



## **Attachment 7: Certificate of Confidentiality**



7/23/2014

Eunice Kennedy Shriver National Institute of Child Health and Human Development  
Dr. Bruce Simons-Morton  
6100 Executive Blvd, 7B13  
North Bethesda, MD 20892

Dear Dr. Simons-Morton,

Enclosed is the Confidentiality Certificate protecting the identity of research subjects in your project entitled, 'Health Behavior in School-Age Children: NEXT Longitudinal Study 2009-2013'. Please note that the Certificate expires on 12/21/2017.

Please be sure that the consent form given to research participants accurately states the intended uses of personally identifiable information (including matters subject to reporting) and the confidentiality protections, including the protection provided by the Certificate of Confidentiality with its limits and exceptions.

If you determine that the research project will not be completed by the expiration date, 12/21/2017, you must submit a written request for an extension of the Certificate three months prior to the expiration date. If you make any changes to the protocol for this study, you should contact me regarding modification of this Certificate. Any requests for modifications of this Certificate must include the reason for the request, documentation of the most recent IRB approval, and the expected date for completion of the research project.

Please advise me of any situation in which the Certificate is employed to resist disclosure of information in legal proceedings. Should attorneys for the project wish to discuss the use of the Certificate, they may contact the Office of the NIH Legal Advisor, National Institutes of Health, at (301) 496-6043.

Correspondence should be sent to:

Steven Hirschfeld, MD PhD  
Associate Director for Clinical Research  
Eunice Kennedy Shriver National Institute of Child Health and Human Development  
31 Center Drive, Room 2A03, MSC 2425  
Bethesda, MD 20892-2425  
Telephone: (301) 496-0044  
Fax: (301) 480-1104

Sincerely,

Steven Hirschfeld, MD PhD

## CERTIFICATE OF CONFIDENTIALITY

CC-HD-14-63

issued to

**Eunice Kennedy Shriver National Institute of Child Health and Human Development**

**conducting research known as**

**Health Behavior in School-Age Children: NEXT Longitudinal Study 2009-2013**

In accordance with the provisions of section 301(d) of the Public Health Service Act 42 U.S.C. 241(d), this Certificate is issued in response to the request of the Principal Investigator, Dr. Bruce Simons-Morton, to protect the privacy of research subjects by withholding their identities from all persons not connected with this research. Dr. Simons-Morton is primarily responsible for the conduct of this research, which is supported by Eunice Kennedy Shriver National Institute of Child Health and Human Development, National Heart, Lung and Blood Institute, National Institute of Alcohol Abuse and Addiction, Health Resources and Services Administration.

Under the authority vested in the Secretary of Health and Human Services by section 301(d), all persons who:

1. are enrolled in, employed by, or associated with the Eunice Kennedy Shriver National Institute of Child Health and Human Development and their contractors or cooperating agencies and
2. have in the course of their employment or association access to information that would identify individuals who are the subjects of the research pertaining to the project known as Health Behavior in School-Age Children: NEXT Longitudinal Study 2009-2013

are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research.

This longitudinal study will follow a cohort of 2700 10th-grade students for 7 years: to identify the trajectory of adolescent health status and health behaviors from mid-adolescence through emerging adulthood; to examine individual predictors of the onset of key adolescent risk behaviors and risk indicators during this period; to identify family, school, and social/environmental factors that promote or sustain positive health behaviors; and to identify transition points in health and risk behaviors and changes in family, school, and social/environmental precursors to these transitions.

A Certificate of Confidentiality is needed because sensitive information will be collected during the course of the study. The certificate will help researchers avoid involuntary disclosure that could expose subjects or their families to adverse economic, legal, psychological and social consequences.

All subjects will be assigned a code number and identifying information and records will be kept in locked files at the Institution.

This research is currently underway and is expected to end on 12/21/2017.

As provided in section 301 (d) of the Public Health Service Act 42 U.S.C. 241(d):

'Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.'

This Certificate does not protect you from being compelled to make disclosures that: (1) have been consented to in writing by the research subject or the subject's legally authorized representative; (2) are required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.) or regulations issued under that Act; or (3) have been requested from a research project funded by the National Institutes of Health (NIH) or the Department of Health and Human Services (DHHS) by authorized representatives of those agencies for the purpose of audit or program review.

**CERTIFICATE OF CONFIDENTIALITY**

**CC-HD-14-63**

**Issued to**

**Eunice Kennedy Shriver National Institute of Child Health and Human Development  
conducting research known as  
Health Behavior in School-Age Children: NEXT Longitudinal Study 2009-2013**

This Certificate does not represent an endorsement of the research project by the DHHS. This Certificate is now in effect and will expire on 12/21/2017. The protection afforded by this Confidentiality Certificate is permanent with respect to subjects who participate in the research during the time the Certificate is in effect.

Date: 7/23/2014



Steven Hirschfeld, MD PhD

Associate Director for Clinical Research

*Eunice Kennedy Shriver* National Institute of Child Health and Human  
Development