

## **CFTC Investment Fraud Conversation Starter Kit Testing – Online Bulletin Board Participant Screener**

Date: TBD

Length: 4 days, 20-30 minutes of engagement on the online bulletin board per day

Recruit 20 to seat 15-20 participants

### **S1. What is your gender?**

- a. Male
- b. Female

### **S2. What is your age?**

*Record exact age. Terminate anyone younger than 40 or older than 65.*

*If respondent is hesitant, ask:*

Which of the following categories best describes your age range?

- a. 20-29 [TERMINATE]
- b. 30-39 [TERMINATE]
- c. 40-49 [CONTINUE]
- d. 50-59 [CONTINUE]
- e. 60-65 [CONTINUE]
- f. Older than 65 [TERMINATE]

*Recruit a mix. Terminate anyone younger than 40 or older than 65. Monitor incidence rate and adjust age range to include participants younger than 30, if necessary to complete the panel.*

### **S3. What is your total household income?**

*Do not read list of options, select range in which response lies. Monitor incidence rate and adjust to include the income range of \$50,000-\$59,999, if necessary to complete the panel.*

- a. Under \$25,000 [TERMINATE]
- b. \$25,000 to \$39,999 [TERMINATE]
- c. \$40,000 to \$49,999 [TERMINATE]
- d. \$50,000 to \$59,999 [TERMINATE]
- e. \$60,000 to \$74,999 [CONTINUE]
- f. \$75,000 to \$99,999 [CONTINUE]
- g. \$100,000 or more [CONTINUE]

### **S4. When it comes to family and personal investments like stocks, mutual funds, or other trading products, how likely are you to be involved in making decisions?**

- a. Very likely [CONTINUE]
- b. Somewhat likely [CONTINUE]
- c. Not too likely [TERMINATE]
- d. Not at all likely [TERMINATE]

### **S5. Below is a list of financial products. Please select all that you have invested in, have significant knowledge about, or are interested in for investing.**

*Recruit only those that have invested in more than just stocks or shares, even in the “Other” category. At least two-thirds need to have something other than stock, bond and mutual fund*

- a. Stocks or shares
- b. Precious metals like gold or silver
- c. Bonds
- d. Mutual funds
- e. ETFs (Exchange-Traded Funds)
- f. Foreign currency trading (FOREX)
- g. Any type of futures or options
- h. Cryptocurrencies (Example: Bitcoin)
- i. Other (Specify)
- j. None of these [TERMINATE]
- k. Don't know [TERMINATE]

**S6. Do you currently have involvement in and/or oversee your parents' and/or other family members' or peers' financial portfolio(s), including advising their investment decisions?**

*Recruit a mix.*

- Significant involvement
- Some involvement
- Not very much involvement
- No involvement at all
- Don't know/N/A

**If qualified, record respondent's information and provide invitation to participate in the online bulletin board. Let the respondents know that participation consists of 30 minutes of online engagement a day for four days. Collect contact information. This information is not to be provided to the CFTC.**

Contact Name

Address and Email

Phone