

Attachment G
Likely Performer and Funder Sources

Likely Performer and Funder Sources

A total of 2,361 organizations were identified as likely performers using five auxiliary sources; 1,494 organizations were identified as likely funders using nine sources. Table 1 includes the source of auxiliary data and the count of organizations.

Table 1. Auxiliary Data Sources Identifying Likely Performers and Funders

Performer Source	Description	Organizations	Has EIN
NCSES Federal Support for S&E Survey (FSS) 2010–2013	Organizations who are listed in the survey of Federal S&E Support in 2010–2013 as recipients of R&D. These organizations accepted federal funding for R&D in the United States.	1831	
Association of Independent Research Institutes (AIRI)	AIRI members are nonprofit research institutes whose mission is to enhance the ability of its members to improve human health and advance knowledge.	81	✓
NCSES Facilities Survey 2009	Biomedical organizations who participated in the 2009 NCSES survey of Science and Engineering Research Facilities. Organizations that participated in this survey expended at least \$1 million in research and development funds in the prior fiscal year.	171	✓
1996/1997 NPRA Survey Screened Performer	Organizations screened in the Former 1996/1997 NPRA survey that were indicated as a “Performer” or “Both” Funder/Performer organizations.	233	✓
NCSES Higher Education Research and Development (HERD) Affiliates	NSF provided a list of 62 nonprofit organizations with affiliation agreements at different universities.	45	✓
Funder Source	Description	Organizations	Has EIN
1996/1997 NPRA Survey Screened Funder	Organizations screened in the Former 1996/1997 NPRA Survey that was indicated as a “Funder” or “Both” Funder/Performer organizations.	390	✓
1996/1997 NPRA Survey Responding Funder	Organizations responding to the Former 1996/1997 NPRA Survey and was indicated as a funder organization.	99	✓
Grant Station Funder Database	Grant Station database of funders who indicated funding of research.	822	✓
Consortium of Social Science Associations (COSSA)	ICF identified organization with a COSSA membership that indicated they were a private foundations and funded research.	10	
Science Philanthropy Alliance	Organizations listed as members of Science Philanthropy Alliance.	6	
Health Research Alliance	Organizations listed as members of Health Research Alliance.	66	
ICF Cognitive Interview Exploration	ICF identified organization that funded research through preliminary research in the process of identifying organizations for cognitive interviewing.	19	

Table 2. Auxiliary Data Sources Identifying Likely Performers and Funders (continued)

Funder Source	Description	Organizations	Has EIN
Open Education Database Article "100+ Places to Find Funding For Your Research"⁸	Article indicating sources of funding for researchers.	51	
Inside Philanthropy⁹	Organizations identified by Inside Philanthropy that provided funding for scientific research.	31	

Assigning EINs

EIN is the unique identifier assigned to a nonprofit organization when it receives its nonprofit status from the IRS. Some auxiliary sources did not have an EIN number for the organization. We performed EIN look-ups for organizations without an EIN.

For performers, the only source requiring EIN look-ups was the FSS. For 1,678 organizations on the 2010–2012 FSS, GuideStar¹⁰ algorithmically assigned missing EINs using the organization's name and address to find a match in their database. An additional 153 organizations from the 2013 survey were added after the initial matching. ICF used GuideStar's Non-Profit Organization searchable database to look-up EINs for these organizations. The process resulted in 17 organizations from the list that could not be matched to an active organization in the database and were excluded from the likely performer list.

For Funders, 183 organizations collected from various sources required EIN look-ups. ICF used GuideStar's Non-Profit Organization searchable database and found EINs for all 183 organizations.

Deduplication

The multiple data sources included several of the same organizations. Therefore, we removed the duplicate organizations. This resulted in 439 organizations removed from the likely performer list and 273 organizations removed from the likely funder list. Each list had only one unique EIN entry.

Matching the Likely Performer List with the Exempt Organization Business Master File (EO/BMF)

We matched the likely performer list with the 2013 EO/BMF, as provided by the National Center for Charitable Statistics (NCCS).¹¹ The EO/BMF is a file originally produced by the IRS, which identifies all active nonprofit organizations that are registered with the IRS. NCCS supplements the file with additional data fields. Merging the likely performers with the EO/BMF identified 151

⁸ (OEDb) Open Education Database. 2013. 100 Places to Find Funding For Your Research. Available at http://oedb.org/ilibrarian/100_places_to_find_funding_your_research/. Accessed on December 7, 2015.

⁹ Fundraising for Science Research | Grants - Inside Philanthropy. n.d. Available at <http://www.insidephilanthropy.com/grants-for-scientific-research/>. Accessed on December 7, 2015.

¹⁰ GuideStar develops and maintains a database of nonprofit organizations and provides information and analytic services related to nonprofits. For more information, refer to <http://www.guidestar.org/Home.aspx>.

¹¹ NCCS builds and maintains a repository of data on the nonprofit sector in the United States. For more information, refer to <http://nccs.urban.org/ee>

additional organizations with no active listing. They can be assumed to be defunct or no longer operating as a nonprofit organization and were removed.

We did not perform this step when compiling the likely funder list. At the time when the performer list was developed, we were evaluating the EO/BMF as a frame source. As discussed, the benefits of the added financial data led to using the NCCS core files as the source of the frame. Because we determined this prior to the development of the likely funder list, matching to the EO/BMF seemed unnecessary.

Matching the Likely Performer and Likely Funder Lists to the NCCS Core Files

Finally, we matched the likely performer and likely funder lists to the NCCS core files. Ninety-nine likely performers and 105 likely funders did not match to an organization on the NCCS core files because they did not meet the minimum criteria to file a Form 990, 990-EZ, or 990-PF. These organizations were removed.

This resulted in a final count of 1,655 likely performer organizations and 1,116 likely funder organizations. There were 154 organizations that identified as a likely performer and a likely funder. Table 3 includes a summary of the creation of the likely performer and likely funder lists.

Table 3. Summary of Likely Performer and Likely Funder List Creation

Summary of Likely Performer List Creation			
Description		Likely Performers	Likely Funders
Combined "Likely Performers" sources		2,361	1,494
Duplicate EINs		-439	-273
No listing on EO/BMF		-151	n/a
No listing on NCCS Core Files		-99	-105
Remaining "Likely Performers" Organizations		1,655	1,116