

[Next Page](#)

OMB Control Number: 0694-0119

Expiration Date:

## DEFENSE INDUSTRIAL BASE ASSESSMENT: Critical Facilities Survey



### SCOPE OF ASSESSMENT

The U.S. Department of Commerce, Bureau of Industry and Security (BIS), Office of Technology Evaluation (OTE), in coordination with the U.S. Department of Defense (DOD), Defense Security Service (DSS) is conducting a survey and assessment of organizations responsible for the research, design, engineering, development, manufacture, test, and integration of defense and high-technology products, components, and related services. The resulting data will provide a baseline understanding of the structure and interdependencies of organizations that participate in DOD acquisition programs and their associated supply chains. This survey will cover all operations at respondents' locations, including but not limited to the DSS-cleared areas. This effort will also assist DSS in its mission to provide security oversight and education on behalf of the DOD and other U.S. Government departments and agencies.

### RESPONSE TO THIS SURVEY IS REQUIRED BY LAW

A response to this survey is required by law (50 U.S.C. App. Sec. 2155). Failure to respond can result in a maximum fine of \$10,000, imprisonment of up to one year, or both. Information furnished herewith is deemed confidential and will not be published or disclosed except in accordance with Section 705 of the Defense Production Act of 1950, as amended (50 U.S.C App. Sec. 2155). Section 705 prohibits the publication or disclosure of this information unless the President determines that its withholding is contrary to the national defense. Information will not be shared with any non-government entity, other than in aggregate form. The information will be protected pursuant to the appropriate exemptions from disclosure under the Freedom of Information Act (FOIA), should it be the subject of a FOIA request.

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.

### BURDEN ESTIMATE AND REQUEST FOR COMMENT

Public reporting burden for this collection of information is estimated to average 10 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information to BIS Information Collection Officer, Room 6883, Bureau of Industry and Security, U.S. Department of Commerce, Washington, D.C. 20230, and to the Office of Management and Budget, Paperwork Reduction Project (OMB Control No. 0694-0119), Washington, D.C. 20503.

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<b>TABLE OF CONTENTS</b>	
I	<a href="#">General Instructions</a>
II	<a href="#">Definitions</a>
III	<a href="#">Reporting Level / Multiple Facility Instructions</a>
1	<a href="#">Location and Organization Information</a>
2	<a href="#">Mergers, Acquisitions, Divestitures, and Joint Ventures</a>
3	<a href="#">Products and Services</a>
4	<a href="#">U.S. Government Support</a>
5	<a href="#">Critical Suppliers</a>
6	<a href="#">Employment</a>
7	<a href="#">Sales</a>
8	<a href="#">Customers</a>
9	<a href="#">Security Procedures</a>
10	<a href="#">Classified Contract Information</a>
11	<a href="#">Research and Development</a>
12	<a href="#">Financial Information</a>
13	<a href="#">Organizational Challenges and Outreach Information</a>
14	<a href="#">Certification</a>
<b>BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act</b>	

**Important Note:**

The drop-down menus in several later sections are based on your responses in Section 3.

In order for all menus to work properly, you must complete these sections in order.

<a href="#">Previous Page</a>	<a href="#">Table of Contents</a>	<a href="#">Next Page</a>
<b>Section I: GENERAL INSTRUCTIONS</b>		
A.	Your organization is required to complete this survey using an Excel template, which can be downloaded from the U.S. Department of Commerce, Census Bureau website: <a href="https://respond.census.gov/criticalfacility/download">https://respond.census.gov/criticalfacility/download</a> . For your convenience, a PDF version of the survey is available to aid your internal data collection. DO NOT submit the PDF version of your organization's response to BIS.	
B.	This survey has been distributed on a CAGE/facility basis, but should be completed at the location level. A location includes but is not limited to the DSS cleared area. A major goal of this assessment is to benchmark the operations, practices, and interdependencies of locations that contain DSS cleared facilities. For the purpose of this survey, a location is a single contiguous site. Locations may--and often will--contain multiple facilities.	
C.	Respond to every question. Surveys that are not fully completed will be returned for completion. Use the comment boxes to provide any information to supplement responses provided in the survey form. Make sure to record a complete answer in the cell provided, even if the cell does not appear to expand to fit all the information.  <b>DO NOT COPY AND PASTE RESPONSES WITHIN THIS SURVEY.</b> Survey inputs should be completed manually, by typing in responses or by use of a drop-down menu. The use of copy and paste can corrupt the survey template. If your survey response is corrupted as a result of copy and paste responses, a new survey will be sent to you for immediate completion.	
D.	<b>Do not disclose any classified information in this survey form.</b>	
E.	Estimates are often acceptable (and in some sections encouraged), but in sections that do not explicitly allow estimates you must contact BIS survey support staff before including estimates.	
F.	Questions related to this Excel survey should be directed to:  <a href="mailto:CriticalFacility@bis.doc.gov">CriticalFacility@bis.doc.gov</a> . (E-mail is the preferred method of contact).  You may also speak with a member of BIS survey support staff by calling (202) 482-7808.	
G.	After completing, reviewing, and certifying the Excel survey, submit the survey via our Census Bureau web portal:  <a href="https://respond.census.gov/criticalfacility">https://respond.census.gov/criticalfacility</a>  Do not submit the survey via email.	
H.	For questions related to the overall scope of this Defense Industrial Base assessment, contact:  Brad Botwin, Director, Industrial Studies Office of Technology Evaluation, Room 1093 U.S. Department of Commerce 1401 Constitution Avenue, NW Washington, DC 20230  To contact Mr. Botwin, please call (202) 482-7808.  DO NOT submit completed surveys to Mr. Botwin's postal or personal e-mail address; all surveys must be submitted electronically via our Census Bureau web portal: <a href="https://respond.census.gov/criticalfacility">https://respond.census.gov/criticalfacility</a>	
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<a href="#">Previous Page</a>	<a href="#">Table of Contents</a>	<a href="#">Next Page</a>
<b>Section II: Definitions</b>		
Term	Definition	
Applied Research	Systematic study to gain knowledge or understanding necessary to determine the means by which a recognized and specific need may be met. This activity includes work leading to the production of useful materials, devices, and systems or methods, including design, development, and improvement of prototypes and new processes.	
Authorizing Official	Executive officer of the organization or business unit or other individual who has the authority to execute this survey on behalf of the organization.	
Basic Research	Systematic, scientific study directed toward greater knowledge or understanding of the fundamental aspects of phenomena and of observable facts.	
Classified Contract	Any contract that requires access to classified U.S. Government information. Not to be confused with a contract whose existence may be classified, i.e. a Special Access Program.	
Cleared Facility/Cleared CAGE	A facility possessing a facility clearance (FCL) under the National Industrial Security Program (NISP).	
Commercial and Government Entity (CAGE) Code	Commercial and Government Entity (CAGE) Code identifies companies doing or wishing to do business with the U.S. Federal Government. The code is used to support mechanized government systems and provides a standardized method of identifying a given facility at a specific location. Find CAGE codes at <a href="https://cage.dla.mil/search/">https://cage.dla.mil/search/</a> .	
Commercially Sensitive Information (CSI)	Privileged or proprietary information which, if compromised through alteration, corruption, loss, misuse, or unauthorized disclosure, could cause serious harm to the information's owners.	
Customer	An entity to which an organization directly delivers the product or service that the facility produces. A customer may be another company or another facility owned by the same parent organization. The customer may be the end user for the item but often will be an intermediate link in the supply chain, adding additional value before transferring the item to yet another customer.	
Cyber Security	The body of technologies, processes, and practices designed to protect networks, computers, programs, and data from attack, damage, or unauthorized access.	
Data Universal Numbering System (DUNS)	A nine-digit numbering system that uniquely identifies an individual business. Find DUNS numbers at <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a> .	
Facility	For the purpose of this survey, a facility is an area within an organization defined by a single CAGE code. An organization's physical location may contain multiple facilities.	
Full Time Equivalent (FTE) Employees	Employees who work for 40 hours in a normal work week. Convert part-time employees into "full time equivalents" by taking their work hours as a fraction of 40 hours.	
Location	For the purpose of this survey, a location is a single contiguous site. Locations may--and often will--contain multiple facilities. Additionally, all off-site activities associated with a location should be reported as part of that location. For instance, employees who work at a client site should be considered as part of their employing location.	
North American Industry Classification System (NAICS) Code	North American Industry Classification System (NAICS) codes identify the category of product(s) or service(s) provided by an organization. Find NAICS codes at <a href="http://www.census.gov/epcd/www/naics.html">http://www.census.gov/epcd/www/naics.html</a>	
Product/Process Development	Conceptualization and development of a product prior to the production of the product for customers.	
Service	An intangible product (contrasted to a good, which is a tangible product). Services typically cannot be stored or transported, are instantly perishable, and come into existence at the time they are bought and consumed.	
Single Source	An organization that is designated as the only accepted source for the supply of parts, components, materials, or services, even though other sources with equivalent technical know-how and production capability may exist.	
Sole Source	An organization that is the only source for the supply of parts, components, materials, or services. No alternative U.S. or non-U.S. based suppliers exist other than the current supplier.	
Supplier	An entity from which your organization obtains inputs. A supplier may be another firm with which you have a contractual relationship, or it may be another facility owned by the same parent organization. The inputs may be goods or services.	
United States	The "United States" or "U.S." includes the 50 states, Puerto Rico, the District of Columbia, the island of Guam, the Trust Territories, and the U.S. Virgin Islands.	
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[Previous Page](#)[Table of Contents](#)[Next Page](#)**Section 1a: Location Information**

Provide the following information for your location (see definitions).				
A.	Location Name			
	Organization Name			
	Street Address			
	City			
	State			
	Zip Code			
	Website			
	Phone Number			
Is this the only location your organization currently operates?		<input type="checkbox"/>		
Does your organization have a parent company?		<input type="checkbox"/>	If Yes, provide the following information on your parent organization(s):	
B.			Parent Organization 1	Parent Organization 2
	Organization Name			
	Street Address			
	City			
	State/Province			
	Country			
	Postal Code/Zip Code			
	Primary CAGE Code			
C.	Is your organization publicly traded or privately held?	<input type="checkbox"/>	If your organization is publicly traded, identify its stock ticker symbol.	<input type="checkbox"/>
D.	Are any of the areas in your location that are authorized to store classified information or to perform on a classified contract shared and/or operated by another organization? If yes, provide the information below.			<input type="checkbox"/>
	Other Organization Name		Purpose of Shared Area	
E.	Point of Contact regarding this survey:			
	Name	Title	Phone Number	E-mail Address
Comments:				
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**Section 1b: Location Information (Cont.)**

Select the option that most closely describes your location's primary business, and indicate any "Additional Business Lines" that are applicable below.

Academic institution	
Distribution/brokerage/reseller/retail	
Holding company	
Inspection and quality control	
Information technology (software, hardware, installation)	
Integration (product, systems integration)	
Maintenance/aftermarket/repair/refurbishing services	
A. Manufacturing (including assembly)	
Manufacturing systems development and management	
Material finishing (machining, coating, plating, heat treating, etc.)	
Material preparation (casting, forming, molding, forging, etc.)	
Product and design engineering (tooling, new processes, etc.)	
Professional services (legal, accounting, consulting, etc.)	
Raw materials provider	
Research and development	
Testing/evaluation/validation	
Other	(specify here)

Please provide the following identification codes, as applicable, for your location.

\*Find your location's North American Industry Classification System (NAICS) codes at <http://www.census.gov/epcd/www/naics.html>.

B.	Data Universal Numbering System (DUNS) Code(s)		NAICS (6-digit) Code(s)*	
	Find DUNS numbers at: <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a>		Find NAICS codes at: <a href="http://www.census.gov/epcd/www/naics.html">http://www.census.gov/epcd/www/naics.html</a>	

C.	Does your organization qualify as any of the following types of business?		If Yes, indicate which types:
	A small business enterprise (as defined by the Small Business Administration)		
	8(a) Firm (as defined by the Small Business Administration)		
	A historically underutilized business zone (HUBZone)		
	A minority-owned business		
	A woman-owned business		
	A veteran-owned or service-disabled veteran owned business		

Comments:	
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**Section 2: Mergers, Acquisitions, Divestitures, and Joint Ventures**

**Mergers, Acquisitions, Divestitures**

How many mergers, acquisitions, and divestitures has your organization been involved in over the past 10 years?

Identify your organization's ten most recent mergers, acquisitions, and divestitures, if applicable.

	Organization Name	Type of Activity	Country	Year	Primary Objective	Explain
A. 1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						

**Joint Ventures**

How many joint ventures does your organization currently participate in?

Identify your organization's current joint venture relationships, including public/private R&D partnerships, if applicable. Be sure to provide a description of the joint venture's purpose (e.g. patent licensing, co-production, product integration, after-market support, etc.):

	Organization/Entity Name	Country	Year Initiated	Primary Purpose of Relationship	Explain
B. 1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
11.					
12.					
13.					
14.					
15.					

Comments:

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[Previous Page](#)[Table of Contents](#)[Next Page](#)**Section 3: Products and Services**

In Section 3 you will be asked to identify the products and services your location manufactures, distributes, researches, and/or provides. For the purpose of this survey, products and services have been divided into 25 general categories, as detailed below.

Identify each general category in which your location has capabilities. In the next five pages you will select the individual type of products or services within these categories in which your location participates.

The list below contains links that can move you to a particular product and/or service segment. After completing this page you may skip to the sections with the products/services that pertain to your location, but be sure to review all segments to ensure you do not omit any required information.

Part	Product and Service Category	Participation
A	<a href="#">Raw Materials (Ores, Alloys, Chemicals, etc.)</a>	
B	<a href="#">Electronics (Circuits, Processors, Diodes, etc.)</a>	
C	<a href="#">Manufacturing Equipment and Processes (Machinery, Lathes, etc.)</a>	
D	<a href="#">Lasers</a>	
E	<a href="#">Directed Energy</a>	
F	<a href="#">Optics (Optics, Coatings, Lenses, etc.)</a>	
G	<a href="#">Acoustic Sensors (Sonar, other acoustic sensors, etc.)</a>	
H	<a href="#">Positioning, Navigation, and Timing (Gyroscopes, Accelerometers, GPS, etc.)</a>	
I	<a href="#">Radars</a>	
J	<a href="#">Signature Control (Materials, Chemicals, and Processes for Signature Control)</a>	
K	<a href="#">Aeronautic Systems (Aircraft, Airframes, Structural Components, etc.)</a>	
L	<a href="#">Space Systems (Launch Vehicles, Satellites, Rocket Motors, Survivability Systems, etc.)</a>	
M	<a href="#">Marine Systems (Ships, Landing Vessels, Submarines, etc.)</a>	
N	<a href="#">Ground Vehicle Systems (Vehicles, Tanks, Unmanned Ground Systems, etc.)</a>	
O	<a href="#">Armaments, Explosives, and Survivability (Munitions, Armor, Missiles, Launchers, etc.)</a>	
P	<a href="#">Energy Systems &amp; Energetics (Engines, Catapults, Batteries, Propellants, etc.)</a>	
Q	<a href="#">Nuclear (Reactors, Design, Protection, Detection, Storage, etc.)</a>	
R	<a href="#">Biological (Biological research, Biological Agent Detection/Destruction/Readiness, etc.)</a>	
S	<a href="#">Chemical (Chemical research, Chemical Agent Detection/Destruction/Readiness, etc.)</a>	
T	<a href="#">Emerging Technology (Nanotechnology, Synthetic Biology, Quantum Systems, Cognitive Neuroscience, etc.)</a>	
U	<a href="#">Agricultural (Agricultural Machinery and Technology)</a>	
V	<a href="#">Medical (MRI machinery, X-Radiation Machinery, Disease Research, Medicinal Distribution Technology, etc.)</a>	
W	<a href="#">Command, Control, Communication, and Computer (C4)</a>	
X	<a href="#">Software</a>	
Y	<a href="#">Services and Other Products (Services and products not included above)</a>	

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**Section 3b: Product and Service List**

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years. While many specific product/service areas are listed, not every possible product and service has been included. If the product or service your location provides is not listed, use the "other" listing within the relevant category.

**Do not disclose any classified information in this survey form.**

**A: Raw Materials**

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
A1 - Ores						
A2 - Rare earth minerals						
A3 - Alloys						
A4 - Chemicals						
A5 - Fiber-based materials						
A6 - Other raw materials						

**B: Electronics**

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
B1 - Integrated circuits						
B2 - Application specific integrated circuits (ASICs)						
B3 - Radiation hardened integrated circuits						
B4 - Field programmable gate arrays (FPGAs)						
B5 - Programmable memory						
B6 - Optical filters						
B7 - Micro-sensors						
B8 - Vacuum tubes						
B9 - Capacitors						
B10 - Microprocessors						
B11 - Microcontrollers						
B12 - Digital signal processors						
B13 - Diodes						
B14 - Wafers (any molecular composition)						
B15 - Circuit boards						
B16 - Flexible circuit boards						
B17 - Other electronic products						

**C: Manufacturing Equipment and Processes**

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
C1 - Additive manufacturing (3D printing)						
C2 - Computer Numerical Control (CNC) machines						
C3 - Lathes, grinding machines, planers, shapers						
C4 - Die press lines						
C5 - Robot work cells						
C6 - Bearings						
C7 - Transmission equipment (gears, pulleys, sprockets, belts, torque converters, etc.)						
C8 - Welding, soldering, and brazing equipment						
C9 - Other manufacturing equipment						

Comments:

**BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act**

<a href="#">Previous Page</a>		<a href="#">Table of Contents</a>		<a href="#">Next Page</a>		
<b>Section 3c: Product and Service List</b>						
For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years. While many specific product/service areas are listed, not every possible product and service has been included. If the product or service your location provides is not listed, use the "other" listing within the relevant category.						
<b>Do not disclose any classified information in this survey form.</b>						
<b>D: Lasers</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
D1 - Gas lasers						
D2 - Solid state lasers						
D3 - Chemical lasers						
D4 - Excimer lasers						
D5 - Fiber lasers						
D6 - Photonic crystal lasers						
D7 - Semiconductor lasers						
D8 - Dye lasers						
D9 - Free electron lasers						
D10 - Bio lasers						
D11 - Other laser products						
<b>E: Directed Energy</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
E1 - Directed electromagnetic radiation (not lasers)						
E2 - Directed particles with mass						
E3 - Directed sound						
E4 - Other directed energy products						
<b>F: Optics</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
F1 - Optics						
F2 - Fiber optics						
F3 - Polarization						
F4 - Reflective coatings						
F5 - Refractive coatings						
F6 - Lenses						
F7 - Other optics products						
<b>G: Acoustic Sensors</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
G1 - Active sonar						
G2 - Passive sonar						
G3 - Sonobuoys						
G4 - Commercial off-the-shelf sonar equipment						
G5 - Other acoustic sensor products						
<b>H: Positioning, Navigation, and Timing</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
H1 - Inertial measuring units						
H2 - Gyroscopes						
H3 - Global Positioning Systems						
H4 - Atomic clocks						
H5 - Accelerometers						
H6 - Other positioning, navigation, or timing products						
<b>I: Radars</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
I1 - Mechanically steered radars						
I2 - Electronically steered radars						
I3 - Phase adaptive radars						
I4 - Pulse radars						
I5 - Continuous wave radars						
I6 - Other radar products						
<b>J: Signature Control</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
J1 - Compounds or materials engineered to reduce or eliminate a signature						
J2 - Chemical compositions of signature control compounds						
J3 - Processes used to apply signature control compounds						
J4 - Other signature control products						
Comments:						
BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act						

**Section 3d: Product and Service List**

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years. While many specific product/service areas are listed, not every possible product and service has been included. If the product or service your location provides is not listed, use the "other" listing within the relevant category.

**Do not disclose any classified information in this survey form.**

<b>K: Aeronautic Systems</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
K1 - Fixed wing combat aircraft						
K2 - Other fixed wing aircraft, including cargo and transport						
K3 - Rotary wing aircraft (including helicopters)						
K4 - Unmanned aerial vehicles and drones						
K5 - Airframes and structural components (including rotor blades, landing gear, hydraulics, etc.)						
K6 - Other aeronautic system products						

<b>L: Space Systems</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
L1 - Space launch vehicles						
L2 - Satellites and satellite buses						
L3 - Space survivability systems and equipment						
L4 - Solid rocket motors and related equipment						
L5 - Liquid rocket motors and related equipment						
L6 - Other space system products						

<b>M: Marine Systems</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
M1 - Combat ships and landing vessels						
M2 - Transport vessels						
M3 - Cargo and tanker vessels						
M4 - Submarines						
M5 - Other marine system products						

<b>N: Ground Vehicle Systems</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
N1 - Light armored vehicles & designs						
N2 - Medium tactical vehicles & designs						
N3 - M1 Abrams variations of heavy tanks & designs						
N4 - Transport vehicles & designs						
N5 - Wheeled or tracked vehicles not specified above						
N6 - Unmanned ground systems						
N7 - Other ground system products						

<b>O: Armaments, Explosives, and Survivability</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
O1 - Conventional small arms (5.56mm to .50 cal)						
O2 - Automatic and semi-automatic weapons						
O3 - Mortar rounds						
O4 - Artillery rounds						
O5 - Rockets (endo and exoatmospheric)						
O6 - Missiles						
O7 - Hypervelocity weapons						
O8 - Launchers (missile, torpedo, rocket, etc.)						
O9 - Underwater explosives (mines, torpedos, etc.)						
O10 - Fire control systems and components						
O11 - Body armor						
O12 - Other armor						
O13 - Other armaments, explosives, or survivability products						

Comments:

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[Previous Page](#)
[Table of Contents](#)
[Next Page](#)

**Section 3e: Product and Service List**

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years. While many specific product/service areas are listed, not every possible product and service has been included. If the product or service your location provides is not listed, use the "other" listing within the relevant category.

**Do not disclose any classified information in this survey form.**

<b>P: Energy Systems &amp; Energetics</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
P1 - Gas turbine engines						
P2 - Rocket engines						
P3 - Generators						
P4 - Combustion engines						
P5 - Turbo fan engines						
P6 - Catapults						
P7 - Batteries						
P8 - Propellants						
P9 - Other energy systems products						
<b>Q: Nuclear</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
Q1 - Nuclear reactors						
Q2 - Nuclear weapon design and capabilities						
Q3 - Nuclear weapon destruction and demilitarization technology						
Q4 - Protective clothing						
Q5 - Radiation detective devices (e.g. Geiger counters)						
Q6 - Radioactive material storage						
Q7 - Nuclear disaster response equipment						
Q8 - Other nuclear products						
<b>R: Biological</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
R1 - DNA research						
R2 - RNA research						
R3 - Biological or physiological research						
R4 - Biological weapons destruction and demilitarization technology						
R5 - Biological agent detection equipment						
R6 - Biological disaster response equipment						
R7 - Other biological products						
<b>S: Chemical</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
S1 - Chemical research						
S2 - Chemical weapons destruction and demilitarization technology						
S3 - Chemical agent detection equipment						
S4 - Chemical disaster response equipment						
S5 - Other chemical products						
<b>T: Emerging Technology</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
T1 - Nanotechnology						
T2 - Synthetic biology						
T3 - Energetic materials						
T4 - Quantum systems						
T5 - Computational modeling of human behavior						
T6 - Cognitive neuroscience						
T7 - Other emerging technology products						
<b>U: Agricultural</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
U1 - Soil cultivation machinery						
U2 - Planting machinery						
U3 - Irrigation machinery						
U4 - Produce sorters						
U5 - Harvesting technology						
U6 - Other agricultural products						
<b>V: Medical</b>						
	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
V1 - Electron microscopes						
V2 - Sonograms						
V3 - Magnetic Resonance Image (MRI) machines						
V4 - X-radiation machines						
V5 - Medicine distribution technology						
V6 - Disease and vector research						
V7 - Other medical products						
Comments:						

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**Section 3f: Product and Service List**

For each area in which your location provides a product or service (including R&D), indicate the type of participation, whether your location performs R&D, and provide a description of the products or services. Then, identify the expected end usage of your product/service, and whether the product/service is subject to U.S. export control regulations. Finally, state whether the product/service has supported a classified contract within the past three years. While many specific product/service areas are listed, not every possible product and service has been included. If the product or service your location provides is not listed, use the "other" listing within the relevant category.

**Do not disclose any classified information in this survey form.**

**W: Command, Control, Communication, and Computer (C4)**

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
W1 - Common data links						
W2 - Monitors						
W3 - Printers						
W4 - Telecommunication devices (phones, cell phones, radios, radio mounts)						
W5 - Commercial off-the-shelf equipment used for C4						
W6 - Computers and CPUs						
W7 - Antennas						
W8 - Beyond the line of sight C2						
W9 - Line of sight C2						
W10 - Other C4 products and IT equipment						

**X: Software**

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
X1 - Computer aided design (CAD) software						
X2 - Modeling and simulation software						
X3 - Artificial intelligence software						
X4 - Swarm software						
X5 - Microelectronic design software						
X6 - System development kits (SDK)						
X7 - Software algorithms						
X8 - Correlation software						
X9 - Database						
X10 - Computer language software						
X11 - Operating systems						
X12 - Finance and accounting software						
X13 - Other software products						

**Y: Services and Other Products**

	Participation Type	Conduct R&D?	Product/Service Description	Primary End Use	Export Controlled?	Associated with a Classified Program (DD-254 Contract)?
Y1 - Analytic services						
Y2 - Canine training						
Y3 - Construction						
Y4 - Consulting services						
Y5 - Delivery and freight services						
Y6 - Education and training services						
Y7 - Engineering						
Y8 - Financial and banking services						
Y9 - General contracting						
Y10 - Graphic arts, video, digital production						
Y11 - Information technology services						
Y12 - Internet service provision						
Y13 - Janitorial services						
Y14 - Legal services						
Y15 - Logistical services						
Y16 - Marketing services						
Y17 - Medical services						
Y18 - Professional services						
Y19 - Property management						
Y20 - Security and protection services						
Y21 - Staffing services						
Y22 - Telecommunication services						
Y23 - Translation services						
Y24 - Transportation services						
Y25 - Other services						
Y26 - Products not listed						

Comments:

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<a href="#">Previous Page</a>	<a href="#">Table of Contents</a>	<a href="#">Next Page</a>
<b>Section 3g: Primary Product/Service</b>		
Select your location's primary product or service, based on total sales.		
Is your location's viability dependent on this product or service?		
Explain:		
Comments:		
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**Section 4a: Support of U.S. Government (USG) Agencies**

Indicate all USG departments and agencies your location has supported, directly or indirectly, in the past three years. Estimate the percentage of your location's total sales that supported each agency over the past three years. Percentages will only sum to 100% if all of your location's sales are to USG agencies.

	Agency Name	Type of Support	Estimated Percent of Your Location's Total Sales Attributable to USG Agency
	U.S. Navy		
	U.S. Army		
	U.S. Air Force		
	U.S. Marine Corps		
	DOD Defense Advanced Research Projects Agency (DARPA)		
	DOD Missile Defense Agency (MDA)		
	Department of Homeland Security (DHS)		
	National Aeronautics and Space Administration (NASA)		
	U.S. Intelligence Community (such as CIA, NGA, NRO, NSA, DNI, etc.)		
	Department of Energy (DOE)		
A.	Department of State		
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Other Agency	(Select from list)	
	Unlisted Agency	(Write-in)	
	Unlisted Agency	(Write-in)	
	Unlisted Agency	(Write-in)	

Does your facility engage in Diminishing Manufacturing Sources & Materials Shortages (DMSMS) or obsolescence activities?

*DMSMS: the loss or impending loss of manufacturers or suppliers of items that may cause material shortages which endanger a weapon system's or equipment's development, production, or post-production support capability.*

If yes, who is the point of contact for DMSMS/obsolescence at your location?

Name	Title	Phone	Email

B. If yes, estimate how much (in thousands of dollars) your location spent in each of the last three years on finding, managing, and/or resolving DMSMS/obsolescence problems on DOD systems or projects, including parts, components, materials, and software:

Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12		
2013		DMSMS comments/ explanations:
2014		
2015		

Comments:

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Section 4b: Participation in USG Programs		USG Program Identification						
A. Estimate the total number of USG programs your location has directly or indirectly supported in the past three years (including those not listed below):								
B. From the list of select U.S. Government programs provided below, identify each program your location has directly or indirectly supported in the past three years. Then, select the top five products/services your location provides for each program supported. The product/service drop-down list is based on your responses to Section 3. If your product or service does not appear in the drop-down, ensure that you have indicated in Section 3 that your location provides the product or service.								
If you participate in a USG program that is not listed below, you may enter it manually at the bottom of this page (entries 211-230). In these cases, identify the relevant agency in the 'U.S. Government Agency' column and then identify the program name in the 'Program Name' column. If you would like to list more programs than space allows, contact survey support staff at <a href="mailto:CriticalFacility@bis.doc.gov">CriticalFacility@bis.doc.gov</a> for instructions.								
If your location does not support any of the listed U.S. Government programs, indicate this in the box to the right:								
Note: For your convenience, you may utilize the built-in filters to isolate particular U.S. Government agencies or programs from the list (press the down facing arrow in the top right corner of the relevant column below).								
Do not disclose any classified information in this survey form.								
U.S. Government Agency	Program Name	Participation	Product/Service List Area (from Survey Section 3b-3f) Supporting USG Programs	1	2	3	4	5
1	Air Force	Advanced Extremely High Frequency Satellite (AEHF)						
2	Air Force	Advanced Pilot Trainer (APT)						
3	Air Force	AIM-120 Advanced Medium Range Air-to-Air Missile (AMRAAM)						
4	Air Force	Air and Space Operations Center-Weapon System Increment 10.2 (AOC-WS Inc 10.2)						
5	Air Force	Air Force Integrated Personnel and Pay System (AF IPPS)						
6	Air Force	Air Force Intercontinental Ballistic Missile Fuze Modernization (ICBM Fuze Mod)						
7	Air Force	Airborne Warning and Control System Block 40/45 Upgrade (AWACS Bk 40/45 Upgrade)						
8	Air Force	B-2 Defensive Management System Modernization (B-2 DMS Mod)						
9	Air Force	B-2 Extremely High Frequency SATCOM and Computer Increment 1 (B-2 EHF Inc 1)						
10	Air Force	B61 Mod 12 Life Extension Program Talkit Assembly (B61 Mod 12 LEP TKA)						
11	Air Force	Base Information Transport Infrastructure Wired (BITI Wired)						
12	Air Force	Business Systems Modernization - Energy (BSM-E)						
13	Air Force	C-130J Hercules Transport Aircraft (C-130J)						
14	Air Force	C-27J (C-27J)						
15	Air Force	C-5 Reliability Enhancement and Re-engineing Program (C-5 RERP)						
16	Air Force	Combat Identification/Identification Friend or Foe (CID/IFF)						
17	Air Force	Combat Rescue Helicopter (CRH)						
18	Air Force	Defense Enterprise Accounting and Management System-Increment 1 (DEAMS Inc 1)						
19	Air Force	Deliberate and Crisis Action Planning and Execution Segments Increment 2A (DCAPES Inc 2A)						
20	Air Force	Deliberate and Crisis Action Planning and Execution Segments Increment 2B (DCAPES Inc 2B)						
21	Air Force	Enhanced Polar System (EPS)						
22	Air Force	Evolved Expendable Launch Vehicle (EELV)						
23	Air Force	F-15 Eagle Passive Active Warning Survivability System (F-15 EPAWSS)						
24	Air Force	F-22 Increment 3.2B Modernization (F-22 Inc 3.2B Mod)						
25	Air Force	Family of Advanced Beyond Line-of-Sight - Terminals (FAB-T)						
26	Air Force	Global Broadcast Service (GBS)						
27	Air Force	Global Positioning System III (GPS III)						
28	Air Force	Ground Based Strategic Deterrent (GBSD)						
29	Air Force	Hard Target Munition (HTM)						
30	Air Force	HC/MC-130 Recapitalization Aircraft (HC/MC-130 Recap)						
31	Air Force	Integrated Strategic Planning and Analysis Network Increment 4 (ISPAN Inc 4)						
32	Air Force	Joint Air-to-Surface Standoff Missile (JASSM)						
33	Air Force	Joint Direct Attack Munition (JDAM)						
34	Air Force	Joint Space Operations Center (JSpOC) Mission System Increment 2 (JMS Inc 2)						
35	Air Force	KC-46A Tanker Modernization (KC-46A)						
36	Air Force	Long Range Stand Off Weapon (LRSO)						
37	Air Force	Military Global Positioning System (GPS) User Equipment (MGUE Inc 1)						
38	Air Force	Military Global Positioning System (GPS) User Equipment Increment 2 (MGUE Inc 2)						
39	Air Force	Mission Planning System Increment 4 (MPS Inc 4)						
40	Air Force	MQ-9 Reaper Unmanned Aircraft System (MQ-9 Reaper)						
41	Air Force	Next Generation Long Range Strike (NGLRS) (NGLRS)						
42	Air Force	Next Generation Operational Control System (OCS)						
43	Air Force	North Atlantic Treaty Organization Alliance Ground Surveillance (NATO AGS)						
44	Air Force	Nuclear Deterrence Detection System (NDS)						
45	Air Force	Presidential Aircraft Recapitalization (PAR)						
46	Air Force	Protected Satellite Communication Services (PSCS)						
47	Air Force	RQ-4A/B Global Hawk Unmanned Aircraft System (RQ-4A/B Global Hawk)						
48	Air Force	Small Diameter Bomb Increment II (SDB II)						
49	Air Force	Space Based Infrared System Follow-On (SBIRS Follow-On)						
50	Air Force	Space Based Infrared System High (SBIRS High)						
51	Air Force	Space Fence Ground-Based Radar System Increment 1 (Space Fence Inc 1)						
52	Air Force	Three-Dimensional Expeditionary Long-Range Radar (3DELRR)						
53	Air Force	Weather Satellite Follow-on (WSF)						
54	Air Force	Wideband Global SATCOM (WGS)						
55	Army	AH-64E Apache New Build (AH-64E New Build)						
56	Army	AH-64E Apache Remanufacture (AH-64E Remanufacture)						
57	Army	Airborne & Maritime/Fixed Station Joint Tactical Radio System (AMF JTRS)						
58	Army	Armored Multi-Purpose Vehicle (AMPV)						
59	Army	Biometrics Enabled Capability Increment 1 (BEC Inc 1)						
60	Army	CH-47F Improved Cargo Helicopter (CH-47F)						
61	Army	Common Infrared Countermeasure (CIRCM)						
62	Army	Distributed Common Ground System - Army Increment 2 (DCGS-A Inc 2)						
63	Army	Distributed Common Ground System-Army Increment 1 (DCGS-A Inc 1)						
64	Army	Excalibur Precision 155mm Projectiles (Excalibur)						
65	Army	Global Combat Support System - Army Increment 2 (GCSS-A Inc 2)						
66	Army	Global Combat Support System - Army Increment 1 (GCSS-A Inc 1)						
67	Army	Guided Multiple Launch Rocket System/ Guided Multiple Launch Rocket System Alternative Warhead (GMLRS/GMLRS AW)						
68	Army	Improved Turbine Engine Program (ITEP)						
69	Army	Indirect Fire Protection Capability Increment 2 - Intercept (IFPC Inc 2 - I)						
70	Army	Integrated Air and Missile Defense (IAMD)						
71	Army	Integrated Personnel and Pay System-Army Increment 1 (IPPS-A Inc 1)						

	U.S. Government Agency	Program Name	Participation	Product/Service List Area (from Survey Section 3b-3f) Supporting USG Programs				
				1	2	3	4	5
72	Army	Integrated Personnel and Pay System-Army Increment 2 (IPPS-A Inc 2)						
73	Army	Joint Air-to-Ground Missile (JAGM)						
74	Army	Joint Personnel Identification Version 2 (JPI V2)						
75	Army	Joint Tactical Networks (JTN)						
76	Army	Joint Tactical Radio System Handheld, Manpack, and Small Form Fit Radios (JTRS HMS)						
77	Army	Logistics Modernization Program Increment 2 (LMP Inc 2)						
78	Army	Long Range Precision Fires (LRPF)						
79	Army	Mid-Tier Networking Vehicular Radio (MNVr)						
80	Army	MQ-1C Gray Eagle Unmanned Aircraft System (MQ-1C Gray Eagle)						
81	Army	NETT WARRIOR (NETT WARRIOR)						
82	Army	Non-Standard Rotary Wing Aircraft (NSRWA)						
83	Army	Paladin Integrated Management (PIM)						
84	Army	Patriot Advanced Capability-3 Missile Segment Enhancement (PAC-3 MSE)						
85	Army	Patriot/Medium Extended Air Defense System Combined Aggregate Program (Patriot/MEADS CAP)						
86	Army	RQ-7B Shadow Tactical Unmanned Aircraft System (RQ-7B Shadow)						
87	Army	Tactical Mission Command (TMC)						
88	Army	UH-60M Black Hawk Helicopter (UH-60M Black Hawk)						
89	Army	Warfighter Information Network-Tactical Increment 2 (WIN-T Inc 2)						
90	Army	Warfighter Information Network-Tactical Increment 3 (WIN-T Inc 3)						
91	DOD	Advanced Anticonvulsant Systems (CBDP-MEDSYS - AAS)						
92	DOD	Analytical Laboratory System (CBDP-GUARD - ALS)						
93	DOD	Anthrax Vaccine Adsorbed (CBDP-MEDSYS - AVA)						
94	DOD	Ballistic Missile Defense System (BMDS)						
95	DOD	Ballistic Missile Technical Collection (BMTC)						
96	DOD	Bioscavenger I (CBDP-MEDSYS - pBSCAV)						
97	DOD	Bioscavenger Increment II (CBDP-MEDSYS - BSCAV II)						
98	DOD	CBDP-GUARD-CALS (CBDP-GUARD - CALS)						
99	DOD	Chemical and Biological Protective Shelter (CBPS) (CBDP-CP - CBPS)						
100	DOD	Chemical Biological Defense Small Project Acquisition (CBDP-GUARD - CSPA)						
101	DOD	Chemical Demilitarization-Assembled Chemical Weapons Alternatives (Chem Demil-ACWA)						
102	DOD	Collectively Protected Field Hospital (CBDP-CP - CPFH)						
103	DOD	Defense Agencies Initiative Increment 2 (DAI Inc 2)						
104	DOD	Department of Defense Healthcare Management System Modernization (DHMSM)						
105	DOD	F-35 Joint Strike Fighter Aircraft (F-35)						
106	DOD	Global Combat Support System - Joint Increment 8 (GCSS-J Inc 8)						
107	DOD	Global Combat Support System - Joint Increment 7 (GCSS-J Inc 7)						
108	DOD	Human Remains Decontamination System (CBDP-DECON - HRDS)						
109	DOD	Improved Nerve Agent Identification and Diagnostic System (CBDP-MEDSYS - INATS)						
110	DOD	Installation Protection Program (CBDP-GUARD - IPP)						
111	DOD	Integrated Electronic Health Record Increment 1 (IEHR Inc 1)						
112	DOD	Joint Aerial Layer Network (JALN)						
113	DOD	Joint Biological Agent Identification and Diagnostic System (CBDP-MEDSYS - JBAIDS)						
114	DOD	Joint Biological Point Detection System (JBPDS) (CBDP-BD - JBPDS)						
115	DOD	Joint Biological Standoff Detection System Increment 1 (CBDP-BD - JBSDS Inc 1)						
116	DOD	Joint Biological Standoff Detection System Increment 2 (CBDP-BD - JBSDS Inc 2)						
117	DOD	Joint Biological Tactical Detection System Increment 1 (CBDP-BD - JBTD Inc 1)						
118	DOD	Joint Chemical Agent Detector Increment 2 (CBDP-CA - JCAD Inc 2)						
119	DOD	Joint Chemical Agent Detector M4/M4E1 (CBDP-CA - JCAD M4/M4E1)						
120	DOD	Joint Chemical, Biological and Radiological Agent Water Monitor (CBDP-CA - JCBRAWM)						
121	DOD	Joint Command and Control Capability Area (Joint C2)						
122	DOD	Joint Effects Model (CBDP-IS - JEM)						
123	DOD	Joint Expeditionary Collective Protection (CBDP-CP - JECP)						
124	DOD	Joint Light Tactical Vehicle (JLTV)						
125	DOD	Joint Material Decontamination System (CBDP-DECON - JMDS)						
126	DOD	Joint Operations Effects Federation (CBDP-IS - JOEF)						
127	DOD	Joint Protective Aircrew Ensemble (CBDP-IP - JPACE)						
128	DOD	Joint Service Aircrew Mask Apache (CBDP-IP - JSAM Apache)						
129	DOD	Joint Service Aircrew Mask Fixed Wing (CBDP-IP - JSAM FW)						
130	DOD	Joint Service Aircrew Mask Rotary Wing (CBDP-IP - JSAM RW)						
131	DOD	Joint Service General Purpose Mask (JSGPM) (CBDP-IP - JSGPM)						
132	DOD	Joint Service Lightweight Integrated Suit Technology - AFS/IFS (CBDP-IP - JSLIST AFS/IFS)						
133	DOD	Joint Service Lightweight Integrated Suit Technology - JB2GU FR (CBDP-IP - JSLIST JB2GU FR)						
134	DOD	Joint Service Lightweight Integrated Suit Technology - JB2GU nFR (CBDP-IP - JSLIST JB2GU nFR)						
135	DOD	Joint Service Lightweight Integrated Suit Technology - JC3 (CBDP-IP - JSLIST JC3)						
136	DOD	Joint Service Lightweight Integrated Suit Technology - SUITS (CBDP-IP - JSLIST SUITS)						
137	DOD	Joint Service Lightweight Standoff Chemical Agent Detector (CBDP-CA - JSLSCAD)						
138	DOD	Joint Service NBC Reconnaissance System (CBDP-CA - JNBCRS)						
139	DOD	Joint Service Personnel Decon System (CBDP-DECON - JSPDS)						
140	DOD	Joint Service Transportable Decon System (CBDP-DECON - JSTDS - SS)						
141	DOD	Joint Warning and Reporting Network (CBDP-IS - JWARN)						
142	DOD	Key Management Infrastructure Increment 2 (KMI Inc 2)						
143	DOD	M93/M93A1/M93A1P1 Fox NBC Reconnaissance Vehicle (CBDP-CA - FOX)						
144	DOD	Medical Radiation Countermeasures (CBDP-MEDSYS - MRADC)						
145	DOD	Nuclear, Biological, Chemical Reconnaissance Vehicle (CBDP-CA - NBCRV)						
146	DOD	Pleasant Vaccine (CBDP-MEDSYS - Pleasue)						
147	DOD	Product Director, Test Equipment, Strategy and Support (CBDP-CA - PD-TESS)						
148	DOD	Public Key Infrastructure Increment 2 (PKI Inc 2)						
149	DOD	Recombinant Botulinum Vaccine (CBDP-MEDSYS - rBV A/B)						
150	DOD	Shipboard Collective Protection System Backfit (CBDP-CP - CPS BKFT)						

U.S. Government Agency		Program Name	Participation	Product/Service List Area (from Survey Section 3b-3f) Supporting USG Programs				
				1	2	3	4	5
151	DOD	Smallpox Vaccine System (CBDP-MEDSYS - Smallpox)						
152	DOD	Teleport Generation 3 (Teleport Gen 3)						
153	DOD	Theater Medical Information Program-Joint Increment 2 (TMIP-J Inc 2)						
154	DOD	Unified Command Suite (CSDP-GUARD - UCS)						
155	DOE	B61 Mod 12 Life Extension Program (B61-12 LEP)						
156	DOE	W76 Mod 1 Life Extension Program (W76-1 LEP)						
157	DOE	W78/88 Mod 1 Life Extension Program (W78/88-1 LEP)						
158	DOE	W88 Alteration 370 (W88 ALT 370)						
159	Navy	AGM-88E Advanced Anti-Radiation Guided Missile (AGM-88E AARGM)						
160	Navy	AIM-9X Block II Sidewinder (AIM-9X Blk II)						
161	Navy	Air and Missile Defense Radar (AMDR)						
162	Navy	Amphibious Combat Vehicle (ACV)						
163	Navy	Amphibious Ship Replacement (LX(R))						
164	Navy	CH-53K Heavy Lift Replacement Helicopter (CH-53K)						
165	Navy	Common Aviation Command and Control System Increment 1 (CAC2S Inc 1)						
166	Navy	Consolidated Aloft Network Enterprise Services (CANES)						
167	Navy	Cooperative Engagement Capability (CEC)						
168	Navy	CVN 78 Gerald R. Ford Class Nuclear Aircraft Carrier (CVN 78)						
169	Navy	DDG 1000 Zumwalt Class Destroyer (DDG 1000)						
170	Navy	DDG 51 Arleigh Burke Class Guided Missile Destroyer (DDG 51)						
171	Navy	Dept of the Navy-Large Aircraft-Infrared Counter Measures (DoN-LAIRCM)						
172	Navy	Distributed Common Ground System-Navy Increment 1 (DCGS-N Inc 1)						
173	Navy	Distributed Common Ground System-Navy Increment 2 (DCGS-N Inc 2)						
174	Navy	E-2D Advanced Hawkeye Aircraft (E-2D AHE)						
175	Navy	EA-18G Growler Aircraft (EA-18G)						
176	Navy	Global Combat Support System-Marine Corps Logistics Chain Management Increment 1 (GCCS-MC LCM Inc 1)						
177	Navy	Global Command and Control System-Maritime Increment 2 (GCCS-M Inc 2)						
178	Navy	Ground/Air Task Oriented Radar (G/ATOR)						
179	Navy	H-1 Upgrades (4BW/4BN) (H-1 Upgrades)						
180	Navy	Integrated Defensive Electronic Countermeasures (IDECM)						
181	Navy	Joint and Allied Threat Awareness System (JATAS)						
182	Navy	Joint Precision Approach and Landing System Increment 1A (JPALS Inc 1A)						
183	Navy	Joint Standoff Weapon - Baseline Variant and Unity Warhead Variant (JSOW)						
184	Navy	KC-130J Transport Aircraft (KC-130J)						
185	Navy	LHA 6 America Class Amphibious Assault Ship (LHA 6)						
186	Navy	Littoral Combat Ship (LCS)						
187	Navy	Littoral Combat Ship Mission Modules (LCS MM)						
188	Navy	LPD 17 San Antonio Class Amphibious Transport Dock (LPD 17)						
189	Navy	MH-60R Multi-Mission Helicopter (MH-60R)						
190	Navy	Mobile Landing Platform (MLP)						
191	Navy	Mobile User Objective System (MUOS)						
192	Navy	MQ-4C Triton Unmanned Aircraft System (MQ-4C Triton)						
193	Navy	MQ-8 Fire Scout Unmanned Aircraft System (MQ-8 Fire Scout)						
194	Navy	Multifunctional Information Distribution System (MIDS)						
195	Navy	Navy Multiband Terminal (NMT)						
196	Navy	Next Generation Enterprise Network Increment 1 (NGEN Inc 1)						
197	Navy	Next Generation Jammer (NGJ)						
198	Navy	Offensive Anti-Surface Warfare (OASuW)						
199	Navy	Ohio Class Submarine Replacement (Ohio Replacement)						
200	Navy	P-8A Poseidon Multi-Mission Maritime Aircraft (P-8A)						
201	Navy	Remote Minehunting System (RMS)						
202	Navy	Ship to Shore Connector Amphibious Craft (SSC)						
203	Navy	SSN 774 Virginia Class Submarine (SSN 774)						
204	Navy	Standard Missile-6 (SM-6)						
205	Navy	Tactical Tomahawk RGM-109E/UGM-109E Missile (TACTOM)						
206	Navy	T-AO(X) Fleet Replenishment Oiler (T-AO(X))						
207	Navy	Trident II (D-5) Sea-Launched Ballistic Missile UGM 133A (Trident II Missile)						
208	Navy	Unmanned Carrier Launched Airborne Surveillance & Strike (UCLASS)						
209	Navy	V-22 Osprey Joint Services Advanced Vertical Lift Aircraft (V-22)						
210	Navy	VH-92A Presidential Helicopter (VH-92A)						
Do not disclose any classified information in this survey form.								
211	(Identify Agency)	(Write-In Program Here)						
212	(Identify Agency)	(Write-In Program Here)						
213	(Identify Agency)	(Write-In Program Here)						
214	(Identify Agency)	(Write-In Program Here)						
215	(Identify Agency)	(Write-In Program Here)						
216	(Identify Agency)	(Write-In Program Here)						
217	(Identify Agency)	(Write-In Program Here)						
218	(Identify Agency)	(Write-In Program Here)						
219	(Identify Agency)	(Write-In Program Here)						
220	(Identify Agency)	(Write-In Program Here)						
221	(Identify Agency)	(Write-In Program Here)						
222	(Identify Agency)	(Write-In Program Here)						
223	(Identify Agency)	(Write-In Program Here)						
224	(Identify Agency)	(Write-In Program Here)						
225	(Identify Agency)	(Write-In Program Here)						
226	(Identify Agency)	(Write-In Program Here)						
227	(Identify Agency)	(Write-In Program Here)						
228	(Identify Agency)	(Write-In Program Here)						
229	(Identify Agency)	(Write-In Program Here)						
230	(Identify Agency)	(Write-In Program Here)						
Do not disclose any classified information in this survey form.								
Comments:								
BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act								

**Section 5: Suppliers**

For each type of input provided to your location by a key supplier:  
 - First, in column 1, select the general category of the input supplied (Raw Materials, Electronics, Manufacturing Equipment, etc.). Then, select in column 2 the specific type of input and provide a description of the input in column 3.  
 - Next, in columns 4-6, provide supplier information, and select in column 7 the primary product/service area (earlier specified in Section 3) in which your location uses the input.  
 - Finally, indicate in columns 8-13 whether the supplier/input is critical for any reason.

Note: **Provision of supplier information is mandatory**. Per Section 705(d) of the Defense Production Act, all data contained in this survey response remains business confidential and is exempt from Freedom of Information Act (FOIA) requests.

	Input Details - Inputs to Your Products/Services			Supplier Information - Your Supplier			Input Utilization	Criticality					
	1: General Category Provided By Supplier	2: Input Area Provided By Supplier	3: Input Description	4: Supplier Name	5: Supplier State (if applicable)	6: Supplier Country	7: Primary Product/Service at Your Location in Which the Input is Utilized	8: Supports Multiple USG Programs	9: Single/Sole Supplier (see definitions)	10: Contributes Significantly to End Value	11: Long Lead Time	12: Obsolete Part	13: Other Critical Measure (if applicable)
Example	P - Energy Systems & Energetics	P4 - Combustion engines	Truck engines	Sara's Engine Works	Alabama	United States	N1 - Light armored vehicles & designs	Yes	Neither	Yes	No	No	
1.													(specify)
2.													(specify)
3.													(specify)
4.													(specify)
5.													(specify)
6.													(specify)
7.													(specify)
8.													(specify)
9.													(specify)
10.													(specify)
11.													(specify)
12.													(specify)
13.													(specify)
14.													(specify)
15.													(specify)
16.													(specify)
17.													(specify)
18.													(specify)
19.													(specify)
20.													(specify)
21.													(specify)
22.													(specify)
23.													(specify)
24.													(specify)
25.													(specify)

Comments:

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<a href="#">Previous Page</a>		<a href="#">Table of Contents</a>			<a href="#">Next Page</a>	
<b>Section 6: Employment</b>						
Record the total number of full time equivalent (FTE) employees and contractors employed by this location for the past three years.						
This may include employees who work off-site but report to this location.						
A.	FTE Employees		2013	2014	2015	
	FTE Contractors					
How many non-U.S. citizens work at your location?						
If yes, identify the number of each type of non-U.S. citizen worker currently employed at your location.						
		H-1B	H-2B	F-1	Green Card	Other
FTE Employees						
FTE Contractors						
List each country (other than the U.S.) from which your location has non-U.S. citizen workers (employees or contractors), and identify the number of each type of visa or green card holder associated with each country.						
B.	Country	H-1B	H-2B	F-1	Green Card	Other
C.	Provide the number of FTE employees and contractors employed by this location that hold active security clearances at the following levels:			Top Secret	Secret	Confidential
Does your location have difficulty hiring and/or retaining any parts of its workforce? If yes, identify which occupations and explain your selection.						
D.	Occupation		Difficulty	Explanation		
	Engineers, Scientists, and R&D Staff					
	Information Technology Professionals					
	Production Line Workers					
	Testing Operators and Quality Control Technicians					
	Other	(specify here)				
Comments:						
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[Previous Page](#)

[Table of Contents](#)

[Next Page](#)

**Section 7: Sales**

Provide your location's total sales information for the past three years to U.S. and non-U.S. customers. If location level data is not available, contact survey support staff at [CriticalFacility@bis.doc.gov](mailto:CriticalFacility@bis.doc.gov) before entering higher level data.

In Part B, indicate your location's total sales for defense-related purposes (including commercial and government sales).

In Part C, indicate your location's total sales from classified contracts.

In Part D, indicate your location's total sales to all governments.

Note: "U.S." means U.S. domestic sales; "Non-U.S." means export sales from U.S. locations.

Source of Sales Data:

Reporting Schedule:

**Record in \$ Thousands, e.g. \$12,000.00 = survey input \$12**

		2013		2014		2015*	
		U.S.	Non-U.S.	U.S.	Non-U.S.	U.S.	Non-U.S.
A.	Total Sales, all Customers (in \$)						
Lines B-D need not sum to 100%. Estimates are acceptable. Ensure you complete lines B-D for all years with sales. <b>Do not disclose any classified information in this survey form.</b>							
B.	Total Defense-Related Sales (as a % of A)						
C.	Total Sales from Classified Contracts (as a % of A)						
D.	Total Sales from all Government Contracts (as a % of A)						

\* Furnish full year estimates for 2015 if data is unavailable.

Comments:

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**Section 8: Top Customers**

Identify your location's top U.S. and non-U.S. direct customers by sales for the past three years. A direct customer is the immediate entity to which you sell your products/services. Customers can include other business units/divisions within your parent organization. Indicate the type of customer and their location.

**Location's Top U.S.-Based Customers**

<i>Estimated</i> total number of U.S.-based customers in past three years:			
Customer Name	Type of Customer	Customer City	Customer State
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

**Location's Top Non-U.S.-Based Customers**

<i>Estimated</i> total number of non-U.S.-based customers in past three years:			
Customer Name	Type of Customer	Customer City	Customer Country
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

Comments:

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**Section 9a: Physical and Cyber Security Procedures**

In the event of a suspected/confirmed security incident at your location, do you know what authorities to contact?

Indicate which organizations your location would notify in response to a suspected/confirmed security incident by briefly describing the type of incident(s) for which you would contact the organization.

A.	Defense Security Service (DSS)		Government-Industry Data Exchange Program (GIDEP)	
	Defense Logistics Agency (DLA)		National Aeronautics & Space Administration (NASA)	
	Defense-Related Investigative Services (e.g. DCIS, NCIS, etc.)		National Security Agency (NSA)	
	Department of Commerce, Bureau of Industry and Security (BIS)		Nuclear Regulatory Commission (NRC)	
	Department of Energy (DOE)		Secret Service Electronic Crimes Task Force	
	Department of Homeland Security (DHS)		State & Local Authorities	
	Department of Justice (DOJ)		US-CERT	
	Federal Bureau of Investigation (FBI)		None at All	
	Federal Communications Commission (FCC)		Other	(specify here)
	Federal Trade Commission (FTC)		Other	(specify here)

B.	State the number of security incidents your location has recorded in each year:	Year	Physical Incidents	Cyber Incidents
		2013		
		2014		
		2015		

Indicate which of the following security measures are in place at this location:

Physical Security		Cyber Security		
After hours inspections of cleared areas		Account Monitoring and Control		Inventory of Authorized/Unauthorized Software
CCTV monitoring		Application Software Security		Limitation/Control of Network Ports and Services
Control of facility points of entry		Boundary Defense		Maintenance, Monitoring, & Analysis of Audit Logs
Intrusion alarms		Continuous Vulnerability Assessment		Malware Defenses
Perimeter barriers around facility		Controlled Access Based on Need to Know		Penetration Tests and Red Team Exercises
Personnel physical access cards		Controlled Use of Administrative Privileges		Secure Configurations on Hardware
Physical separation of cleared areas		Data Protection		Secure Configurations of Network Devices
Security guards		Data Recovery Capability		Secure Network Engineering
Other (specify here)		Incident Response and Management		Security Skills Assessments and Training
Other (specify here)		Inventory of Authorized/Unauthorized Devices		Wireless Access Control
Other (specify here)		Other (specify here)		Other (specify here)

Is this location familiar with the National Institute of Standards and Technology's (NIST) Cyber Security Framework? (<http://www.nist.gov/cyberframework/>):

Does this location employ any of the NIST Cyber Security Framework's recommended controls?

D. Provide any explanations of the controls your location has in place or feedback you may have on potential improvements, clarifications, or other changes:

Comments:

**BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act**



<a href="#">Previous Page</a>	<a href="#">Table of Contents</a>	<a href="#">Next Page</a>			
<b>Section 9b: Physical and Cyber Security Procedures (Cont.)</b>					
Is this location a possessing (has access to on-site classified information) or non-possessing (employees only access classified information off-site) facility?					
<b>ALL LOCATIONS (possessing and non-possessing) should complete this section, based on their on-site systems.</b>					
Estimate your location's spending on physical and cyber security, in thousands of dollars.					
Note: Location level data is strongly preferred. If you do not keep this information at a location level, provide data at the next highest level available.					
A.	Source of Security Expenditure Data:				
	Reporting Schedule:				
		2013	2014	2015*	
	1 Physical Security				
	2 Cyber Security				
* Furnish full year estimates for 2015.					
Provide the following information on remote network access:					
B.	1	Are employees and/or contractors able to access this facility's internal networks remotely?			
		Explain:			
	2	Are any other organizations/companies able to access this facility's internal networks remotely?			
		Explain:			
Provide the following information on data storage and sharing:					
C.	1	Does your location or parent organization operate its own data center in the U.S.?		If yes, how many?	
	2	Does your location or parent organization operate its own data center outside the U.S.?		If yes, how many?	
	3	Does your location use a U.S.-based cloud service or external data storage provider?			
	4	Does your location use a non-U.S.-based cloud service or external data storage provider?			
	5	Estimate the percentage of your location's Commercially Sensitive Information that is stored with cloud services or external data storage providers:			
	6	Does your location share Commercially Sensitive Information with non-U.S. organizations in order to support business operations? This might include the sharing of customer information, proprietary designs, R&D information, production data, etc.			
		If yes, identify both the types of CSI shared and the countries of the organizations it is shared with:			
Provide the following information on cyber threats (to all systems, both classified and unclassified):					
1	Is your location able to detect the theft of, or unauthorized access to, Commercially Sensitive Information by cyber means?				
	If yes, has your location investigated the source of any such theft or unauthorized access in the past three years?				
	Explain:				
2	Is your location able to detect an Advanced Persistent Threat (APT)?				
	If yes, estimate how many APTs your location has encountered in the past three years:				
D.	Identify any impacts or actions resulting from malicious cyber activity at your location in the past three years:				
	Impacts Experienced		Actions Undertaken		
	3	IT downtime		Revised approach to international partnerships	
		Costs from damage assessment/remediation		Significant change in R&D strategy	
		Loss of sales/Business interruption		Exit from foreign markets or market segments	
		Exfiltration of Commercially Sensitive Information		Exit from product or business line	
		Damage to IT infrastructure		Major new investment in cyber security	
		Damage to company production capabilities or systems		Other (specify here)	
		Theft of software and/or source code		Other (specify here)	
		Comments:			
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**Section 10: Classified Contract Information**

Provide the following information on your classified contracts:

**Do not disclose any classified information in this survey form.**

A.	Number of classified prime contracts awarded to this location in the past three years	
	Number of classified subcontracts awarded to this location in the past three years	
	Number of classified subcontracts this location awarded to contractors in the past three years	
	Number of classified foreign contracts awarded to this location in the past three years	
B.	How many contracts with active DD-254s does this location manage/administer:	

Identify the top ten contracts with active DD-254s your location manages, in descending order of total contract award dollars.

**Do not disclose any classified information in this survey form. If any of the requested information is classified, enter "Classified" in the corresponding field.**

	Contract ID Number	CAGE Associated with Contract	USG Customer	USG Program	Product/Service 1	Product/Service 2	Product/Service 3
C. 1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

Comments:

**BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act**

[Previous Page](#)

[Table of Contents](#)

[Next Page](#)

**Section 11: Research & Development**

A. Does your location conduct research and development (R&D)?		If no, proceed to Section 12.
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In Question B, record your location's total R&D dollar expenditures and type of R&D expenditure for the previous three years.  
 In Question C, identify your location's R&D funding sources, by percent of total R&D dollars sourced.

Note: Location level data is strongly preferred. If you do not keep this information at a location level, provide data at the next highest level available.

		Source of R&D data:			
		Reporting Schedule:			
			<b>Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12</b>		
			2013	2014	2015*
B.	1	Total R&D Expenditures			
	2	Basic Research (as a percent of B1)			
	3	Applied Research (as a percent of B1)			
	4	Product/Process Development (as a percent of B1)			
	5	Total of 2 - 4 (must equal 100%)	0%	0%	0%
			<b>Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12</b>		
			2013	2014	2015*
C.	1	Total R&D Funding Sources			
	2	Internal/Self-Funded/IRAD (as a percent of C1)			
	3	Total Federal Government (as a percent of C1)			
	4	Total State and Local Government (as a percent of C1)			
	5	Universities - Public and Private (as a percent of C1)			
	6	U.S. Industry, Venture Capital, Non-Profit (as a percent of C1)			
	7	Non-U.S. Investors (as a percent of C1)			
	8	Other (specify here)			
	9	Total of 2 - 8 (must equal 100%)	0%	0%	0%

\* Furnish full year estimates for 2015 if data is unavailable.

Comments:	
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**BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act**

<a href="#">Previous Page</a>	<a href="#">Table of Contents</a>	<a href="#">Next Page</a>
<b>Section 12: Financials</b>		
Provide the following financial line items for your location for the last three years below.		
Note: Location level data is strongly preferred. If you do not keep this information at a location level, provide data at the next highest level available.		
Source of Income Statement Items:		
Reporting Schedule:		
Income Statement (Select Line Items)		<b>Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12</b>
		2013                      2014                      2015*
A.	Net Sales (and other revenue)	
B.	Cost of Goods Sold	
C.	Total Operating Income (Loss)	
D.	Earnings Before Interest and Taxes	
E.	Net Income	
Source of Balance Sheet Items:		
Reporting Schedule:		
Balance Sheet (Select Line Items)		<b>Record \$ in Thousands, e.g. \$12,000.00 = survey input of \$12</b>
		2013                      2014                      2015*
A.	Cash	
B.	Inventories	
C.	Total Current Assets	
D.	Total Assets	
E.	Total Current Liabilities	
F.	Total Liabilities	
G.	Retained Earnings	
H.	Total Owner's Equity	
* Furnish full year estimates for 2015 if data is unavailable.		
Note: Total Assets must equal Total Liabilities plus Total Owner's Equity		
Comments:		
<b>BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act</b>		

**Section 13: Challenges and Outreach**

Identify the issues that have impacted your location in the past three years.  
 In column A, select YES/NO from the dropdown menu.  
 In column B, rank your top five issues (one being the most important) by selecting numbers one through five, using each rank exactly once.  
 In column C, provide an explanation for the relevant issues.

Type of Issue	A	B	C
	-Yes/No-	Rank Top 5	Explanation of Issue:
Aging equipment, facilities, or infrastructure			
Aging workforce			
Counterfeit parts			
Cyber security			
Domestic competition			
Environmental regulations/remediation			
Export controls/ITAR & EAR			
Foreign competition			
Government acquisition process			
Government purchasing volatility			
Government regulatory burden			
Healthcare			
Input availability			
Intellectual property/patent infringement			
Labor availability/costs			
Obsolescence			
Pension costs			
Proximity to customers			
Proximity to suppliers			
Qualifications/certifications			
Quality of inputs			
R&D costs			
Reduction in USG demand			
Taxes			
Worker/skills retention			
Other			(specify here)

There are many federal and state government programs and services available to assist your organization to better compete in the global marketplace. If your organization would like more information regarding these government programs, select the specific areas of interest below. The Commerce Department will follow-up with your organization regarding your selections.

B.	Continuous Improvement/ Lean Manufacturing	<input type="checkbox"/>	Market Expansion/Business Growth	<input type="checkbox"/>
	Cyber Security	<input type="checkbox"/>	Product Design	<input type="checkbox"/>
	Design for Assembly	<input type="checkbox"/>	Prototyping	<input type="checkbox"/>
	Design for Manufacturability	<input type="checkbox"/>	Quality Management and Control	<input type="checkbox"/>
	Energy and Environmentally Conscious Manufacturing	<input type="checkbox"/>	Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) contracts	<input type="checkbox"/>
	Export Assistance	<input type="checkbox"/>	Supply Chain Optimization	<input type="checkbox"/>
	Export Licensing (ITAR/EAR)	<input type="checkbox"/>	Technology Acceleration	<input type="checkbox"/>
	Government Procurement Guidelines	<input type="checkbox"/>	Vendor/Material Sourcing	<input type="checkbox"/>

Comments:

**BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act**

[Previous Page](#)

[Table of Contents](#)

**Section 14: Certification**

The undersigned certifies that the information herein supplied in response to this questionnaire is complete and correct to the best of his/her knowledge. It is a criminal offense to willfully make a false statement or representation to any department or agency of the United States Government as to any matter within its jurisdiction (18 U.S.C.A. 1001 (1984 & SUPP. 1197))

Once this survey is complete, first save it to your computer, and then submit the document via the Census Bureau portal linked here:

<https://respond.census.gov/criticalfacility>

Location Name	
Organization Name	
Organization's Internet Address	
Name of Authorizing Official	
Title of Authorizing Official	
E-mail Address	
Phone Number and Extension	
Date Certified	

In the box below, provide any additional comments or any other information you wish to include regarding this survey assessment.

How many hours did it take to complete this survey?	
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