CURRENT

Form Approved OMB No. 3220-0002

Application For Determination Of Employee's Disability

Do Not Write In This Space										
Officially Filed										
Month	Day		Year		Office Number					
Approved										
	Date Coded									
Applicat	ion Number		Month	Day	Year					
Coded by										

Section 1

General Instructions

Before you complete this application, be sure to read Part 1 of booklet RB-1d, Employee Disability Benefits, which explains information you will need to answer many of the questions in this application.

Please read "Important Notices" on page 13 of this application.

Type or print legibly in ink. If you need more space than is provided to answer a question, use Section 9 for this purpose. If you do not know the answer to a question, print "Unknown" in the the space provided for the answer.

When entering dates, always use numbers. Also, be sure there is one number in each box. For example, you would enter June 06, 2007, as:

 Month
 Day
 Year

 0 | 6 | 0 | 6 | 2 | 0 | 0 | 7

Some items in this application will not apply to you so you will not need to answer them. Based on your answer to a question, you may be told to skip to another item number or even another section. Follow the instructions that tell you to "Go to" another item. These are designed to save you time and help you move through the application form quickly, filling in only necessary information. If no "Go to" instructions are given, answer the next item in order. **Do NOT skip any items unless directed to do so.**

If you are completing this application on behalf of someone else, you must answer each question as it applies to the applicant.

Section 2 Identifying Information

Check the information entered by the Railroad Retirement Board (RRB) for Items 1 through 5 for accuracy.

- If the information is correct, go to Section 3.
- If the information is not correct, enter the correct information.
- If the information is missing, fill it in.

mployee entification	1 Employee's Name								
	2 Employee's Railroad Retirement Claim Number A 3 Employee's Social Security Number								
	4a Employee's Street Address								
	b City and State	c ZIP Code	d County						
	5 Daytime Telephone Number								
	☆								

Secti	ion 3	Information About Your Medical	Condition						
Medical Condition		be the medical conditions causing you to file enter if no medical records are being forwards				and any s	secondary condi	tion.	
When Condition Began		the date this condition <i>began</i> to affect bility to work.		>	Month	Day	Year		
How Condition Affects Work		an "X" in the appropriate box: you worked since the date in Item 7?		•	Yes		Item 9 Item 11		
	Has yo	an "X" in the appropriate box: our condition caused you to change any aspe such as job duties, hours of work, attendance		•	Yes		Item 10 Item 11		
		ain what the changes in your work circumstan ges necessary.	ces were, the date	es they	occurred,	and why yo	our condition ma	de the	ese
	СНА	NGES	DATES	CON	NDITION				
When Unable To Work		r the date you could no longer work use of your condition.		>	Month	Day	Year		
	12 Desc	ribe how your condition prevents you from w	orking.						
Current Work Status		r an "X" in the appropriate box: s your condition prevent you from working no	w?	•	Yes No		Section 4 Item 14		
		r the date you again became able to work.		•	Month	Day	Year		
Secti	ion 4	Information About Your Medical	Care						
Medical Care or Examination	Hav	er an "X" in the appropriate box: ve you received medical care or been examin r condition since the date in Item 7?	ed for	•	Yes No	>			
	Are con	er an "X" in the appropriate box: you scheduled for any additional medical ca dition (i.e., surgeries, etc.) <i>after</i> you file this a	application?	•	Yes No	-	n below Item 16		_
Treatment or Testing	Have at a l	r an "X" in the appropriate box: you been treated or tested (inpatient or outpnospital, institution, or clinic, including a artment of Veterans Affairs or other government	·	•	Yes No		Item 17 Item 18		

Treatment or Testing (Cont)	17 Enter information about each hospital, institution litem 7.	on, or clinic where you have received treatment or care since the date in
` ,	a Name of Facility	Address of Facility (Street Address, City, State, and ZIP Code)
	Attending Physician's Name	
	Enter an "X" in the appropriate box:	
	Inpatient Outpatient	
	Patient Number	Telephone Number (Include Area Code)
		()
	Dates Treated or Tested Describe Type	e of Treatment or Testing
	b Name of Facility	Address of Facility (Street Address, City, State, and ZIP Code)
	Attending Physician's Name	
	Enter an "X" in the appropriate box:	
_	Inpatient Outpatient	
	Patient Number	Telephone Number (Include Area Code)
		()
	Dates Treated or Tested Describe Type	e of Treatment or Testing
	c Name of Facility	Address of Facility (Street Address, City, State, and ZIP Code)
	Attending Physician's Name	
	Enter an "X" in the appropriate box:	
	Inpatient Outpatient	
	Patient Number	Telephone Number (Include Area Code)
		()
	Dates Treated or Tested Describe Type	e of Treatment or Testing
Doctor Treatment	18 Enter an "X" in the appropriate box: Has your personal physician or other doctor tre	eated Yes ► Go to Item 19 No ► Go to Item 20

Doctor Freatment	19 Enter information about each personal physician or other of	loctor who has treated you.						
Cont)	a Name of Physician	Address of Physician (Street Address, City, State, and ZIP						
	Patient Number	Telephone Number (Include Area Code)						
	Fallent Number	()						
	Dates Treated or Examined Describe Type of Treate	ment or Examination						
	b Name of Physician	Address of Physician (Street Address, City, State, and ZIP						
Railroad								
	Patient Number	Telephone Number (Include Area Code)						
	Dates Treated or Examined Describe Type of Treatr 20 Enter an "X" in the appropriate box:							
Employer Examination	Has your railroad employer referred you to a medical source for examination or treatment since the date in Item 7?	Yes ► Go to Item 21 No ► Go to Item 22						
	21 Enter information about this examination or treatment.							
	Name of Medical Source	Address of Source (Street Address, City, State, and ZIP Code)						
	Attending Physician's Name							
	Enter an "X" in the appropriate box: Inpatient Outpatient							
	Patient Number	Telephone Number (Include Area Code)						
	Dates Treated or Examined Describe Type of Treatme	nt or Examination						

Railroad Employer Examination (cont)	22		in the appropriate box: en medically disqualified for work by	•	☱.	res No			Note the	n Item 23	
		(Note: If answered "Yes," you mus	t submit a copy o	of the Dis	squalit	ficatio	n No	otice.		
Activity Restriction	23	Enter an "X" Has a medic date in Item	in the appropriate box: cal doctor restricted your daily activiti 7?	es since the	•	☱.	res No			Item 24 Item 28	
	24	Enter the nather the restriction	me of the medical doctor who impos n.	ed	•						
	25	Enter the da	te the restriction began.		•	Мс	onth		Ye	ear	
	26	Describe the	e restriction.				•	•	•		
	27		dress of the medical doctor in Item 2 eviously been entered in Items 17, 19		Address	s (Stre	eet Ad	ddres	ss, City	y, State, a	and ZIP Code)
Medication	28		in the appropriate box: ion been prescribed for you?		•	Ξ.	res No			Item 29 Section !	5
	29 Enter from the prescription labels the following information for all medications prescribed for you: Name or type of medication, dosage, and frequency. (For example, Penicillin, 1.5 gram tablet, 3 times a day.)										
			Name/Type	Dosage (C	Grams, N	umbe	r of P	Pills,	Etc.)		Frequency
Sect	ion	5 Info	rmation About Your Educat	tion And Trai	ning						
Schooling	30a	Enter the h	ighest grade of school you complete	d.	>						
	k	Enter the la	ast year that you attended school.		•						
	31		in the appropriate box: tended technical school?		•	ʹ	res No			Item 32 Item 35	
	32	Describe the	type of technical school you attende	ed.							
	33		in the appropriate box: ceived a certification or license from attended?	the technical	•	Ξ.	res No			Item 34 Item 35	
	34		in the appropriate box: cation or license you received curren	tly valid?	•	☐ \	res No				

chooling Cont)	35	Enter an "X" in the appropria Did you receive specialized t		•			Yes ► Go to Item 36 No ► Go to Section 6
	36	Enter the type of specialized	training	you red	ceived a	and th	the period of time you received it.
		Туре					Dates
	27	Enter on "V" in the appropria	to hov:				
	37	Enter an "X" in the appropria Have you used any of this tra		your w	ork?		Yes ► Go to Item 38 No ► Go to Section 6
	38	Describe when and how you	have us	sed this	training	g in yo	your work.
Sect	ion	6 Information Abo	ut You	ır Dai	ly Act	tiviti	ties
tivities	39	Check the one box after each EASY – I can easily do the HARD – I can do the activit NOT AT ALL – I cannot do	activity. y with d	ifficulty	or with	help.	best describes your ability to do that activity. o.
		Activity	Easy	Hard	Not At All		Explanation - Explain each "HARD" answer
	Si	itting				•	
	St	tanding				•	
	W	/alking				•	
	E	ating				•	
	Ва	athing				•	
		ressing (Tying Shoes, ombing Hair, etc.)				•	
	0	ther Bodily Needs				•	
	Pı	ndoor Chores (Meal reparation, Laundry, leaning, etc.)				>	
	1	outdoor Chores Shopping, Yardwork, etc.)				•	
	D	riving a Motor Vehicle				•	
	U	sing Public Transportation				•	
	(T	onducting Personal Business Falking to and Dealing ith Other People)				>	
		eading English (For example, ewspapers and magazines)				•	
		/riting English (For example, otes and letters)				•	

Activities (cont)	40	Enter any additional you get up until you	information that desc go to bed).	cribes your daily acti	vities during a norma	al day (i.e., a typical	day from the time					
Saat	ion	Z Informatio	on About Vous Man	lork And Fornin								
Work for an Employer Last 12	Information About Your Work And Earnings 41 Enter an "X" in the appropriate box: Have you worked for pay for a railroad or nonrailroad employer in the last 12 months? (Do not include any self-employment.) □ Yes ► Go to Item 42 □ No ► Go to Item 44											
Months	42		earnings before any deductions for each month you have already worked <i>this year</i> . Then starting with the oth, enter your expected gross earnings for this month and each remaining month this year.									
		January	February	March	April	Мау	June					
		July	August	September	October	November	December					
	43		before any deduction	Γ	-	Mari	<u></u>					
		January	February	March	April	May	June					
		July	August	September	October	November	December					
Work Next 12 Months	44	Enter an "X" in the a Do you expect to wo (Include self-employ	ork during the next 12	2 months?	▶ ☐ Ye	S ► Go to Item 4 Go to Sectio						
	45	Enter the name and company for whom (If self-employed, er		on or								
	46	Enter the date(s) yo (For example: "June Indefinitely starting	and July";	•								
	47	Enter the gross amount (If you are self-emplored amount.)	ount you expect to ea loyed, enter the	rn.								

Sect	ion	8 General Information					
Filing AA-1	48	Enter an "X" in the appropriate box: Are you filing Form AA-1 at this time?	•	Yes No	>		Item 54 Item 49
Self- Employment	49	Enter an "X" in the appropriate box: Have you been self-employed in the last 12 months?	•	Yes No	>		Note and Item 50 Item 50
		NOTE: If answered "Yes," also complete and return to the RRB Form	n AA	A-4, Self E	Emp	loymen	t Questionnaire.
Worker's Compensation	50	Enter an "X" in the appropriate box: Since the date in Item 7, have you received, or expect to receive, worker's compensation payments?	•	Yes No	>		Note and Item 51 Item 51
		NOTE: Proof of the amount(s) and effective date(s) of your worker's	com	pensation	is r	equired.	
Public Disability Benefits	Since the date in Item 7 have you received or do you expect to						Note and Item 52 Item 52
		NOTE: Proof of the amount(s) and effective date(s) of your public dis	sabili	ity is requi	ired.		
Social Security Benefits	52	Enter an "X" in the appropriate box: Have you filed, or expect to file, for monthly social security disability benefits or SSI?	•	Yes No	>		Item 53 Item 54
	53	Enter the social security claim number under which you have filed or will file.	•				
Criminal Offense	54	Enter an "X" in the appropriate box: Within the past 12 months, have you been imprisoned or given a sentence of confinement due to a conviction for a criminal offense?	•	Yes No	>		Item 55 Section 9
	55	Enter the date of the conviction.	•	Month		Day 	Year
	56	Enter an "X" in the appropriate box: Is your disability related to the commission of the criminal offense?	•	Yes No			
	57	Enter the date of the sentence of confinement.	•	Month		Day	Year
	58	Enter the date that confinement began.	•	Month		Day	Year
	59	Enter an "X" in the appropriate box: Is your disability related to your confinement?	•	Yes No	•		
	60	Enter an "X" in the appropriate box: Has the confinement ended?	•	Yes No	>		Item 61 Section 11
	61	Enter the date confinement ended.	-	Month		Day	Year

Sect	ion	9 Remarks
arks		This section is to be used for the continuation of answers to other items. Be sure to include the item number at the beginning of the answer you wish to continue. You may also use this space to enter any additional information that you feel may be important to include.

Section 10 Relinquishment Of Rights By Disability Annuity Applicant Only

I authorize the RRB to relinquish any rights I may have to return to work for a railroad employer, which will affect the payment of my own or my spouse's annuity. Based on this authorization, my rights will be relinquished when I reach full retirement age (FRA) or at age 60-FRA if I become entitled to a supplemental annuity or if my spouse becomes entitled to a spouse's annuity. I understand this authorization remains in effect unless my disability annuity terminates before FRA or before a supplemental or spouse's annuity becomes payable. My rights will also be relinquished if I am eligible for a reduced age and service annuity and choose to receive this type of annuity if my disability is denied.

1	reduced age and service annuity and choose to receive this type of annuity if my disability is denied.											
ion	11 Certification											
63	Enter an "X" in the appropriate box: Will you have a guardian or other representative sign this application on your behalf? Yes Go to Note and Item 64 No Go to Item 64											
	NOTE: If answered "Yes," the guardian or other representative of the applicant must sign this application. That person must also complete and return <i>Form AA-5, Application for Substitution Of Payee.</i>											
64	I know that if I make a false or fraudulent statement in order to receive benefits from the RRB or if I fail to disclose earnings or report employment of any kind to the RRB, I am committing a crime which is punishable under Federal I have received booklets, <i>RB-1d</i> , <i>Employee Disability Benefits</i> , and <i>RB-9</i> , <i>Employee and Spouse Events That N Be Reported</i> . I understand that I am responsible for reporting any events that would affect my annuity, as explained these booklets.											
	I certify that the information I gave to the RRB on this application is true to the best of my knowledge.											
	 I agree to immediately notify the RRB: If I work for any employer, railroad or nonrailroad, or perform any self-employment work; If my condition improves; If I am confined in a jail, prison, penal institution, or correctional facility due to a conviction for a criminal offense. If I begin to receive worker's compensation payments (or any other public benefit based on disability), or if the amount of my payment changes; If my address changes. 											
	I know that if I am receiving a disability annuity and fail to report work and earnings promptly, I am committing a crime punishable by Federal law that may result in criminal prosecution and/or penalty deductions in my annuity payments.											
	Signature (First Name, Middle Initial, Last Name)											
	Date Month Day Year											
65	5 If this certification is signed by mark ("X") in Item 64, two witnesses who know the person signing must sign below, giving their full addresses and daytime telephone numbers.											
	a. Signature of Witness											
	Address (Number and Street)											
	City, State, and ZIP Code											
	Daytime Telephone Number (include area code) ()											
	b. Signature of Witness											
	Address (Number and Street)											
	City, State, and ZIP Code											
	Daytime Telephone Number (include area code)											

Before you return your application, check to make sure that:

- **Every** question that applies to you has been answered.
- ▶ You have entered "unknown" in *any* answer space for which you were unable to answer a question.
- You have signed and dated the application.
- ▶ You have included *all* the needed proofs listed in the letter you received with this application.

When you received your application, you should also have received a pre-addressed return envelope. If you do not have this envelope, you can use any envelope as long as it is addressed to the RRB office shown on page 12. No matter which envelope you use, you must put the correct postage on the envelope. Be careful to provide enough postage, because your application and the accompanying forms may weigh more than a standard letter. The U.S. Postal Service will not deliver your application unless it has the correct postage.

Make one final check before you seal the envelope to ensure that the following are enclosed:

- ► NEEDED PROOFS
- THE APPLICATION FORM ITSELF
- ADDITIONAL FORMS YOU WERE ASKED TO COMPLETE

Note: Make no entries on page 12, which is the receipt for your claim. After the RRB receives your application, they will complete the blanks on the receipt and send it back to you. When it is returned to you, you will know that the RRB has received your application and has started the work needed to determine if you are entitled to benefits. If you do not receive the receipt within a month after you filed this application, please contact us so we can find out what is causing the delay.

Receipt For Your Claim

Employee Applicant's Name	Date Claim Received

Your application for railroad retirement disability benefits has been received and will be processed as quickly as possible. If you change your address or if there is some other change that may affect your claim, you or your representative should report the change. The changes to be reported are listed below. Always give us your claim number when writing or calling about your claim. If you have any questions about your claim, we will be glad to help you.

If you need to personally visit one of our field offices, please call for an appointment. You will not be refused service if you do not have an appointment, but our staff can serve you better when an appointment is made. Most offices are open to the public from 9:00 AM to 3:30 PM, Monday through Friday.

Always Report These Changes to the RRB

- WORK If you work for any employer, railroad or nonrailroad, or perform any self-employment work.
- CONDITION If your condition improves.
- WORKER'S COMPENSATION (or any other benefit based on disability) —
 If you begin to receive worker's compensation payments (or any other public benefit based on disability),
 or if the amount of your payment changes.
- CRIMINAL OFFENSE If you are confined in a jail, penal institution, or correctional facility due to a conviction for a criminal offense.
- ADDRESS If your address changes.

How To Report Changes

When a change occurs after you are entitled to disability benefits, you should report the change at once. You can make your reports by telephone, mail, or in person, whichever you prefer.

To report any of the above changes, contact:



Telephone Number:

(9:00 AM - 3:30 PM)

If for some reason you cannot contact that office, you should contact:

► US RAILROAD RETIREMENT BOARD 844 N RUSH STREET CHICAGO IL 60611-2092

Important Notices

PAPERWORK REDUCTION ACT AND PRIVACY ACT NOTICES

This notice is given under the Paperwork Reduction Act of 1995 and the Privacy Act of 1974. The Privacy Act requires that the Railroad Retirement Board (RRB) tell you the following whenever we ask you for information.

- 1) The law which allows us to ask for the information;
- 2) whether that law requires you to give us that information and what, if anything, might happen to you if you do not give it to us;
- 3) the reason why the information is requested; and
- 4) the persons, organizations, and agencies to which we may release the information without your permission.

The RRB's authority for requesting this information is Section 7(b) of the Railroad Retirement Act (RRA) of 1974. Providing us with this information is voluntary on your part. However, if you fail to provide us with the requested information we may be unable to pay you any benefits. The RRB needs this information to determine whether or not you are eligible to receive such benefits and, if so, the amount you are entitled to receive. If your annuity application is approved and we begin to pay you benefits, information that we may request from you in the future will be used to determine whether you are entitled to continue to receive such benefits.

Although the information we request is almost never used for any purpose other than the payment of benefits under the RRA, the RRB does have the authority to release the following information to the indicated individuals, organizations, and/or agencies without your approval:

- 1) Information may be released to an attorney, the Office of the President, a Congressional office, a labor union or the Department of State's embassy or consular offices if they allege to be representing you at your request.
- 2) Information may be released to other people who are receiving benefits based on the same railroad retirement account as you are, if the information affects their payments from the RRB.
- 3) Information may be released to a person who will receive benefits on your behalf if the RRB decided that some medical condition keeps you from receiving your own benefits; such information may also be released in determining whether such a medical condition exists and who is suitable to receive such benefits for you.
- 4) Information (including medical records) may be released to people or organizations who are working for the RRB.
- 5) Information may be released to the U.S. Treasury Department or Postal Service to issue payments and to investigate lost, forged, or stolen payments.
- 6) Information may be released to your last employer to make sure that you are eligible to receive railroad retirement benefits and you continue to receive any available medical benefits, and to any railroad employer (or to its insurance company) to make sure that you can receive any private retirement or insurance benefits which may be offered by the employer.
- 7) Information may be released to the Social Security Administration, Centers for Medicare & Medicaid Services, Pension Benefit Guarantee Corporation, Office of Personnel Management, Department of Veterans Affairs, or Federal, State, or local welfare or public aid agencies to determine if you can receive benefits from their organizations and if any previous benefits were paid incorrectly.
- 8) Information may be released to the Internal Revenue Service or to State and local taxing authorities for figuring your taxes and for use in audits.
- 9) Your last address and the name of your last employer may be released to the Department of Health and Human Services to be used in the Parent Locator Service.
- 10) Information may be released to the Government Accountability Office for audits and for collecting overpayments owed to the RRB or Social Security Administration.
- 11) Information may be released to the U.S. Department of Labor as required by the Federal Coal Mine and Safety Act.
- 12) Information may be released in certain cases for law enforcement purposes and for court proceedings.
- 13) Information about the determination and recovery of an overpayment made to you may be released to any other person from whom any portion of the overpayment is being recovered.
- 14) Your name and address may be released to a Member of Congress to inform you about current or proposed legislation which could affect the railroad retirement system.
- 15) Information may be released to Professional Standard Review Organizations and State Licensing Boards when services provided by physicians or practitioners suggest unethical or unprofessional conduct.

We estimate this form takes an average of 35 to 60 minutes per response to complete, including the time for reviewing the instructions, getting the needed data, and reviewing the completed form. Federal agencies may not conduct or sponsor, and respondents are not required to respond to, a collection of information unless it displays a valid OMB number. If you wish, send comments regarding the accuracy of our estimate or any other aspect of this form, including suggestions for reducing completion time, to Chief of Information Resources Management, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.

Computer Matching And Privacy Protection Act Notice

The Computer Matching and Privacy Protection Act of 1988 requires the RRB to advise you that information you have provided may be used, without your consent, in automated matching programs. These matching programs are a computer comparison of RRB records with records kept by other Federal, State, or local governmental agencies. Information from these matching programs can be used to establish or verify a person's eligibility for federally funded or administered benefit programs and for repayment of payments or delinquent debts under these programs.