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Assurance of Confidentiality - All information which would permit identification of an individual, a practice, or an establishment will be held confidential; will be used for statistical purposes only by NCHS staff, contractors, and agents only when required and with necessary controls; and will not be disclosed or released to other persons without the consent of the individual or the establishment in accordance with section 308(d) of the Public Health Service Act (42 USC 242m) and the Confidential Information Protection and Statistical Efficiency Act (PL-107-347).

1. Physician's address:

Disclaimer – The following questionnaire is based on a computer-based questionnaire. Thus, the following questions contain the same content of the computer-based questionnaire, though the flow of the paper-questionnaire questions does not necessarily represent the flow of the computer-based questionnaire due to the limitations of a paper questionnaire.

FORM **NAMCS-1A**
(2-19-2015)

U.S. DEPARTMENT OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU
ACTING AS DATA COLLECTION AGENT FOR THE
NATIONAL CENTER FOR HEALTH STATISTICS
CENTERS FOR DISEASE CONTROL AND PREVENTION

**NATIONAL AMBULATORY
MEDICAL CARE SURVEY
2015 PANEL**

2. Physician's telephone and FAX numbers (Area code and number)

Office 1	Telephone	Office 2	Telephone
	FAX		FAX

3. Introduction

The Centers for Disease Control and Prevention's National Center for Health Statistics (NCHS) is conducting the National Ambulatory Medical Care Survey (NAMCS). This annual study, which has been in the field since 1973, collects information about the large portion of ambulatory care provided by physicians and midlevel providers throughout the United States. Research using NAMCS helps to inform physicians, health care researchers, and policy makers about the changing characteristics of ambulatory health care in this country. The information that we will request includes data about patient visits (e.g., demographics, diagnoses, services, and treatments); physician practice characteristics (e.g., practice type), and use of electronic medical records.

Many organizations and leaders in the health care community, including those providing the enclosed letter of endorsement, have expressed their support and join me in urging your participation in this meaningful study. You will be asked to complete a short electronic questionnaire on a sample of about 30 patient encounters during a randomly assigned, 1-week reporting period. Additionally, there is a short interview (approximately 35 minutes) with you about the nature of your practice. We intend to conduct additional health care research by linking National Provider Identifiers (NPI) and Federal Tax Identification Numbers collected in this study to health care-related data such as Medicare records. Participation is voluntary, and you or your staff may refuse to answer any question or stop participating at any time without penalty or loss of benefits.

The following are some key points about the survey:

- Data collection for NAMCS is authorized by Section 306 of the Public Health Service Act (Title 42, U.S. Code, 242k).
- All information collected will be held in the strictest confidence according to Section 308(d) of the Public Health Service Act (Title 42, U.S. Code, 242m(d)) and the Confidential Information Protection and Statistical Efficiency Act (Title 5 of PL 107-347). This information will be used for statistical purposes only. No patient names, social security numbers, or addresses are collected.
- NAMCS conforms to the Privacy Rule as mandated by HIPAA because disclosure of patient data is permitted for public health purposes, and the NCHS Research Ethics Review Board has approved NAMCS.
- U.S. Census Bureau employees, who administer the study, have taken an oath to abide by Title 13, U.S. Code, Section 9, which requires them to keep all information about your practice and patients confidential.

A Census Bureau employee, acting as our agent, will call you to schedule an appointment regarding the details of your participation. If you have any questions, please call a NAMCS representative at 1-800-392-2862. You can also find additional information on the survey by visiting the NAMCS participant website at: http://www.cdc.gov/nchs/ahcd/namcs_participant.htm.

You may have questions about your rights as a participant in this research study. If so, please call the Research Ethics Review Board at 1-800-223-8118. Please leave a brief message with your name and phone number and say that you are calling about Protocol #2010-02. Your call will be returned as soon as possible.

We greatly appreciate your cooperation.

Sincerely,

Charles J. Rothwell, MS, MBA
Director

Section I - TELEPHONE SCREENER - Continued

4. Specialty	
<p>a. Your specialty is <input type="text"/>, is that right?</p>	<p>1 <input type="checkbox"/> Yes – <i>SKIP to item 4c</i> 2 <input type="checkbox"/> No</p> <p align="right"><input type="button" value="Edit"/></p>
<p>b. What is your specialty (including general practice)?</p>	<p><input type="text"/></p> <p align="center"><i>(Name of specialty)</i></p> <p><input type="text"/> <input type="text"/> <input type="text"/> Code <i>Refer to the NAMCS-252, pages 9 – 11 for codes.</i></p> <p align="right"><input type="button" value="Edit"/></p>
<p>c. What is your ethnicity?</p>	<p>1 <input type="checkbox"/> Hispanic or Latino 2 <input type="checkbox"/> Not Hispanic or Latino</p>
<p>d. What is your race? <i>Enter (X) all that apply.</i></p>	<p>1 <input type="checkbox"/> White 2 <input type="checkbox"/> Black or African-American 3 <input type="checkbox"/> Asian 4 <input type="checkbox"/> Native Hawaiian or Other Pacific Islander 5 <input type="checkbox"/> American Indian or Alaska Native</p>
<p>5. Which of the following categories best describes your professional activity – patient care, research, teaching, administration, or something else?</p>	<p>1 <input type="checkbox"/> Patient care 2 <input type="checkbox"/> Research 3 <input type="checkbox"/> Teaching 4 <input type="checkbox"/> Administration 5 <input type="checkbox"/> Something else – <i>Specify</i> ↴</p> <p><input type="text"/></p>
<p>6a. Do you directly care for any ambulatory patients in your work?</p>	<p>1 <input type="checkbox"/> Yes – <i>SKIP to item 6c</i> 2 <input checked="" type="checkbox"/> No – does not give direct care [6b <i>PROBE</i>] 3 <input type="checkbox"/> No longer in practice (i.e., retired, not licensed) 4 <input type="checkbox"/> Temporarily not practicing (refers to duration of 3 months or more)</p> <p align="right">} <i>SKIP to item 8 on page 3</i></p>
<p>b. <i>PROBE:</i> We include as ambulatory patients, individuals receiving health services without admission to a hospital or other facility. Does your work include any such individuals?</p>	<p>1 <input type="checkbox"/> Yes, cares for ambulatory patients 2 <input type="checkbox"/> No, does not give direct care –<i>Specify reason, then read item 8 on page 3</i> ↴</p> <p><input type="text"/></p>
<p>c. Do you work as an employee or a contractor in a federally operated patient care setting (e. g., VA, military, prison), hospital emergency department, hospital outpatient department, or community health center?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to item 6e</i></p>
<p>d. In addition to working in a federally operated patient care setting, hospital emergency department, hospital outpatient department, or community health center, do you also see any ambulatory patients in another setting (e. g., office-based practice)?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to item 8 on page 3</i></p>
<p>e. Do you work in an office-based practice owned by a hospital?</p>	<p>1 <input type="checkbox"/> Yes – <i>If item 6c = 1 SKIP to item 6f; If item 6c = 2, SKIP to item 7a</i> 2 <input type="checkbox"/> No</p>
<p>f. Although the physician works in a federal patient care setting, hospital emergency department, hospital outpatient department, or community health center, please make sure the respondent is aware that all of the following questions are NOT concerned with these settings/patients/visits. The survey is ONLY concerned with their private patients.</p>	<p>1 <input type="checkbox"/> Continue</p>

Section I - TELEPHONE SCREENER - Continued

7a. We have your address as *(Read address shown in item 1).* **Is that the correct address for your office?**

- 1 Yes – SKIP to item 9
 2 No, incorrect address – Ask item 7b

b. What is the correct address and phone number?

Number and street

City

State

ZIP Code

Telephone (Area code and number)

SKIP to item 9

8. Thank you, Dr. . . . , but since you are not currently practicing, our questions would not be appropriate for you. I appreciate your time and interest.

9. I would like to arrange an appointment with you within the next week or so to discuss the study. It will take about 30 minutes. What would be a good time for you, before Friday, _____ *(last Friday before the assigned reporting week)?*

Weekday

Month	Day	Year

Time
a.m.
p.m.

Physician refused to participate – Go to item 10a.

Thank you, Dr. . . .

Section II - REFUSAL QUESTIONS

I appreciate that you choose not to participate in the study, but I would like to ask a few short questions about your practice so we can make sure responding physicians do not differ from nonresponding physicians.

10a. Overall, at how many different office locations do you see ambulatory patients? Do not include settings such as EDs, outpatient departments, surgicenters, Federal clinics, and community health centers.

Number of office locations

b. (Ask if CHC) Overall, at how many different CHC locations do you see ambulatory patients?

Number of CHC locations

c. In a typical year, about how many weeks do you NOT see any ambulatory patients (e.g., conferences, vacations, etc.)?

Number of weeks ↘

*If > 26 weeks, ask item 10d
 If = 0, SKIP to item 10e
 If 1 to 26 weeks,
 SKIP to item 10f*

d. You typically see patients fewer than half the weeks in each year. Is that correct?

- 1 Yes
 2 No – Please explain ↘

SKIP to item 10f

Section II – REFUSAL QUESTIONS – Continued

10e. You typically see patients all 52 weeks of the year. Is that correct?

- 1 Yes
- 2 No – *Please explain* ↘

f. During your last normal week of practice, how many patient visits did you have at all office locations?

Number of patient visits

g. During your last normal week of practice, how many hours of direct patient care did you provide?

NOTE – Direct patient care includes: Seeing patients, reviewing tests, preparing for and performing surgery/procedures, providing other related patient care services. Do not include hours from EDs, outpatient departments, surgicenters, or Federal clinics.

Number of weekly hours

h. At the office location where you see the most ambulatory patients:

(1) How many physicians are associated with you?

Number of physicians

If number of other physicians is 0, SKIP to item 10h(3).

(2) Is this a single- or multi-specialty group practice?

- 1 Multi
- 2 Single

(3) Are you a full- or part-owner, employee, or an independent contractor?

- 1 Full-owner – *Exit*
 - 2 Part-owner
 - 3 Employee
 - 4 Contractor
- } *SKIP to item 10h(4)*

(4) Who owns the practice?

REFER TO FLASHCARD A.

- 1 Physician or physician group
- 2 Insurance company, health plan, or HMO
- 3 Community Health Center
- 4 Medical/Academic health center
- 5 Other hospital
- 6 Other health care corporation
- 7 Other – *Specify* ↘

Section III – INDUCTION INTERVIEW

Before we begin, I'd like to give you some background about this study.

Medical researchers and educators are especially interested in topics like medical education, health workforce needs, and the changing nature of health care delivery. The National Ambulatory Medical Care Survey (or NAMCS) was developed to meet the need for such information.

The Centers for Disease Control and Prevention works closely with members of the medical profession to design the NAMCS each year. The NAMCS supplies essential information about how ambulatory medical care is provided in the United States, and how it is utilized by patients.

Your part in the study is very important and should not take much of your time. It consists of your participation during a specified 7-day period. During that time, you would supply a minimal amount of information about the patients you see.

First, I have some questions to ask about your practice. Your answers will only be used to provide data on the characteristics of office-based practices in the U.S. Any and all information you provide for this study will be kept confidential.

<p>11 a. Overall, at how many different office locations do you see ambulatory patients? Do not include settings such as EDs, outpatient departments, surgicenters, Federal clinics, and community health centers.</p>	<p>Number of locations ↴</p> <input type="text"/>
<p>b. In a typical year, about how many weeks do you NOT see any ambulatory patients (e.g., conferences, vacations, etc)?</p>	<p>Number of weeks ↴</p> <input type="text"/> <p><i>If > 26 weeks, ask item 11c</i> <i>If = 0, SKIP to item 11d</i> <i>If 1 to 26 weeks, SKIP to item 12a</i></p>
<p>c. You typically see patients fewer than half the weeks in each year. Is that correct?</p>	<p>1 <input type="checkbox"/> Yes – SKIP to item 12a 2 <input type="checkbox"/> No – Please explain ↴</p> <p><input type="text"/> } SKIP to item 12a</p>
<p>d. You typically see patients all 52 weeks of the year. Is that correct?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – Please explain ↴</p> <p><input type="text"/></p>
<p>12a. This study will be concerned with the AMBULATORY patients you will see in your office(s) during the week of Monday,</p> <p><input type="text"/> through Sunday, <input type="text"/>.</p> <p>Are you likely to see any ambulatory patients in your office(s) during that week?</p> <p>For allergists, family practitioners, etc. – if routine care such as allergy shots, blood pressure checks, and so forth will be provided by staff in physician's absence, mark "Yes."</p>	<p>1 <input type="checkbox"/> Yes – If CHC SKIP to item 13a; If Non – CHC Skip to item 13b 2 <input type="checkbox"/> No – SKIP to item 12b</p>
<p>b. Why is that? Record verbatim.</p> <p><input type="text"/></p>	
<p>c. Since it's very important that we include any ambulatory patients that you might see in your office during that week, I'll check back with your office just before (Starting date) to make sure your plans have not changed.</p>	

Section III – INDUCTION INTERVIEW – Continued

13a. (Ask if CHC) **What does the current address below represent?** (office location fill)

- 1 Sampled CHC location 2 Sampled CHC that moved 3 Not sampled CHC location - Exit and call RO

b. (Ask if Non-CHC) **Are there any other office locations at which you will see ambulatory patients during that 7-day reporting period?**

(1) What is the street address? _____

(2) In what city is this office located? _____

(3) In what state is this office? _____

(4) What is the zip code for this office? _____

(5) Enter location/address type _____

- 1 Main office address 2 Alternative/2nd office address 3 Home office 4 Home 5 Unknown

c. **Which office is the current office?** _____ Enter office number

d. Looking at FLASHCARD B below, **choose ALL of the type(s) of settings that describe the office at (office location).** Mark up to 3.

If in doubt about any clinic/facility/institution, PROBE –

(1) Is this/that clinic/facility/institution part of a hospital emergency department or an outpatient department? If yes, select 2 or 4.

(2) Is this/that clinic/facility/institution operated by the Federal Government? If yes, select 12.

FLASHCARD B

- | | |
|---|--|
| (1) Private solo or group practice | (2) Hospital emergency department |
| (3) Freestanding clinic/urgicenter (not part of a hospital outpatient department) | (4) Hospital outpatient department |
| (5) [Intentionally left blank] | (6) Ambulatory surgicenter |
| (7) Mental health center | (8) Institutional setting (school infirmary, nursing home, prison) |
| (9) Non-federal Government clinic (e.g., state, county, city, maternal and child health, etc.) | (10) Industrial outpatient facility |
| (11) Family planning clinic (including Planned Parenthood) | (12) Federal Government operated clinic (e.g., VA, military, etc.) |
| (13) Health maintenance organization or other prepaid practice (e.g., Kaiser Permanente) | (14) Laser vision surgery |
| (15) Faculty practice plan | (16) Community Health Center (e.g., Federally Qualified Health Center (FQHC), federally funded clinics or 'look alike' clinics) |

13e. **Are there other office locations where you NORMALLY would see patients, even though you will not see any during your 7-day reporting period? Do not include settings such as EDs, outpatient departments, surgicenters, and Federal clinics, and community health centers.**

- 1 Yes – SKIP to item 13g 2 No – SKIP to item 14a

f. (Ask if CHC) **In how many other CHC locations do you NORMALLY see patients?**

_____ Number of CHC locations

g. **Of these locations where you will not be seeing patients during your 7-day reporting period, how many total office visits did you have during your last week of practice at these locations?**

_____ Number of visits

Section III - INDUCTION INTERVIEW - Continued

Ask item 14a ONCE to obtain total for ALL in-scope locations.

14a. During the week of Monday, [] through Sunday, [] how many days do you expect to see any ambulatory patients at all in-scope locations? (Read locations)

Estimated Number of Days →

Enter street name or town of in-scope location(s).

NOTE: Keep the location numbers the same as the office numbers in item 13a.

Office location No.

#1 #2 #3 #4 #5

b. During your last normal week of practice, approximately how many office visit encounters did you have at each office location?

If physician is in group practice, only include the visits to sampled physician.

Number of visits

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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c. During the week of Monday, [] through

Sunday [], do you expect to have about the same number of visits as you saw during your last normal week in each office taking into account time off, holidays, and conferences?

NOTE: Enter (X) response. If answer is "Yes", instrument copies the number in 14b to 14d for that office location. If answer is "No" then item 14d is ASKED for that office location.

Yes ...
No

1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>

d. Approximately how many ambulatory visits do you expect to have at this office location?

Number of visits

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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e. Tally of estimated number of visits

NOTE: To obtain the total number of estimated visits, instrument adds the estimate for each office location in 14d.

Number of visits

Now, I'm going to ask about your practice at (in-scope location).

15a. Do you have a solo practice, or are you associated with other physicians in a partnership, in a group practice, or in some other way at this location?

Office Location #1 #2 #3 #4 #5

Solo 1 1 1 1 1

If Solo, SKIP to item 15d.

Nonsolo ... 2 2 2 2 2

b. How many physicians are associated with you at (this/that in-scope location)?

How many →

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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c. Is this a single- or multi-specialty (group) practice at (this/that in-scope location)?

Multi 1 1 1 1 1

Single 2 2 2 2 2

Section III – INDUCTION INTERVIEW – Continued

		Office Location	#1	#2	#3	#4	#5
15d. How many mid-level providers (i.e., nurse practitioners, physician assistants, and nurse midwives) are associated with you at (this/that in-scope location)?	Office Location						
	How many →	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
e. Are you a full- or part-owner, employee, or an independent contractor at (this/that in-scope location)? If "Owner" is marked then automatically mark "Physician or physician group" in item 15f.	Full-owner	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
	Part-owner	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>
	Employee	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>
	Contractor	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>
<i>Give FLASHCARD A (p.1 Flashcard and Job Aid Booklet) and ask:</i> f. Who owns the practice at (this/that in-scope location)?	Physician/Physician group	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
	Insurance company, health plan, or HMO	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>
	Community Health Center	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>	3 <input type="checkbox"/>
	Medical/Academic health center	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>	4 <input type="checkbox"/>
	Other hospital	5 <input type="checkbox"/>	5 <input type="checkbox"/>	5 <input type="checkbox"/>	5 <input type="checkbox"/>	5 <input type="checkbox"/>	5 <input type="checkbox"/>
	Other health care corp	6 <input type="checkbox"/>	6 <input type="checkbox"/>	6 <input type="checkbox"/>	6 <input type="checkbox"/>	6 <input type="checkbox"/>	6 <input type="checkbox"/>
	Other	7 <input type="checkbox"/>	7 <input type="checkbox"/>	7 <input type="checkbox"/>	7 <input type="checkbox"/>	7 <input type="checkbox"/>	7 <input type="checkbox"/>
	g. Does your practice have the ability to perform any of the following on site at (this/that in-scope location)?						
1. EKG/ECG	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
2. Phlebotomy	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
3. Lab testing (not including urine dipstick, urine pregnancy, fingerstick blood glucose, or rapid swab testing for infectious diseases)	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
4. Spirometry	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
5. Ultrasound	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
6. X-Ray	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
h. Do you see patients in the office during the evening or on weekends at (office location)?	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> DK	
i. What is your National Provider Identifier (NPI) at (office location)?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
j. What is your Federal Tax ID, also known as Employer Identification Number (EIN), at (office location)?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	

Section III - INDUCTION INTERVIEW - Continued

<p>16a. During your last normal week of practice, how many hours of direct patient care did you provide?</p> <p><i>NOTE - Direct patient care includes: Seeing patients, reviewing tests, preparing for and performing surgery/procedures, providing other related patient care services.</i></p>	<p>Number of weekly hours <input type="text"/></p>					
<p>b. During your last normal week of practice, about how many encounters of the following type did you make with patients:</p> <p>(1) Nursing home visits</p> <p>(2) Other home visits</p> <p>(3) Hospital visits</p> <p>(4) Telephone consults</p> <p>(5) Internet/e-mail consults</p>	<p>Number of encounters per week ↴</p> <table border="1"> <tr><td> </td></tr> <tr><td> </td></tr> <tr><td> </td></tr> <tr><td> </td></tr> <tr><td> </td></tr> </table>					
<p><i>(The following two questions must be answered by the sample provider.)</i></p>						
<p>17. The following two questions are about cultural competence. Within the past 12 months, have you participated in any cultural competence training?</p>	<p>1 <input type="checkbox"/> Yes</p> <p>2 <input type="checkbox"/> No</p>					
<p>18. How familiar are you with the National Standards for Culturally and Linguistically Appropriate Services in Health and Health Care (the National CLAS Standards)?</p>	<p>1 <input type="checkbox"/> Never heard of it</p> <p>2 <input checked="" type="checkbox"/> Heard of it but do not know much about it</p> <p>3 <input type="checkbox"/> Know something about it</p> <p>4 <input type="checkbox"/> Very familiar with it</p>					
<p>The next set of questions are only administered to primary care providers and seeks to determine the extent to which alcohol screening and brief intervention (SBI) is being conducted within their practices.</p>						
<p>19. Screening for alcohol misuse (excessive consumption and alcohol-related problems) is often conducted in clinical settings. How do you screen for alcohol misuse? (Enter all that apply.)</p>	<p>1 <input type="checkbox"/> I don't screen - SKIP to item 24</p> <p>2 <input type="checkbox"/> T-ACE</p> <p>3 <input type="checkbox"/> TWEAK</p> <p>4 <input type="checkbox"/> CAGE</p> <p>5 <input type="checkbox"/> CRAFFT</p> <p>6 <input type="checkbox"/> AUDIT</p> <p>7 <input type="checkbox"/> Ask number of drinks per occasion (For example, "On a typical drinking day, how many drinks do you have?")</p> <p>8 <input type="checkbox"/> Ask frequency of drinking (For example, "On average, how many days a week do you have an alcoholic drink?")</p> <p>9 <input type="checkbox"/> Ask binge question (For example, for women: "How many times in the past year have you had 4 or more drinks in a day?" For men: "how many times in the past year have you had 5 or more drinks in a day?")</p> <p>10 <input type="checkbox"/> I don't use a formal screening instrument</p> <p>11 <input type="checkbox"/> Other - Specify ↴</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>					
<p>20. How often do you screen for alcohol misuse?</p>	<p>1 <input type="checkbox"/> At every health maintenance visit (annually)</p> <p>2 <input type="checkbox"/> At every health care visit</p> <p>3 <input type="checkbox"/> When I suspect a patient has a substance/alcohol-related problem</p> <p>4 <input type="checkbox"/> Almost never or never</p>					

Section III – INDUCTION INTERVIEW – Continued

21. How are screening question(s) administered?

- 1 Interview (in person/face-to-face) – *SKIP to item 22*
- 2 Patient completes a form
- 3 Electronic (self-administered) } *SKIP to item 23*
- 4 Other – *Specify* ↴

22. If patient is interviewed, who administers the screening?

- 1 Physician, nurse practitioner, physician assistant
- 2 Nurse excluding nurse practitioner
- 3 Medical assistant
- 4 Administrative staff
- 5 Other – *Specify* ↴

23. Brief interventions for risky alcohol use are short discussions with patients who drink too much or in ways that are harmful. These interventions typically include some of the following elements:

- **Feedback on screening results**
- **Gathering further information on drinking patterns, alcohol-related harm, or symptoms of alcohol dependence**
- **Discussing the risks and consequences of drinking too much**
- **Providing advice about cutting back or stopping**

- 1 Never
- 2 Sometimes
- 3 Often
- 4 Always

Among patients who screen positive for risky alcohol use, how often are brief interventions conducted?

24. What resources would be helpful in implementing alcohol/substance screening and intervention in primary care settings?
Mark (X) all that apply.

- 1 Implementation guide for alcohol screening and intervention
- 2 Training on how to conduct alcohol screening
- 3 Training on how to conduct intervention
- 4 Office-based mentoring
- 5 Access to patient education materials
- 6 Scripts of what to say to patients
- 7 Information about reimbursement for services
- 8 Information about where or how to refer for additional services
- 9 Other – *Specify* ↴

The next set of questions pertain to characteristics of the sampled physician's practice.

IF ONE LOCATION LISTED IN NAMCS-1A DISPLAY THE FOLLOWING:

The next questions are about the practice that is associated with (fill address of sampled location).

25. How many physicians, including you, are associated with this practice? Please include physicians at [fill address of sampled location], and physicians at any other locations of this practice.

- 1 1 physician
- 2 2–3 physicians
- 3 4–10 physicians
- 4 11–50 physicians
- 5 51–100 physicians
- 6 More than 100 physicians

Section III - INDUCTION INTERVIEW - Continued

The next set of questions pertain to characteristics of the sampled physician's practice.

IF TWO OR MORE LOCATIONS LISTED IN NAMCS-1A, DISPLAY THE FOLLOWING:

The next questions are about the practice that is associated with (fill office location with most visits).

26. How many physicians, including you, are associated with that practice? Please include physicians at [fill address of location with the most office visits based on NAMCS-1A], and physicians at any other locations of that practice.

- 1 1 physician
- 2 2-3 physicians
- 3 4-10 physicians
- 4 11-50 physicians
- 5 51-100 physicians
- 6 More than 100 physicians

27a. Is your practice certified as a patient-centered medical home?

- 1 Yes
- 2 No
- 3 Unknown } SKIP to item 28

b. By whom is your practice certified as a patient-centered medical home? Mark (X) all that apply.

- 1 Accreditation Association for Ambulatory Health (AAAH)
- 2 Joint Commission
- 3 National Committee for Quality Assurance (NCQA)
- 4 Utilization Review Accreditation Commission (URAC)
- 5 Other
- 6 Unknown

28. Is it possible within your practice to access patient medical records using an electronic health record (EHR) system 24 hours a day?

- 1 Yes
- 2 No
- 3 Unknown

29. Is this access available to physicians only, or is it also available to other non-physician clinicians? Mark (X) all that apply.

- 1 Physicians (MD-DO) only
- 2 All Physicians and non-physician Clinicians
- 3 Unknown

30. What is the primary method by which your practice receives information about patients in your practice when they have been seen in the emergency department or hospitalized?

- 1 Electronic transmission (i.e., EHR or EMR)
- 2 Fax
- 3 Email - SKIP to item 31
- 4 Telephone or in-person communication with provider
- 5 Paper copy
- 6 Other - Specify

31. Was this email sent over a secure network?

- 1 Yes
- 2 No
- 3 Unknown

32. Is someone in your practice responsible for assisting patients to safely transition back to the community within 72 hours of being discharged from a hospital or nursing home?

- 1 Yes
- 2 No
- 3 Unknown

33. Does your practice have written protocols for providing chronic care services that are used by all members of the care team?

- 1 Yes
- 2 No
- 3 Unknown

34. Does your practice report any quality measures or quality indicators to either payers or to organizations that monitor health care quality?

- 1 Yes
- 2 No
- 3 Unknown

35. Do all other locations or offices associated with this practice use the same Federal Tax ID, also known as Employer Identification Number (EIN), or do any locations or offices associated with this practice use a different Federal Tax ID or EIN?

- 1 All use the same Federal Tax ID or EIN
- 2 Some use a different Federal Tax ID or EIN
- 3 Unknown

Section III – INDUCTION INTERVIEW – Continued

36. The next set of questions refers to the types of providers who work at (fill office location with most visits). Give FLASHCARD H (p. 8 Flashcard and Job Aid Booklet) and ask: **How many of the following full-time and part-time providers are on staff at (fill office location with most visits)?** Full-time is 30 or more hours per week. Part-time is less than 30 hours per week. Please provide the total number of full-time and part-time providers. Please include the sampled provider in the total count of staff below.

Type of Provider	Number Full-time (≥ 30 hours)	Number Part-time (<30 hours)
Physicians (include MDs and DOs)	<input type="text"/>	<input type="text"/>
Non-Physician Clinicians		
Physician Assistants (PA)	<input type="text"/>	<input type="text"/>
Nurse Practitioners (NP)	<input type="text"/>	<input type="text"/>
Certified Nurse Midwives (CNM)	<input type="text"/>	<input type="text"/>
Other Nursing Care		
Registered nurses (RN) (not an NP or CNM)	<input type="text"/>	<input type="text"/>
Licensed Practical Nurses (LPN)	<input type="text"/>	<input type="text"/>
Certified Nursing Assistants/Aides (CNA)	<input type="text"/>	<input type="text"/>
Allied Health		
Medical Assistants (MA)	<input type="text"/>	<input type="text"/>
Radiology Technicians (RT)	<input type="text"/>	<input type="text"/>
Laboratory Technicians (LT)	<input type="text"/>	<input type="text"/>
Physical Therapists (PT)	<input type="text"/>	<input type="text"/>
Pharmacists (PH)	<input type="text"/>	<input type="text"/>
Dietitians/Nutritionists (DN)	<input type="text"/>	<input type="text"/>
Other		
Mental Health Providers (MH)	<input type="text"/>	<input type="text"/>
Health Educators/Counselors (HEC)	<input type="text"/>	<input type="text"/>
Case Managers (not RNs)/Certified Social Workers (CSW)	<input type="text"/>	<input type="text"/>
Community Health Workers (CHW)	<input type="text"/>	<input type="text"/>

Section III – INDUCTION INTERVIEW – Continued

The following group of questions asks about specific tasks performed by the providers at (fill office location with most visits).

37. At (fill office location with most visits), which type of provider most commonly performs the following tasks? Mark (X) all that apply.

Based on the staff selected in **Question 36**, a drop-down list will be made available for each of the following questions **a–m**, but will only contain those selected providers as well as "Unknown" and "Task is not performed in this office" if needed.

- a. Records Body Measurements (such as height and weight) and vital signs (such as blood pressure, temperature, heart rate)**
- b. Performs office-based testing such as EKG and hearing/vision testing (do not include laboratory testing)**
- c. Draws blood for lab testing**
- d. Provides immunizations (includes both childhood and adult)**
- e. Conducts cancer screenings (such as breast, cervical, and prostate screenings)**
- f. Provides behavioral health screenings (such as depression, alcohol and substance abuse)**
- g. Provides counseling services (such as diet/nutrition, weight reduction, tobacco cessation, stress management)**
- h. Manages the routine care of patients with chronic conditions (such as hypertension, asthma, diabetes)**
- i. Writes refill prescriptions for medications**
- j. Enters patient information into medical/billing records**
- k. Performs imaging tests (such as X-rays and ultrasounds)**
- l. Makes referrals (for example, to specialty care, or to community-based services)**
- m. Contacts patients, who are transitioning from hospital or nursing home back to the community**

The following questions concern the PAs, NPs, and CNMs practicing at (fill office location with most visits).

- | | |
|--|---|
| 38. Are PA(s)/NP(s)/CNM(s) supervised by someone on-site? | 1 <input type="checkbox"/> Yes, always
2 <input type="checkbox"/> Yes, sometimes
3 <input type="checkbox"/> No
4 <input type="checkbox"/> Unknown/Not applicable |
| 39. Do you sign-off on the medical records of the patients the PA(s)/NP(s)/CNM(s) see(s)? | 1 <input type="checkbox"/> Yes, always
2 <input type="checkbox"/> Yes, sometimes
3 <input type="checkbox"/> No
4 <input type="checkbox"/> Unknown/Not applicable |
| 40. Do the PA's/NP's/CNM's patients have a separate log from your patients? | 1 <input type="checkbox"/> Yes, always
2 <input type="checkbox"/> Yes, sometimes
3 <input type="checkbox"/> No
4 <input type="checkbox"/> Unknown/Not applicable |
| 41. Is your approval required before the PA(s)/NP(s)/CNM(s) prescribe(s) medication? | 1 <input type="checkbox"/> Yes, always
2 <input type="checkbox"/> Yes, sometimes
3 <input type="checkbox"/> No
4 <input type="checkbox"/> Unknown/Not applicable |
| 42. Do/Does the PA(s)/NP(s)/CNM(s) bill for services using their own NPI number? | 1 <input type="checkbox"/> Yes, always
2 <input type="checkbox"/> Yes, sometimes
3 <input type="checkbox"/> No
4 <input type="checkbox"/> Unknown/Not applicable |

The following questions pertain to the electronic medical records at (fill office location with most visits).

- | | |
|--|---|
| 43. Does the reporting location submit any <u>claims</u> electronically (electronic billing)? | 1 <input type="checkbox"/> Yes
2 <input type="checkbox"/> No
3 <input type="checkbox"/> Unknown |
|--|---|

Section III – INDUCTION INTERVIEW – Continued

The following questions pertain to the electronic medical records at (fill office location with most visits).

<p>44a. Does the reporting location use an electronic health record (EHR) or electronic medical record (EMR) system? Do not include billing record systems. Read answer categories.</p>	<p>1 <input type="checkbox"/> Yes, all electronic 2 <input type="checkbox"/> Yes, part paper and part electronic 3 <input type="checkbox"/> No 4 <input type="checkbox"/> Unknown</p> <p><i>Go to item 44b</i> <i>SKIP to item 47</i></p>
<p>b. In which year did you install your current EHR/EMR system?</p>	<p>Year</p>
<p>c. Does your current system meet meaningful use criteria as defined by the Department of Health and Human Services?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> Unknown</p>
<p>d. What is the name of your current EHR/EMR system?</p> <p><i>Enter (X) only one box. If "Other" is checked, please specify the name.</i></p>	<p>1 <input type="checkbox"/> Allscripts 2 <input type="checkbox"/> Amazing Charts 3 <input type="checkbox"/> athenahealth 4 <input type="checkbox"/> Cerner 5 <input type="checkbox"/> eClinicalWorks 6 <input type="checkbox"/> e-MDs 7 <input type="checkbox"/> Epic 8 <input checked="" type="checkbox"/> GE/Centricity 9 <input type="checkbox"/> Greenway Medical 10 <input type="checkbox"/> McKesson/Practice Partner 11 <input type="checkbox"/> NextGen 12 <input type="checkbox"/> Practice Fusion 13 <input checked="" type="checkbox"/> Sage/Vitera 14 <input type="checkbox"/> Other – Specify _____ 15 <input type="checkbox"/> Unknown</p>
<p>45. Has your practice made an assessment of the potential risks and vulnerabilities of your electronic health information within the last 12 months? This would help identify privacy or security related issues that may need to be corrected.</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> Unknown</p>
<p>46. Does your EHR have the capability to electronically send health information to another provider whose EHR system is different from your system?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> Unknown</p>
<p>47. At the reporting location, are there plans for installing a new EHR/EMR system within the next 18 months?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> Maybe 4 <input type="checkbox"/> Unknown</p>

Answer ALL remaining questions for the in-scope location with the most visits which is (in-scope location).

<p>48a. Medicare and Medicaid offer incentives to practices that demonstrate "meaningful use of health IT." At the reporting location, are there plans to apply for Stage 1 of these incentive payments?</p>	<p>1 <input type="checkbox"/> Yes, we already applied – <i>Go to item 48b</i> 2 <input type="checkbox"/> Yes, we intend to apply 3 <input type="checkbox"/> Uncertain if we will apply 4 <input type="checkbox"/> No, we will not apply</p> <p><i>SKIP to item 49</i></p>				
<p>b. Are there plans to apply for Stage 2 incentive payments?</p>	<p>1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> Maybe 4 <input type="checkbox"/> Unknown</p>				
<p>49. Give FLASHCARD C (p.3 Flashcard and Job Aid Booklet) and ask: Please indicate whether the ambulatory reporting location has each of the following computerized capabilities and how often these capabilities are used. Enter (X) only one per row.</p>	<p>Yes, used routinely</p>	<p>Yes, but NOT used routinely</p>	<p>Yes, but turned off or not used</p>	<p>No</p>	<p>Unknown</p>
<p>a. Recording patient history and demographic information?</p>	<p>1 <input type="checkbox"/></p>	<p>2 <input type="checkbox"/></p>	<p>3 <input type="checkbox"/></p>	<p>4 <input type="checkbox"/></p>	<p>5 <input type="checkbox"/></p>
<p>b. Recording patient problem list?</p>	<p>1 <input type="checkbox"/></p>	<p>2 <input type="checkbox"/></p>	<p>3 <input type="checkbox"/></p>	<p>4 <input type="checkbox"/></p>	<p>5 <input type="checkbox"/></p>
<p>c. Recording and charting vital signs?</p>	<p>1 <input type="checkbox"/></p>	<p>2 <input type="checkbox"/></p>	<p>3 <input type="checkbox"/></p>	<p>4 <input type="checkbox"/></p>	<p>5 <input type="checkbox"/></p>
<p>d. Recording patient smoking status?</p>	<p>1 <input type="checkbox"/></p>	<p>2 <input type="checkbox"/></p>	<p>3 <input type="checkbox"/></p>	<p>4 <input type="checkbox"/></p>	<p>5 <input type="checkbox"/></p>
<p>e. Recording clinical notes?</p>	<p>1 <input type="checkbox"/></p>	<p>2 <input type="checkbox"/></p>	<p>3 <input type="checkbox"/></p>	<p>4 <input type="checkbox"/></p>	<p>5 <input type="checkbox"/></p>
<p>f. Recording patient's medications and allergies?</p>	<p>1 <input type="checkbox"/></p>	<p>2 <input type="checkbox"/></p>	<p>3 <input type="checkbox"/></p>	<p>4 <input type="checkbox"/></p>	<p>5 <input type="checkbox"/></p>

Section III – INDUCTION INTERVIEW – Continued

Answer ALL remaining questions for the in-scope location with the most visits which is (in-scope location).

	Yes, used routinely	Yes, but NOT used routinely	Yes, but turned off or not used	No	Unknown
49g. Reconciling lists of patient medications to identify the most accurate list?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
h. Providing reminders for guideline-based interventions or screening tests?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
i. Ordering prescriptions?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
	<i>Go to 49i(1)</i>	<i>Go to 49i(1)</i>	<i>Skip to 49j</i>	<i>Skip to 49j</i>	<i>Skip to 49j</i>
<i>If Yes, ask – (1) Are prescriptions sent electronically to the pharmacy?</i>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
<i>If Yes, ask – (2) Are warnings of drug interactions or contraindications provided?</i>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
<i>If Yes, ask – (3) Are drug formulary checks performed?</i>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
j. Ordering lab tests?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
	<i>Go to 49j(1)</i>	<i>Go to 49j(1)</i>	<i>Skip to 49k</i>	<i>Skip to 49k</i>	<i>Skip to 49k</i>
<i>If Yes, ask – (1) Are orders sent electronically?</i>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
k. Viewing lab results?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
	<i>Go to 49k(1)</i>	<i>Go to 49k(1)</i>	<i>Skip to 49l</i>	<i>Skip to 49l</i>	<i>Skip to 49l</i>
<i>If Yes, ask – (1) Can the EHR/EMR automatically graph a specific patient's lab results over time?</i>	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
l. Ordering radiology tests?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
m. Viewing imaging results?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
n. Identifying educational resources for patients' specific conditions?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
o. Reporting clinical quality measures to federal or state agencies (such as CMS or Medicaid)? ..	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
p. Identifying patients due for preventive or follow-up care in order to send patients reminders?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
q. Generating lists of patients with particular health conditions?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
r. Electronic reporting to immunization registries?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
s. Providing patients with clinical summaries for each visit?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
t. Exchanging secure messages with patients? ...	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
u. Providing patients the ability to view online, download, or transmit information from their medical record?	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
50a. Do you refer any of your patients to providers outside of your office or group?	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to item 51a</i>				
b. Do you send the patient's clinical information to the other providers?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No – <i>SKIP to Question 51a</i>				
c. Do you send it electronically (not fax)?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No				

Section III – INDUCTION INTERVIEW – Continued

51a. Do you see any patients referred to you by providers outside of your office or group?	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to item 52a</i>
b. Do you send a consultation report with clinical information to the other providers?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No – <i>SKIP to item 52a</i>
c. Do you send it electronically (not fax)?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No
52a. Do you take care of patients after they are discharged from an inpatient setting?	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to item 53a</i>
b. Do you receive a discharge summary with clinical information from the hospital?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No – <i>SKIP to item 53a</i>
c. Do you receive it electronically (not fax)?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No – <i>SKIP to item 53a</i>
d. Can you automatically incorporate the received information into your EHR system without manually entering the data?	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No 3 <input type="checkbox"/> Not applicable, I do not have an EHR system

The next questions are about sharing (either sending or receiving) patient health information.

53a. Do you share any patient health information electronically (not fax) with other providers, including hospitals, ambulatory providers, or labs?	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to item 53e</i>
b. How do you electronically share patient health information? <i>Enter (X) all that apply.</i> Read answer categories.	1 <input type="checkbox"/> EHR/EMR 2 <input type="checkbox"/> Web portal (separate from EHR/EMR) 3 <input type="checkbox"/> Other electronic method (not fax)
c. Is the patient health information that you share electronically sent directly from your EHR system to another EHR system?	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No 4 <input type="checkbox"/> Unknown
d. With what types of providers do you electronically share patient health information (e.g., lab results, imaging reports, problem lists, medication lists)? <i>Enter (X) all that apply.</i>	1 <input type="checkbox"/> Ambulatory providers inside your office/group 2 <input type="checkbox"/> Ambulatory providers outside your office/group 3 <input type="checkbox"/> Hospitals with which you are affiliated 4 <input type="checkbox"/> Hospitals with which you are not affiliated 5 <input type="checkbox"/> Behavioral health providers 6 <input type="checkbox"/> Long-term care providers 7 <input type="checkbox"/> Home health providers
e. Are you/your staff able to electronically find health information (e.g., medications, outside encounters) from sources outside of the office for your patients? <i>Please reference (office location fill), which is the in-scope office with the most visits.</i>	1 <input type="checkbox"/> Yes, routinely 2 <input type="checkbox"/> Yes, but not routinely 3 <input type="checkbox"/> No 4 <input type="checkbox"/> Unknown } <i>SKIP to item 54</i>
f. How do you look up patient health information from sources outside of the office? <i>Please reference (office location fill), which is the in-scope with the most visits. Mark (X) all that apply.</i>	1 <input type="checkbox"/> Through your EHR/EMR 2 <input type="checkbox"/> Web portal (separate from EHR/EMR) 3 <input type="checkbox"/> View only or restricted access to other providers' EHR system 4 <input type="checkbox"/> Other electronic method (not fax) – Specify <input style="width: 100px; height: 20px;" type="text"/>

Section III – INDUCTION INTERVIEW – Continued

53g. What types of information do you routinely look up? Mark (X) all that apply.

- 1 Lab
- 2 Imaging reports
- 3 Patient problem lists
- 4 Medication lists
- 5 Other – Specify ↴

h. Do you or your staff routinely incorporate the information you look up into your EHR?

- 1 Yes, via manual entry or scanned copy
- 2 Yes, automatically able to incorporate without manual entry or scanning
- 3 No, we do not routinely incorporate into our EHR

Please remind physician/provider that the remaining questions refer to all offices that were determined to be in-scope.

Give FLASHCARD E (p. 5 Flashcard and Job Aid Booklet) and ask: **I would like to ask a few questions about your practice revenue and contracts with managed care plans.**

54. Roughly, what percent of your patient care revenue comes from –

- (1) Medicare?
- (2) Medicaid?
- (3) Private insurance?
- (4) Patient payments?
- (5) Other (including charity, research, Tricare, VA, etc.)?

Percent of patient care revenue ↴

		%
		%
		%
		%
		%

Revenue sources should sum close to 100%.

55. Roughly, what percentage of the patient care revenue received by this practice comes from managed care contracts?

Percent of revenue from managed care ↴

	%
--	---

56. Give FLASHCARD F (p. 6 Flashcard and Job Aid Booklet) and ask: Roughly, what percent of your patient care revenue comes from each of the following methods of payment?

- (a) Fee-for-service?
- (b) Capitation?
- (c) Case rates (e.g., package pricing/episode of care)?
- (d) Other?

Percent of patient care revenue ↴

		%
		%
		%
		%

Revenue sources should sum close to 100%.

Section III - INDUCTION INTERVIEW - Continued

The next set of questions is about new patients, payments, compensation and appointments.

57a. Are you currently accepting "new" patients into your practice(s) at (read in-scope locations listed)?

- 1 Yes
 2 No
 3 Don't know } *SKIP to item 58*

b. From those "new" patients, which of the following types of payment do you accept at (read in-scope locations listed)?

- (1) **Capitated private insurance?**
 (2) **Non-capitated private insurance?**
 (3) **Medicare?**
 (4) **Medicaid?**
 (5) **Workers' compensation?**
 (6) **Self-pay?**
 (7) **No charge?**

- 1 Yes 2 No 3 Don't know
 1 Yes 2 No 3 Don't know
 1 Yes 2 No 3 Don't know
 1 Yes 2 No 3 Don't know
 1 Yes 2 No 3 Don't know
 1 Yes 2 No 3 Don't know

58. Which of the following methods best describes your basic compensation?

Read answer categories.

- 1 **Fixed salary**
 2 **Share of practice billings or workload**
 3 **Mix of salary and share of billings or other measures of performance (e.g., your own billings, practice's financial performance, quality measures, practice profiling)**
 4 **Shift, hourly or other time-based payment**
 5 **Other**

59. Clinical practices may take various factors into account in determining the compensation (salary, bonus, pay rate, etc.) paid to the physicians in the practice. Please indicate whether the practice explicitly considers each of the following factors in determining your compensation.

Enter (X) all that apply.

Read answer categories.

- 1 **Factors that reflect your own productivity**
 2 **Results of satisfaction surveys from your own patients**
 3 **Specific measures of quality, such as rates of preventive services for your patients**
 4 **Results of practice profiling, that is, comparing your pattern of using medical resources with that of other physicians**
 5 **The overall financial performance of the practice**

60a. Does (fill physician name's) **practice set time aside for same day appointments?**

- 1 Yes
 2 No
 3 Don't know

b. Roughly, what percent of your daily visits are same day appointments?

%

c. On average, about how long does it take to get an appointment for a routine medical exam?

- 1 Within 1 week
 2 1-2 weeks
 3 3-4 weeks
 4 1-2 months
 5 3 or more months
 6 Do not provide routine medical exams
 7 Don't know

Section III - INDUCTION INTERVIEW - Continued

If provider is part of the community health center sample, item 61 is asked.

61. Provider demographics -

a. What is your year of birth?

1	9		
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b. What is your sex?

- 1 Female
- 2 Male

c. What is your highest medical degree?

- 1 MD
 - 2 DO
 - 3 Nurse practitioner
 - 4 Physician assistant
 - 5 Nurse midwife
 - 6 Other
- } *SKIP to patient record forms*

d. What is your primary specialty?

Name of specialty

--	--	--

Code

e. What is your secondary specialty?

Name of specialty

--	--	--

Code

f. What is your primary board certification?

Board certification

g. What is your secondary board certification?

Board certification

h. What year did you graduate from medical school?

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Year

i. Did you graduate from a foreign medical school?

- 1 Yes
- 2 No

Section IV - DISPOSITION AND SUMMARY

62. CASE SUMMARY

a. Number of patient visits during reporting week

b. Number of days during reporting week on which patients were seen

c. Number of patient record forms completed

Edit

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