



OMB Control No: 3245-0007  
Expiration Date:

**U.S. SMALL BUSINESS ADMINISTRATION  
SURETY BOND GUARANTEE AGREEMENT ADDENDUM**

**Business Name**

**Business Trade Name**

**SBG Number**

Instructions: If work has begun on the project, the small business must complete this form and submit it to the surety agent of choice with the application. The completed form must include the signatures of the Principal and Obligee in part 3. If the application is submitted electronically, the pre-populated form can be printed from the E-App system. The paper version is available on the Office of Surety Guarantees website at [www.sba.gov/surety-bonds](http://www.sba.gov/surety-bonds).

When the work under a contract has actually begun, an SBA official having delegated authority to approve the bond in question (see 13 CFR part 101), may nevertheless guarantee a bond or bonds pursuant to Part 115 of 13 CFR, upon receipt, satisfactory to SBA, of all the items below.

1. Evidence (certified copy of contract or sworn affidavit) from Principal that the surety bond requirement was contained in the original job contract, or documentation, satisfactory to SBA, as to why a surety bond was not previously secured and is now being required is attached hereto.

2. In the spaces below the Principal has certified that all suppliers and subcontractors are paid to date. If additional spaces are needed attach hereto. A waiver of lien from each, or an explanation satisfactory to SBA why such certification cannot be produced, is attached hereto.

Suppliers	Bill to date	Paid to date	Waiver of Lien Attached hereto
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____

Subcontractors

1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____

3. Certifications by Principal and Obligee.

By signing below, I certify on behalf of the Principal or Obligee that all information provided in connection with the application for a surety bond guaranty from SBA is true, correct and complete to the best of my knowledge. I understand that knowingly making a false statement or submitting false information is a violation of Federal law and could result in criminal prosecution or civil penalties under 18 U.S.C. §§ 287, 371, 1001, 15 U.S.C. § 645, or 31 U.S.C. § 3729. The Principal also hereby certifies that all taxes and labor costs in connection with this job are current. The Obligee also hereby certifies that all payments due the Principal under the contract to present status have been made and that the job has been satisfactorily completed to present status. Please provide reason why bond was not required prior to job starting.

_____ Signature of Principal	_____ Type or Print Name	_____ Date
_____ Signature of Obligee	_____ Type or Print Name	_____ Date

4. All documents required from the Principal and Obligee are attached hereto and all items on this form have been certified to the SBA's satisfaction.

_____ SBA Signature	_____ Date
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PLEASE NOTE: The estimated burden for completing this form is approximately 10 minutes per response. You are not required to respond to any collection of information unless it displays a currently valid OMB approval number. Comments on the burden should be sent to U.S. Small Business Administration, Chief, AIB, 409 3<sup>rd</sup> St., S.W., Washington, D.C. 20416 and Desk Officer for the Small Business Administration, Office of Management and Budget, New Executive Office Building, Room 10202, Washington, D.C. 20503. OMB Approval (3245-007). **PLEASE DO NOT SEND FORMS TO OMB**

**FORM 991 (2/16) Previous Editions are Obsolete**