

## MEMORANDUM

OMB # 1850-0582 v.18

DATE: June 16, 2016

TO: Robert G. Sivinski  
Office of Information and Regulatory Affairs, Office of Management and Budget

FROM: Richard Reeves  
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THROUGH: Kashka Kubzdela  
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SUBJECT: Integrated Postsecondary Education Data System (IPEDS) 2016-2019 – Revisions made to the clearance documents since the 60 day comment period closed

Based on comments received during the 60 day public comment period, Further Quality Control Measures, and continued work with experts in the field, the following changes have been made to the documents in this clearance request:

In the “*IPEDS 2016 IC Institutional Characteristics*” document:

- Moved the screening question “Does your institution have access to a library collection?” to the IC-Header part of Institutional Characteristics
- Added a ‘None of the Above’ option to the library resources question, “Which of the following library resources or services does your institution provide to its clientele? Check all that apply.”
- Updated the question on DUNS numbers to remove the ‘DUNS+4’ option

In the “*IPEDS 2016 AL Academic Libraries*” document (based on public comments and on guidance from the Association of College and Research Libraries (ACRL) and Association of Research Libraries (ARL) Joint Advisory Task Force):

- Changed name of Section I from Library Collections/Circulation to Library Collections/Circulation and Interlibrary Loan Services
- Changed instructions for AL Section I for physical books; physical media; physical serials; digital/electronic books; digital/electronic serials; physical circulation; and digital/electronic circulation or usage.
- Changed instructions for AL Section II for one-time purchases of books, serial back-files, and other materials; ongoing commitments to subscriptions; other materials/service cost; all other operations and maintenance expenses
- Added a definition for Interlibrary Loan Services

In the “*IPEDS 2016 F Finance*” document (based on public comments and further discussion with that National Association of College and University Business Officers (NACUBO)):

- In order to better align with the display of financial information on the institution’s General Purpose Financial Statement – which shows deferrals as separate items from assets and liabilities –

NCES has added two fields to collect “deferred outflows of resources” and “deferred inflows of resources” separately.

- Deleted the originally proposed removal from the Scholarship and Fellowship screen of institutional grants
- Removed the originally proposed additions to the Scholarship and Fellowship screen:
  - Institutional Grants (unrestricted and restricted)
  - Sources of discounts/allowances applied to tuition and fees
  - Other sources; calculated from Discounts and allowances applied tuition and fees minus the sum of Pell Grants, Other grants (federal, state, and local), Gifts (restricted and unrestricted), and True and Quasi endowments

In the “*IPEDS 2016 EF Fall Enrollment*” document:

- Revised to reflect the screen change indicated in Part A that was accidentally not reflected in the pdf document for EF during the 60 day comment period.

In the “*IPEDS 2016 OM Outcome Measures*” document (to reflect the proposed additional data collection and to improve the clarity of the screen and improve data quality):

- Added a calculated field that shows the non-Pell recipients, to serve as a comparison for Pell recipients (2016-17).
- For the exclusions, clarified that foreign students should not be added back in to the cohort (2016-17).
- To address the comments related to the OM survey, committed to holding a Technical Review Panel (TRP) in August 2016 to investigate:
  - Adding four sub-cohorts for Pell recipients to begin in 2017-18:
    - Full-time, first-time entering Pell recipients students that receive Pell
    - Part-time, first-time entering Pell recipients students that receive Pell
    - Full-time, non-first-time entering Pell recipients students that receive Pell
    - Part-time, non-first-time entering Pell recipients students that receive Pell
  - Requiring all institutions completing IPEDS to complete OM instead of only degree granting institutions.
  - The definition of Pell recipient as those who receive Pell in their first year versus those that receive Pell grant any time during their enrollment time at the reporting institution.
- Also committed to submitting a clearance request to OMB in the fall of 2016 (with two associated public comment periods) for proposed amendments to the OM collection as informed by the planned August 2016 TRP and response to its subsequent report.

In the “*IPEDS 2016 HR Human Resources*” document:

- For Part G, there will not be a collection for the salary outlays separately by contract length/employment agreement (9-month, 10-month, 11-month, 12-month) for full-time, non-medical school, non-instructional staff at the institution by occupational category. This was accidentally included in the package during the 60 day comment period and has been removed from pdf document for HR.

In the “*IPEDS 2016 GR Graduation Rates*” document (to reflect the proposed additional data collection and to clarify instructions):

- For the exclusions, clarified that foreign students should not be added back in to the cohort.
- Added the collection of number of students, exclusions, and completers within 150% for 2 new cohorts of students (this reporting is currently a required disclosure per the Higher Education Act, as amended):
  - Recipients of a Pell Grant
  - Recipients of a Subsidized Stafford Loan that did not receive a Pell Grant
- Added a plan to get input from experts and to submit a clearance request to OMB to update instructions and definitions. This will include a 30-day public comment period.