ Yes ☐ No										
Recent Employment	13	rai or	gardless of whether the employee was retired at death, show the r lroad employer for whom the employee performed any part-time or she worked. Print the name and address of the most recent emplo ter the date each job began and ended.	work durir	ng the la	st 3 yea ı	's he							
			Name and Address of Employer											
		а	Name			Bo	gan	En	ded					
			Address						Jeu					
			City, State, ZIP Code			Month	Year	Month	Year					
			Only, Glate, 211 Gode											
		b	Name			Ве	gan	End	ded					
			Address			Month	Year	Month	Year					
			City, State, ZIP Code											
		С	Name			Ве	gan	En	ded					
			Address			Month	Year	Month	Year					
			City, State, ZIP Code											
Self- Employment	14	Th	ter an "X" in the appropriate box: e employee was self-employed during any of the t three calendar years.	→		☐ Yes → Go to Item 15 ☐ No → Go to Item 17								
	15 Enter an "X" in the appropriate box: The employee's net earnings from self-employment were more than \$400 in any of the last three calendar years.						☐ Yes → Go to Item 16 ☐ No → Go to Item 17							

Self- Employment	16	1 ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '		☐ This year					
(Continued)		Show the year or years in which the employee's net earnings from self-employment were more than \$400.		☐ Last year					
		carrings from sen employment were more than \$\psi\$-00.		Year before last					
Railroad Employment		swer Items 17 and 18 only if the employee was alive on October ailroad service; otherwise go to Item 19.	⁻ 1, 1981,	and he or she had at least 25 years					
	"Re	the employee was alive on October 1, 1981, and had at least equirements The Employee Must Have Met" in Part ${\rm I}$ of the RB y apply.							
	Note: You may be requested to submit proof to verify the statements made in Items 17 and 18.								
	17	Enter an "X" in the appropriate box: The employee "involuntarily and without fault":							
		 stopped working for his or her last railroad employer on or after October 1, 1975, or 		☐ Yes → Go to Item 18					
		 was on furlough, leave of absence status, or absent becau of injury on October 1, 1975, and was never called back to work for that employer. 		□ No → Go to Section 4					
	18	Enter an "X" in the appropriate box: The employee declined an offer from a railroad employer to refet to a job in the same "class or craft" as his or her last railroad job.		☐ Yes ☐ No					
Secti	on 4	Information About The Employee's Family							
Widow(er)		ase read the section "Definition of a Widow(er)'s Annuity" in Part egories of widow(er) may be eligible for a railroad retirement ann		RB-17 booklet to find out what					
	19	Enter an "X" in the appropriate box: There is a widow(er), remarried widow(er), or surviving divorspouse who may be eligible for a widow(er)'s annuity.	ced	☐ Yes ☐ No					
Children		ase read the section "Definition of a Child's Annuity" in Part II of children may be eligible for a railroad retirement annuity.	the RB-	17 booklet to find out what categories					
	20	Enter an "X" in the appropriate box: There are children who may be eligible for an annuity.		☐ Yes ☐ No					
	Ans	swer Item 21 only if the employee was male.							
	21	Enter an "X" in the appropriate box: A child of the employee is expected to be born.	-	☐ Yes ☐ No					
Secti	on :	Information About The Applicant							
Birth Date	22	Enter your date of birth.		Month Day Year					
Relationship	23	Enter an "X" in the appropriate box: I am the employee's only living natural parent, stepparent, or adoptive parent.	→	☐ Yes ☐ No					
	24	Enter an "X" in one box only to show your relationship to the employee.	_	tural Parent → Go to Item 29 epparent → Go to Item 25					
			_	optive Parent → Go to Item 26					

Step- Parent	25	Enter the date of your marriage to the employee's natural mother or father.	*	- 1	Month	Day	/	Yea	ır	Go to Item 29	
Adoptive Parent	26	Enter the place (city and state or foreign country) where you adopted the employee.									
	27	Enter the date of the adoption.	→	- 1	Month	Day	/	Yea	ır		
	28	Enter the name of the court which issued the adoption decree.				L					
Support	29	Enter an "X" in the appropriate box: The employee was contributing at least one-half of the money and goods needed to support me at the time the employee died or at the beginning of the employee's period of disability if he or she had one. (Consider the following as contributions to support: money, food, clothes, paying bills, providing rent-free housing.) The employee was contribution at least one-half of the money and goods needed to support me at the time the employee died or at the beginning of the employee's period of disability if he or she had one. (Consider the following as contributions to support: money, food, clothes, paying bills, providing rent-free housing.)									
		Note: If answered "Yes," you will have to Form G-134, Statement Regarding Cor						RRB,	, /		
Marriage	30	Enter an "X" in the appropriate box: I remarried after the employee's death.					Yes → Go to Item 31 No → Go to Item 32				
	31	Enter the requested information for each of your marriages after the employee's deamarriage in a, the second most recent in b, and so on.							int t	he most recent	
		Your Husband's or Wife's Name			Date Married			How Marriage Ended (Check One) (If Marriage Never Ended Leave Blank)			
		а	Мо	nth	Day	Y	ear	- - -		Spouse's death Divorce Annulment	
		b	Мо	nth	Day	Y	ear	\ 	<u>-</u>	Spouse's death Divorce	
		С	Mo	nth	Day	Y	ear] [<u> </u>	Annulment Spouse's death	
								- [Divorce Annulment	
Social Security Number	32	Enter your social security number. If none enter "TO BE SUBMITTED."									
Criminal Offense	33	Enter an "X" in the appropriate box: Within the past 12 months, I have been imprisoned or given a soft confinement due to a conviction for a criminal offense.			_					tem 34 Section 6	
	34	Enter the date of the conviction.	→		Month	Day	/	Yea	ır		
	35	35 Enter the date of the sentence of confinement.					/	Yea	ır		

Criminal Offense (Continued)	36	Enter the date that confinement began.		Month Day Year
	37	Enter an "X" in the appropriate box: Has the confinement ended?	→	☐ Yes → Go to Item 38 ☐ No → Go to Section 6
	38	Enter the date confinement ended.	*	Month Day Year
Secti	on (Information About Applicant's Other Gove	rnmen	t Benefits
Social Security Benefits	39	Enter an "X" in the appropriate box: I have filed, or plan to file, an application for benefits under the Social Security Act.		☐ Yes → Go to Item 40 ☐ No → Go to Item 43
	40	Enter an "X" in the appropriate box: I have filed, or plan to file, for social security benefits based someone other than myself.	☐ Yes → Go to Item 41 ☐ No → Go to Item 43	
	41	Enter the name of the person on whose account you are filing.		
	42	Enter that person's social security number.	-	
Railroad Retirement Benefits	43	Enter an "X" in the appropriate box: I have filed, or plan to file within 90 days, an application for monthly railroad retirement benefits based on someone other than the employee.	☐ Yes → Go to Item 44 ☐ No → Go to Section 7	
	44	Enter an "X" in the appropriate box: I have filed, or plan to file, an application for railroad retirements benefits based on my own railroad employment.	ent -	☐ Yes → Go to Section 7 ☐ No → Go to Item 45
	45	Enter the name of the person on whose record you have filed or will file.		
	46	Enter that person's Railroad Retirement Board claim number, including the letter prefix.	Prefix	If only six numbers, enter here
Secti	on i	Information About Work And Earnings		
Please re	ad th you	ne section "How Earnings Affect An Annuity" in Part V of the I	RB-17 bo	poklet to find out how work and earnings
If you wer Railroad \		e full retirement age or older when the employee died, or you.	ı are nov	w full retirement age or older, go to Item 57 ,
When and Annuities	swer s, for	ing Items 47 through 56, refer to Form G-77, How the Amou the exempt amount to use.	ınt of Ea	arnings Affects Payment of Survivor
If the emp	loye	e died January 1 or later of this year, skip Items 47-50 and g	o to Iten	n 51 , Earnings This Year.
Earnings Last Year (Year)	47	Enter an "X" in the appropriate box: My total earnings for all employment last year were more than the annual earnings exempt amount shown on Form G-77.		☐ Yes → Go to Item 48 ☐ No → Go to Item 51
	48	Enter your total earnings for last year. (SHOW DOLLARS ONLY)	→	\$
	49	Enter an "X" in the appropriate box: I earned more than the monthly earnings exempt amount in employment for hire or performed substantial services in self-employment in every month last year.		☐ Yes → Go to Item 51 ☐ No → Go to Item 50

Earnings Last Year (Continued)	50	Enter an "X" next to each month last year in which you did not earn more than the monthly earnings exempt amount or perform substantial services in self-employment.	JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC
Earnings This Year	51	Enter an "X" in the appropriate box: I expect my total earnings for all employment this year to be more than the annual earnings exempt amount.	☐ Yes → Go to Item 52 ☐ No → Go to Item 55
(Year)	52	Enter the total amount that you expect to earn this year. (SHOW DOLLARS ONLY)	\$
	53	Enter an "X" in the appropriate box: I expect to earn more than the monthly earnings exempt amount in employment for hire, or to perform substantial services in self-employment in every month this year.	☐ Yes → Go to Item 55 ☐ No → Go to Item 54
	54	Enter an "X" next to each month this year in which you did not, or do not expect to, earn more than the monthly earnings exempt amount or perform substantial services in self-employment.	JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC
Earnings Next Year (Year)	55	Enter an "X" in the appropriate box: I expect my total earnings for all employment next year to be more than this year's annual earnings exempt amount.	☐ Yes → Go to Item 56 ☐ No → Go to Item 57
(1 54.7)	56	Enter the total amount that you expect to earn next year. (SHOW DOLLARS ONLY)	\$
Railroad Work	57	Enter an "X" in the appropriate box: I have worked for a railroad or other employer in the railroad industry.	☐ Yes → Go to Item 58 ☐ No → Go to Section 8
	58	Enter the name of your last railroad employer. ——	
	59	Enter the date you last worked for this employer.	Month Day Year
	60	Enter an "X" next to each month in this year during which you worked, or you expect to work, for an employer in the railroad industry.	JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC
	Coi	mplete Item 61 only if you expect your annuity to begin before Januar	y 1 of this year.
	61	Enter an "X" next to each month of last year during which you worked for an employer in the railroad industry.	JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC

Secti	on 8	Filing Date And Medicare						
Filing Protection		swer Item 62 only if you are age 62 or older, disabled, or othe lity, or survivor benefits and you have not filed an application for				securi	ty old ag	ge, dis-
	62	Enter an "X" in the appropriate box: I also want this application used to protect my filing date for social security benefits.			Yes No			
Medicare	Please read the section "Medicare Benefits" in Part VIII of the RB-Medicare program.					an exp	lanatior	n of the
	Enter an "X" in the appropriate box: I am enrolled in the Medicare medical insurance (Part B).				Yes No	→		Item 64 Item 66
	64	Enter the name of the agency where you have filed for Medicare.		•				
	65	65 Enter your Medicare claim number.			G	o To S	Section 9	9
	66 Enter an "X" in the appropriate box: I am 64 years and 5 months of age or older.				Yes No	→ →	Go to	Item 67 Section 9
	67 Enter an "X" in the appropriate box: I wish to enroll in Part B.				Yes No	→		Item 68 Section 9
	68	68 Enter an "X" in the appropriate box: I am claiming a special enrollment period based on coverage by an employer group health plan.			Yes No			
	69	Enter an "X" in the appropriate box: I am claiming premium surcharge relief based on coverage based an employer group health plan.	y		Yes No			
Section	on 9	Receiving Your Payments						
All appli	 By 	s filing for RRB benefits must choose to receive their annuity pay / Direct Deposit to a bank, savings and loan, credit union or oth to a Direct Express® Debit MasterCard® account.			ution; o	r		
Please	read	Part VII of the <i>RB-17</i> booklet for an explanation of Direct Dep	osit a	nd the Dire	ect Exp	ress®	Debit Ma	asterCard®.
Payment Options	70	70 Enter an "X" in the appropriate box to indicate how you want to receive your payments.		Direct De Direct E: Go to Se	xpress@	® Debi		·Card®
				Neither I Debit Ma				ct Express® ction 10
Direct Deposit	р	o provide the information we need to correctly deposit your pa ersonal check and go to Section 10 , or call your financial inst ems 71 through 75 below.						
	71	Enter the name of your financial institution.						
	72	Enter the telephone number for your financial institution.	-	Area Code		Tele	ephone Nu	umber
	73	Enter the routing transit number of your			-	1 1	_	

Direct Deposit	74	Enter your account number.									
	75	Enter an "X" in the appropriate box: Type of account for the above account num	ber.	•	Sa	hecking avings o to Section 10					
Sect	ion 1	10 Remarks			-						
Remarks	76	This section is to be used for the continuation of answers to other items. Be sure to include the item number at the beginning of the answer you wish to continue. You may also use this section to enter any additional information that you feel may be important to include.									
		-									

Section	on 1	1 Certification							
Certification	77	Enter an "X" in the appropriate box: I will have a guardian or other repres this application on my behalf.	es → Go to I	Go to Note and Item 78 Go to Item 78					
		Note: If answered "Yes," your guardian or other representative must sign this application. That person must also complete and return Form AA-5, Application for Substitution of Payee.							
	78	s true to the bes n in order to nishable by fine ies and RB-9s, vents that would	es,						
		I agree to immediately notify the RRI If I marry; If I file for social security bene If I go to work for a railroad, r If I will earn more than the anr If I reported expected earnings If my address changes; If my financial organization of If any person for whom I am re If I am confined in a jail, prisor criminal offense.	efits based on any person's railroad labor orgaization or nual earnings exempt amounts and my earnings estimate or the account number at my eceiving benefits dies or leave	work in any cont, and it was rechanges; financial organies my care;	apacity in the rate on the rate of the rat	he application;	;		
		Signature (First Name, Middle Initial, Last Name)	Month Day Yea	ar					
		Date							
	79	If this certification is signed by mark below, giving their full addresses an a Signature of Witness	c ("X") in Item 78, two witnes nd daytime telephone numbe	sses who knovers.	w the person sig	ning must sign	1		
		Address (Number and Street)							
		City, State, and ZIP Code							
		D :: T N		Area Code	Teleph	none Number			
		Daytime Telephone Number —	*						
		b Signature of Witness							
		Address (Number and Street)							
		City, State, and ZIP Code							
				Area Code	Teleph	none Number			
		Daytime Telephone Number ——					ı		

Section 12 How To Return Your Application

Before you return your application, check to make sure that:

- **Every** guestion that applies to you has been answered.
- You have entered "unknown" in any answer space for which you were unable to answer a question.
- You have signed and dated the application.
- You have included **all** the needed proofs listed in the letter you received with this application.

When you received your application, you should also have received a pre-addressed return envelope. If you do not have this envelope, you can use any envelope as long as it is addressed to the RRB office serving your location. No matter which envelope you use, you must put the correct postage on the envelope. Be careful to provide enough postage, because your application and the accompanying forms may weigh more than a standard letter. The U.S. Postal Service will not deliver your application unless it has the correct postage.

Make one final check before you seal the envelope to ensure that the following are enclosed:

- ➤ NEEDED PROOFS
- ➤ THE APPLICATION FORM ITSELF
- ADDITIONAL FORMS YOU WERE ASKED TO COMPLETE

Note: After the RRB receives your application, a receipt form with information about your claim will be sent to you. When you receive it, you will know that the RRB has received your application and has started the work needed to determine if you are entitled to benefits. If you do not receive the receipt within two weeks after you have filed this application, please contact us so we can find out what is causing the delay.