Request for Approval under the "Hurricane Evacuation Behavioral Survey" (OMB Control Number: 0710-0016)

TITLE OF INFORMATION COLLECTION: Analyzing evacuation behavior in New York State to develop hurricane evacuation and transportation models.

PURPOSE:
The U.S. Army Corps of Engineers (USACE) New York District, (NAN) seeks to conduct a behavioral survey of residents of New York State as part of a hurricane evacuation study. The behavioral survey comprises residents in the Nassau, Westchester and Suffolk counties. Information obtained from this survey will be incorporated into a Hurricane Evacuation Study to identify clearance times and shelter demand for evacuations of populations in New York State in advance of a hurricane or tropical storm. This collection will provide information to determine if the resources needed and time requirements to ensure life safety of the residents of New York State prior to hurricane landfall.

USACE assists state and local partners by preparing the hurricane evacuation studies; the results include evacuation clearance times and shelter demands for a number of hurricane threat scenarios. The transportation and shelter analyses require numerical inputs for a number of population behaviors, and the proposed survey is a crucial step in deriving those inputs

DESCRIPTION OF RESPONDENTS:

Residents of coastal counties of New York State with landline telephone listings, with oversampling in areas subject to inundation by hurricane storm surge.

TYPE OF COLLECTION: (Check one)

[ ] Customer Comment Card/Complaint Form
[ ] Usability Testing (e.g., Website or Software
[ ] Focus Group
[ ] Customer Satisfaction Survey
[ ] Small Discussion Group
[X] Other: _Telephone survey regarding hurricane evacuation behavior for use in evacuation planning and modeling

CERTIFICATION:

I certify the following to be true:
1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. The results are not intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of substantially informing influential policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Name: Earl J. Baker____

To assist review, please provide answers to the following question:

Personally Identifiable Information:

1. Is personally identifiable information (PII) collected? [ ] Yes [X] No
2. If Yes, will any information that is collected be included in records that are subject to the Privacy Act of 1974? [ ] Yes [ ] No
3. If Yes, has an up-to-date System of Records Notice (SORN) been published? [ ] Yes [ ] No

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [ ] Yes [X] No

BURDEN HOURS

Category of Respondent	**No. of Respondents**	**Participation Time**	**Burden**
Telephone	1500	15 min.	375 hrs
Totals	**1500**	15 min.	**375 hrs**

FEDERAL COST: The estimated annual cost to the Federal government is ____$38000_____

<u>If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:</u>

The selection of your targeted respondents

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?
   [X] Yes [ ] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

Survey responses will be analyzed for their correlation with demographics, and adjustments to landline responses will be addressed for responses that are sensitive to demographics associated with cell phone dependence. Moreover, other hurricane preparedness surveys have employed cell-phone, landline, and mail response methods, and variations in results will be referenced to further adjust the landline response data, if appropriate. The adjustments might come in the form of differentially weighting response data or while employing the data in making judgments about numerical inputs for transportation and shelter analyses.

Administration of the Instrument

1. How will you collect the information? (Check all that apply)
   - [ ] Web-based or other forms of Social Media
   - [X] Telephone
   - [ ] In-person
   - [ ] Mail
   - [ ] Other, Explain
2. Will interviewers or facilitators be used? [X] Yes [ ] No

Please make sure that all instruments, instructions, and scripts are submitted with the request.

Instructions for completing Request for Approval under the "Generic Clearance for the Collection of Routine Customer Feedback"

TITLE OF INFORMATION COLLECTION: Provide the name of the collection that is the subject of the request. (e.g. Comment card for soliciting feedback on xxxx)

PURPOSE: Provide a brief description of the purpose of this collection and how it will be used. If this is part of a larger study or effort, please include this in your explanation.

DESCRIPTION OF RESPONDENTS: Provide a brief description of the targeted group or groups for this collection of information. These groups must have experience with the program.

TYPE OF COLLECTION: Check one box. If you are requesting approval of other instruments under the generic, you must complete a form for each instrument.

CERTIFICATION: Please read the certification carefully. If you incorrectly certify, the collection will be returned as improperly submitted or it will be disapproved.

Personally Identifiable Information: Provide answers to the questions. Note: Agencies should only collect PII to the extent necessary, and they should only retain PII for the period of time that is necessary to achieve a specific objective.

Gifts or Payments: If you answer yes to the question, please describe the incentive and provide a justification for the amount.

BURDEN HOURS:
Category of Respondents: Identify who you expect the respondents to be in terms of the following categories: (1) Individuals or Households; (2) Private Sector; (3) State, local, or tribal governments; or (4) Federal Government. Only one type of respondent can be selected per row.
No. of Respondents: Provide an estimate of the Number of respondents.
Participation Time: Provide an estimate of the amount of time required for a respondent to participate (e.g. fill out a survey or participate in a focus group)
Burden: Provide the Annual burden hours: Multiply the Number of responses and the participation time and divide by 60.

FEDERAL COST: Provide an estimate of the annual cost to the Federal government.

<u>If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:</u>

The selection of your targeted respondents. Please provide a description of how you plan to identify your potential group of respondents and how you will select them. If the answer is yes, to the first question, you may provide the sampling plan in an attachment.

Administration of the Instrument: Identify how the information will be collected. More than one box may be checked. Indicate whether there will be interviewers (e.g. for surveys) or facilitators (e.g., for focus groups) used.

Submit all instruments, instructions, and scripts are submitted with the request.