

**Evaluation of the ESSA Title I, Part D Neglected or Delinquent Programs**  
**Case Study Interview Protocol**  
**Local Facility ND Program Coordinator Interview**

<b>State:</b>	<b>Interviewer:</b>
<b>Interviewee(s):</b>	<b>Date/Time:</b>
<b>Interviewee(s') Role(s): (select all that apply)</b>	

**Introduction – Key points to convey to the respondent:**

- We are conducting case studies of the ESSA Title I, Part D programs. We are also administering a survey to all State Part D coordinators and a sample of local educational agency (school district) and facility staff to collect data from a wider range of respondents.
- The study's results will be discussed in a final report that will be available publicly. The main purpose of this study is to share your perspectives and experiences with policy-makers as they continue to refine policy and technical assistance on important issues related to Title I, Part D programs. This is not a compliance study; our purpose is solely to provide policymakers and the general public with insight regarding implementation practices of Title I, Part D programs so programs and student outcomes can continue to be improved.
- The purpose of this interview is to obtain information about your ESSA Title I, Part D, N or D program (hereafter “Part D”) regarding the implementation and progress of the Part D program including how the program is implemented and how are the students’ needs met.
- Officials at the U.S. Department of Education (ED) know that your State is participating in this study and your State will be identified in our public reporting. In addition, staff from ED will see transcripts from our interview, but the transcripts we share will be reviewed and edited to ensure that no personally identifying information is included.
- We know that you are very busy, and we appreciate your time. We anticipate that this interview will take approximately 60 minutes.
- Your participation in this interview is voluntary. You may discontinue your participation in this interview at any time, and through the course of the interview, if we touch on topics that you believe to be sensitive for any reason, please bring that to our attention so we will not include these comments either in public reporting or in discussions with ED.
- Your privacy is important to us and we will protect the confidentiality of the information you provide, to the extent provided by law. The reports prepared for this study will summarize interviews across respondents and will not associate responses with a specific individual. We will not provide information that identifies you to anyone outside the study team, except as required by law.
- In order for us to have an accurate account of your responses, we would like to record this interview. The recording will then be transcribed and all personally identifying information will be removed from the transcript. Do we have your permission to record

- this conversation?
- Do you have any questions before we begin?

*First we would like to get some background information*

### **A. Agency Characteristics**

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1. Please describe your role in the Title I, Part D program. What are your responsibilities?
2. How long has your facility operated the Part D program?  
*Probe →*
  - a. Has your or the facility's role changed in that time? Please explain.
3. Please briefly summarize the (educational) services and programs that your facility provides as part of the Part D program.

### **B. Shared Decision Making and Collaborative Planning**

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1. Have you been involved in the planning during this last school year for this facility's Part D program?  
If yes → Please describe the planning for your facility's Part D program, including who else was involved, any challenges, and outcomes.  
*Probe →*
  - a. Were any new policies or procedures developed during the planning phase?
  - b. Could you describe any challenges encountered during the planning phase and what strategies were used to try to resolve them?
2. Are you aware of the 2014 guidance document, *Guiding Principles for Providing High-Quality Education in Juvenile Justice Secure Care Settings*, that was released by the U.S. Departments of Education and Justice in 2014?  
If yes → Have the uses of Part D funds changed in any way since the 2014 guidance was released?  
*Probe →*
  - a. Has your facility encountered any challenges implementing these guiding principles?
  - b. Has your facility received any technical assistance on implementing these guiding principles?
3. Please describe how and with which programs/agencies your facility (and/or funding school district) collaborates to provide Part D programming to N or D students.  
*Probe →*
  - a. Have you experienced any barriers to communication, collaboration, or coordination with other systems or agencies working with N or D students?

### **C. Education Assessments, Strategies, and Services**

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*Next we would like to talk about the types of services and strategies that Title I, Part D funds support at your facility.*

## **Screening and Risk Assessment**

1. Please describe the process of how the children and youth come to your Part D program.
2. What are the most frequent educational issues or problems of children and youth who come to the program?  
*Probe →*
  - a. How have these problems and issues been identified? And by whom? Are any standardized assessments used in identifying educational risks or needs?

## **General Education Services and Structure**

3. Please describe the (educational) services and strategies that Title I, Part D funds support at your facility.  
*Probe →*
  - a. Is academic instruction part of the services provided?
  - b. Are credit recovery programs included?
  - c. What other education-related services are funded by Part D in your district?

## **Behavior Management**

4. Could you describe your Part D program's approach to managing student behavior?  
*Probe →*
  - a. How are conduct problems addressed for these students?
  - b. What behavior management strategies are used in the classroom?
  - c. Are any positive behavioral interventions and supports used? If so, please describe these interventions.

## **Special Education**

5. How does your Part D program support children and youth with learning and other disabilities?  
*Probe →*
  - a. What specific services are provided?
  - b. How does your program identify students for individualized education programs (IEPs) and how are the IEPs developed?
  - c. Has your program encountered any challenges or barriers to serving these students?

## **English Language Learners**

6. How does your Part D program support children who are English language learners?  
*Probe →*
  - a. What specific services are provided to youth who are English language learners?
  - b. Has your program encountered any challenges or barriers to serving these students?

## **Social Emotional Learning**

7. Please describe how your facility's Part D program addresses the social and emotional needs of students (e.g., managing emotions, setting and achieving positive goals, feeling and showing empathy).

*Probe →*

- a. Are any specific social and emotional learning programs provided for these students?

### **Career and Technical Education**

8. Please describe any vocational education, career technical education, or job training services provided as part of your Part D program.

*Probe →*

- a. How do students access these services?
- b. What outcomes have you seen for students who participate in these services? *For example, do they experience increased connections to employment following release from placement? Are their rates of high school and other diplomas earned higher compared to other students who are N or D?*

- i. Do you have data to support the changes you have seen?

### **Collaborative Planning (for overall educational programming)**

9. Please describe any collaborative efforts within your facility to improve the overall educational programming and transition services for *all* children involved in your facility (including those who are not N or D students).

*Probe →*

- a. What types of services or strategies are planned and implemented collaboratively? *For example, facility-wide needs assessment, professional development opportunities, comprehensive approaches that meet the educational needs of all children*
- b. Who is involved in the collaborative planning process?
- c. Were any new policies or procedures developed through this collaborative planning process?
- d. What types of funding are blended or coordinated to support these collaborative efforts? *For example those of the Individuals With Disabilities Education Act (IDEA), Title II—Academic Improvement and Teacher Quality Programs, Title IV—21st Century Schools, etc., as well as State funds.*

10. In terms of implementing these facility-wide collaborative strategies and services, what has gone well?

*Probe for facilitators.*

11. What types of implementation challenges/barriers has your facility encountered in coordinating around your facility's overall educational programming? Please describe.

*Probe →*

- a. How have you addressed these challenges?

12. Has your facility seen any changes in academic, vocational, transitional, and other related outcomes for children and youth in your facility as a result of these coordinated efforts?

*Probe →*

- a. Do you have data to support the changes you have seen?

### **Transition Planning**

*We would like to ask you some questions about the strategies that your Title I, Part D program uses to support youths' transitions as they enter custodial settings, move between settings, and as they transition back into educational and vocational settings.*

13. Please describe the transition services and supports that your Part D program provides.

*Probe →*

- a. What are the intended outcomes of your program's transition services?
- b. What transition services are provided to youth: (a) as they enter placement, (b) while they are in placement, and (c) after they leave placement? *For example, adult mentor/advocate, orientation or information/counseling to prepare for transition back to school, training while in program, pre-release visit to school/employment setting, etc.*
- c. Do you have a formal/structured transition planning model or approach for the Part D program? If yes, what model(s)/approach(es)?

*Probe →*

- i. Is this an established model?
- d. (At the district level) who is involved in transition planning for the Part D program and how?

*Probe →*

- i. Is there an individual who leads the coordination process or is a team formed? If a team, who are the members and to what degree is the team individualized to the youth/family?

14. *[If not mentioned]* To what extent are youth and family members/caretakers involved in transition planning?

*Probe →*

- a. What role do they play in the identification of youth's transition goals, strengths, needs, and educational or vocational placement decisions?
- b. Are the transition plans reviewed with the youth? With family members/caregivers?
- c. What challenges or barriers has your program experienced related to youth or family/caregiver involvement in transition planning and participation in transition services?
- d. What strategies have you employed to address challenges, and how successful were they?

*We would now like to discuss the specifics of these transition services and supports.*

15. Does the transition planning process involve the development of a formal (written) transition plan?

*If yes, Probe →*

- a. What are the key elements included or addressed in the written plans? *For example, youth strengths/challenges, academic level/needs and goals, special needs/disabilities and related services/supports, community resources, etc.*
- b. What transition services or strategies (i.e., content) are included in transition plans? Do/how do the plans address:
  - i. Educational or vocational activities while in residence?
  - ii. Re-enrollment of youth in school or vocational settings upon release?  
Alternative educational placements for youth who cannot return to traditional educational settings?
  - iii. Connections with needed community resources and aftercare support?  
Including:  
Services and supports for the family?  
Mental health counseling/treatment?  
Academic support/tutoring/mentoring?  
Substance use prevention/treatment?  
Prosocial activities/supports?
- c. How are decisions made about the plans/services that are included in the transition plan?
- d. When does transition planning/development of the written transition plan begin?
- e. What is the typical length (duration) of transitional services covered in youths' transition plans?
- f. How is youth's progress noted or tracked within the transition plan?

16. How are the results of educational (needs) assessments or screenings [completed prior to or during a youth's placement] used in your transition planning and supports?

*Probe →*

- a. *[If not previously mentioned]* What kinds of assessment or screening information might be available? *[academic, vocational/career technical assessments, disabilities, learning style/study skills]*

17. What challenges or barriers has your program experienced in transition planning (and supports)? How have you addressed these challenges? Have the strategies proven successful?

18. What aftercare/post exit services are included in the Part D program (directly)?

*Probe→*

- a. Is there a transition specialist who remains involved in providing support and coordination of services? If yes, what is the level, type, and timing of aftercare involvement?
- b. What is the extent, nature, and frequency of contact with youth and families during the aftercare period?
- c. What challenges or barriers does your facility encounter with aftercare services/supports?

19. What strategies does your program employ to prevent dropout for youth who will return to an educational setting upon exiting custody?

20. What have you learned about the efficacy of your transition strategies?

*Probe →*

- a. How have these lessons and findings informed transition-related activities?

#### **D. Instructional Staff Qualifications and Professional Development**

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*Next I would like to ask you about how you recruit and retain instructional staff in your Title I, Part D program.*

1. Please describe the educational team for your Part D Program.

*Probe →*

- a. Who are the team members (e.g., teachers/instructional staff and administrators)? Are they facility staff or contracted staff? Who does the educational team report to?
  - i. *[If facility contracts with LEA to provide educational services]* Please describe the level of involvement and support your facility receives from the school district.

2. Please describe the qualifications for instructional staff in your Part D Program.

*Probe →*

- a. Do staff need any special qualifications or certifications to work with N or D youth? If so, what are they?
- b. What, if any, additional qualifications are required for staff that teach English language learners?
  - a. Does your program include at least one instructional staff certified to teach English language learners?
- c. What, if any, additional qualifications are required for staff that teach students with disabilities?
  - a. Does your program include at least one instructional staff certified to teach students with disabilities?

3. Are there opportunities for instructional staff and administrators to participate in professional development?

*If yes, Probe →*

- a. What are your perceptions on the availability and usefulness of professional development opportunities?
  - i. *[If not mentioned]* What types are offered and how often?

## **E. Outcome Assessment and Utilization**

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1. Please describe the key educational outcomes for students participating in your Part D program that your program measures.

*Probe →*

- a. How are the outcomes measured? What assessments are used (are they standardized)? Is the assessment of any specific educational outcomes required (e.g., improvement in reading/math, high school course credits earned)?
- b. Who are responsible for collecting the data?
- c. How often does data collection take place? (e.g., pre-post?)
- d. Are some educational outcomes measured specifically for certain sub-populations of students (e.g., students with disabilities or English language learners) or in specific settings (e.g., specific to correctional facilities)?

2. Please describe any State- and/or local-level guidelines or legislation that guide your assessment of specific educational outcomes for participating students (including those with disabilities or English language learners).

*Probe →*

- a. Any assessments that are required?
- b. Which outcomes are assessed and when are you required to assess them?
- c. Are there benchmarks or requirements around demonstrating “improvement” in certain educational outcomes? If so, what happens if those benchmarks are not met?

3. Please describe any (successes and) challenges that you may have experienced in assessing educational outcomes for students *while* they are participating in your Part D-funded educational program.

*Probe →*

- a. Please also describe challenges related to specific sub-populations such as students with disabilities or English language learners or in specific settings (e.g., specific to correctional facilities).

4. Are you able to continue to follow students after they leave your facility in order to track (long-term) educational outcomes?

*If yes, Probe →*

- a. Please describe the key (long-term) educational outcomes and your process for tracking them.
- b. How far out after they have left your facility are you able to follow students to track these outcomes? (e.g., 90 days?)
- c. Are some (long-term) educational outcomes measured specifically for certain sub-populations of students (e.g., students with disabilities or English language learners) or specific settings (e.g., specific to correctional facilities)?

5. What challenges have you encountered in assessing (long-term) educational outcomes for students *who have left* your facility?

*Probe →*

- a. Are there challenges related to tracking specific (long-term) outcomes? *For example, retention and high school completion?*
6. Are there educational outcomes (short or longer term) that your facility is not currently tracking, but would like to? Why are these outcomes of interest? Why are they not being tracked?

#### **F. Use of ESSA Title I, Part D Funds**

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*We would now like to ask you some questions about Title I, Part D funding.*

1. Please describe how Part D funds are used within your facility. *For example, instructional salaries, professional development, technology and other types of supports.*  
*Probe →*
  - a. How does your facility make decisions about how to spend Part D funds?
  - b. Who is involved in making resource allocation decisions?
2. Are Part D funds blended/braided or otherwise coordinated with other Federal, State, local, or private funding?  
*If yes, Probe →* What are the other funding sources and approximate amount/% allocation (e.g., Title I, Part A funding).

#### **G. Overall Facilitators, Challenges, and Perceived Benefits**

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1. In terms of implementing the key Part D program activities, what has gone well overall?  
*Probe for facilitators.*
2. What have been the key challenges in implementing Part D program activities overall (*in addition to what we have already covered in this interview*)? Please describe.  
*Probe →*
  - a. How have these challenges been handled? Please describe.
3. In your opinion, what have been some of the key benefits for children and youth involved in the Part D programming?  
*Probe →*
  - a. What evidence is available to document these benefits?
4. Is there anything else that you would like to add regarding the topics we have talked about?

**THANK YOU!**