SUPPORTING STATEMENT A

30 CFR Part 840 – State Regulatory Authority: Inspection and Enforcement OMB Control Number 1029-0051

Terms of Clearance: None

Introduction

This information collection clearance package is being submitted by the Office of Surface Mining Reclamation and Enforcement (OSMRE) to request approval to continue collecting information under 30 CFR Part 840 of the OSMRE permanent regulatory program. The Office of Management and Budget (OMB) previously approved the information collection for this Part and assigned control number 1029-0051.

The approved information collection burden for Part 840 is 748,140 hours. We are requesting OMB approval for a new information collection burden for 296,938 hours using data from OSMRE's 2014 Annual Report. This request will decrease the estimated burden by 451,202 hours due to a reestimate in the time required to conduct complete and partial inspections by State Regulatory Authorities (SRA's), and a decrease in the number of inspections conducted by the SRA's.

The following is a summary table describing the number of respondents, burden hours required per respondent, the total hours and how the OMB Inventory will change.

SUMMARY REPORTING AND RECORDKEEPING for 30 CFR PART 840

SECTION	NUMBER OF RESPONDENTS	AVERAGE NUMBER OF RESPONSES PER RESPONDENT	HOURS/ REPORT	TOTAL HOURS (rounded)	HOURS CURRENTLY APPROVED	DIFFERENCE
840.11 (Complete Inspection)	24	836.125	6	120,402	258,768	-138,366
840.11 (Partial Inspection)	24	1,332.66	4.7	150,325	465,348	-315,023
840.11 (h)(2)	24	2	5.8	267	192	75
840.14	24	1	1,081	25,944	23,832	2,112
Total Burden Hours	24			0	0	0

General Instructions

A completed Supporting Statement A must accompany each request for approval of a collection of information. The Supporting Statement must be prepared in the format described below, and must contain the information specified below. If an item is not applicable, provide a brief explanation. When the question "Does this ICR contain surveys, censuses, or employ statistical methods?" is checked "Yes," then a Supporting Statement B must be completed. OMB reserves the right to require the submission of additional information with respect to any request for approval.

Specific Instructions

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection.
- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection. Be specific. If this collection is a form or a questionnaire, every question needs to be justified.
- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden and specifically how this collection meets GPEA requirements.
- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.
- 5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

- 6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.
- 7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

 * requiring respondents to report information to the agency more often than quarterly;
 - * requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
 - * requiring respondents to submit more than an original and two copies of any document;
 - * requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records, for more than three years;
 - * in connection with a statistical survey that is not designed to produce valid and reliable results that can be generalized to the universe of study;
 - * requiring the use of a statistical data classification that has not been reviewed and approved by OMB;
 - * that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
 - * requiring respondents to submit proprietary trade secrets, or other confidential information, unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.
- 8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and in response to the PRA statement associated with the collection over the past three years, and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every three years — even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

- 9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.
- 10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.
- 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.
- 12. Provide estimates of the hour burden of the collection of information. The statement should:

 * Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates

should not include burden hours for customary and usual business practices.

- * If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens.
- * Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here.
- Provide an estimate of the total annual non-hour cost burden 13. to respondents or recordkeepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected in item 12.) The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life) and (b) a total operation and maintenance and purchase of services component. estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information (including filing fees paid for form processing). Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage

facilities.

* If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.

* Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information

- collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.
- 14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.
- 15. Explain the reasons for any program changes or adjustments in hour or cost burden.
- 16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.
- 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.
- 18. Explain each exception to the topics of the certification statement identified in "Certification for Paperwork Reduction Act Submissions."

30 CFR 840.11 - Complete and Partial Inspections

- 1. Section 517(c) of the Surface Mining Control and Reclamation Act (the Act), 30 U.S.C 1201, requires the State Regulatory Authority (SRA) to conduct an average of one partial inspection per month and an average of one complete inspection per calendar quarter on each surface coal mining and reclamation operation under its jurisdiction. 30 CFR 840.11(b) requires the SRA to conduct at least one complete inspection per calendar quarter for each inactive surface coal mining and reclamation operation under its jurisdiction. Also, under 30 CFR 840.11(c) the SRA is responsible for conducting as many inspections of coal exploration sites as are necessary to ensure compliance with the approved State program. To ensure that these inspections are conducted in accordance with the approved State program, 30 CFR 840.11(e)(3) requires that the SRA promptly file a copy of their inspection reports.
- 2. Information is used by the States to enforce their laws and rules regulating coal mining. In addition, copies of the States' inspection reports are maintained for public inspection and for OSMRE review when needed. See Section 517(a) of the Act.
- 3. This information is unique to each surface coal mining operation. Respondents are SRA's who conduct inspections and prepare reports. State and Federal regulatory authorities are using Global Positioning Systems on a limited basis to calculate permit areas and impoundment dimensions to facilitate the inspection process. OSMRE provides training and some funding for the purchase of equipment for use by SRA's. Also, some inspectors prepare their inspection reports by laptop computer in the field and download once they return to their offices. This method of preparing inspection reports varies by State and individual inspector, however, we estimate that 20% of State inspectors use electronic means to prepare and maintain the reports.
- 4. Respondents are SRA's who conduct complete and partial inspections at minesites to ensure compliance with the Act, the State regulatory program, and applicable environmental laws. This information is unique for each minesite under the jurisdiction of the SRA. There is no duplication of this effort, nor do other Federal agencies collect this information.
- 5. Information is solicited only from State and Federal agencies which regulate surface coal mining and reclamation operations.
- 6. Section 517 of the Act requires an inspection report for each inspection performed. Without an inspection and accompanying report mine operators would have no incentive to comply with safety or environmental laws.
- 7. Guidelines in 5 CFR 1320.5(d)(2) are not exceeded.

8. We contacted three regulatory authorities in September 2015 regarding the information collection activities associated with Part 840. Their responses to the required sections of 30 CFR 840 are as follows:

William S. Plassio
Bureau Director
Department of Environmental Protection
District Mining Operations
131 Broadview Road
New Stanton, Pennsylvania 15672
(724) 925-5500

30 CFR	840.11 (a) – Partial	840.11 (b) – Complete	840.11(c) -
	Inspection	Inspection	Inspections of coal
			exploration sites
Hours	4 hours per inspection	5 hours per inspection	5 hours per inspection

Scott Fowler Illinois-DNR, Office of Mines & Minerals Land Reclamation Division One Natural Resources Way Springfield, IL 627-1-1271 (217) 588-4333

30 CFR	840.11 (a) – Partial	840.11 (b) – Complete	840.11(c) –
	Inspection	Inspection	Inspections of coal
			exploration sites
Hours	12 hours per inspection	16 hours per inspection	6 hours per inspection

Dave Clark
New Mexico, Energy, Minerals and Natural Resources Department
Mining and Minerals Division, Wendell Chino Building, Third Floor
1220 South St. Francis Drive
Santa Fe, NM 87505
(505) 476-3416

30 CFR	840.11 (a) – Partial Inspection	840.11 (b) – Complete Inspection	840.11(c) – Inspections of coal exploration sites
Hours	8 hours per inspection	9 hours per inspection	8 hours per inspection

The responders did not identify any concerns regarding the availability of data, frequency of collection, clarity of instructions and record keeping of the required information. The hours required and associated monies reflect an accurate assessment.

On December 9, 2015, OSMRE published in the Federal Register (80 FR 76572) a notice

requesting comments from the public regarding the need for the collection of information, the accuracy of the burden estimate, ways to enhance the information collection, and ways to minimize the burden on respondents. This notice gave the public 60 days in which to comment. We received no comments.

- 9. OSMRE does not provide payments or gifts to respondents. However, OSMRE does provide 50% of funds in the form of grants to respondents which are authorized by Congress.
- 10. Inspection reports are available to the public as required in Section 517(f) of the Act and 30 CFR 840.14.
- 11. No sensitive questions are asked.
- 12. Reporting and Reviewing Burden
 - a. <u>Estimate of Respondent Reporting Burden</u>
 - (1) <u>Complete Inspections</u>
 - o Based on the information provided by the mining inspectors identified in item 8 above, inspections at eastern mines, where the majority of mines are located, average 5 hours per complete inspection, including the time required to prepare the written report. An average of 9 hours is required to conduct an inspection and prepare the written report in the larger Western mines. An average of 16 hours is required to conduct an inspection and prepare the written report for Mid-Continent mines. Therefore, the weighted average burden is 6 hours. This estimate is supported by OSMRE's database which maintains information on OSMRE-conducted oversight inspections throughout the country.
 - According to OSMRE's Annual Report and the summary evaluation report for FY 2014, 20,067 complete inspections were conducted during FY 2014 by the 24 SRA's, or 836.125 complete inspections per SRA. Therefore, 20,067 complete inspections x 6 hours to conduct a complete inspection and prepare a report = **120,402 hours** for all respondents.

(2) <u>Partial Inspections</u>

o Based on the information provided by the mining inspectors identified in item 8 above, inspections at eastern mines, where the majority of mines are located, average 4 hours per partial inspection, including the time required to prepare the written report. An average of 8 hours is required to conduct a partial inspection and prepare the written report in the larger Western mines. An average of 12 hours is required to conduct a partial

inspection and prepare the written report for Mid-Continent mines. Therefore, the weighted average burden is 4.7 hours. This estimate is supported by OSMRE's database which maintains information on OSMRE-conducted inspections throughout the country.

According to OSMRE's 2014 Annual Report and the summary evaluation report, 31,984 partial inspections were conducted by the 24 SRA's, or 1,332.66 partial inspections per SRA. Therefore, 31,984 partial inspections x 4.7 hours to conduct a partial inspection and prepare a report = **150,325 hours** for all respondents.

The total burden to respondents to conduct all inspections and prepare the accompanying reports per year for all surface coal mining sites is 120,402 hours for complete inspections + 150,325 hours for partial inspections = approximately **270,727 burden hours.**

b. <u>Estimate of Respondent Annual Wage Cost</u>

(1) Complete Inspections

OSMRE estimates that a State reclamation specialist will require 6 hours to conduct a complete mine inspection and prepare a written report. A State inspector will earn approximately \$29.88 per hour as a physical scientist derived from http://www.bls.gov/oes/current/naics4_999200.htm, plus benefits using a factor of 1.5 per the Bureau of Labor Statistics news release, EMPLOYER COSTS FOR EMPLOYEE COMPENSATION—September 2015 (http://www.bls.gov/news.release/pdf/ecec.pdf). Therefore, at a wage rate of \$44.82 per hour, the cost to a SRA to conduct one complete inspection would be \$44.82 x 6 hours = \$269 (rounded). The wage cost to all SRA's to conduct complete inspections is 20,067 complete inspections x 6 hours x \$44.82 per hour = \$5,396,418.

(2) <u>Partial Inspections</u>

The cost to a SRA to conduct one partial inspection would be \$44.82 x 4.7 hours = \$211 (rounded). The wage cost to all SRA's to conduct partial inspections is 31,984 partial inspections x 4.7 hours x \$44.82 per hour = \$6,737,558.

The total wage cost to all SRA's to conduct all inspections is \$12,133,976.

13. a. Annualized Capital and Start-up Costs

Capital and start-up costs are minimal since compliance is either associated with customary business practices by the SRA or is paid in large measure by Federal grants.

b. <u>Operation and Maintenance Costs</u>

There are no significant or distinct operation or maintenance costs associated with this section beyond that required under normal and customary business activities, or for which the Federal Government has provided for in grant monies.

14. Estimate of Cost to the Federal Government

a. Oversight

(1) <u>Complete inspections</u>

Federal oversight of 30 CFR 840.11 (a) for complete inspections takes approximately 4 hours per inspection. A GS 12, step 1 reclamation specialist will conduct the review, earning \$34.03 per hour (https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2016/RUS_h.pdf), plus benefits using a factor of 1.5 derived from the BLS news release, EMPLOYER COSTS FOR EMPLOYEE COMPENSATION—September 2015. During FY 2015, OSMRE conducted 620 complete oversight inspections. Therefore, at a wage rate of \$51.05 per hour, the cost to conduct Federal oversight complete inspections would be \$51.05 x 4 hours x 620 inspections = \$126,604 (rounded).

(2) <u>Partial Inspections</u>

Federal oversight of 30 CFR 840.11 (b) for partial inspections takes approximately 4 hours per SRA. During FY 2015, OSMRE conducted 841 partial oversight inspections. Therefore, at a wage rate of \$51.05 per hour, the cost to conduct Federal oversight partial inspections would be $$51.05 \times 4$ hours $\times 841$ inspections = $171,732 (rounded).$

Total OSMRE oversight costs for 30 CFR 840.11 per year are \$298,336.

b. <u>Federal Program States and Indian Lands</u>: OSMRE is the regulatory authority in Tennessee, Washington, and on Indian lands.

(1) <u>Complete inspections</u>

Complete Federal inspections take approximately 9 hours. As discussed above, a GS 12, step 1 reclamation specialist will conduct the inspection at \$459 (rounded) per complete inspection (9 hours x \$51.05). Based on FY 2014 annual report data, OSMRE conducted 489 complete inspections. Therefore, 489 inspections x \$459 per inspection = \$224,451.

(2) <u>Partial Inspections</u>

Partial Federal inspections take approximately 6 hours. A GS 12, step 1 reclamation specialist will conduct the inspection at \$306 (rounded) per partial inspection (6 hours x \$51.05). Based on FY 2014 annual report data, OSMRE conducted 847 partial inspections. Therefore, 847 inspections x \$306 per inspection = \$259,182.

Total OSMRE Federal program cost per year is \$483,633, and the total estimated cost for all activities by the Federal government is \$298,336 for oversight + \$483,633 for Federal programs = \$781,969.

15. The burden hour estimates are based on discussions with individuals identified in item 8 above and OSMRE's experience as a regulatory authority under Federal programs for States and Federal lands. The number of respondents is derived from OSMRE's FY 2014 Annual Report.

This request represents a decrease of 453,389 hours to the currently approved collection burden for this section. The decrease in the burden hours for this section is attributed to a reestimate in the amount of time needed to conduct complete and partial inspections, and a decrease in the number of complete and partial inspections conducted nationally by SRA's.

This collection request will change the burden for this section as follows:

724,116 Hours currently approved for complete and partial inspections <u>453,389</u> Hours due to adjustments in responses and respondent burden 270,727 Hours requested

- 16. OSMRE publishes this data annually in accordance with sections 517(f) and 706 of the Act.
- 17. This collection is not associated with a specific form where OSMRE could display an expiration date. The approved OMB control number is codified in 30 CFR 840.11.
- 18. There are no exceptions to the certification statement.

30 CFR 840.11(h) - Alternate inspections on AML sites

- 1. Section 517(c) of the Act (30 U.S.C 1201), requires the SRA to conduct an average of one partial inspection per month and an average of one complete inspection per calendar quarter on each surface coal mining and reclamation operation under its jurisdiction. 30 CFR 840.11 authorizes a separate inspection frequency for abandoned surface coal mining and reclamation operations. In those instances where an alternative inspection frequency is warranted, 30 CFR 840.11(h) requires the SRA to conduct a complete inspection of the abandoned site and prepare and maintain for public review a written finding justifying the alternative inspection frequency selected. In addition, the SRA must publish a newspaper notice providing the public with a 30-day period in which to comment. The announcement includes information on the permittee, location of the abandoned site, bond status, and justification for the reduced inspection frequency.
- 2. This information is used by the SRA to justify to the public and OSMRE, its deviation from the required inspection frequency of each site set forth in Section 517(c) of the Act.
- 3. This information is unique to each respondent. Respondents are SRA's who will prepare their justification for a reduction in inspection frequency. The justification and newspaper articles are prepared on a computer, but are maintained in paper form for review by the public or submitted to the newspaper for publication.
- 4. No similar information is collected pertaining to inspection and enforcement actions by OSMRE or any other Federal Agency on abandoned sites.
- 5. There are no special provisions for small businesses and are not appropriate since respondents are State and Federal agencies.
- 6. Information is collected only once by State and Federal agencies responsible for the regulation of surface coal mining and reclamation operations.
- 7. Guidelines in 5 CFR 1320.5(d)(2) are not exceeded.
- 8. Three regulatory authorities were contacted in September 2015, to validate the information collection requirements:

William S. Plassio Bureau Director Department of Environmental Protection District Mining Operations 131 Broadview Road New Stanton, Pennsylvania 15672 (724) 925-5500

30 CFR	840.11 (h)
Hours	5.5 hrs/occurrence

Scott Fowler Illinois-DNR, Office of Mines & Minerals Land Reclamation Division One Natural Resources Way Springfield, IL 627-1-1271 (217) 588-4333

30 CFR	840.11 (h)
Hours	4 hrs/occurrence

Dave Clark

New Mexico, Energy, Minerals and Natural Resources Department Mining and Minerals Division, Wendell Chino Building, Third Floor 1220 South St. Francis Drive Santa Fe, NM 87505 (505) 476-3416

30 CFR	840.11 (h)
Hours	8 hrs/occurrence

No concerns were identified regarding the availability of data, frequency of collection, clarity of instructions and record keeping of the required information to be collected. The hours required and associated monies reflect an accurate assessment.

On December 9, 2015, OSMRE published in the <u>Federal Register</u> (80 FR 76572) a notice requesting comments from the public regarding the need for the collection of information, the accuracy of the burden estimate, ways to enhance the information collection, and ways to minimize the burden on respondents. This notice gave the public 60 days in which to comment. We received no comments.

- 9. OSMRE provides no payments or gifts to respondents beyond grant monies approved by Congress.
- 10. Inspection reports are available to the public as required in Section 517(f) of the Act and

30 CFR 840.14 and newspaper announcements are public information.

11. No sensitive questions are asked.

12. Reporting and Reviewing Burden

a. <u>Estimate of Respondent Reporting Burden</u>

OSMRE estimates that there are approximately 23 abandoned sites where the SRA prepares written justifications and submits newspaper announcements to reduce inspection frequencies annually. This estimate is derived from conversations with the reclamation specialists identified in item 8 above.

An estimated 5.8 hours is required for an inspector to prepare the written findings and newspaper announcement required by this section. This estimate reflects only the time needed to prepare the written documents and newspaper notice. The inspections required prior to preparation of the written findings are contained in section 30 CFR 840.11 presented above.

1 respondent x 2 written documents (the findings and the newspaper notice) x 5.8 hours = 11.6 hours per respondent x 23 sites = 267 hours for all respondents.

b. <u>Estimate of Respondent Annual Wage Cost</u>

OSMRE estimates that a State reclamation specialist will require 8 hours to prepare the documentation for this section. At \$44.82 per hour, the cost to a SRA to prepare the justification to reduce the inspection frequency at one abandoned site would be \$44.82 x 11.6 hours = \$520 per response (rounded), or \$11,960 for all 23 decisions.

13. Estimate of Total Annual Cost Burden

a. <u>Annualized Capital and Start-up Costs</u>

There are no significant or distinct non-wage operation or maintenance costs associated with compliance with the information collection requirements of 30 CFR 840.11(h)(2), other than the newspaper notice required. OSMRE estimates that the SRA's would incur a total annual cost of \$2,300 (23 notices x \$100 for publication of each notice in a local newspaper).

b. Operation and Maintenance Costs

There are no significant or distinct operation or maintenance costs associated with this section beyond that required under normal and customary business activities.

14. <u>Estimate of Cost to the Federal Government Oversight.</u>

In keeping with the current guidance concerning oversight of State program implementation, OSMRE does not anticipate significant oversight review of State compliance with section 840.11(h) in the absence of an indication of problems. OSMRE estimates it will conduct one oversight review of this activity in one State per year. OSMRE estimates that the oversight review will require 4 hours. A GS 12, step 1 reclamation specialist will conduct the review, earning \$51.05 per hour including benefits. Therefore, the cost to conduct oversight is \$51.05 x 4 hours = \$204 (rounded).

Federal Programs.

OSMRE estimates that we will prepare one written justification and newspaper announcement to reduce inspection frequencies annually. This estimate is derived from OSMRE's experience as a regulatory authority.

An estimated 4 hours is required for an OSMRE reclamation specialist to prepare the written findings and newspaper announcement required by this section. At \$51.05 per hour as discussed above, the estimated wage cost to OSMRE under Federal programs is 4 hours x \$51.05 per hour = \$204 (rounded). Including \$100 for the newspaper announcement, the Federal program cost is \$304.

The total Federal cost is \$204 for oversight + \$304 for Federal programs = \$508.

- 15. The burden hour estimates are based on discussions with respondents identified in item 8 above, and OSMRE's staff expertise and experience as regulatory authority under Federal programs for State and Federal lands. The respondents are those included in OSMRE's 2014 summary Regulatory Statistics. OSMRE estimates the total reporting burden is 267 hours (23 sites x 2 written documents each x 5.8 hours). This collection request is an increase of 75 hours from the 192 burden hours currently approved by OMB due to a reestimate in burden per respondent.
 - 192 Hours currently approved
 - + 75 Hours due to adjustments
 - 267 Hours requested

This information collection request also increases non-wage costs by \$860 due to estimates in the cost to publish newspaper notices.

- 16. OSMRE has no plans to publish the information collected.
- 17. This collection is not associated with a specific form where OSMRE could display an expiration date. The approved OMB control number is codified in 30 CFR 840.11.
- 18. There are no exceptions to the certification statement.

- 1. This section requires copies of all information obtained by the SRA's relating to inspection and enforcement be made available to the public and the Director, OSMRE, upon request. The purpose of this section is to encourage citizen participation in the enforcement of the Act (30 U.S.C. 1201), by making inspection and enforcement records readily available. Information relating to the availability of records involving permit applications are covered under the information collection requirements of 30 CFR 772 and 773.
- 2. This information is used by OSMRE and the public to determine whether the SRA is properly enforcing the inspection and enforcement provisions of their approved State program. The information is needed to ensure that required safety and environmental concerns are being adhered to by the SRA's.
- 3. This information is unique to each SRA and inspection site. Respondents are the 24 SRA's who furnish inspection data to ensure compliance with the Act, the State regulatory program, and applicable environmental laws. All medium- to large-coal producing States maintain a database of inspection and enforcement records accessible by OSMRE. Therefore, approximately 75% of this information is electronic.
- 4. No similar information is collected pertaining to inspection and enforcement actions by OSMRE or any other Federal agency. Since the contents of the records collected will vary with each SRA, there is no available information which can be used in lieu of that supplied by the SRA.
- 5. There are no special provisions for small businesses and are not appropriate since respondents are State agencies.
- 6. Information is collected on each surface coal mining operation under the jurisdiction of the SRA. The collection is needed to determine if the SRA is properly enforcing the inspection and enforcement provisions of its approved State program.
- 7. Guidelines in 5 CFR 1320.5(d)(2) are not exceeded.
- 8. Three regulatory authorities were contacted in September 2015, to validate the information collection requirements:

William S. Plassio Bureau Director Department of Environmental Protection District Mining Operations 131 Broadview Road New Stanton, Pennsylvania 15672 (724) 925-5500

30 CFR	840.14 (a-c)
Hours	568 hrs/year

Scott Fowler Illinois-DNR, Office of Mines & Minerals Land Reclamation Division One Natural Resources Way Springfield, IL 627-1-1271 (217) 588-4333

30 CFR	840.14 (a-c)
Hours	1,860 hrs/year

Dave Clark
New Mexico, Energy, Minerals and Natural Resources Department
Mining and Minerals Division, Wendell Chino Building, Third Floor
1220 South St. Francis Drive
Santa Fe, NM 87505

(505) 476-3416

30 CFR	840.14 (a-c)
Hours	816 hrs/year

No concerns were identified regarding the availability of data, frequency of collection, clarity of instructions and record keeping of the required information to be collected. The hours required and associated monies reflect an accurate assessment.

On December 9, 2015, OSMRE published in the <u>Federal Register</u> (80 FR 76572) a notice requesting comments from the public regarding the need for the collection of information, the accuracy of the burden estimate, ways to enhance the information collection, and ways to minimize the burden on respondents. This notice gave the public 60 days in which to comment. We received no comments.

- 9. OSMRE provides no payments or gifts to respondents beyond grant monies approved by Congress.
- 10. Inspection reports are available to the public as required in Section 517(f) of the Act and

30 CFR 840.14.

11. No sensitive information is required.

12. Reporting and Reviewing Burden

a. <u>Estimate of Respondent Reporting Burden</u>

Based on reviews and discussions with the SRA's listed in item 8 above it takes an average of 1,081 hours annually to compile the inspection and enforcement reports and documents required under 30 CFR 840.14(a)-(c). The SRA's maintain the records addressed in 30 CFR 840.14 as part of the normal course of administering their regulatory program. Therefore, the burden estimate for this section does not include the time for record maintenance; it only includes the normal clerical hours associated with preparation and reproduction of the records.

24 respondents (SRA's) x 1,081 hours = **25,944 hours**.

b. <u>Estimate of Respondent Annual Wage Cost</u>

OSMRE estimates that a State information clerk will require 1,081 hours to make the records available to the public and OSMRE. A State information clerk will earn approximately \$28.07 per hour including benefits derived from http://www.bls.gov/oes/current/naics4 999200.htm, and benefits from BLS's news release, EMPLOYER COSTS FOR EMPLOYEE COMPENSATION—September 2015. Therefore, at a wage rate of \$28.07 per hour, the cost to a SRA would be 1,081 hours x \$28.07 = \$30,344 (rounded), or \$728,256 for all 24 SRA's.

13. Estimate of Total Annual Cost Burden

a. <u>Annualized Capital and Start-up Costs</u>

There is no significant or distinct non-wage operation or maintenance costs associated with compliance with the information collection requirements of 30 CFR 840.14.

b. Operation and Maintenance Costs

There are no significant or distinct operation or maintenance costs associated with this section beyond that required under normal and customary business activities.

14. Estimate of Cost to the Federal Government

Oversight.

There is no specific cost to the Federal Government for oversight of 30 CFR 840.14 (a-c).

OSMRE reviews this information when they receive and review the inspection reports and in preparation of Federal oversight inspections.

Federal Programs.

OSMRE estimates that we require approximately 300 hours annually reproducing and making available reports generated from inspection and enforcement. A GS 3, step 5 clerk will prepare the documents for public review. At a salary of \$20.96 including benefits derived from https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2016/RUS_h.pdf, and the BLS news release, EMPLOYER COSTS FOR EMPLOYEE COMPENSATION—September 2015, the cost to OSMRE under Federal programs is 300 hours x \$20.96 per hour = \$6,288.

15. Based on discussions with SRA's it takes an average of 1,081 hours annually to make available copies of the inspection and enforcement documents required under 30 CFR 840.14(a)-(c). This estimated number of burden hours is based on discussions with those identified in item 8.

Therefore, 1,081 hours x 24 SRA's = 25,944 total burden hours.

The currently approved collection authority for this section contains 23,832 burden hours. This request represents an increase of 2,112 hours due to a reestimate in burden per respondent.

This collection request will change the burden for this section as follows:

- 23,832 Hours currently approved by OMB + 2,112 Hours due to an adjustment 25,944 Hours requested
- 16. This is an ongoing information collection requirement with no ending data and no plans for publication.
- 17. This collection is not associated with a specific form where OSMRE could display an expiration date. The approved OMB control number is codified in 30 CFR 840.11.
- 18. There are no exceptions to the certification statement.