

U.S. DEPARTMENT OF EDUCATION  
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION  
WASHINGTON, DC 20202-6335

# INDIAN EDUCATION PROGRAMS

## Application for Formula Grants to Local Educational Agencies

CFDA Number: 84.060

### Formula Grant EASIE (Electronic Application System for Indian Education) Part II



**Disclaimer:**

This is a hard-copy representation of the EASIE online system. Applicants must apply on EASIE unless they qualify for and receive a paper application.

**PAPERWORK BURDEN STATEMENT**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for Formula Grant EASIE (Electronic Application System for Indian Education) is

1810-0021. The time required to complete the information collection is estimated to average 7.0 hours per response, inclusive of both Part I and Part II, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4700. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Indian Education, U.S. Department of Education, Lyndon B. Johnson Building, 400 Maryland Avenue, S.W., Room 3W115, Washington, DC 20202-6335.

## **U.S. DEPARTMENT OF EDUCATION Office of Indian Education**

This package contains instructions and forms for Part II of the grant applications for the Formula Grants to Local Educational Agencies Program (CFDA 84.060). The purpose of the Indian Education Formula Grant program, authorized in Part A, Subpart 1, of Title VII of the Elementary and Secondary Education Act (the Act), is to assist eligible applicants to provide Indian students with the opportunity to meet the same challenging state standards as all other students and meet the unique educational and culturally related academic needs of American Indian and Alaska Native students. The instructions in this application package are to be used in developing Part II of your application.

### **General Instructions**

#### **Applying for Funds**

Applicants for grants from the U.S. Department of Education (ED) must compete for limited funds. Deadlines assure that all applicants are treated fairly, equally, and without last minute haste.

The rules, including the deadline, for applying for each grant are published, individually, in the *Federal Register*. A one-year subscription to the Federal Register may be ordered from the U.S. Government Printing Office, Washington, D.C. 20402-9371. Order from <http://bookstore.gpo.gov>. The *Federal Register* is also available free on the Web at <http://www.federalregister.gov>.

The instructions in the *Federal Register* must be followed exactly. Do not accept any other advice you may receive. No ED employee is authorized to extend any deadline published in the *Federal Register*.

Questions regarding submission of applications may be addressed to:

U.S. Department of Education  
Office of Indian Education  
400 Maryland Ave., SW  
Washington, D.C. 20202-6335

#### **Formula Grant EASIE**

The method for applying for funds for this program is Web-based, using the Formula Grant Electronic Application System for Indian Education (Formula Grant EASIE). If you need assistance, please contact our Partner Support Center:

U.S. Department of Education Partner Support Center  
Telephone: 877-457-3336 (877-HLP-EDEN)  
Fax: 888-329-3336 (888-FAX-EDEN)  
TTY/TDD: 888-403-3336 (888-403-EDEN)  
[eden\\_oie@ed.gov](mailto:eden_oie@ed.gov)

#### **Paper Submission**

Paper submission is permitted **with pre-arrangements** for districts that are unable to use Web-based submission. If you are a district that made pre-arrangements and are submitting a paper form, please follow the directions in the Federal Register notice for submitting your paper application. Use the forms that you received in response to your request for paper submission, not the sample forms attached to these instructions.

## Application Deadlines

Applications submitted must address all required information in order to be funded.

- Part I of the application consists of the Indian Student Count and Application Duration.
- If Part I is submitted by the closing date, you will complete an application for Part II.

Part II of the application contains six sections:

- **Section 1. General Applicant Information:** requests identifying information about the entity or entities applying for funds.
- **Section 2. Coordination of Services for American Indian/Alaska Native Students:** requests information about your LEA's comprehensive program, which may be funded through a combination of local, State, and/or federal funds.
- **Section 3. Project Description:** requests information about the objectives, activities, and evaluation of your proposed Indian Education formula grant project.
- **Section 4. Budget Information:** requests information about how you plan to spend the Indian Education formula grant funds.
- **Section 5. Other Project Information Required of all Applicants:** contains Program Assurances and certification document.
- **Section 6. Items Required for Some Applicants:** contains Waiver Request and Waiver Use Report Forms, information for Applications Under 7116, Integration of Services, Parent Committee Approval form, and comment box for any additional information.

***Applicants must meet the deadlines for both EASIE Part I and Part II to receive a grant. Any application not meeting the Part I and Part II deadlines will not be considered for funding.***

## Transmittal Instructions for Formula Grant EASIE

By using the online Formula Grant EASIE, application information is transmitted electronically to the Department.

## Specific Instructions for Formula Grant EASIE Part II

**Contact Information, Allocation, and Student Count.** This information will be pre-entered for you based on the information you provided with your Part I application.

**Required Items.** This application package includes 26 numbered items in 6 sections; each numbered item consists of one or more questions or one or more tables. The items you must complete and submit is determined by several factors.

Type of application (your response to item 1)

- If you are applying as a regular formula grant program, then you must complete and submit items 1 - 20b. Item 20b requires the original signature of an authorized official from your entity.
- If you are applying as a formula grant project consolidated with a Title I school-wide program, then you must complete and submit items 1-5, 18-20b. Item 20b requires the original signature of an authorized official from your entity.

- If you are applying for integration of services under ESEA section 7116, then you must complete and submit items 1-5, 18-20a, 23 and 24. Item 20b requires the original signature of an authorized official from your entity.

#### Single entity applicant or multi-entity applicant

- If you are a single-entity applicant (a single LEA, or a single BIE school, or a tribe applying in lieu of a single LEA), then you must complete each of the required items once for your project, using data for that entity.
- If you are a multi-entity applicant (a consortium of LEAs, a consortium of BIE schools, or a tribe applying in lieu of multiple LEAs) then use the following guidelines for submitting required items.
  - Complete items 1-5 **for each participating LEA** in your project.
  - Complete the remaining required items **for your project**; the information covers all participating LEAs.

#### Administrative Costs

- Complete item 21 if your planned budget includes administrative costs of more than 5%.
- Complete item 22, if the previous year grant award included a waiver of the administrative cap.

#### Parent Committee Requirements

- If you are not a Bureau of Indian Education entity or a tribe applying in lieu of an LEA(s), then you must complete and submit item 25. This item must be signed by representatives of the Parent Committee and submitted with your application.
- If you are a Bureau of Indian Education entity or a tribe applying in lieu of an LEA(s), then you do not need to complete and submit item 25.
- **The Parent Committee Approval form must be received on or before the EASIE Part II deadline to be considered eligible for funding.**

#### Comments to the Office of Indian Education

- Item 26 is available to any applicant that wishes to provide brief explanatory information about their application.

**Complete all required items and include all required forms** in your submission package. **Provide a copy to your state education agency**, if required, and to **keep a file copy** for yourself.

**Item-by-Item Instructions.** Instructions for each item are contained in the form. Consult the FAQ document for additional explanatory information. To access the FAQ document, go to the main page of EASIE and click on Getting Started. The first page of Getting Started provides a button to download the FAQ document. If you don't have access to the Internet, you can obtain the FAQ document by calling the Partner Support Center; see contact information provided on p. 2 of this application package.

This application contains a very limited amount of free narrative response. Where you are asked to select items from a list to enter into a table, please use only those items. Other responses will not be accepted.

**Formula Grants EASIE Part II  
Sample Project Description and Budget Detail**

**Section 1: General Project Information**

1. **Project Information.** Fill in the following information:

Applicant Name:
DUNS #
PR #
Allocation:
Allocation was based on student count of:

2. **Application Type.** Identify the type of application you are submitting (check only one):

- Regular formula grant program (complete items 1 – 20b; complete items 21 and/or 22 if they apply for a waiver; and complete item 25 unless you are a school funded by the Bureau of Indian Education or a tribe applying in lieu of an LEA; complete item 26 if needed.)
  
- Formula grant project consolidated with a Title I school-wide program (complete items 1-5, and 18-20b; complete item 25 unless you are a school funded by the Bureau of Indian Education or tribe applying in lieu of an LEA; complete item 26 if needed.)
  
- Integration of services under ESEA section 7116 (complete items 1-5, 18-20b, 23 and 24; complete item 25 unless you are a school funded by the Bureau of Indian Education or a tribe applying in lieu of an LEA; complete item 26 if needed.)

**Award start date.** July 1, 2015 to June 30, 2016.

3. **Grades Offered in SY 2014-15.** Indicate the grade levels offered by this LEA. Check all that apply. *Grades offered* refers to the grades at which instruction is offered to students by the LEA or BIE school district. This is unrelated to whether any Indian students are in that grade or whether your Title VII project will provide activities/services for that grade.

PK  K  1  2  3  4  5  6  7  8  9  10  11  12

**Section 2: Coordination of Services for American Indian/Alaska Native Students**

**4. Coordination of Services with Formula Grant Programs.**

Please indicate which of the following LEA programs: [a] were available in your district during the last school year (2014-15); and [b] if that program is currently coordinated with Title VII services.

Programs	[a] Is this program available?		[b] Is this program coordinated with Title VII services?	
	YES	NO	YES	NO
<b>Department of Education Formula Grant Programs (please specify):</b>				
Title I				
Homeless Children and Youth				
Title III: English Language Acquisition				
School Improvement Grants				
Rural and Low-Income School Program				
Impact Aid				
Migrant Education				
Other:				
Other:				
<b>Other Federal, tribal, State, or local Programs (please specify):</b>				
BIE: Johnson O'Malley				
Other:				

**5. Description of Coordinated Services for American Indian/Alaska Native Students.**

Each application must include a description of the applicant’s comprehensive program for meeting the culturally related academic needs of Indian children, including their language and cultural needs.

Describe how the Coordination of Services for AI/AN Students (using a variety of funding sources) will meet the needs of AI/AN students, including their language and cultural needs with an explanation of specific programs and activities. In your explanation, also include programs from the chart above in item 4 (Coordination of Services with Formula Grant

Programs) that currently coordinate with your Title VII project, or which will coordinate with Title VII during the grant year.

Enter narrative response here (Please limit to 1,000 characters):

### Section 3: Indian Education Project Description

**Applicants submitting as a project consolidated with a Title I school-wide program should skip to Section 4.**

**Applicants submitting under section 7116 (Integrated Services) should skip items 9 and 10 and go to item 23.**

#### 6. Indian Education Formula Grant Objectives.

Identify your specific project objectives towards the goal of providing culturally-responsive education for AI/AN students to meet their academic needs and help them meet State achievement standards. The objectives listed in the table align to the allowable activities and services as specified in Section 7115(b) of the ESEA.

**Permissible Values for Item 6**

Objective: The goal of providing culturally-responsive education for AI/AN students to meet their academic needs and help them meet State achievement standards.	Column a Type(s) of supplemental activity or service	Column b Grade levels served by activity or service
<ul style="list-style-type: none"> <li>• Increase school readiness</li> <li>• Increase integration of Indian specific content into curriculum</li> <li>• Increase academic achievement</li> <li>• Increase knowledge of cultural identity and awareness</li> <li>• Enhance problem solving and cognitive skills development</li> <li>• Increase school attendance rate</li> <li>• Decrease school dropout rate</li> <li>• Increase graduation rate</li> <li>• Increase career readiness skills (e.g., technology skills, leadership skills)</li> <li>• Increase college enrollment</li> <li>• Increase substance abuse prevention</li> </ul>	<ul style="list-style-type: none"> <li>• Culturally-responsive early childhood programs and activities</li> <li>• Culturally-responsive professional development</li> <li>• Curriculum development integrating local knowledge, language and culture</li> <li>• Indian education (e.g., language, history)</li> <li>• Cultural enrichment (e.g., events, field trips, clubs)</li> <li>• Culturally-responsive academic support (e.g., study skills, homework support)</li> <li>o overall</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-K</li> <li>• Elementary school grades</li> <li>• Middle school grades</li> <li>• High school grades</li> </ul>



Objective: The goal of providing culturally-responsive education for AI/AN students to meet their academic needs and help them meet State achievement standards.	Column a Type(s) of supplemental activity or service	Column b Grade levels served by activity or service
<ul style="list-style-type: none"> <li>Increase parent participation</li> </ul>	<ul style="list-style-type: none"> <li>o in reading or ELA</li> <li>o in mathematics</li> <li>o in science</li> <li>o in other subjects (e.g., social studies)</li> <li>• Culturally-responsive academic enrichment (e.g., after school programs, projects)</li> <li>• College preparation (e.g., ACT or SAT preparation, exploration, advanced placement classes or testing)</li> <li>• Career preparation (e.g., technology skills, internships)</li> <li>• Gifted and talented programs</li> <li>• Student advocacy or leadership</li> <li>• Culturally-responsive mentoring</li> <li>• Substance abuse prevention</li> <li>• Culturally-responsive counseling</li> <li>• Family literacy with culturally-based materials</li> <li>• Parent involvement</li> </ul>	

**Instructions for completing the table:**

Complete a separate table for each objective. You must have at least one objective; however, you may have several.

- (1) Select a permissible value for each objective.
- (2) In column (a), identify each activity or service that will be used to address the objective. Use a separate row for each activity or service.
- (3) In column (b), identify the grade levels to be served by each activity or service.

Item 6 Proposed Project Objectives	
Objective #1:	
Column a Type(s) of activity or service	Column b Grade levels served by activity or service

**7. Program Objectives Data Sources.** Use this section to describe how you will measure progress on each of the objectives.

**Instructions for completing the table:**

- (1) In column (a), identify the objectives. **Use a separate row for each objective.**

(2) In column (b), identify the data source(s) for each objective in column a. **Identify at least one data source for each objective.** If you identify more than one data source for an objective, use a new row for each objective and data source combination.

**Permissible Values for Item 7**

Column a. Objective	Column b. Data source
<ul style="list-style-type: none"> <li>• Increase school readiness</li> <li>• Increase integration of Indian specific content into curriculum</li> <li>• Increase academic achievement</li> <li>• Increase knowledge of cultural identity and awareness</li> <li>• Enhance problem solving and cognitive skills development</li> <li>• Increase school attendance rate</li> <li>• Decrease school dropout rate</li> <li>• Increase graduation rate</li> <li>• Increase career readiness skills (e.g., technology skills, leadership skills)</li> <li>• Increase college enrollment</li> <li>• Increase substance abuse prevention</li> <li>• Increase parent participation</li> </ul>	<ul style="list-style-type: none"> <li>• School readiness screening</li> <li>• Documents (e.g., lesson plans, curriculum)</li> <li>• State standardized test</li> <li>• District benchmark assessment</li> <li>• Other standardized achievement test</li> <li>• Non-standardized achievement test</li> <li>• Student portfolios</li> <li>• Teacher surveys, interviews, or focus groups</li> <li>• Classroom observations</li> <li>• Parent surveys, interviews, or focus groups</li> <li>• Student surveys, interviews, or focus groups</li> <li>• Administrator surveys, interviews, or focus groups</li> <li>• Attendance data</li> <li>• Dropout data</li> <li>• Graduation data</li> <li>• College application and acceptance data</li> <li>• Other data source - If you select "Other data source" enter "Other data source" in column b of a row and also specify the data source</li> </ul>

<b>(3) Item 7 Data Sources</b>	
Column a Objective	Column b Data source

**8. Coordination of Services Professional Development.**

Describe the professional development opportunities that will be **provided by your coordination of services** to ensure that teachers and other school professionals who are **new** to the Indian community are prepared to work with Indian children, and **all teachers who will be involved** in programs assisted by this grant, have been properly trained to carry out such programs. The

professional development opportunity or opportunities can be funded using other local, State, and/or federal funds. (The specific use of Indian Education formula grant funds will be detailed in Section 4.)

Note: The professional development opportunity or opportunities identified in item 8 do not have to be new opportunities or opportunities for which funding is requested. Applicants should include existing professional development opportunities that will continue to be implemented in the school year for which funding is requested, as long as those opportunities are components of the coordinated services program.

**Permissible Values for Item 8**

Pick one of the following to enter in column a (Types of staff)	Pick one of the following to enter in column b (Type of professional development activity)	Pick one of the following to enter in column c (Content)
<ul style="list-style-type: none"> <li>• All teachers and other school professionals</li> <li>• Teachers and other school professionals that are <b>new</b> to the Indian community</li> <li>• Subset of teachers</li> <li>• LEA staff (example, Title VII coordinators)</li> <li>• Other non-teaching staff (examples: counselors, Parent Committee)</li> </ul>	<ul style="list-style-type: none"> <li>• Workshops/Sessions</li> <li>• Conferences, including national, regional, state, or LEA-sponsored</li> <li>• Pre-service training or orientation</li> <li>• School-based coaching or mentoring</li> <li>• Other (example: online courses, webinars, Tribal Agency training)--if you select "Other" as a professional development activity, enter "Other" in column b of a row and also specify the activity there.</li> </ul>	<ul style="list-style-type: none"> <li>• Integrating Indian- specific content into the general curriculum</li> <li>• Indian Education-specific (example: instruction specific to language or specific Indian curricula)</li> <li>• Cultural Awareness Education and Sensitivity (example: working with Indian students)</li> <li>• Use of Data/ Data-driven decision making (example: examining patterns in Indian Student test data; using data to focus instruction for AI students; identification of exceptional students)</li> <li>• Impact of district policies on AI students (example: grading policies, attendance policies, discipline, suspensions, etc.)</li> <li>• Other--if you select "Other" as content, enter "Other" in column c of a row and also specify the content there.</li> </ul>

**Instructions for completing the table:**

- (1) Using permissible values, enter the types of staff that will be served by professional development activities to support the coordination of services program in column (a). Use a new row for each type of staff.
- (2) Using permissible values, in column (b) enter the type of professional development activity that each type of staff identified in column a will receive.

(3) Using permissible values, in column (c) enter the content of each professional development activity entered in column (b).

Item 8 Coordination of Services Program Professional Development Activities for SY 2015-16		
Column a Types of staff	Column b Type of professional development activity	Column c Content

### Section 4: Budget Information

Complete the budget section for your project (not separately for each participating LEA). The budget must match the objectives you selected for items in section 3. The information you enter covers the entire project including all participating LEAs.

Total costs in your budget cannot exceed your allocation. If your administrative costs exceed 5% of your allocation, you must reduce those costs to less than 5%, or complete the waiver request form (item 21 and 22).

Note: Applicants submitting as a Title VII project consolidated with a Title I school-wide program should skip Section 4. Applicants submitting under section 7116 (Integrated Services) must complete item 23 in lieu of items 11 - 18.

#### 9. Supplemental Information.

By checking this box, I will ensure that the Indian Education formula grant funds will **supplement and not supplant** other funding for the education of Indian children, with the goal of providing culturally-responsive education to meet the academic needs of AI/AN students and to assist those students in meeting State achievement standards. See FAQs for guidance and examples.

#### 10. Personnel Budget.

Complete the table for personnel to be funded by the project.

Permissible values. Complete rows a-g (Project Director and Project Coordinator) as they are applicable. Complete additional rows for each type of personnel at each certification status and each percentage of time. [Example, if you have some academic advisors who work 20% time on the project and other academic advisors who work 30% time on the project, you would need to complete two rows for academic advisors.]

Choose values for columns (a) from the following table of permissible values.

<b>Permissible Values for Table 11.</b>
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For each row, pick one of the following values for column a.
Academic Advisors Administrative Assistants College instructors Consultants Counselors, Guidance Counselors, Mental Health Cultural Resource Specialists Curriculum Specialists Data Specialists Home/School Coordinators Instructional Assistants Language Instructors Liaisons, Home/School or Community Nutrition Specialists Reading Coaches or Specialists Social workers Support, Clerical or Secretarial Teachers Tribal Elders Youth Development Specialists Other (If you select "Other", enter "Other" in column a of a row and also specify the personnel type it represents.

For each row, enter in column (b) the number of personnel in this group; enter in column (c) the percent of time that group of personnel will be assigned to and funded by the project; enter dollar values in columns (d), (e) and (f); enter in column (g) the sum of the values for columns (d), (e), and (f) for that row. Use as many rows as necessary.

On the last row of your table, enter the column totals for columns d, e, f, and g.

Your Project's Personnel Budget						
a Type of Personnel	b #	c % of time	d Admin Cost (\$)	e Program Cost (\$)	f Fringe Cost (\$)	g Total Cost (\$)
Project Director						
Project Coordinator						
Category Subtotals						

**11. Travel Budget.** Complete the table for travel to be funded by the project.

Enter the dollar value for each type of travel. Enter row and column totals.

<b>Your Project's Travel Budget</b>
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Type of Travel	Admin Cost (\$)	Program Cost (\$)	Total Cost (\$)
In-district (non-PD)			
Out of district (non-PD)			
Professional development (only)			
Category Subtotals			

**12. Equipment Budget.** Complete the table for equipment to be funded by the program (defined as a single item with value exceeding \$5,000).

In column (a), identify the item in your own words.

In column (b), enter the code to identify the purpose as one of the following:

Instr = Instructional Service Delivery

Prog Mgmt = Program Management

In columns (c) and (d), enter dollar values. Use as many rows as necessary. Enter row and column totals.

Your Project's Equipment Budget				
a Item	b Purpose	c Admin Cost (\$)	d Program Cost (\$)	e Total Cost (\$)
Category Subtotals				

**13. Supplies Budget.** Complete the table for supplies to be funded by the project.

Enter the dollar value for supplies of each listed type. Note that Direct Instructional Materials and Student Consumables have no administrative cost. Enter row and column totals.

Your Project's Supplies Budget			
a Item	b Admin Cost (\$)	c Program Cost (\$)	d Total Cost (\$)
Direct Instructional Delivery			
Student Consumables			
Program Management			
Category Subtotals			

**14. Contractual Budget.** Complete the table for contractual services to be funded by the project.

Enter the dollar value for contractual services for each listed purpose. Note that Direct Instructional Materials and Student Consumables have no administrative cost. If you use the row for "Other", describe the expense in the column (a). Enter row and column totals.

Your Project's Contractual Budget			
a Purpose	b Admin Cost (\$)	c Program Cost (\$)	d Total Cost (\$)
Direct Instructional Delivery			
Student Evaluations			
Other. Specify:			
Category subtotals			

**15. Other Budget.** Complete the table for other expenses to be funded by the project.

Enter the dollar value for other expenses for each listed purpose. Note: Direct Instructional Materials, Student Activities Related to Services, and Student Consumables have no administrative cost. If you use the row for "Other", describe the expense in the column (a). Enter row and column totals.

Your Project's Other Budget			
a Purpose	b Admin Cost (\$)	c Program Cost (\$)	d Total Cost (\$)
Direct Instructional Delivery			
Student Activities Related to Services			
Professional Development (non-travel related)			
Other. Specify:			
Category subtotals			

**16. Indirect costs.** Enter your entity's restricted indirect cost rate.

The rate should be entered as a percent and may have up to two decimal places (e.g. 4.08%). If you choose to budget project funds for indirect costs, enter the total amount of indirect costs associated with the project. You are entitled to budget indirect costs from your Title VII allocation up to a maximum of your indirect cost rate times your allocation.

You can budget a lesser amount of your indirect costs from your Title VII allocation. You do not have to budget for indirect costs. In that case, you may leave the table blank.

Your Project's Indirect Costs	
Rate (%)	Total (\$)

**17a. Budget Summary.** Transcribe the “category total” from each budget category to column (b) of this summary table. The “category total” is the value in the bottom right-hand cell of each category table. Be sure that the values in the summary table match the values in the category tables. Enter the percent for each row and enter column totals.

Your Project's Budget Summary		
a Budget Category	b Category Subtotal	c Percent of Overall Allocation
Personnel		
Travel		
Equipment		
Supplies		
Contractual		
Other		
Total Direct Charges		
Indirect Costs		
Total Costs		

**17b. Administrative Costs Summary.** Administrative costs cannot exceed 5% of your grant award. Transcribe the category subtotals for administrative costs to column b in the following table. The category subtotals for administrative costs are found as the last row of the administrative cost column of each of the budget category tables except indirect cost. Be sure the values in the administrative cost summary table match the values in the category tables. In the last row, enter the total Administrative Cost in column (b). In column (c), enter the percent calculated as the last row of column (b) divided by the LEA's total allocation for the Indian Education formula grant for SY 2015-16.

Your Project's Administrative Cost Summary		
a Budget Category	b Administrative Cost Category Total	c Percent of Overall Allocation
Personnel		
Travel		
Equipment		
Supplies		
Contractual		
Other		
Totals		

If the value in the last row of column (c) is more than 5%, then you are required complete and submit the waiver request (item 21).

### Section 5: Additional Information Required of Applicants



**18. Dissemination of Assessment Data.** Provide information on how the state assessment data of all Indian students (not just those served) are used. Indicate how you plan to disseminate information to the Indian community and Parent Committee. Check all that apply. All applicants must respond to these items.

Method of dissemination to Indian community and Parent Committee:

- Public hearing for application
- Parent Committee meeting
- Other open meeting
- Within a written report
- Posted on website
- Sent home with student(s)
- Radio broadcast
- Newsletter

**19. Additional Assessment Data Use.** Indicate how assessment data from the previous school year were used (check all that apply)

- No changes in services/programs
- Modification to services/programs at LEA level Describe: \_\_\_\_\_
- Modification to services/programs within project Describe: \_\_\_\_\_

**20. Public Hearing Requirement Data.** Holding a public hearing is a required element for an Indian Education Formula Grant. Indicate the date on when the public hearing was held for SY 2015-16. It was held on this date: \_\_\_\_\_

**20a. Program Assurances.** The following are the program assurances, Standard Form 424B Assurances-Non-Construction Programs and Additional Program Assurances for 84.060-Indian Education Grants that are referenced in item 20a and to which the applicant LEA must agree. The signature of the authorizing official in item 20b will indicate agreement to these provisions.

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction sub agreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

## **Additional Program Assurances for 84.060 - Indian Education Formula Grants**

1. If the applicant is an LEA, it assures that funds received under this program will be used only to supplement the level of funds that, in the absence of the Federal funds made available under this program, the LEA would make available for the education of Indian children, and not to supplant such funds. (Section 7114(c)(1) of Part A, Title VII)
2. It assures that it will submit a performance report, or, for the last year of a project, a final report, that evaluates at least annually: (a) the grantee's progress in achieving the objectives in its approved application; (b) the effectiveness of the project in meeting the purposes of the program; and (c) the effect of the project on participants being served by the project. (34 CFR 75.590)
3. It assures that it will cooperate in any evaluation of the program by the Secretary. (34 CFR 75.591)
4. It assures that the program for which funds are sought is based on a comprehensive local assessment and prioritization of the unique educational and culturally related academic needs of the American Indian and Alaska Native students for whom the LEA is providing an education. (Section 7114(c)(3) of Part A, Title VII)
5. It assures that it will use the best available talents and resources, including persons from the Indian community. (Section 7114(c)(3) of Part A, Title VII)
6. It assures that it has developed the project for which application is made (a) in open consultation with parents of Indian children and teachers and, if appropriate, Indian students from secondary schools, including through public hearings held to provide a full opportunity to understand the program and to offer recommendations regarding the program; and (b) with the participation of a parent committee selected in accordance with section 7114(c) of the statute; and (c) with the written approval of that parent committee. (Section 7114(c)(3)(4) of Part A, Title VII)
7. It assures, if it is a local educational agency, that the parent committee will adopt and abide by reasonable by-laws for the conduct of the activities of the committee. (Section 7114(c)(4) of Part A, Title VII)
8. It assures that the LEA has established policies and procedures, including policies and procedures relating to the hiring of personnel, will ensure that the program will be operated and evaluated in consultation with, and with the involvement of, parents of the children, and representatives of the area, to be served. (Section 7114(c)(4)).
9. It assures that an application for inclusion of these program funds in a Title I school-wide project, has been approved, in writing, by the parent committee and that the parent committee was allowed to review the application in a timely fashion, has determined that the program will not diminish the availability of culturally related activities for American Indians and Alaska Native students, and has determined that the Title I school-wide project meets the purpose of the Indian education formula grant program. (Sections 7114(c)(4)(D) and 7115(c) of Part A, Title VII)It assures that it will directly administer or supervise the administration of the project. (34 CFR 75.701)It assures that it will keep records related to grant funds, program compliance and program performance and will afford the Secretary access to these records as the Secretary may find necessary to assure the correctness and verification of reports made by the applicant. (34 CFR sections 75.730-.732)
10. It assures that it has fiscal control and fund accounting procedures that insure proper disbursement of and accounting for Federal funds. (34 CFR 75.702)
11. Pursuant to Section 9306(a) of the Elementary and Secondary Education Act, it assures that:
  - The program will be administered in accordance with all applicable statutes, regulations, program plans, and application;
  - The control of funds provided under the program and title to property acquired with program funds will be in a public agency or in a nonprofit private agency, institution, organization, or Indian tribe, if the law authorizing the program provides for assistance to those entities and who will administer these funds and property to the extent required by the authorizing statute;
  - The applicant will adopt and use proper methods of administering the program, including -- the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for carrying out the program; and the correction of deficiencies in program operations that are identified through audits, monitoring, or evaluation;
  - The applicant will cooperate in carrying out any evaluation of the program conducted by or for the State educational agency (SEA, if the applicant is an LEA), the Secretary or other Federal officials;

- The applicant will make reports, maintain records, provide information, and afford access to the State educational agency and the Secretary as may be necessary to enable the SEA, for LEA applicants, and the Secretary to carry out and perform their duties under this program; and
- Before the application is submitted, the applicant affords a reasonable opportunity for public comment on the application and has considered those comments.

**20b. Certification.** An authorized official must certify as follows.

I, the legal authorized representative, have read both program assurance forms, Standard Form 424B Assurances – Non-Construction Programs and Additional Program Assurances for 84.060-Indian Education Formula Grants, and agree to their provisions. NOTE: You do not need to sign and mail-in program assurances to the program office. Checking the checkbox is equivalent to a signature. You should keep a signed copy on file for your project records.

All of the required student forms (ED 506 Forms) are on file with the LEA and will be provided to the Office of Indian Education upon request.

I certify that the Parent Committee participated in the development of the application submitted and approves the proposed application. I have uploaded the Parent Committee Approval form.

I certify that I am an employee authorized to legally bind this entity, and that by accepting the award for the Indian Education Formula Grant Program, this grantee will comply with all program assurances, agrees to carry out the program and meet all applicable requirements described herein. I certify that, to the best of my knowledge, the information in this application is true, reliable, and valid and I understand that any false statement provided herein that I have made is subject to penalties under The False Claims Act, 18 U.S.C. 1001.

Authorized signature: _____	_____
	Title
_____	_____
Printed Name	Date

## SECTION 6: ITEMS REQUIRED FOR SOME APPLICANTS

**21. Waiver Request.** If your project's administrative costs for SY 2015-16 are budgeted at more than 5% of your allocation, you must complete the following form.

<b>Waiver Request for SY 2015-16 Indian Education Formula Grant Program</b>		
The administrative costs associated with this application total _____%.		
Under the authority of Section 9401, a waiver is requested of the following statutory requirement:		
<ul style="list-style-type: none"><li>• Administrative cap of 5% on grant funds under the Indian Education Formula Grant Program to Local Education Agencies (section 7115(d)).</li></ul>		
This waiver is requested by:		
LEA Name: _____		
Mailing Address: _____		
City/State/Zip _____		
The waiving of this requirement will increase the quality of instruction for students by:		
_____		
_____		
_____		
_____		
The waiving of this requirement will improve the academic achievement of students as follows:		
_____		
_____		
_____		
_____		
The methods for measuring and evaluating the educational goals of the program are described within the accompanying application for the Indian Education Formula Grant Program. Approval of this waiver will not affect the population to be served by this application. The students to be served are those students certified as Indian according to the Indian Student Eligibility Form (ED 506 form) and for whom the services of this project are targeted according to the project's objectives.		
The duration of this waiver will be for a maximum period of one year.		
Authorized signature: _____		
_____	_____	_____
Printed Name:	Title:	Date:

**22. Waiver Use Report.** If you received a waiver of the administrative cap for your prior year's grant, you must complete the following form.

<b>Waiver Use Report</b>	
<b>Indian Education Formula Grant Program</b>	
For the previous grant year, the following LEA received a waiver of the requirement restricting the use of program funds for administrative purposes to 5%.	
LEA Name:	_____
Mailing Address:	_____
City/State/Zip	_____
Complete the following statements:	
Under the waiver, the grantee	
• Utilized ____% of the total funds for administrative purposes.	
• Used these funds for administrative purposes to increase the quality of instruction to students as follows:	
_____	
_____	
_____	
_____	
• Is improving the academic achievement of students as follows:	
_____	
_____	
_____	
_____	

**23. Program Summary for Applications Under Section 7116, Integrated Services.** This table is must be completed by projects that submit a plan for the integration of education and related services provided to Indian students under Section 7116 of the Elementary and Secondary Education Act, as amended. Funds from any Federal program exclusively serving Indian children, or the funds reserved under any Federal program to exclusively serve Indian children, received through a statutory or administrative formula for the purposes of providing education and related services to serve Indian students, may be consolidated in a demonstration project that integrates the program services involved into a single, coordinated, comprehensive program and reduces administrative costs by consolidating administrative functions.

Applicants submitting under this provision must complete the following table in lieu of table 9. List the services to be provided, the entity providing the service, and the timeframe for service delivery.

Your Project Summary for a SY 2015-16 Application Under Section 7116		
a Service(s) to be Provided	b Entity Providing Service	c Timeframe for Service Delivery

**24. Budget Summary for Applications Under Section 7116, Integrated Services.** This budget table must be completed by projects that submit a plan for the integration of education and related services provided to Indian students under Section 7116 of the Elementary and Secondary Education Act, as amended. Funds from any Federal program exclusively serving Indian children, or the funds reserved under any Federal program to exclusively serve Indian children, received through a statutory or administrative formula for the purposes of providing education and related services to serve Indian students, may be consolidated in a demonstration project that integrates the program services involved into a single, coordinated, comprehensive program and reduces administrative costs by consolidating administrative functions.

Applicants submitting under this provision must complete the following table in lieu of tables 11-18. List the programs to be consolidated and the estimated amount of each.

Your Project's Funding Summary for a SY 2015-16 Application Under Section 7116		
a Program	b Funding Agency	c Estimated Amount



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**25. Parent Committee Approval.** This item must be completed and submitted with LEA applications and LEA consortium applications on or before the certification deadline by uploading within the EASIE system, unless you are approved to submit the form outside the EASIE system. The form must contain original signatures of the required members of the Parent Committee (PC) for that applicant. Please see the FAQs for more detail about this requirement.

Note: This form is not required of schools funded by the Bureau of Indian Education or a tribe that is applying in lieu of an LEA(s).

OMB Number 1810-0021 Expiration Date 05/03/2016

**Title VII Formula Grant Program  
INDIAN PARENT COMMITTEE APPROVAL FORM**

LEA Name: \_\_\_\_\_

PR Award #: S060A15\_\_\_\_\_

City/State/Zip \_\_\_\_\_

This certifies that the Parent Committee for the above entity has participated in the development of the application for Title VII funds and approves the proposed project.

Instructions: The written approval of the parent committee must be obtained before EASIE Part II closes and must be uploaded within the EASIE system. Reminder: The Parent Committee Approval Forms must be signed by a majority of whose members are parents of Indian children. *For more information about Indian Parent Committees, see the See Part I FAQs.*

**Note:** Only Indian parent committee members' signatures, titles and date are required and signatures of any others in attendance at the open meeting should not sign this form. Suggested Parent Committee titles could be parent, grandparent, teacher (not paid by Title VII), high school student or elected Parent Committee Officer.

<b>Parent Signature</b>	<b>parent</b>		<b>04/16/2014</b>
_____ Name	_____ Title	_____ LEA Name (if in a consortium)	_____ Date
_____ Name	_____ Title	_____ LEA Name (if in a consortium)	_____ Date
_____ Name	_____ Title	_____ LEA Name (if in a consortium)	_____ Date

Check the type of application submitted. Check **only** one box.

- Regular formula grant project:** The application submitted is for a **regular formula grant project**.
- Title I school-wide program:** The application submitted will include project funds in a **Title I school-wide program**. The Parent Committee also certifies that it had an opportunity to review the program in a timely fashion and it is consistent with the purpose of the formula grant program for American Indian and Alaska Native students. The Parent Committee determined that including project funds within a Title I school-wide program will not diminish the availability of culturally related activities for American Indian and Alaska Native students.
-

**Integration of Services under Section 7116:** The application submitted will consolidate Federal programs funded for the purpose of providing education related services to Indian students. The Federal funds identified within the budget to be consolidated are those that are allocated under a statutory or administrative formula for the purposes of providing education and related services that would be used for Indian students.

**26. Comment.**

Use the space below (limited to 1,000 characters) to provide additional information that will assist the Department in analyzing your application.

If not needed for the above reasons this comment item can be left blank.

Applicant Comment: