FR Y-14A Shedule D - Regulatory Capital Transitions

FR Y-14A: Regulatory Capital Transitions Cover Sheet

Institution Name:

RSSD ID:

As of Date (MM/DD/YY):

Submission Date (MM/DD/YY):

Please indicate the scenario associated with this submission using the following drop-down menu:

Supervisory Baseline

Please describe the baseline scenario associated with this submission. It should be consistent with that used for other capital plan baseline projections.

Please refer to Regulatory Capital Transitions section of the "Instructions for the Capital Assessments and Stress Testing information collection" when completing this schedule.

Instructions

1. Please complete the FR Y-14A Regulatory Capital Transitions Schedule using **actual data** for as of date, and **projected data** for the periods PY 1 through PY 6. For all projections, please use the baseline scenario as specified in the worksheet "CoverSheet."

2. Instructions for completing the schedule are contained in Regulatory Capital Transitions section of the "Instructions for the Capital Assessments and Stress Testing information collection."

3. All data should be populated within the non-shaded cells in all worksheets. Cells highlighted in grey have embedded formulas and therefore will be automatically populated.

4. BHCs should ensure that the version of Microsoft Excel they use to complete the schedule is set to automatically calculate formulas. This is achieved by setting “Calculation Options” (under the Formulas function) to “Automatic" within the settings for Microsoft Excel.

FR Y-14A Schedule D.1 - Capital Composition

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

	Capital Composition	FR Y-9C Schedule HC-R (Part I.B.) reference	Actual in $Millions as of date	PY 1	PY 2	PY 3 (Projected in $Millions)	PY 4	PY 5	PY 6
1	AOCI opt-out election? (enter "1" for Yes; enter "0" for No)	*bhcap838*							
	Common equity tier 1 capital								
2	Common stock and related surplus (net of treasury stock and unearned employee stock ownership plan [ESOP] shares	*bhcaP742*							
3	Retained earnings	*bhct3247*							
4	Accumulated other comprehensive income (AOCI)	*bhcab530*							
5	Common equity tier 1 minority interest includable in common equity tier 1 capita	*bhcap839*							
6	Common equity tier 1 before adjustments and deductions (sum of items 2 through 5)	*bhca840*	-	-	-	-	-	-	-
	Common equity tier 1 capital: adjustments and deductions								
7	Goodwill, net of associated deferred tax liabilities (DTLs)	*bhcap841*							
8	Intangible assets (other than goodwill and mortgage servicing assets (MSAs)), net of associated DTLs	*bhcap842*							
9	Deferred tax assets (DTAs) that arise from net operating loss and tax credit carryforwards, net of any related valuation allowances and net of DTLs	*bhcap843*							
	If Item 1 is "1" for "Yes", complete items 10 through 14 only for AOCI related adjustments.								
10	AOCI related adjustments: Net unrealized gains (losses) on available-for-sale securities (if a gain, report as a positive value; if a loss, report as a negative value)	*bhcap844*							
11	AOCI related adjustments: Net unrealized loss on available-for-sale preferred stock classified as an equity security under GAAP and available-for-sale equity exposures (report loss as a positive value)	*bhcap845*							
12	AOCI related adjustments: Accumulated net gains (losses) on cash flow hedges (if a gain, report as a positive value; if a loss, report as a negative value)	*bhcap846*							
13	AOCI related adjustments: Amounts recorded in AOCI attributed to defined benefit postretirement plans resulting from the initial and subsequent application of the relevant GAAP standards that pertain to such plans (if a gain, report as a positive value; if a loss, report as a negative value)	*bhcap847*							
14	AOCI related adjustments: Net unrealized gains (losses) on held-to-maturity securities that are included in AOCI (if a gain, report as a positive value; if a loss, report as a negative value)	*bhcap848*							
	If Item 1 is "0" for "No", complete item 15 only for AOCI related adjustments.								
15	AOCI related adjustments: Accumulated net gain (loss) on cash flow hedges included in AOCI, net of applicable tax effects, that relate to the hedging of items that are not recognized at fair value on the balance sheet (if a gain, report as a positive value; if a loss, report as a negative value)	*bhcap849*							
16	Other deductions from (additions to) common equity tier capital 1 before threshold-based deductions: Unrealized net gain (loss) related to changes in the fair value of liabilities that are due to changes in own credit risk (if a gain, report as a positive value; if a loss, report as a negative value)	*bhcaq258*							
17	Other deductions from (additions to) common equity tier capital 1 before threshold-based deductions: All other deductions from (additions to) common equity tier 1 capital before threshold-based deductions	*bhcap850*							
18	Non-significant investments in the capital of unconsolidated financial institutions in the form of common stock that exceed the 10 percent threshold for non-significant investments	*bhcap851*							
19	Subtotal (item 6 minus items 7 through 18)	*bhcap852*	-	-	-	-	-	-	-
20	Significant investments in the capital of unconsolidated financial institutions in the form of common stock, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	*bhcap853*	-	-	-	-	-	-	-
21	MSAs, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	*bhcap854*	-	-	-	-	-	-	-
22	DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	*bhcap855*	-	-	-	-	-	-	-
23	Amount of significant investments in the capital of unconsolidated financial institutions in the form of common stock; MSAs, net of associated DTLs; and DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs; that exceeds the 15 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	*bhcap856*	-	-	-	-	-	-	-
24	Deductions applied to common equity tier 1 capital due to insufficient amount of additional tier 1 capital and tier 2 capital to cover deductions	*bhcap857*							
25	Total adjustments and deductions for common equity tier 1 capital (sum of items 20 through 24)	*bhcap858*	-	-	-	-	-	-	-
26	Common equity tier 1 capital (item 19 minus item 25)	*bhcap859*	-	-	-	-	-	-	-
	Additional tier 1 capital								
27	Additional tier 1 capital instruments plus related surplus	*bhcap860*							
28	Tier 1 minority interest not included in common equity tier 1 capital	*bhcap862*							
29	Additional tier 1 capital before deductions (sum of items 27 through 28	*bhcap863*	-	-	-	-	-	-	-
30	Additional tier 1 capital deductions	*bhcap864*							

FR Y-14A Schedule D.1 - Capital Composition

Capital Composition	FR Y-9C Schedule HC-R (Part I.B.) reference	Actual in $Millions as of date	PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
					Projected in $Millions			
31 Additional tier 1 capital (greater of item 29 minus item 30 or zero	*bhcap865*	-	-	-	-	-	-	-
Tier 1 capital								
32 Tier 1 capital (sum of items 26 and 31)	*bhca8274*	-	-	-	-	-	-	-
Other (reflect all items on a year-to-date basis)								
33 Issuance of common stock (including conversion to common stock)	*bhck3580*							
34 Repurchases of common stock	*bhck3579*							
35 Net income (loss) attributable to bank holding company	*bhck4340*							
36 Cash dividends declared on preferred stock	*bhck4598*							
37 Cash dividends declared on common stock	*bhck4460*							
38 Previously issued tier 1 capital instruments (excluding minority interest) that would no longer qualify (please report 100% value								
39 Previously issued tier 1 minority interest that would no longer qualify (please report 100% value								
Data Completeness Check								
40 If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.		No	No	No	No	No	No	No

FR Y-14A Schedule D.2 - Exceptions Bucket Calculator

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

"Exceptions Bucket" Calculator	Actual in $Millions as of date	Projected in $Millions PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
Significant investments in the capital of unconsolidated financial institutions in the form of common stock							
1 Gross significant investments in the capital of unconsolidated financial institutions in the form of common stock							
2 Permitted offsetting short positions in relation to the specific gross holdings included above							
3 Significant investments in the capital of unconsolidated financial institutions in the form of common stock net of short positions (greater of item 1 minus 2 or zero)	-	-	-	-	-	-	-
4 10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-
5 Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 3 minus 10 percent of item 4 or zero)	-	-	-	-	-	-	-
Mortgage servicing assets							
6 Total mortgage servicing assets classified as intangible							
7 Associated deferred tax liabilities which would be extinguished if the intangible becomes impaired or derecognized under the relevant accounting standards							
8 Mortgage servicing assets net of related deferred tax liabilities (item 6 minus item 7)	-	-	-	-	-	-	-
9 10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-
10 Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 8 minus 10 percent of item 9 or zero)	-	-	-	-	-	-	-
Deferred tax assets due to temporary differences							
11 DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs							
12 10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-
13 Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 11 minus 10 percent of item 12 or zero)	-	-	-	-	-	-	-
Aggregate of items subject To the 15% limit (significant investments, mortgage servicing assets and deferred tax assets arising from temporary differences)							
14 Sum of items 3, 8, and 11	-	-	-	-	-	-	-
15 15 percent common equity tier 1 deduction threshold (item 19 in the Capital Composition tab minus item 14, multiplied by 17.65 percent)	-	-	-	-	-	-	-
16 Sum of items 5, 10, and 13	-	-	-	-	-	-	-
17 Item 14 minus item 16	-	-	-	-	-	-	-
18 Amount to be deducted from common equity tier 1 due to 15 percent deduction threshold (greater of item 17 minus item 15 or zero)	-	-	-	-	-	-	-
Data Completeness Check							
19 If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No

FR Y-14A Schedule D.3 - Advanced Risk-Weighted Assets

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

	Risk-weighted Assets-Advanced[1, 2]	FFIEC 101 reference	Actual in $Millions as of date	Projected in $Millions PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
	Advanced Approaches Credit Risk (Including CCR and non-trading credit risk), with 1.06 scaling factor where applicable								
1	**Credit RWA**		-	-	-	-	-	-	-
2	Wholesale Exposures		-	-	-	-	-	-	-
3	Corporate	*AABGJ124*							
4	Bank	*AABGJ125*							
5	Sovereign	*AABGJ126*							
6	IPRE	*AABGJ127*							
7	HVCRE	*AABGJ128*							
8	Counterparty Credit Risk		-	-	-	-	-	-	-
9	Eligible margin loans, repostyle transactions and OTC derivatives with crossproduct netting—EAD adjustment method	*AABGJ129*							
10	Eligible margin loans, repostyle transactions and OTC derivatives with crossproduct netting—collateral reflected in LGD	*AABGJ130*							
11	Eligible margin loans, repostyle transactions—no cross-product netting—EAD adjustment method	*AABGJ131*							
12	Eligible margin loans, repostyle transactions—no cross-product netting—collateral reflected in LGD	*AABGJ132*							
13	OTC derivatives—no cross-product netting—EAD adjustment method	*AABGJ133*							
14	OTC derivatives—no crossproduct netting—collateral reflected in LGD	*AABGJ134*							
15	Retail Exposures		-	-	-	-	-	-	-
16	Residential mortgage— closed-end first lien exposures	*AABGJ135*							
17	Residential mortgage— closed-end junior lien exposures	*AABGJ136*							
18	Residential mortgage—revolving exposures	*AABGJ137*							
19	Qualifying revolving exposures	*AABGJ138*							
20	Other retail exposures	*AABGJ139*							
21	Securitization Exposures		-	-	-	-	-	-	-
22	Subject to supervisory formula approach (SFA)	*AABG J142*							
23	Subject to simplified supervisory formula approach (SSFA)	*AABG P920*							
24	Subject to 1,250% risk-weight	*AABG P921*							
25	Cleared Transactions		-	-	-	-	-	-	-
26	Derivative contracts and netting sets to derivatives	*AABG P922*							
27	Repo-style transactions	*AABG P923*							
28	Default fund contributions	*AABG P924*							
29	Equity Exposures	*Sum of AABGJ144, AABGJ145,AABGJ146*							
30	Other Assets	*Sum of AABGJ147, AABGJ148, AABGJ149*							
31	CVA Capital Charge (risk-weighted asset equivalent)		-	-	-	-	-	-	-
32	Advanced CVA Approach	*AABG P926*	-	-	-	-	-	-	-
33	Unstressed VaR with Multipliers								
34	Stressed VaR with Multipliers								
35	Simple CVA Approach	*AABG P925*							
	Advanced Approaches Operational Risk								
36	**Operational RWA**	*AABGJ154*							
	Market Risk								
37	**Market RWA**	*AABG J153*	-	-	-	-	-	-	-
38	VaR with Multiplier								
39	Stressed VaR with Multiplier								
40	Incremental Risk Charge (IRC)								
41	Correlation Trading		-	-	-	-	-	-	-
42	Comprehensive Risk Measurement (CRM), Before Application of Surcharge								
43	Standardized Measurement Method (100%) for Exposures Subject to CRM		-	-	-	-	-	-	-

FR Y-14A Schedule D.3 - Advanced Risk-Weighted Assets

	Risk-weighted Assets-Advanced[1, 2]	FFIEC 101 reference	Actual in $Millions as of date	Projected in $Millions PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
44	CRM Floor Based on 100% of Standardized - Net Long								
45	CRM Floor Based on 100% of Standardized - Net Short								
46	Non-modeled Securitization		-	-	-	-	-	-	-
47	Net Long								
48	Net Short								
49	Specific risk add-on (excluding securitization and correlation)		-	-	-	-	-	-	-
50	Sovereign debt positions								
51	Government sponsored entity debt positions								
52	Depository institution, foreign bank, and credit union debt positions								
53	Public sector entity debt positions								
54	Corporate debt positions								
55	Equity								
56	Other market risk								
57	**Assets subject to the general risk-based capital requirements**	*AABGJ198*							
58	**Other RWA**								
59	**Excess eligible credit reserves not included in tier 2 capital**	*AABGJ152*							
60	**Total RWA**		-	-	-	-	-	-	-

Data Completeness Check

61	If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.		No	No	No	No	No	No	No

Footnotes:

[1] Amounts calculated as capital requirements should be converted to risk-weighted assets by multiplying by 12.5.

[2] Any assets deducted from capital should not be included in risk-weighted assets.

FR Y-14A Schedule D.4 - Standardized Rish-Weighted Assets

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

	Risk-weighted Assets-Standardized[1, 2]	Actual in $Millions as of date	Projected in $Millions PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
	Standardized Approach Credit Risk							
1	**Credit RWA**	-	-	-	-	-	-	-
2	**Balance-Sheet Asset Categories RWA**	-	-	-	-	-	-	-
3	Cash and balances due from depository institutions							
4	Federal funds sold and securities purchased under agreements to resel							
	Securities (excluding securitizations)							
5	Held-to-maturity							
6	Available-for-sale							
	Loans and leases on held for sale							
7	Residential Mortgage exposures							
8	High Volatility Commercial Real Estate (HVCRE) exposures							
9	Past due exposures							
10	All other exposures							
	Loans and leases, net of unearned income							
11	Residential mortgage exposures							
12	High Volatility Commercial Real Estate (HVCRE) exposures							
13	Past due exposures							
14	All other exposures							
15	Trading assets (excluding securitizations that receive standardized charges)							
16	All other assets							
	Securitization exposures							
17	Held-to-maturity							
18	Available-for-sale							
19	Trading assets that are securitization exposures that receive standardized charges							
20	All other on-balance sheet securitization exposures							
21	Off-balance sheet securitization exposures							
22	**Derivatives and Off-Balance-Sheet Items RWA**	-	-	-	-	-	-	-
23	Financial standby letters of credit							
24	Performance standby letters of credit and transaction related contingent items							
25	Commercial and similar letters of credit							
26	Retained recourse on small business obligations sold with recourse							
27	Repo-style transactions (excluding reverse repos)							
28	All other off-balance sheet liabilities							

FR Y-14A Schedule D.4 - Standardized Rish-Weighted Assets

	Risk-weighted Assets-Standardized[1, 2]	Actual in $Millions as of date	Projected in $Millions PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
	Unused commitments							
29	Original maturity of one year or less, excluding ABCP conduits							
30	Original maturity of one year or less to ABCP conduits							
31	Original maturity exceeding one year							
32	Unconditionally cancelable commitments							
33	Over-the-counter derivatives							
34	Centrally cleared derivatives							
	Market Risk							
35	**Market RWA**	-	-	-	-	-	-	-
36	VaR with Multiplier							
37	Stressed VaR with Multiplier							
38	Incremental Risk Charge (IRC)							
39	Correlation Trading	-	-	-	-	-	-	-
40	Comprehensive Risk Measurement (CRM), Before Application of Surcharge							
41	Standardized Measurement Method (100%) for Exposures Subject to CRM	-	-	-	-	-	-	-
42	CRM Floor Based on 100% of Standardized - Net Long							
43	CRM Floor Based on 100% of Standardized - Net Short							
44	Non-modeled Securitization	-	-	-	-	-	-	-
45	Net Long							
46	Net Short							
47	Specific risk add-on (excluding securitization and correlation)	-	-	-	-	-	-	-
48	Sovereign debt positions							
49	Government sponsored entity debt positions							
50	Depository institution, foreign bank, and credit union debt positions							
51	Public sector entity debt positions							
52	Corporate debt positions							
53	Equity							
54	Other market risk							
55	**Excess allowance for loan and lease losses**							
56	**Allocated transfer risk reserve**							
57	**Total RWA**	-	-	-	-	-	-	-

FR Y-14A Schedule D.4 - Standardized Rish-Weighted Assets

Risk-weighted Assets-Standardized[1, 2]	Actual in $Millions as of date	Projected in $Millions PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
Data Completeness Check							
58 If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No

Footnotes:

[1] Amounts calculated as capital requirements should be converted to risk-weighted assets by multiplying by 12.5.

[2] Any assets deducted from capital should not be included in risk-weighted assets.

FR Y-14A Schedule D.5 - Leverage Exposure

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

	Leverage Exposure (quarterly averages)	Actual in $Millions as of date	PY 1	PY 2	Projected in $Millions PY 3	PY 4	PY 5	PY 6
	Leverage Exposure for Tier 1 Leverage Ratio (Applicable to All BHCs)							
1	Average total consolidated assets							
2	LESS: Deductions from common equity tier 1 capital and additional tier 1 capital (report as a positive value)							
3	LESS: Other Deductions from (Additions to) Assets for Leverage Ratio Purposes (report as a positive value)							
4	**Total assets for the leverage ratio (item 1 less the sum of items 2 and items 3)**							
	Leverage Exposure for Supplementary Leverage Ratio (Applicable to Advanced Approaches BHCs Only)							
	On-balance sheet exposures							
5	On-balance sheet assets (excluding on-balance sheet assets for repo-style transactions and derivative exposures, but including cash collateral received in derivative transactions)							
6	LESS: Deductions from common equity tier 1 capital and additional tier 1 capital (report as a positive value)							
7	**Total on-balance sheet exposures (excluding on-balance sheet assets for repo-style transactions and derivative exposures, but including cash collateral received in derivative transactions) (item 5 less item 6)**							
	Derivative exposures							
8	Replacement cost for derivative exposures (net of cash variation margin)							
9	Add-on amounts for potential future exposure (PFE) for derivatives exposures							
10	Gross-up for cash collateral posted if deducted from the on-balance sheet assets, except for cash variation margin							
11	LESS: Deductions of receivable assets for cash variation margin posted in derivatives transactions, if included in on-balance sheet assets (report as a positive value)							
12	LESS: Exempted CCP leg of client-cleared transactions (report as a positive value)							
13	Effective notional principal amount of sold credit protection							
14	LESS: Effective notional principal amount offsets and PFE adjustments for sold credit protection (report as a positive value)							
15	**Total derivative exposures (sum of items 8, 9, 10 and 13, minus items 11, 12, and 14)**							
	Repo-style transactions							
16	On-balance sheet assets for repo-style transactions							
17	LESS: Reduction of the gross value of receivables in reverse repurchase transactions by cash payables in repurchase transactions under netting agreements (report as a positive value)							
18	Counterparty credit risk for all repo-style transactions							
19	Exposure for repo-style transactions where a banking organization acts as an agent							
20	**Total exposures for repo-style transactions (sum of items 16, 18, and 19 minus item 17)**							
	Other off-balance sheet exposures							
21	Off-balance sheet exposures at gross notional amounts							
22	LESS: Adjustments for conversion to credit equivalent amounts (report as a positive value)							
23	**Off-balance sheet exposures (item 21 less items 22)**							
	Capital and total leverage exposures							
24	**Total leverage exposure (sum of items 7, 15, 20 and 23)**							
	Data Completeness Check							
25	**Total Assets for Tier 1 Leverage Ratio (applicable to all BHCs)**: If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No
26	**Total Leverage Exposure for Supplementary Leverage Ratio (applicable to advanced approaches banking organizations)** : If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

					PY 1				
Action #	Description	Action Type	Exposure Type	RWA Type	Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 2				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
1						
2						
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 3				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
1									
2									
3									
4									
5									
6									
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8									
9									
10									
11									
12									
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
1						
2						
3						
4						
5						
6						
7						
8						
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

					PY 4				
Action #	**Description**	**Action Type**	**Exposure Type**	**RWA Type**	**Common Equity Tier 1**	**Tier 1**	**RWA_Standardized**	**RWA_Advanced**	**Total Assets for Leverage Ratio**
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
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35									
36									
37									
38									
39									

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
1						
2						
3						
4						
5						
6						
7						
8						
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 5				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
1						
2						
3						
4						
5						
6						
7						
8						
9						
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

					PY 6				
Action #	**Description**	**Action Type**	**Exposure Type**	**RWA Type**	**Common Equity Tier 1**	**Tier 1**	**RWA_Standardized**	**RWA_Advanced**	**Total Assets for Leverage Ratio**
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
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23									
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30									
31									
32									
33									
34									
35									
36									
37									
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39									

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
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35						
36						
37						
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
1					-	-	-	-	-
2					-	-	-	-	-
3					-	-	-	-	-
4					-	-	-	-	-
5					-	-	-	-	-
6					-	-	-	-	-
7					-	-	-	-	-
8					-	-	-	-	-
9					-	-	-	-	-
10					-	-	-	-	-
11					-	-	-	-	-
12					-	-	-	-	-
13					-	-	-	-	-
14					-	-	-	-	-
15					-	-	-	-	-
16					-	-	-	-	-
17					-	-	-	-	-
18					-	-	-	-	-
19					-	-	-	-	-
20					-	-	-	-	-
21					-	-	-	-	-
22					-	-	-	-	-
23					-	-	-	-	-
24					-	-	-	-	-
25					-	-	-	-	-
26					-	-	-	-	-
27					-	-	-	-	-
28					-	-	-	-	-
29					-	-	-	-	-
30					-	-	-	-	-
31					-	-	-	-	-
32					-	-	-	-	-
33					-	-	-	-	-
34					-	-	-	-	-
35					-	-	-	-	-
36					-	-	-	-	-
37					-	-	-	-	-
38					-	-	-	-	-
39					-	-	-	-	-

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	Confirm detailed description of action provided in separate attachment
1					-	-	
2					-	-	
3					-	-	
4					-	-	
5					-	-	
6					-	-	
7					-	-	
8					-	-	
9					-	-	
10					-	-	
11					-	-	
12					-	-	
13					-	-	
14					-	-	
15					-	-	
16					-	-	
17					-	-	
18					-	-	
19					-	-	
20					-	-	
21					-	-	
22					-	-	
23					-	-	
24					-	-	
25					-	-	
26					-	-	
27					-	-	
28					-	-	
29					-	-	
30					-	-	
31					-	-	
32					-	-	
33					-	-	
34					-	-	
35					-	-	
36					-	-	
37					-	-	
38					-	-	
39					-	-	

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 1				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
54									
55									
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 2				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
54									
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78									
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
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51						
52						
53						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 3				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
54									
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 4				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
54									
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77									
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

					PY 5				
Action #	Description	Action Type	Exposure Type	RWA Type	Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
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77									
78									
79									
80									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
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77						
78						
79						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 6				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
54									
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76									
77									
78									
79									
80									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
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72						
73						
74						
75						
76						
77						
78						
79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40					-	-	-	-	-
41					-	-	-	-	-
42					-	-	-	-	-
43					-	-	-	-	-
44					-	-	-	-	-
45					-	-	-	-	-
46					-	-	-	-	-
47					-	-	-	-	-
48					-	-	-	-	-
49					-	-	-	-	-
50					-	-	-	-	-
51					-	-	-	-	-
52					-	-	-	-	-
53					-	-	-	-	-
54					-	-	-	-	-
55					-	-	-	-	-
56					-	-	-	-	-
57					-	-	-	-	-
58					-	-	-	-	-
59					-	-	-	-	-
60					-	-	-	-	-
61					-	-	-	-	-
62					-	-	-	-	-
63					-	-	-	-	-
64					-	-	-	-	-
65					-	-	-	-	-
66					-	-	-	-	-
67					-	-	-	-	-
68					-	-	-	-	-
69					-	-	-	-	-
70					-	-	-	-	-
71					-	-	-	-	-
72					-	-	-	-	-
73					-	-	-	-	-
74					-	-	-	-	-
75					-	-	-	-	-
76					-	-	-	-	-
77					-	-	-	-	-
78					-	-	-	-	-
79					-	-	-	-	-
80					-	-	-	-	-

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	Confirm detailed description of action provided in separate attachment
40					-	-	
41					-	-	
42					-	-	
43					-	-	
44					-	-	
45					-	-	
46					-	-	
47					-	-	
48					-	-	
49					-	-	
50					-	-	
51					-	-	
52					-	-	
53					-	-	
54					-	-	
55					-	-	
56					-	-	
57					-	-	
58					-	-	
59					-	-	
60					-	-	
61					-	-	
62					-	-	
63					-	-	
64					-	-	
65					-	-	
66					-	-	
67					-	-	
68					-	-	
69					-	-	
70					-	-	
71					-	-	
72					-	-	
73					-	-	
74					-	-	
75					-	-	
76					-	-	
77					-	-	
78					-	-	
79					-	-	
80					-	-	

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 1				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
				Total impact of pl	-	-	-	-	-
				Reported changes from prior period					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of pl	-	-
Reported change:		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 2				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
				Total impact of pl	-	-	-	-	-
				Reported change:					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of pl	-	-
Reported change:		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 3				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
				Total impact of pl	-	-	-	-	-
				Reported change:					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of pl	-	-
Reported change		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 4				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
				Total impact of pl	-	-	-	-	-
				Reported changes					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of pl	-	-
Reported changes		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 5				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
				Total impact of pl	-	-	-	-	-
				Reported change:					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						
				Total impact of pl	-	-
				Reported changes		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	PY 6				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									

Total impact of pl	-	-	-	-	-
Reported change					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of pl	-	-
Reported change		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81					-	-	-	-	-
82					-	-	-	-	-
83					-	-	-	-	-
84					-	-	-	-	-
85					-	-	-	-	-
86					-	-	-	-	-
87					-	-	-	-	-
88					-	-	-	-	-
89					-	-	-	-	-
90					-	-	-	-	-
91					-	-	-	-	-
92					-	-	-	-	-
93					-	-	-	-	-
94					-	-	-	-	-
95					-	-	-	-	-
96					-	-	-	-	-
97					-	-	-	-	-
98					-	-	-	-	-
99					-	-	-	-	-
100					-	-	-	-	-
				Total impact of pl	-	-	-	-	-
				Reported changes					

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	Confirm detailed description of action provided in separate attachment
81					-	-	
82					-	-	
83					-	-	
84					-	-	
85					-	-	
86					-	-	
87					-	-	
88					-	-	
89					-	-	
90					-	-	
91					-	-	
92					-	-	
93					-	-	
94					-	-	
95					-	-	
96					-	-	
97					-	-	
98					-	-	
99					-	-	
100					-	-	
				Total impact of pl	-	-	
				Reported changes			