

**FR Y-14Q Schedule C: Regulatory Capital Instruments Quarterly Schedule**

**Institution Name:**

**RSSD ID:**

**Date of Data Submission:**

**As of Date:**

FR Y-14Q Schedule C.1—Regulatory Capital Instruments as of Quarter End

A	B	C	D	E	F	G	H	I
	CUSIP or unique identifier provided by BHC	Instrument type	General risk based capital rules treatment	Revised regulatory capital rule (July 2013) treatment	Cumulative / noncumulative	Notional amount (\$Millions)	Amount recognized in regulatory capital (\$Millions)	Comments
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
...								

**FR Y-14Q Schedule C.2—Regulatory Capital Instrument Repurchases/Redemptions During Quarter**

A	B	C	D	E	F	G	H	I	J	K	L
	<b>CUSIP or unique identifier provided by BHC</b>	<b>Instrument type</b>	<b>General risk based capital rules treatment</b>	<b>Revised regulatory capital rule (July 2013) treatment</b>	<b>Redemption action</b>	<b>Date on which action was executed (mm/dd/yyyy)</b>	<b>Notional amount transacted (\$Millions)</b>	<b>Regulatory capital amount transacted (\$Millions)</b>	<b>Notional amount remaining at quarter end (\$Millions)</b>	<b>Amount recognized in regulatory capital remaining at quarter end</b>	<b>Comments</b>
1											
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8											
9											
10											
...											

FR Y-14Q Schedule C.3 – Regulatory Capital Instruments Issuances During Quarter

A	B	C	D	E	F	G	H	I	J	K	L	M
	CUSIP or unique identifier provided by BHC	Instrument type	Is issuance result of conversion?	If conversion, indicate CUSIP of original instrument	Date of issuance (mm/dd/yyyy)*	General risk based capital rules treatment	Revised regulatory capital rule (July 2013) treatment	Cumulative / noncumulative	Notional amount transacted (\$Millions)	Regulatory capital amount transacted (\$Millions)	Perpetual / dated	If dated, date of maturity (mm/dd/yyyy)*
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												
...												

A	N	O	P	Q	R	S	T	U	V	W	X
	Issuer call	If callable, optional call date (mm/dd/yyyy)*	Fixed / floating	Coupon / dividend rate (bps)	Index	Spread over index (bps)	Existence of step up or other incentive to redeem	Convertible / non-convertible	If convertible, mandatory or optional conversion?	If convertible, specify instrument type into which it will convert	Comments
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
...											