

**SUPPORTING STATEMENT
U.S. Department of Commerce
Bureau of Industry and Security
Delivery Verification Procedure
for Imports
OMB Control No. 0694-0016**

On April 9, 2014, BIS published a proposed rule entitled “Proposed Revisions to the Support Document Requirements of the Export Administration Regulations in Response to Executive Order 13563 Retrospective Regulatory Review.” Among other things, this rule would remove the requirements for the following BIS information collections:

0694-0009	Triangular Transactions
0694-0016	Delivery Verification Procedure for Imports
0649-0017	International Import Certificate

BIS is still evaluating how to proceed on these information collections. Since these collections may not be discontinued until a final rule is published, BIS is renewing these collections.

A. JUSTIFICATION

This is a request to extend the Office of Management and Budget approval.

1. Explain the circumstances that make the collection of information necessary.

Section 15(b) of the Export Administration Act (EAA) of 1979, as amended, authorizes the President and the Secretary of Commerce to issue regulations to implement the EAA including those provisions authorizing the control of exports of U.S. goods and technology to all foreign destinations, as necessary for the purpose of national security, foreign policy and short supply, and the provision prohibiting U.S. persons from participating in certain foreign boycotts. Export control authority has been assigned directly to the Secretary of Commerce by the EAA and delegated by the President to the Secretary of Commerce. This authority is administered by the Bureau of Industry and Security through the Export Administration Regulations (EAR). The EAA is not permanent legislation, and when it has lapsed due to the failure to enact a timely extension, Presidential executive orders under the International Emergency Economic Powers Act (IEEPA) have directed and authorized the continuation in force of the EAR.

The United States and several other countries have undertaken to increase the effectiveness of their respective controls over international trade in strategic commodities by means of an Import Certificate procedure.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support

information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

The requirements for this collection are fully described by 15 CFR Supp. 5 to Part 748.

This collection of information utilizes Form BIS-645P, International Import Certificate for the procedure. For the U.S. importer, this procedure provides that, where required by the exporting country with respect to a specific transaction, the importer certifies to the U.S. Government that he/she will import specific commodities into the United States and will not reexport such commodities except in accordance with the export control regulations of the United States. The U.S. Government, in turn, certifies that such representations have been made.

This procedure involves the following steps:

- a. The foreign exporter of a controlled commodity advises his/her U.S. importer that the U.S. Import Certificate is required.
- b. The U.S. importer obtains the Form BIS-645P, International Import Certificate from BIS.
- c. The U.S. importer completes the form (3 copies) and returns it to BIS for review and certification.
- d. After approval and certification, two copies are returned to the importer to transmit one to the foreign exporter; the second copy is retained by the applicant; and the third copy is retained in BIS files. Comparable procedures with respect to exports from the United States are followed by the other countries.

If these collections of information were not conducted there would be less insight into where strategic commodities are destined for import to the United States and less control over the export of the items from the United States. These controls are vital for assuring that these commodities are not diverted to unauthorized end-users or to prohibited destinations.

The confirmation copies maintained by BIS and the foreign exporters provide an investigative reference source in cases of alleged export violations. Moreover, this requirement is mandated by the United States' agreement with other Import Certificate procedure participating countries to mutually exercise controls of exports and imports of commodities controlled for reasons of national security.

The Section 515 Information Quality Guidelines apply to this information collection and comply with all applicable information quality guidelines, i.e., OMB, Department of Commerce, and specific operating unit guidelines.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of

information technology.

The BIS-645P can be downloaded from the BIS website or requested at <http://www.bis.doc.gov/index.php/component/rsform/form/21-request-bis-forms>

4. Describe efforts to identify duplication.

This collection is not duplicated elsewhere. The respondents are U.S. importers who provide information related to specific commodities exported to the U.S. by foreign exporters. This information is not available from any source other than the respondent.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

This collection of information must be adhered to by all U.S. importers regardless of size.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

If the collections were conducted less frequently violations of the EAA and the EAR would increase to the detriment of international security interests. Anything less than a full collection would not provide the necessary controls over international trade in strategic commodities.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

There are no special circumstances that require the collection to be conducted in a manner inconsistent with the guidelines in 5 CFR 1320.6.

8. Provide a copy of the PRA Federal Register notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

The notice requesting public comment was published in the Federal Register, on 6/9/2016, in 81 FR 31179. No public comments were received.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

Not applicable.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

Section 12(c) of the EAA provides for the confidentiality of information submitted to the Department of Commerce.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

There are no questions of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Based on an average time of thirty minutes (15 minutes to complete Form BIS 647P; and 15 minutes to complete the requirements in Question 2, paragraph 1) for one respondent, and one minute of recordkeeping, the annual burden is 11.2 hours. It is estimated that “special circumstances” certificates will require an additional 20 minutes to complete each year. Therefore the total burden is estimated to be $10.0 + 1.2 + .2 = \mathbf{11.4 \text{ hours}}$.

Responses - 20 @ 30 minutes each	=	10.0	hours
“Special Circumstances”	=	1.2	hours
Recordkeeping - 20 @ 1 minute each	=	.2	hours
TOTAL		11.4	hours

The annual total cost to the public is estimated to be \$342. This is based on 30 minutes per response at an estimate of \$30 per hour.

13. Provide an estimate of the total annual cost burden to the respondents or recordkeepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

Not applicable.

14. Provide estimates of annualized cost to the Federal government.

It is estimated that the annual cost to the Federal Government is approximately \$100. This is based on an average of 10 minutes for reviewing each of 20 forms at a salary of \$30 per hour.

15. Explain the reasons for any program changes or adjustments.

Not Applicable.

16. For collections whose results will be published, outline the plans for tabulation and publication.

There are no plans to publish this information.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

BIS is seeking approval to not display the expiration date for OMB approval of the information collection because the collection is perpetual in nature and the paper form is typically in circulation worldwide. To have the form reprinted each time the OMB authorization changes serves only to make otherwise good forms become obsolete.

18. Explain each exception to the certification statement.

Not applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

Not applicable.