Non-Substantive Change Request to OMB Control Number 0920-1101; CDC Emergency Operations Center Zika Related Clinical Inquiries and Surveillance

Program Contact

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Submission Date: January 24, 2021

Circumstances of Change Request for OMB 0920-1101

CDC requests approval for a non-substantive change to OMB Control No. 0920-1101: CDC Emergency Operations Center Zika Related Clinical Inquiries and Surveillance.

All of the proposed changes are being made to information collection instruments and supporting tools associated with the domestic pregnancy registry. These changes are being made because of the updated Council of State and Territorial Epidemiologists (CSTE) case definitions for confirmed and probable Zika virus disease and congenital Zika virus infection. Because of the updated CSTE case definitions, participation in the pregnancy registry is no longer voluntary.

NCEZID's human subjects advisor reviewed the proposed changes to the project and determined that it still does not met the definition of research (Attachment M). IRB review is not required.

Estimates of annualized burden hours for this change request remain the same. The burden estimate for the forms included in OMB Control No. 0920-1101 is 705 hours.

Attachments

- A. Public Health Service Act (42 USC 241)
- B. Draft 60-day FRN
- C. Website information Zika Virus Disease and Pregnancy Registry (changes requested)
- D. Overview letter (changes requested)
- E. Maternal Health History Form (changes requested)
- F. Assessment at Delivery Form *(changes requested)*
- G. Infant Health Follow-Up Form (changes requested)
- H. Specimen Collection Form
- I. Domestic ZIKA Clinical Inquiries Database
- J. Survey of county-level surveillance records of Aedes aegypti and Aedes albopictus from 2000 to present
- K. IRB Approval EOC call center
- L. IRB Approval Mosquito surveillance survey
- M. IRB Approval Pregnancy Register (updated)

- N. Pregnancy Registry Information Sheet (changes requested)
- O. Developmental Milestones for Infant (new)
- P. Fact Sheet for Health Care Providers (new)
- Q. CSTE Case Definition (new)
- R. Definitions for case inclusion in US Zika Pregnancy Registry (new)

Description and Justification of Changes

Supporting Statement A

- 1. Circumstances making the Collection of Information Necessary
 - Updated territories with local Zika transmission to be consistent with current situation; added that local transmission may occur in U.S. states.
 - Added the critical information gaps that the data collection is designed to address
 - Added respondents for registry
 - Updated description of pregnancy registry
 - O To reflect case definitions (confirmed and probable for Zika virus disease and congenital zika virus infection) released by the Council of State and Territorial Epidemiologists on February 26, 2016 and add the case definitions as an attachment; to clarify that, in addition to cases meeting the CSTE case definition, the registry will include cases of zika virus infection among pregnant women with laboratory evidence of infection but no reported symptoms.
 - O To clarify that CDC plans to collect information from health departments and clinicians about pregnant women and pre- or perinatally exposed infants, whether or not they meet the case definition for confirmed or probable zika virus infection.
 - O To replace language about voluntary participation with "The provider will notify pregnant or postpartum women that they have a notifiable disease and that their information will be included in the registry."
 - O To add that "The provider will document in the woman's medical record that information was provided..."
 - O To clarify that information collected on the Maternal Health History Form (Attachment E) may be provided in written or electronic form, or verbally.
 - O To replace language about obtaining consent for participation in the registry with a statement that the Overview Letter (Attachment D) will instruct the health care provider to inform the patient about inclusion in the registry.
 - O To clarify that information will be requested during pregnancy and that information on infant health (Attachments F, G) will be transmitted in the same manner as for the Maternal History Form.
 - O To add that the proposed data collection is consistent with efforts to strengthen surveillance in the context of severe disease and emerging infections, which involve working closely with clinicians who diagnose and treat patients.

- 10. Protection of the Privacy and Confidentiality of Information Provided by Respondents
 - Added authority (HIPAA Privacy Rule) for collecting personally identified medical information from health care providers.
 - Added that application for an Assurance of Confidentiality is in process
 - Added that only de-identified data will be presented_in case reports and in aggregate form, and that data that could indirectly identify an individual will be suppressed.

Attachment C: Website

- Changes: Removed letter to Health Care Provider (HCP) link and added Fact Sheet for Health Care Providers (Attachment P).
- Justification: Aligns better with web content format.

Attachment D: Overview Letter

- Changes: Clarified how health care providers can report cases and that as a nationally notifiable condition, no consent is required.
- Justification: New CSTE case definition, approved February 26, 2016, includes national notification for pregnant women and infants.

Attachment E: Maternal Health History Form

- Changes: New variables added: maternal hospitalization and death, sexual transmission questions, method to derive estimated delivery date, cocaine use, HC measurement, growth restriction, and prenatal ultrasound findings (was free text, now more text boxes).
- Removed voluntary participation checkbox, not required for information needed to apply case definitions for a nationally notifiable disease.
- Justification: New findings associated with Zika virus.

Attachment F: Assessment at Delivery Form

- Changes: New variables added: delivery complications, imaging findings, neonatal diagnoses.
- Justification: Information needed to interpret reporting of neonatal outcomes.

Attachment G: Infant Health Follow-Up Form

- Changes: Added infant date of birth, added instruction sheet for developmental interpretation.
- Justification: Ensure appropriate tracking of infant, ensure appropriate surveillance of birth defects and developmental delays.

Attachment N: Pregnancy Registry Information Sheet

- Changes: Removed references to voluntary participation and removed information about specimen collection.
- Justification: Nationally notifiable disease and new CSTE case definition.

Attachment O: Developmental Milestones for Infant

• Changes: Added to provide HCP with information that will allow them to complete information on infant form related to developmental outcomes.

<u>Attachment P: Fact Sheet for Health Care Providers</u>

• Changes: Added to provide HCP with information. This will be posted on the website, see Appendix A

Form	Current Question	Requested Change		
Maternal Health History Form	Mother's name:	Mother's name:LastFirstMI Maiden name (if applicable) State/Territory ID:		
(Att. E)	Race (Please ask the patient to self-identify as): □American Indian or Alaska Native □Asian □Black or African-American □Native Hawaiian or other Pacific Islander □White	Race (check all that apply): □American Indian or Alaska Native □Asian □Black or African-American □Native Hawaiian or other Pacific Islander □White		
	Indication for maternal serum Zika virus testing:	Indication for maternal Zika virus testing: □Exposure history, no known fetal concerns □Exposure history and fetal concerns		
	Date of Zika virus disease onset: //OR- Asymptomatic	Date of Zika virus symptom onset:// -OR- □ Asymptomatic If date not known, trimester of symptom onset Hospitalized for Zika virus disease □ No □ Yes Maternal Death □ No □ Yes		
	Symptoms of mother's Zika virus disease: (check all that apply) □Fever °F □Rash □Arthralgia □Conjunctivitis □Other Clinical Presentation Gestational age at onset: weeks	Symptoms of mother's Zika virus disease: (check all that apply) □Fever°F (if measured) □Rash □Arthralgia □Conjunctivitis □Other Clinical Presentation If symptomatic, gestational age at onset: weeks If gestational age not known, trimester of symptom onset		
	N/A	Was Zika virus infection acquired in place of residence ☐No ☐Yes, if yes, skip to the section on Mother's pregnancy		
	Countr(ies) of exposure: Date of travel1: Date of travel2: Date of travel3:	IF TRAVEL DURING PREGNANCY, answer questions below. If not, skip to non-traveling woman Country of exposure (1) Travel Start/_/_ Travel End/_/_ Country of exposure (2) Travel Start/_/_ Travel End/_/_ Country of exposure (3)		
	N/A	Travel Start/_/ Travel End/_/		
	N/A	Mother's sexual partner(s)? please check all that apply □Male □Female		
	N/A	Did any male sexual partner(s) travel on this trip?		

	□Yes
N/A	If yes, did any male partner(s) have an illness that included fever, rash, joint pain, or pink eye within 2
	weeks of travel? □ No □ Yes
	If yes, was there unprotected sexual contact while male
	partner(s) had illness? □No □Yes
N/A	If male partner(s) travelled, did he have a test that showed lab evidence of Zika? ☐ No ☐ Yes
☐ Mother agrees to participate in this	N/A [Removed]
Pregnancy Registry	
N/A	NON-TRAVELLING WOMAN: other possible exposures? □Sexual partner w/travel history, symptomatic, lab evidence of Zika □Sexual partner w/travel history, symptomatic, no test results □Sexual partner w/travel history, asymptomatic lab evidence of Zika □Other, please describe □Unknown
N/A	Last menstrual period (LMP):/
N/A	Estimated delivery date based on (check all that apply): \[\Boxed{LMP} _/_/_\ \Boxed{U/S} \(\text{1st trimester} \) \Boxed{U/S} \(\text{2}^{nd} \) trimester) \[\Boxed{U/S} \(\text{3}^{rd} \) trimester)
N/A	History: # pregnancies # living children # miscarriages # elective terminations
N/A	Prior fetus/infant with microcephaly: □ No □ Yes If yes, genetic cause: □ No □ Yes
Current gestation: □Single □Twins □Triplets	Gestation: □Single □Twins □Triplets+
Underlying maternal illness: Diabetes □ No □ Yes Maternal PKU □ No □ Yes Hypothyroidism □ No □ Yes Hypertension □ No □ Yes Alcohol use □ No □ Yes Other underlying illness: ————	Underlying maternal illness: Diabetes □ No □ Yes
Complications of pregnancy: TORCH infection □ No □ Yes Gestational diabetes □ No □ Yes Death of a monozygote twin □ No □ Yes Pregnancy-related HTN □ No □ Yes Other □ No □ Yes	Complications of pregnancy: Toxoplasmosis □positive □No □Yes □Unknown Herpes Simplex □positive □No □Yes □Unknown Syphilis □positive □No □Yes □Unknown Cytomegalovirus □positive □No □Yes □Unknown Rubella □positive □No □Yes □Unknown
	Fetal genetic abnormality □No □Yes, <i>diagnosis</i> □Unknown Gestational diabetes □No □Yes Pregnancy-related HTN □No □Yes Intrauterine death of a twin □No □Yes Other
N/A	Did this pregnancy end in miscarriage or intrauterine fetal demise (IUFD)? □No □Yes Date:// Gestational age weeks
N/A	Was this pregnancy terminated? □No □Yes Date:/ Gestational age weeks
N/A	
	Maternal Prenatal Imaging and Diagnostics
	Date(s) of
	Ultrasound(s):

	0 110 100 100 1
	Overall Fetal Ultrasound Results:
	\square Normal \square Abnormal \square <i>Check if</i>
	reported by patient/healthcare
	<i>provider</i> □ ultrasound report
	Head Circumferencecm □
	Normal □ Abnormal (by physician
	, , , , ,
	report)_
/ /	Biparietal diameter cm
□Check if d	
approximate	Abdominal Circumference
	cm
If date not	☐ Symmetrical intrauterine growth
known,	restriction IUGR (<5% EFW)
gestational d	age ☐Asymmetrical IUGR (HC <fl or<="" td=""></fl>
weeks	HC <ac)< td=""></ac)<>
weeks	· · · · · · · · · · · · · · · · · · ·
	Intracranial calcifications ☐ No ☐
	Yes Ventriculomegaly □ No □ Yes
	Cerebral atrophy ☐ No ☐ Yes
	Ocular anomalies □ No □ Yes
	Cerebellar abnormalities ☐ No ☐
	Yes Arthrogryposis □ No □ Yes
	Corpus callosum abnormalities \Box
	No ☐ Yes Hydrops ☐ No ☐ Yes
	Ascites □ No □ Yes Other □
	No ☐ Yes, describe
Description	of abnormal ultrasound findings:
Fetal MRI propertions de	performed: □ No □ Yes (please answer
questions be	
questions be	Overall fetal MRI Results:
questions be	Overall fetal MRI Results: □Normal □Abnormal
questions be	Overall fetal MRI Results: □Normal □Abnormal
questions be	Overall fetal MRI Results: □Normal □Abnormal □Check if report by
questions be	Overall fetal MRI Results: □Normal □Abnormal
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// □Check if d approximate If date not known,	Overall fetal MRI Results: \[\subseteq \text{Normal} \subseteq \text{Abnormal} \] \[\subseteq \text{Check if report by patient/healthcare provder} \] Head Circumference \(\subseteq \text{cm} \subseteq \text{Cmmal} \subseteq \text{Biparietal} \) diameter \(\subseteq \text{cm} \subseteq \text{Symmetrical IUGR} \) \[\left(<5\% \text{ EFW} \right) \subseteq \text{Asymmetrical IUGR} \) \[\left(+\text{CFL or HC < AC} \right) \subseteq \text{Intracranial calcifications} \subseteq \text{No} \subseteq \text{Yes} \] \[\text{Ventriculomegaly} \subseteq \text{No} \subseteq \text{Yes} \] \[\text{Cerebellar ahonormalities} \subseteq \text{No} \subseteq \text{Yes} \] \[\text{Cerebellar abnormalities} \subseteq \text{No} \subseteq \text{Yes} \]
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// □Check if d approximate If date not known, gestational o	Overall fetal MRI Results: Normal Abnormal Check if report by patient/healthcare provder

		Description of abnormal MRI findings:		
		Amniocentesis performed: □ No □ Yes (date:/) Zika virus testing: □Not performed □Yes, if yes test results: □lab evidence of Zika □negative for Zika Non-Zika infection detected □No □Yes if yes, what infection was detected Genetic abnormality detected □No □Yes Please describe:		
		Provider Information		
		Provider name: □ Dr. □ PA □ RN □ Mr. □ Ms. Phone: Date of form completion /_/		
		Name of person completing form (if different from provider): Hospital/facility: Phone: Email: Date of form completion//		
		Provider Information		
		Name of person completing form: Phone: Email: Date of form completion/_/ FOR INTERNAL CDC USE ONLY Mother ID: State ID: Zika T		
		R number: Mother infection type: □ Confirmed □ Probable □ Possible		
	N/A	Birth Certificate ID:		
	N/A	Infant's State/Territory ID		
	N/A	Mother's State/Territory ID		
Assessm ent at Delivery	Sex: □Male □Female Gestational age at delivery: weeks	Sex: □Male □Female □Ambiguous/undetermined Gestational age at delivery: weeks Based on: (check all that apply) □LMP/_ / □U/S (1 st trimester) □U/S (2 nd trimester) □U/S (3 rd trimester) □Other		
Form (Att. F)	Delivery type: □ Vaginal □ Forceps/suction □ Caesarean section	Delivery type: □ Vaginal □ Caesarean section Delivery complication: □ No □ Yes If yes,		
	N/A	Arterial Cord blood pH: if performed Venous Cord blood pH: if performed		
	Placental exam (pathologist): □No □Yes	Placental exam (based on path report): □No □Yes If yes, □Normal □Abruption □Inflammation □Other abnormality (please describe)		
	N/A	Apgar score: 1 min/5 min		
	Infant temp at delivery:°F	Infant temp (if abnormal):°F		
	Head circumference: □cm □in	Birth head circumference: □cm□in □ molding present		
	N/A	Repeat head circumference:		

Admitted to NICU: □No □Yes	Admitted to Neonatal Intensive Care Unit: □No □Yes, If yes, <i>reason</i>				
Microcephaly □No □Yes	Microcephaly (head circumference <3%ile): □No □Yes				
N/A	Seizures: No Yes				
Neurologic abnormalities: □No □Yes (please describe)	Neurologic exam: check all that apply □Nor performed □Unknown □Normal □Hypertonia/Spasticity □Hyperreflexia □Irritability □Tremors □Other Neurologic abnormalities (please describe below)				
Splenomegaly: □No □Yes (please describe)	Splenomegaly <i>by physical exam</i> : □No □Yes □Unknown (please describe)				
Hepatomegaly: □No □Yes (please describe)	Hepatomegaly <i>by physical exam</i> : □No □Yes □Unknown (please describe)				
Skin rash: □No □Yes (please describe)	Skin rash by physical exam: □No □Yes □Unknown (please describe)				
Other abnormalities identified: □No □Yes (please provide clinical descriptions from medical records)	Other abnormalities identified: (please provide clinical description from medical records and include chromosomal abnormalities and syndromes); please check all that apply □None □Microphthalmia □Absent red reflex □Excessive and redundant scalp skin □Arthrogryposis (congenital joint contractures) □Congenital Talipes Equinovarus (clubfoot) □Other abnormalities (please describe below)				
Hearing evaluation performed: □Normal □Abnormal (<i>please describe</i>) □Not done	Hearing screening: (date:/) □Pass □Fail or referred □Not performed (please describe below)				
Ophthalmologic evaluation performed: □Normal □Abnormal (please describe) □Not done	Retinal exam (with dilation): □Not Performed □Unknown If performed: (date: _/_/) please check all that apply: □Microphthalmia □Chorioretinitis □Macular pallor □Other retinal abnormalities (please describe below) Imaging study: □Cranial ultrasound (date: _/_/) □MRI (date: _/_/) □CT (date: _/_/) □Not performed Findings: check all that apply □Microcephaly □Cerebral (brain) atrophy □Intracranial calcification □Ventricular enlargement □Lissencephaly □Pachygyria □Hydranencephaly □Porencephaly □Abnormality of corpus callosum □Other abnormalities (please describe below)				
Imaging study result: □N/A □Normal □Abnormal (please list type, date, and describe)					
Lumbar puncture performed: □No □Yes If yes, □Normal □Abnormal (please describe)	Was a lumbar puncture performed: □Yes □No □Unknown (date://)				
TORCH testing result: □ Not done □ Negative □ Positive (if positive, please	Congenital infection testing: if performed, please specify test (i.e. PCR, IgG, IgM)				
specify pathogen and test (e.g., PCR, IgG, IgM))	Toxopla smosis				
Other tests/results:	Other tests/results/diagnosis (include dates):				
Provider name □Dr. □PA □RN □Mr. □Ms Phone: Email:	Neonatal Provider name: □Dr. □PA □RN □Mr. □Ms. Phone:Email:Date of form completion//				
N/A	Pediatric Provider Name: \square Dr. \square PA \square RN \square Mr. \square Ms.				

		Phone: Email:		
		Date of form completion/_/		
	Name of person completing form: (if different from provider): Hospital/facility: Phone:	Name of person completing form: (if different from provider) Hospital/facility: Phone: Name of Infant Pediatrician: Phone:		
	1105ptan/atemty11101101	Email: Date of form completion/_/		
	N/A	Health Department Information Name of person completing form: Phone: Email: Date of form completion/_/		
Infant Health Follow- up Form	N/A	DOB:		
	N/A	Infant's State/Territory ID: Mother's State/Territory ID: Sex: □Male □Female □Ambiguous/undetermined		
(Ått. G)	N/A			
	N/A	Infant death: □No □Yes, date// □Unknown		
	Infant physical exam: □Normal □Abnormal (please describe)	Infant findings for corrected age at examination: (For infants born preterm, please account for corrected age: chronological age minus weeks born before 40 weeks gestation) Check all that apply: □Microcephaly (head circumference <3%ile) □Arthrogryposis (congenital joint contractures) □Hypertonia/Spasticity □Splenomegaly □Absent red reflex □Congenital Talipes Equinovarus (clubfoot) □Hyperreflexia □Hepatomegaly □Excessive and redundate scalp skin □Irritability □Tremors □Skin rash □Microphthalmia □Swallowing/feeding difficulties Please list other abnormal findings:		
	Infant development: □Normal □Abnormal (please describe)	Development assessment for corrected age at examination: (For infants born preterm, please account for corrected age: chronological age minus weeks born before 40 weeks gestation) □Normal □Abnormal □Unknown If developmental delay, in what area? Please check all that apply □Gross motor □Fine motor □Cognitive, linguistic and communication □Socio-Emotional		
	CT/other imagine scan: □Yes □No	Imaging study: □Cranial ultrasound (date://) □MRI (date://) □CT (date://) □Other□Not Performed Findings: check all that apply □Microcephaly □Cerebral (brain) atrophy □Intracranial calcification □Ventricular enlargement □Lissencephaly □Pachygyria □Hydranencephaly □Porencephaly □Abnormality of corpus callosum □Other abnormalities (please describe below)		
	Hearing evaluation performed: □Yes □No	Hearing screening or re-screening: □Not performed □Unknown <i>If performed:</i> (date://) □Pass □Fail or referred, please describe		
	Dysmorphology exam: □Yes □No	Audiological evaluation: □Not performed □Unknown <i>If performed:</i> (<i>date:</i> // □Normal □Abnormal, please describe		
	Ophthalmologic exam: □Yes □No	Retinal exam (with dilation): □Not Performed □Unknown <i>If performed: (date: / /) please check all that apply:</i>		

	☐Microphthalmia ☐Chorioretinitis ☐Macular pallor
	□Other retinal abnormalities (please describe below)
Other (<i>please describe</i>): □Yes □No	Other abnormal tests/results/diagnosis (include dates):
- ,	□No □ Yes (<i>date</i> :/) Please describe
Provider name □Dr. □PA □RN □Mr.	Neonatal Provider name: □Dr. □PA □RN □Mr. □Ms.
□Ms	Phone:Email:
Phone:	Date of form completion/_/
Email:	
N/A	Pediatric Provider Name: □Dr. □PA □RN □Mr. □Ms.
	Phone: Email:
	Date of form completion//
Name of person completing form: (if	Name of person completing form: (if different from
different from provider):	provider) Hospital/facility: Phone:
Hospital/facility: Phone:	Name of Infant Pediatrician: Phone:
	Email: Date of form completion//
N/A	Health Department Information
	Name of person completing form:
	Phone: Email: Date of form completion

Estimates of Annualized Burden hours (unchanged from approved ICR)

Type of Respondent	Form Name	No. of Respondents	No. of Responses per Respondent	Average Burden per Response (in hours)	Total Burden Hours
	Clinical Inquiries Database	420	1	15/60	105
State and Local Health Departments	Maternal Health History Form	100	5	30/60	250
	Specimen Collection Form	100	1	15/60	25
	Clinical Inquiries Database	800	1	15/60	200
Clinicians and Other Providers	Assessment at Delivery Form	100	1	30/60	50
Other Providers	Infant Health Follow-Up Form at 2 months of age	100	1	30/60	50
Vector control professionals, entomologists, and Public health biologists	Survey of county-level surveillance records of Aedes aegypti and Aedes albopictus	500	1	3/60	25
				705	