

## **Appendix: International Principles, Guidelines, Tools, and Global Initiatives Related to Business and Human Rights**

This page contains information relating to international principles and guidelines and global initiatives relating to business and human rights. It is provided as a resource for the consideration of businesses in their efforts to operate in a manner that maintains respect for human rights. The listing of principles, guidelines, and initiatives on this page is a sample of such initiatives, should not be taken as comprehensive and does not signify an endorsement of those principles, guidelines, or initiatives by the U.S. Government.

### **Principles, Guidelines, and Tools**

<b>Initiative</b>	<b>Sector</b>	<b>Website</b>
<b>Earth Rights Checklist: U.S. Investment in Myanmar: Specific Reporting on Policies and Procedures</b>	All industry sectors	<a href="http://www.earthrights.org/publications">www.earthrights.org/publications</a>
<b>UN Declaration of Human Rights</b>	All industry sectors	<a href="http://www.un.org/en/documents/udhr">www.un.org/en/documents/udhr</a>
<b>Business &amp; Human Rights Resource Centre: Selected Company Reports</b>	All industry sectors	<a href="http://www.business-humanrights.org/Categories/CompanyPoliciesSteps/Reporting/SelectedCompanyReports">www.business-humanrights.org/Categories/CompanyPoliciesSteps/Reporting/SelectedCompanyReports</a>
<b>European Commission: A guide to human rights for small and medium-sized enterprises</b>	All industry sectors	<a href="http://ec.europa.eu/enterprise/policies/sustainable-business/files/csr-sme/human-rights-sme-guide-final_en.pdf">http://ec.europa.eu/enterprise/policies/sustainable-business/files/csr-sme/human-rights-sme-guide-final_en.pdf</a>
<b>Global Compact Business Guide for Conflict Impact Assessment and Risk Management</b>	All industry sectors	<a href="http://www.unglobalcompact.org/docs/issues_doc/Peace_and_Business/BusinessGuide.pdf">http://www.unglobalcompact.org/docs/issues_doc/Peace_and_Business/BusinessGuide.pdf</a>
<b>Guidance on Responsible Business in Conflict-Affected and High-Risk Areas</b>	All industry sectors	<a href="http://www.unglobalcompact.org/docs/issues_doc/Peace_and_Business/Guidance_RB.pdf">http://www.unglobalcompact.org/docs/issues_doc/Peace_and_Business/Guidance_RB.pdf</a>
<b>International Finance Corporation (IFC) Performance Standards</b>	All industry sectors	<a href="http://www1.ifc.org/wps/wcm/connect/Topics_Ext_Content/IFC_External_Corporate_Site/IFC+Sustainability/Sustainability+Framework/Sustainability+Framework+-+2012/Performance+Standards+and+Guidance+Notes+2012">www1.ifc.org/wps/wcm/connect/Topics_Ext_Content/IFC_External_Corporate_Site/IFC+Sustainability/Sustainability+Framework/Sustainability+Framework+-+2012/Performance+Standards+and+Guidance+Notes+2012</a>
<b>IFC Handbook for Preparing a Resettlement Action Plan</b>	All industry sectors	<a href="http://www.ifc.org/ifcext/enviro.nsf/AttachmentsByTitle/p_resettle/\$FILE/ResettlementHandbook.PDF">http://www.ifc.org/ifcext/enviro.nsf/AttachmentsByTitle/p_resettle/\$FILE/ResettlementHandbook.PDF</a>
<b>International Labor Organization Declaration on Fundamental Principles and Rights at Work</b>	All industry sectors	<a href="http://www.ilo.org/declaration/lang-en/index.htm">www.ilo.org/declaration/lang-en/index.htm</a>
<b>International Labor Organization</b>	All industry sectors	<a href="http://www.ilo.org/empent/units/">www.ilo.org/empent/units/</a>

<b>Tripartite Declaration of Principles Concerning Multinational Enterprises and Social Policy</b>	sectors	<a href="http://multinational-enterprises/lang—en/index.htm">multinational-enterprises/lang—en/index.htm</a>
<b>OECD Guidelines for Multinational Enterprises</b>	All industry sectors	<a href="http://www.oecd.org/document/28/0,3746,en_2649_34889_2397532_1_1_1,00.html">www.oecd.org/document/28/0,3746,en_2649_34889_2397532_1_1_1,00.html</a>
<b>OECD’s Common Approaches for Officially Supported Export Credits and Environmental and Social Due Diligence</b>	All industry sectors	<a href="http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=tad/ecg(2012)5&amp;doclanguage=en">http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=tad/ecg(2012)5&amp;doclanguage=en</a>
<b>OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas</b>	Mining industry	<a href="http://www.oecd.org/dataoecd/62/30/46740847.pdf">www.oecd.org/dataoecd/62/30/46740847.pdf</a>
<b>OECD Risk Awareness Tool for Multinational Enterprises in Weak Governance Zones</b>	All sectors	<a href="http://www.oecd.org/investment/investmentpolicy/36885821.pdf">http://www.oecd.org/investment/investmentpolicy/36885821.pdf</a>
<b>Reducing Child Labor and Forced Labor: A Toolkit for Responsible Businesses (U.S. Department of Labor Toolkit)</b>	All sectors	<a href="http://www.dol.gov/ChildLaborBusinessToolkit">http://www.dol.gov/ChildLaborBusinessToolkit</a>
<b>Report of the Consultative Group to Eliminate the Use of Child Labor and Forced Labor in Imported Agricultural Products</b>	Agriculture sector	<a href="http://www.fas.usda.gov/info/Child_labor/CGDraftRPTRECS%20-%20final%2012%2015.pdf">http://www.fas.usda.gov/info/Child_labor/CGDraftRPTRECS%20-%20final%2012%2015.pdf</a>
<b>Rights Compatible Grievance Mechanisms: A Guidance Tool for Companies and their Stakeholders</b>	All industry sectors	<a href="http://www.hks.harvard.edu/m-rcbg/CSRI/publications/Workingpaper_41_Rights-Compatible%20Grievance%20Mechanisms_May2008FNL.pdf">www.hks.harvard.edu/m-rcbg/CSRI/publications/Workingpaper_41_Rights-Compatible%20Grievance%20Mechanisms_May2008FNL.pdf</a>
<b>Stakeholder Engagement: A Good Practice Handbook for Companies Doing Business in Emerging Markets</b>	All industry sectors	<a href="http://www1.ifc.org/wps/wcm/connect/938f1a0048855805beacfe6a6515bb18/IFC_StakeholderEngagement.pdf?MOD=AJPERES&amp;CACHEID=938f1a0048855805beacfe6a6515bb18">http://www1.ifc.org/wps/wcm/connect/938f1a0048855805beacfe6a6515bb18/IFC_StakeholderEngagement.pdf?MOD=AJPERES&amp;CACHEID=938f1a0048855805beacfe6a6515bb18</a>
<b>The Global Sullivan Principles of Social Responsibility</b>	All industry sectors	<a href="http://www.thesullivanfoundation.org/about/global-sullivan-principles">www.thesullivanfoundation.org/about/global-sullivan-principles</a>
<b>UN Declaration on the Rights of Indigenous Peoples</b>	All industry sectors	<a href="http://www.un.org/esa/socdev/unpfii/documents/DRIPS_en.pdf">http://www.un.org/esa/socdev/unpfii/documents/DRIPS_en.pdf</a>
<b>UN Guiding Principles on Business and Human Rights</b>	All industry sectors	<a href="http://www.business-humanrights.org/SpecialRepPortal/Home/Protect-Respect-Remedy-Framework/GuidingPrinciples">www.business-humanrights.org/SpecialRepPortal/Home/Protect-Respect-Remedy-Framework/GuidingPrinciples</a>
<b>UNEP Finance Initiative Guidance Tool for the Finance Sector</b>	Financial industry	<a href="http://www.unepfi.org/humanrightstoolkit/index.php">www.unepfi.org/humanrightstoolkit/index.php</a>
<b>Voluntary Guidelines on the</b>	All industry	<a href="http://www.fao.org/fileadmin/user_upload/nr/">www.fao.org/fileadmin/user_upload/nr/</a>

<b>Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security</b>	sectors	<a href="#">land_tenure/pdf/VG_Final_May_2012.pdf</a>
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### Global Initiatives and Certifications

<b>Initiative</b>	<b>Sector</b>	<b>Website</b>
<b>Business Social Compliance Initiative</b>	Retail and importing industries	<a href="http://www.bsci-intl.org">www.bsci-intl.org</a>
<b>Diamond Development Initiative</b>	Diamond industry	<a href="http://www.ddiglobal.org">http://www.ddiglobal.org</a>
<b>Electronics Industry Citizenship Coalition</b>	Electronics industry	<a href="http://www.eicc.info">www.eicc.info</a>
<b>Ethical Trading Initiative</b>	Retail and suppliers industries	<a href="http://www.ethicaltrade.org">www.ethicaltrade.org</a>
<b>Equator Principles</b>	Financial industry	<a href="http://www.equator-principles.com">www.equator-principles.com</a>
<b>Extractive Industries Transparency Initiative</b>	Extractives industry	<a href="http://www.eiti.org">www.eiti.org</a>
<b>Fair Labor Association</b>	Footwear, clothing manufacturing, agriculture and electronics industries	<a href="http://www.fairlabor.org">www.fairlabor.org</a>
<b>Fair Wear Foundation</b>	Garments Industry	<a href="http://www.fairwear.org">www.fairwear.org</a>
<b>Forest Stewardship Council</b>	Forestry industry	<a href="http://www.fsc.org">www.fsc.org</a>
<b>Global Network Initiative</b>	Information and communications technology industry	<a href="http://www.globalnetworkinitiative.org">www.globalnetworkinitiative.org</a>
<b>International Cocoa Initiative</b>	Cocoa Industry	<a href="http://www.cocoainitiative.org">www.cocoainitiative.org</a>
<b>International Code of Conduct for Private Security Service Providers Initiative</b>	Private security service providers industry	<a href="http://www.icoc-psp.org">www.icoc-psp.org</a>
<b>Initiative for Responsible Mining</b>	Mining	<a href="http://www.responsiblemining.net">www.responsiblemining.net</a>

<b>Assurance</b>	industry	
<b>Kimberley Process</b>	Diamond industry	<a href="http://www.kimberleyprocess.com">www.kimberleyprocess.com</a>
<b>Roundtable on Sustainable Bio-fuels</b>	Bio-fuel industry	<a href="http://www.rsb.epfl.ch">www.rsb.epfl.ch</a>
<b>Public Private Alliance for Responsible Minerals Trade</b>	Industries that source or use tin, tungsten, tantalum and gold	<a href="http://www.resolve.org/site-ppa/">http://www.resolve.org/site-ppa/</a>
<b>Roundtable on Sustainable Palm Oil</b>	Palm oil industry	<a href="http://www.rspo.org">www.rspo.org</a>
<b>Roundtable on Responsible Soy SA8000</b>	Soy industry All industry sectors	<a href="http://www.responsiblesoy.org">www.responsiblesoy.org</a> <a href="http://www.sa-intl.org/index.cfm?fuseaction=Page.ViewPage&amp;PageID=937">http://www.sa-intl.org/index.cfm?fuseaction=Page.ViewPage&amp;PageID=937</a>
<b>UN Global Compact</b>	All industry sectors	<a href="http://www.unglobalcompact.org">www.unglobalcompact.org</a>
<b>UN Global Compact/Maplecroft Human Rights and Business Dilemmas Forum</b>	All industry sectors	<a href="http://www.human-rights.unglobalcompact.org">www.human-rights.unglobalcompact.org</a>
<b>Voluntary Principles on Security &amp; Human Rights</b>	Extractives industry	<a href="http://www.voluntaryprinciples.org">www.voluntaryprinciples.org</a>
<b>Worker Rights Consortium</b>	Footwear and apparel manufacturing industries	<a href="http://www.workersrights.org/">http://www.workersrights.org/</a>