

NIST SBIR SURVEY  
2017

OMB Control #0693-0033

Expiration Date: 06/30/2019

Thank you for agreeing to take part in this survey of NIST's SBIR award program!

We would like to gather some information on the following Phase I and Phase II awards that your company received. The survey questions that follow address the results from the following Phase I and Phase II awards.

Phase I Award

Award Number:  
Award Year:  
Award Title:

Phase II Award

Award Number:  
Award Year:  
Award Title:

This survey is designed to help NIST management determine how well NIST's SBIR awards have met their mandated objectives and to identify ways in which the selection, management, and reporting processes can be improved.

Researchers working on this survey have signed nondisclosure agreements and all information obtained is kept in the strictest confidence. No information obtained in this survey will be used to influence the selection of future awardees.

This survey should take no more than 30 minutes of your time.

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**1. What is the status of the project that was supported by these SBIR awards? (Select the answer that best describes the status of this award.)**

- a. Project was successfully completed. A commercial product or process was developed.
- b. Project was successfully completed. No commercial product or process was produced.
- c. Project was suspended or terminated before completion. No commercial product or process was produced.

Comment:

**2. Would you have undertaken this research if your company did not receive these SBIR awards?**

- a. Yes
- b. No

Comment:

**3. Which of the following resulted from research funded by these SBIR awards? (Select all that apply.)**

- a. Invention disclosure
- b. Patent application
- c. Patent award
- d. License
- e. Copyright
- f. Scientific or technical publication in peer-reviewed journal
- g. Non-peer-reviewed publication
- h. Commercial Product or Process
- i. Uncodified know-how transferred to another project
- j. Other (please specify):

Comments:

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**4. If no commercial product or process originated under these SBIR awards, please identify the reason(s). (Select all that apply)**

- a. Technical failure or technical difficulties.
- b. No commercial potential.
- c. Inadequate sales capability.
- d. Projected market demand too small.
- e. Another firm got to the market first.
- f. Not enough funding available.
- g. Principal investigator left.
- h. Company shifted priorities.
- i. The technology developed was licensed to another company.
- j. No commercial product or process was planned.
- k. Other (please specify):

Comment:

**5. If a commercial product or process was developed from the research funded by these SBIR awards, identify the source of additional post-award funds that were used to further develop and commercialize this product or process. (Select all that apply.)**

- a. Personal assets, loans or lines of credit, and/or financial support from friends and family.
- b. Small business grants.
- c. Angel or venture capital funds.
- d. Collaborations or partnerships with established business.
- e. Crowdfunding.
- f. Other federal or state funds.
- g. Foreign investments.

Comment:

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- 6. If the research developed under these SBIR awards led the company and/or its licensee to achieve any actual sales of products or services, please describe the product or process, the type of units sold and the number sold to date.**

Description of product or process:

Unit of measurement:

Number of units sold to date:

Comment:

- 7. If sales were achieved, estimate the total amount of revenue obtained to date.**

- a. Up to \$5 million
- b. Between \$5 million and \$100 million
- c. More than \$100 million

Comment:

- 8. If other revenues were obtained as a result of these SBIR awards, please describe how these revenues were obtained. (For example, sale of rights to a technology, sale of spin-off company, etc.)**

Description:

Comment:

- 9. Estimate the amount of other revenues obtained as a result of these SBIR awards.**

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- a. Up to \$3 million
- b. Between \$3 and \$10 million
- c. Greater than \$10 million

Comment:

**10. Is a federal (or state) agency or acquisition program using the products or processes created under these SBIR awards?**

- a. Yes
- b. No

Comment:

**11. For the sale of products or processes resulting from the research developed under these SBIR awards, enter the percentage sold to each of the following groups. (Total must equal 100%)**

- \_\_\_\_\_ a. Domestic manufactures or consumers
- \_\_\_\_\_ b. Foreign manufactures or consumers
- \_\_\_\_\_ c. State or local governments
- \_\_\_\_\_ d. Federal agencies
- \_\_\_\_\_ e. Other
- \_\_\_\_\_ (Specify) \_\_\_\_\_

Comment:

**12. Estimate the number of new, full and part-time employees that were hired because of the research performed under this SBIR award and any resulting development and commercialization efforts.**

Comment:

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**13. Did you receive any help in preparing your SBIR proposals?**

- a. No
- b. Yes. If yes, please identify the kind of help you received.

**14. After these SBIR awards ended, did you receive any advice from the Technology Commercialization Assistance Program (TCAP) or from NIST?**

- a. No
- b. Yes. If yes, please describe the kind of assistance you received and how useful it was.

**15. In comparison to other Federal awards or Federal funding opportunities that you are familiar with, how would you rate the process of applying for a NIST SBIR award?**

**16. Do you have any additional comments about NIST's SBIR program?**

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