**CFTC Message Testing In-Person Focus Groups (4)**

Screener

May 17, 2017

Dates: Week of July 10 (TBD), conducted in-person

Length: 90-minute in person groups, 2 in Baltimore MD, 2 in NYC area

Recommended Incentive: $125 per participant (Baltimore) $175 per participant (NYC area)

Groups 1 & 2: CFTC Target Audience - Male

Groups 3 & 4: CFTC Target Audience - Female

*For each group, recruit 10 to seat 8-9*

**S1. What is your gender?**

1. Male [CONTINUE FOR GROUPS 1 & 2, TERMINATE FOR GROUPS 3 & 4]
2. Female [CONTINUE FOR GROUPS 3 & 4, TERMINATE FOR GROUPS 1 & 2]

**S2. What is your age?**

*Record exact age. Terminate anyone younger than 50 or older than 65.*

*If respondent is hesitant, ask:*

Which of the following categories best describes your age range?

1. 20-29 [TERMINATE]
2. 30-39 [TERMINATE]
3. 40-49 [TERMINATE]
4. 50-59 [CONTINUE FOR ALL GROUPS]
5. 60-65 [CONTINUE FOR ALL GROUPS]
6. Older than 65 [TERMINATE]

*Recruit a mix. Terminate anyone younger than 50 or older than 65.*

**S3. What is your total household income?**

*Do not read list of options, select range in which response lies.*

1. Under $25,000 [TERMINATE]
2. $25,000 to $39,999 [TERMINATE]
3. $40,000 to $49,999 [TERMINATE]
4. $50,000 to $59,999 [TERMINATE]
5. $60,000 to $74,999 [CONTINUE FOR ALL GROUPS]
6. $75,000 to $99,999 [CONTINUE FOR ALL GROUPS]
7. $100,000 or more [CONTINUE]

**S4. When it comes to family and personal investments like stocks, mutual funds, or other trading products, how likely are you to be involved in making decisions for your household?**

1. Very likely [CONTINUE FOR ALL GROUPS]
2. Somewhat likely [CONTINUE FOR ALL GROUPS]
3. Not too likely [TERMINATE]
4. Not at all likely [TERMINATE]

**S5. Below is a list of financial products. Please select all that you currently are invested in or have invested in.**

*Recruit only those who have invested in two or more of the following investment products. Recruit at least 1-2 participants per group that have invested in FOREX or futures/options (C or D).*

1. Stocks or shares
2. Precious metals like gold or silver
3. Foreign currency trading (FOREX)
4. Any type of futures or options
5. None of these [TERMINATE]
6. Don’t know [TERMINATE]

**If qualified for groups 1-4, record respondent’s information and provide invitation to participate in a focus group of 60 minutes. Establish timeframe participants are free and collect contact information:**

**Contact Name**

**Address and Email**

**Phone**

**Times available for groups week of 6/12 and groups qualified for:**

**OMB Burden Calculations**

Screening Burden – 3 minute average screener X 400 participants screened (10% participation rate from a qualified pre-screened panel of 50% incidence) for 40 to be recruited = 1,200 minutes

Group Burden – 36 participants X 90 minute group participation = 3,240 minutes

Total Burden – 4,440 minutes or 74 hours total